The catalytic mechanism of the Suzuki-Miyaura reaction

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Abstract: Experimental and theoretical ¹³C kinetic isotope effects are utilized to obtain atomistic 10 insight into the catalytic mechanism of the Pd(PPh3)4 catalyzed Suzuki-Miyaura reaction of aryl halides and aryl boronic acids. Under catalytic conditions, we establish that oxidative addition of aryl bromides occurs to a 12-electron monoligated palladium complex (Pd(PPh₃)). For aryl iodides, the first irreversible step in the catalytic cycle precedes oxidative addition and is shown to be binding of the iodoarene to Pd(PPh₃). Our results suggest that the commonly proposed 15 oxidative addition to the 14-electron Pd(PPh₃)₂ complex can occur only in the presence of excess added ligand or under stoichiometric conditions. The transmetalation step, under catalytic conditions, is shown to proceed via a tetracoordinate boronate (8B4) intermediate with a Pd-O-B linkage.

One Sentence Summary: The catalytic mechanism of the Suzuki-Miyaura reaction is resolved 20 using 13 C kinetic isotope effects.

Main Text: Palladium catalyzed cross-coupling reactions, between an organic halide and an organometallic reagent, that forge carbon-carbon bonds constitute one of the central pillars of modern-day organic synthesis (*1*). Three sequential mechanistic events define the general catalytic 25 cycle of most palladium catalyzed cross-coupling reactions: (a) oxidative addition (OA) of the organic halide to palladium (0) to form a palladium (II) complex; (b) transmetalation (TM), i.e. transfer of the organic portion of the organometallic reagent to the palladium (II) center; and (c) reductive elimination (RE) to form the new carbon-carbon bond and regenerate palladium (0) catalyst (*2*). High-resolution insight into the fine details of these fundamental steps is critical to 30 the optimization of existing methodologies and development of novel catalytic cross-coupling reactions.

The palladium catalyzed Suzuki-Miyaura (S-M) reaction between an organic halide (**1**) and a boronic acid (**2**) has emerged as a versatile and robust cross-coupling process with broad application in the synthesis of high-value pharmaceuticals and fine chemicals (Fig. 1A) (*3-5*). The 35 privileged status of the S-M reaction has inspired several high-quality experimental and theoretical investigations into the mechanism, leading to a constantly evolving view of this catalytic process (Fig. 1B) (*6-14*). OA has been extensively studied by a number of research groups (*15-24*) and the general consensus is that for simple phosphine ligands such as triphenylphosphine OA of **1** occurs to a palladium (0) center ligated to two phosphine ligands (PdL₂) via PdL₂-OA_{TS} to yield a 40 PdL2ArX OA complex (Fig. 1C) (*15*). Alternatively, experimental (*18*) and computational studies *(22, 23)* have also suggested the possible involvement of a coordinatively unsaturated 12-electron PdL₁ complex as the active catalytic species in OA (Fig. 1C, **PdL₁-OA**Ts).

Fig. 1. Key mechanistic features of the catalytic Suzuki-Miyaura reaction (A) Prototypical Suzuki-Miyaura reaction of aryl halide and aryl boronic acid catalyzed by a palladium (0) catalyst. (B) Widely accepted mechanism of the Suzuki-Miyaura reaction involving three key mechanistic events – oxidative addition, transmetalation, and reductive elimination. (C) Two possible pathways 5 for oxidative addition involving either a 14-electron PdL_2 or a 12-electron PdL_1 palladium (0) complex. (D) Two viable pathways for transmetalation involving intermediates containing Pd-O-B linkages.

Elegant kinetic studies by Carrow and Hartwig, involving stoichiometric reactions of 10 isolated OA complexes, have revealed that **4** is likely converted to a hydroxopalladium species **5** prior to the TM step by reaction with aqueous hydroxide generated in the THF-water reaction mixture containing an inorganic base such as $K_2CO_3(25)$. Groundbreaking low-temperature NMR studies by Thomas and Denmark have shown that association of **2** and **5** leads to the formation of two key pre-TM intermediates containing Pd-O-B linkages – a tetracoordinate boronate (8B4) 15 complex and a tricoordinate boronic acid (6B3) complex (*12*). However, since both intermediates readily convert to product 3 through TM (via 8B4-TM_{TS} or 6B3-TM_{TS}, Fig. 1D) and subsequent RE upon warming to room temperature, the exact identity of the pre-TM intermediate in the catalytic reaction remains ambiguous. Additional kinetic and computational studies by Denmark

and co-workers suggest that (a) both **8B4-TM_{TS}** or **6B3-TM**_{TS} are viable TM pathways depending on the concentration of ligand in solution, and (b) the TM event occurs only after dissociation of a ligand from these pre-TM intermediates (*13*). The final step in the catalytic cycle, C-C bondforming RE, is extremely facile and thought to be accelerated by hydroxide ions in solution (*26*).

5 The currently accepted catalytic cycle for the S-M reaction (*vide supra*) is based predominantly on mechanistic observations from *stoichiometric* reactions of putative intermediate complexes (*12, 15-19, 25*). This approach is necessitated due to the low concentration of these intermediates under typical catalytic conditions (< 2 mol% palladium catalyst). Utilization of mechanistic observations from stoichiometric studies to interpret the catalytic mechanism is valid 10 only if the intermediate complexes form under catalytic conditions. Kinetic studies can often provide valuable mechanistic information under catalytic conditions (*7, 8*); but this does not include atomistic information about the TS of the elementary steps. Computational studies provide detailed insight into the TS of each step of the catalytic S-M reaction (*9, 10, 21, 22, 26-29*). However, experimental validation of the calculated TSs in the catalytic S-M reaction has remained 15 elusive.

A powerful experimental probe of transition structures in carbon-carbon bond-forming reactions is the determination of 13C kinetic isotope effects (KIEs) at natural abundance (*30-32*). Despite this, there are limited examples of the use of 13C KIEs among the vast literature of mechanistic studies of cross-coupling reactions (*33-41*). Given that each step in the proposed 20 catalytic cycle of the S-M reaction involves bonding change at a carbon atom, determination of ¹³C KIEs for the two bond-forming carbon atoms (Fig. 1, KIE_{C-X} and KIE_{C-Boron}) can provide vital insight into the transition structures of key steps under standard (catalytic) conditions. We describe herein a combined experimental and theoretical ¹³C KIE study of the S-M reaction that provides unprecedented insight into the *catalytic* mechanism of this important reaction. Importantly, we 25 \cdot find that ¹³C KIEs are an exceptionally sensitive probe of the transition states involving bondmaking or bond-breaking between carbon and palladium – *enabling the first experimental characterization of the transition structure of the OA and TM steps under catalytic conditions*.

Probing the transition state geometry of OA in the catalytic S-M reaction: Oxidative addition of aryl bromides to palladium (0) is highly exergonic and, barring some examples (*42*), is 30 generally considered an irreversible step in the catalytic cycle. Therefore, ¹³C KIEs determined for the aryl bromide in the S-M reaction should reflect the nature of the OA TS. We chose the prototypical S-M reaction of aryl bromide 1a with boronic acid 2a catalyzed by Pd(PPh₃)₄ for determination of 13C KIEs for the aryl bromide (**1a**) via quantitative NMR analysis. Under catalytic conditions (Fig. 2A, conditions $# 1$), we observe a significant normal ¹³C KIE on the carbon atom 35 attached to the bromine ($KIE_{C-Br} = 1.020(1)$). This result is qualitatively consistent with OA being the first irreversible step in the catalytic cycle and provides an experimental benchmark to probe the exact nature of the OA transition state using density functional theory (DFT) calculations.

Fig. 2. Probing the oxidative addition step in the catalytic Suzuki-Miyaura reaction (A) 13C KIE experiments to probe the OA TS as a function of ligand concentration and catalyst type. (B) Calculated TSs and predicted 13C KIEs for OA of **1a** to mono- and diligated palladium (0) with key bond distances shown in angstroms (Å). Predicted KIEs are expressed as an average from 12 5 DFT methods. (C) Stoichiometric experiment to establish a benchmark ¹³C KIE value for OA to PdL₂. Experimental ¹³C KIEs are shown as an average of 12 measurements from two independent

experiments. Experimental and predicted KIEs represent the 95% confidence range for the last digit shown in parentheses.

Key bond-distances and predicted KIEs for all transition structures presented in this study 5 are displayed as an average from calculations implemented using 12 routinely employed DFT methods used to study these systems (see Supplementary Information for computational details). This ensures that the theoretical evaluation of our experimental results is not an artifact of one particular DFT method. For the quantitative interpretation of KIE_{C-Br} (Fig 2A, conditions # 1), we calculated the TS for OA of **1a** to both monoligated (**PdL1-OATS-Br**) and diligated (**PdL2-OATS-**10 **Br**) palladium (0) complexes. The two transition structures **PdL1-OATS-Br** and **PdL2-OATS-Br** are similar in terms of bond-making and bond-breaking distances (Fig. 2B). The Pd–C bond-forming distance in the mono-ligated **PdL1-OATS-Br** is 2.01 Å compared to a slightly longer Pd–C bondforming distance of 2.12 Å in the more electron-rich diligated **PdL2-OATS-Br**. The greater extent of C–Pd bond-formation in **PdL1-OATS-Br** also corresponds to a more advanced C–Br bond-15 breaking distance of 2.27 Å; C–Br bond-breaking distance in **PdL2-OATS-Br** is 2.20 Å. Intriguingly, KIE_{C-Br} is exceptionally sensitive to these subtle differences in structure – the predicted KIE_{C–Br} for **PdL₁-OA_{TS-Br}** is 1.021(1) compared to a predicted KIE_{C–Br} of 1.031(1) for **PdL2-OATS-Br** (Fig. 2B). Based on the excellent agreement of experimental and predicted KIEs for **PdL₁-OA_{TS-Br}**, our results suggest that OA in the catalytic S-M reaction (Fig. 2A, conditions # 1) 20 occurs to a PdL1 species. *Importantly, this result quantitatively rules out PdL2 as the active species that undergoes OA in the catalytic reaction.*

To further support our finding that OA occurs to PdL₁ in the *catalytic* reaction, we conducted three additional experiments. The first experiment involves determination of KIE_{C-Br} for the *stoichiometric* OA of **1a** to Pd(PPh₃)₄ to form the well-characterized PdL₂(Ar₁)(Br) 25 complex (Fig 2C) (*43*). This stoichiometric experiment delivers an experimental benchmark KIEC-Br value to unambiguously characterize **PdL₂-OA_{TS-Br}**. Gratifyingly, the KIE_{C-Br} for this reaction is 1.031(2) – a value consistent with the predicted KIEC-Br for **PdL2-OATS-Br**. *Importantly, this result provides unequivocal evidence that OA to Pd(PPh3)4 occurs via different mechanisms under catalytic (Fig 2A, conditions # 1) versus stoichiometric (Fig. 2C) conditions*. In the second 30 experiment, the catalytic S-M reaction of **1a** and **2a** was performed with added PPh3 ligand (Fig. 2A, conditions # 2). Excess ligand is expected to decrease the probability of OA occurring to a PdL_1 by driving the PdL_1/PdL_2 equilibrium towards the PdL_2 species. Consistent with our hypothesis, the KIE_{C-Br} for this reaction is $1.031(1)$ – a value consistent with the predicted KIE_{C} -Br for **PdL₂-OA_{TS-Br}**. The reaction with excess ligand is significantly more sluggish, suggesting 35 that OA to PdL₁ is a faster process than OA to PdL₂.(22) Finally, we performed the catalytic S-M reaction of **1a** and **2a** using the isolated complex **4a** as the catalyst (Fig. 1A, conditions # 3). Observation of an experimental KIEC-Br of 1.021(1) illustrates that OA occurs via **PdL1-OATS-Br** even when a PdL_2 complex is explicitly used as the catalyst for the S-M reaction.

Next, we turned our attention to studying the S-M reaction of aryl iodide **1b** and boronic 40 acid **2a**. We determined KIEC-I under standard catalytic conditions similar to 'conditions # 1' used for the bromo derivative (1a). The first key result is the near-unity KIE_{C-I} of $\sim 1.003(1)$ (see Fig. 3, conditions # 1). This suggests there is no bonding change at this carbon in the first irreversible step of the catalytic cycle – a result qualitatively inconsistent with OA as the first irreversible step for **1b**. Oxidative addition of aryl iodides to palladium (0) is generally considered an irreversible 45 process. Therefore, the absence of a significant normal KIE_{C-I} suggests the first irreversible step

for **1b** likely *precedes* OA, i.e. binding of **1b** to palladium (0) is irreversible and has a higher barrier than the ensuing OA step.

Fig. 3. Using 13C KIEs to probe contribution of concurrent pathways for oxidative addition 5 in the catalytic Suzuki-Miyaura reaction of iodoarenes and boronic acids. ¹³C KIE experiments to probe the OA TS as a function of ligand concentration for aryl iodides along with predicted 13C KIEs for all relevant TSs involved in the OA of **1b** under these conditions with key bond distances shown in angstroms (A) . Experimental ¹³C KIEs are shown as an average of 12 measurements from two independent experiments. Experimental and predicted KIEs represent the 10 95% confidence range for the last digit, shown in parentheses.

For the quantitative interpretation of the KIEC-I, we modeled the OA step of **1b** to both PdL₁ (PdL₁-OA_{TS-I}) and PdL₂ (PdL₂-OA_{TS-I}) complexes (Fig. 3A) using the theoretical methods described previously. Predicted KIE_{C-I} for these two TSs are 1.013(2) and 1.023(2) respectively, 15 both of which are inconsistent with the experimental KIE_{C-I} value. Next, we located **PdL₁-bind**T_S-**I**, the transition structure for formation of the η^2 binding complex between PdL₁ and 1b. Predicted KIE_{C-I} for PdL_1 -bind_{TS-I} is 1.004, which is in excellent agreement with the experimental KIE_{C-I} . No such TS could be located for PdL₂ (IRC calculations from PdL₂-OA_{TS-I} result in separate starting materials) – consistent with prior investigations suggesting that OA of aryl halides to PdL2 20 occurs without a preceding binding event (*18, 22, 23*). These results lend strong support to OA of **1b** to palladium (0) occurring via irreversible binding of **1b** to PdL₁ followed by a facile OA event.

To further confirm that the PdL_1 pathway is operative for **1b**, we determined KIE_{C-I} in the presence of 5 mol% added PPh₃ (similar to Fig 2A, conditions $# 2$). Unlike in the case of aryl bromide **1a**, under conditions of excess ligand, we did not observe a KIEC-I corresponding to **PdL2-** 25 **OA_{TS-I}**; instead, we observed an experimental KIE_{C-I} of 1.008(1) (Fig. 3, conditions # 2, x=5). A measurement greater than the predicted KIE_{C-I} for **PdL₁-bind**_{TS-I} (the KIE-determining step in the

PdL₁ pathway) but significantly smaller than the predicted KIE_{C-I} of 1.023(2) for **PdL₂-OA**TS-I (likely the KIE-determining step in the PdL_2 pathway). This result can be interpreted as being consistent with ~85% of the reaction proceeding via the PdL₁ pathway and ~15% via the PdL₂ pathway. Increasing ligand concentrations to 20 and 40 mol% resulted in KIE_{C-I} values of 1.016(1), 5 and 1.022(4) (Fig. 3, conditions $\# 2$, $x=20$, 40), respectively – reflecting the increasing contribution of the PdL_2 pathway in the catalytic reaction.

Collectively, these results provide support for OA of both aryl bromides and iodides occurring to a 12 electron PdL_1 species under standard catalytic conditions. This OA step is preceded by the formation of an η^2 binding complex between PdL₁ and the aryl halide. The key 10 difference between aryl bromides and aryl iodides is the relative energies of the binding versus the OA step. Experimental KIE_{C-Br} values (Fig. 2) suggest formation of the η^2 binding complex has a lower barrier than the OA step. In contrast, the weaker C-I bond results in the lowering of the OA barrier relative to that of the binding step (23) . The PdL₂ pathway is operational only in the presence of excess PPh3 ligand or under stoichiometric conditions.

15 **Fig. 4. Probing the transmetalation step in the catalytic Suzuki-Miyaura reaction from boronic acid KIEs** (A) ¹³C KIE experiments to probe the TM TS in the catalytic S-M reaction of 1b and 2a. (B) Calculated TSs and predicted ¹³C KIEs for possible TM TSs with key bond distances shown in angstroms (A) . Experimental ¹³C KIEs are shown as an average of 12 measurements from two independent experiments. Experimental and predicted KIEs represent the 20 95% confidence range for the last digit shown in parentheses.

Probing the transition state geometry of TM in the catalytic S-M reaction: Having established the catalytic mechanism of OA, we turned our attention to the investigation of the TM step under catalytic conditions. In particular, we questioned whether we could distinguish between aryl transfer from the 8B4 (**8B4-TMTS**) versus the 6B3 (**6B3-TMTS**) pre-TM intermediates by 5 determining the ¹³C KIE on the carbon atom of **2** (Fig. 1, KIE_{C–Boron}) that migrates from boron to palladium during the TM event. We speculated that KIE_{C-Boron} will be different for these two TSs due to the difference in nucleophilicity of the aryl group in the respective intermediates. We chose the prototypical S-M reaction of aryl iodide **1b** and boronic acid **2a** catalyzed by Pd(PPh3)4 for determination of $KIE_{C-Boron}$ via NMR analysis. Observation of a significant $KIE_{C-Boron}$ of 1.035(1) 10 (Fig. 4A) is qualitatively consistent with TM being the first irreversible step in the catalytic cycle for **2a**.

For the quantitative interpretation of this KIE, we modeled the intramolecular TM event (Fig. 4B) from both the tetracoordinate boronate complex (**8B4-TMTS**) and the tricoordinate boronic acid complex (6B3-TM_{TS}). In 8B4-TM_{TS}, the forming Pd-C bond distance ($r_{\text{Pd-C}}$) is 2.18 15 Å and the breaking B-C bond (r_{B-C}) is 2.05 Å. The corresponding distances in **6B3-TM**_{TS} are 2.07 Å and 2.35 Å, respectively. Therefore, TM from the 8B4 intermediate has an earlier transition state than the 6B3 intermediate – consistent with the more nucleophilic migrating aryl group in 8B4. We also observed a clear correlation between $r_{\text{Pd-C}}$ in the transition structures and KIE_{C–Boron} – increased proximity of the migrating carbon atom to the palladium center in 6B3-TM_{TS} (compared 20 to 8B4-TM_{TS}) results in a lower predicted KIE _{C-Boron} (Fig. 4B). The average KIE_{C-Boron} from 12 DFT predictions for $6B3-TM_{TS}$ is $1.024(2)$ – a value that is in variance with the experimental KIE of 1.035(1). On the other hand, the predicted KIEC–Boron for **8B4-TMTS** is 1.034(1), which is in excellent agreement with experimental KIE_{C–Boron}. On a side note, we also explored the intermolecular TM TS for transfer of the aryl group from an aryltrihydroxyborate to the 25 PdL₁(Ar₁)(Br) OA complex (**inter-TM**_{TS}). While the predicted KIE_{C–Boron} for this TS is in reasonable agreement with experiment, it is 7.0 kcal/mol higher in energy than $8B4-TM_{TS}$ – suggesting that **inter-TM**_{TS} is likely not the operative TM pathway (25) . The quantitative match of experimental (~ 1.035) and predicted (~ 1.034) KIE_{C-Boron} for **8B4-TM**_{TS} provides the first quantitative evidence for the transition structure of the TM step in the Suzuki-Miyaura reaction 30 under *catalytic* conditions. We obtained identical KIE_{C-Boron} (1.035(2)) when the reaction was performed with aryl bromides and in the presence of 5 mol% added triphenylphosphine, suggesting that the TM step was unaffected by these modifications to reaction conditions (see Table S10 in Supplementary Information for details of these experiments).

In conclusion, we have utilized a combination of experimental and theoretical ¹³C KIEs to 35 delineate the fine details of the *catalytic* mechanism of the Pd(PPh3)4 catalyzed Suzuki-Miyaura reaction of aryl halides and aryl boronic acids. Our studies provide the first experimentally validated transition structures for both the OA (PdL₁-OA_{TS-Br}/ PdL₁-bind_{TS-I}) and TM (8B4-**TMTS**) steps in the catalytic reaction, leading to a more detailed description of the catalytic cycle (Fig. 5). The reaction is initiated by dissociation of two phosphine ligands (L) from PdL4 to form 40 the 14-electron PdL₂ complex. During the first turnover, our experimental KIE_{C-Br} from the stoichiometric OA reaction (Fig. 2C) suggests that PdL_2 is likely the palladium (0) species that undergoes OA to the aryl halide to deliver the palladium (II) complex **4L2**. Displacement of the halide ligand by hydroxide likely results in the hydroxo-palladium complex $5L₂$, which presumably undergoes ligand dissociation and coordination to **2a** to form the pre-TM **8B4** intermediate. Our 45 experimental KIEC-Boron supports transmetalation occurring from **8B4** resulting in the pre-RE

intermediate $6L_1$. Subsequent facile RE delivers the cross-coupled biaryl **3** and generates the highly reactive, 12-electron PdL₁ complex.

Fig. 4. The catalytic mechanism of the Suzuki-Miyaura reaction as determined herein using a combination of 13C KIEs and DFT calculations

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After the first turnover, the mechanism of subsequent catalytic cycles depends on the nature of the aryl halide and the concentration of the phosphine ligand in solution. Experimental KIE_{C-Br} and KIE_{C-I} determined under standard catalytic conditions (1 mol% Pd(PPh₃)₄ and no added PPh₃) support OA occurring to the PdL₁ complex via a two-step process – (i) formation of an η^2 binding 10 complex between PdL_1 and the aryl halide, and (ii) OA of PdL_1 into the C-X bond to form the palladium (II) complex **4L1**. For aryl iodides, we have shown that the binding event is the first irreversible step whereas aryl bromides proceed via reversible binding followed by OA as the first irreversible step. Displacement of the halide from **4L1** by hydroxide results in the mono-ligated hydroxopalladium complex $5L_1$, which coordinates to the aryl boronic acid to directly yield the 15 pre-TM intermediate **8B4**. Subsequent TM and RE completes the catalytic cycle and regenerates PdL1. We anticipate that this unprecedented insight into the S-M reaction under *catalytic* conditions will inspire similar investigations of long-standing mechanistic questions in other areas of transition-metal catalysis.

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Acknowledgments:

Funding: Research reported in this publication was supported by the National Institutes of Health under R01 GM126283 (M.J.V.) and Binghamton University startup funds (J.S.H.). M.J.V and J.S.H. acknowledge support from the XSEDE Science Gateways Program (allocation IDs 10 CHE160009 and CHE180061), which is supported by the National Science Foundation grant number ACI-1548562. **Author contributions:** M.J.V. and J.S.H. conceived of and directed the research and prepared the manuscript. J.M.M., C.J., and S.K. performed all the experiments reported in the manuscript. C.J., J.A.I., and V.W. performed the computations reported in the manuscript. **Competing interests:** The authors declare no conflicts of interest. **Data and** 15 **materials availability:** All data is available in the main text or the supplementary materials."

Supplementary Materials:

Materials and Methods

Tables S1-S22

References (*1-59*)