The catalytic mechanism of the Suzuki-Miyaura reaction

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Abstract: Experimental and theoretical ¹³C kinetic isotope effects are utilized to obtain atomistic 10 insight into the catalytic mechanism of the Pd(PPh₃)₄ catalyzed Suzuki-Miyaura reaction of aryl halides and aryl boronic acids. Under catalytic conditions, we establish that oxidative addition of aryl bromides occurs to a 12-electron monoligated palladium complex (Pd(PPh₃)). For aryl iodides, the first irreversible step in the catalytic cycle precedes oxidative addition and is shown to be binding of the iodoarene to Pd(PPh₃). Our results suggest that the commonly proposed oxidative addition to the 14-electron Pd(PPh₃)₂ complex can occur only in the presence of excess added ligand or under stoichiometric conditions. The transmetalation step, under catalytic conditions, is shown to proceed via a tetracoordinate boronate (8B4) intermediate with a Pd-O-B linkage.

One Sentence Summary: The catalytic mechanism of the Suzuki-Miyaura reaction is resolved using ¹³C kinetic isotope effects.

Main Text: Palladium catalyzed cross-coupling reactions, between an organic halide and an organometallic reagent, that forge carbon-carbon bonds constitute one of the central pillars of modern-day organic synthesis (1). Three sequential mechanistic events define the general catalytic cycle of most palladium catalyzed cross-coupling reactions: (a) oxidative addition (OA) of the organic halide to palladium (0) to form a palladium (II) complex; (b) transmetalation (TM), i.e. transfer of the organic portion of the organometallic reagent to the palladium (II) center; and (c) reductive elimination (RE) to form the new carbon-carbon bond and regenerate palladium (0) catalyst (2). High-resolution insight into the fine details of these fundamental steps is critical to the optimization of existing methodologies and development of novel catalytic cross-coupling reactions.

The palladium catalyzed Suzuki-Miyaura (S-M) reaction between an organic halide (1) and a boronic acid (2) has emerged as a versatile and robust cross-coupling process with broad application in the synthesis of high-value pharmaceuticals and fine chemicals (Fig. 1A) (3-5). The privileged status of the S-M reaction has inspired several high-quality experimental and theoretical investigations into the mechanism, leading to a constantly evolving view of this catalytic process (Fig. 1B) (6-14). OA has been extensively studied by a number of research groups (15-24) and the general consensus is that for simple phosphine ligands such as triphenylphosphine OA of 1 occurs to a palladium (0) center ligated to two phosphine ligands (PdL₂) via PdL₂-OA_{TS} to yield a PdL₂ArX OA complex (Fig. 1C) (15). Alternatively, experimental (18) and computational studies (22, 23) have also suggested the possible involvement of a coordinatively unsaturated 12-electron PdL₁ complex as the active catalytic species in OA (Fig. 1C, PdL₁-OA_{TS}).

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Fig. 1. Key mechanistic features of the catalytic Suzuki-Miyaura reaction (A) Prototypical Suzuki-Miyaura reaction of aryl halide and aryl boronic acid catalyzed by a palladium (0) catalyst. (B) Widely accepted mechanism of the Suzuki-Miyaura reaction involving three key mechanistic events – oxidative addition, transmetalation, and reductive elimination. (C) Two possible pathways for oxidative addition involving either a 14-electron PdL₂ or a 12-electron PdL₁ palladium (0) complex. (D) Two viable pathways for transmetalation involving intermediates containing Pd-O-B linkages.

Elegant kinetic studies by Carrow and Hartwig, involving stoichiometric reactions of isolated OA complexes, have revealed that **4** is likely converted to a hydroxopalladium species **5** prior to the TM step by reaction with aqueous hydroxide generated in the THF-water reaction mixture containing an inorganic base such as K_2CO_3 (25). Groundbreaking low-temperature NMR studies by Thomas and Denmark have shown that association of **2** and **5** leads to the formation of two key pre-TM intermediates containing Pd-O-B linkages – a tetracoordinate boronate (8B4) complex and a tricoordinate boronic acid (6B3) complex (12). However, since both intermediates readily convert to product **3** through TM (via **8B4-TM**_{TS} or **6B3-TM**_{TS}, Fig. 1D) and subsequent RE upon warming to room temperature, the exact identity of the pre-TM intermediate in the catalytic reaction remains ambiguous. Additional kinetic and computational studies by Denmark

and co-workers suggest that (a) both **8B4-TM**_{TS} or **6B3-TM**_{TS} are viable TM pathways depending on the concentration of ligand in solution, and (b) the TM event occurs only after dissociation of a ligand from these pre-TM intermediates (*13*). The final step in the catalytic cycle, C-C bondforming RE, is extremely facile and thought to be accelerated by hydroxide ions in solution (*26*).

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The currently accepted catalytic cycle for the S-M reaction (*vide supra*) is based predominantly on mechanistic observations from *stoichiometric* reactions of putative intermediate complexes (12, 15-19, 25). This approach is necessitated due to the low concentration of these intermediates under typical catalytic conditions (< 2 mol% palladium catalyst). Utilization of mechanistic observations from stoichiometric studies to interpret the catalytic mechanism is valid only if the intermediate complexes form under catalytic conditions. Kinetic studies can often provide valuable mechanistic information under catalytic conditions (7, 8); but this does not include atomistic information about the TS of the elementary steps. Computational studies provide detailed insight into the TS of each step of the catalytic S-M reaction (9, 10, 21, 22, 26-29). However, experimental validation of the calculated TSs in the catalytic S-M reaction has remained elusive.

A powerful experimental probe of transition structures in carbon-carbon bond-forming reactions is the determination of ¹³C kinetic isotope effects (KIEs) at natural abundance (*30-32*). Despite this, there are limited examples of the use of ¹³C KIEs among the vast literature of mechanistic studies of cross-coupling reactions (*33-41*). Given that each step in the proposed catalytic cycle of the S-M reaction involves bonding change at a carbon atom, determination of ¹³C KIEs for the two bond-forming carbon atoms (Fig. 1, KIE_{C-X} and KIE_{C-Boron}) can provide vital insight into the transition structures of key steps under standard (catalytic) conditions. We describe herein a combined experimental and theoretical ¹³C KIE study of the S-M reaction that provides unprecedented insight into the *catalytic* mechanism of this important reaction. Importantly, we find that ¹³C KIEs are an exceptionally sensitive probe of the transition states involving bondmaking or bond-breaking between carbon and palladium – *enabling the first experimental characterization of the transition structure of the OA and TM steps under catalytic conditions*.

Probing the transition state geometry of OA in the catalytic S-M reaction: Oxidative addition of aryl bromides to palladium (0) is highly exergonic and, barring some examples (42), is generally considered an irreversible step in the catalytic cycle. Therefore, ¹³C KIEs determined for the aryl bromide in the S-M reaction should reflect the nature of the OA TS. We chose the prototypical S-M reaction of aryl bromide 1a with boronic acid 2a catalyzed by Pd(PPh₃)₄ for determination of ¹³C KIEs for the aryl bromide (1a) via quantitative NMR analysis. Under catalytic conditions (Fig. 2A, conditions # 1), we observe a significant normal ¹³C KIE on the carbon atom attached to the bromine (KIE_{C-Br} = 1.020(1)). This result is qualitatively consistent with OA being the first irreversible step in the catalytic cycle and provides an experimental benchmark to probe the exact nature of the OA transition state using density functional theory (DFT) calculations.



Fig. 2. Probing the oxidative addition step in the catalytic Suzuki-Miyaura reaction (A) ¹³C KIE experiments to probe the OA TS as a function of ligand concentration and catalyst type. (B) Calculated TSs and predicted ¹³C KIEs for OA of **1a** to mono- and diligated palladium (0) with key bond distances shown in angstroms (Å). Predicted KIEs are expressed as an average from 12 DFT methods. (C) Stoichiometric experiment to establish a benchmark ¹³C KIE value for OA to PdL₂. Experimental ¹³C KIEs are shown as an average of 12 measurements from two independent

experiments. Experimental and predicted KIEs represent the 95% confidence range for the last digit shown in parentheses.

Key bond-distances and predicted KIEs for all transition structures presented in this study 5 are displayed as an average from calculations implemented using 12 routinely employed DFT methods used to study these systems (see Supplementary Information for computational details). This ensures that the theoretical evaluation of our experimental results is not an artifact of one particular DFT method. For the quantitative interpretation of KIE_{C-Br} (Fig 2A, conditions # 1), we calculated the TS for OA of 1a to both monoligated (PdL1-OATS-Br) and diligated (PdL2-OATS-Br) palladium (0) complexes. The two transition structures PdL₁-OA_{TS-Br} and PdL₂-OA_{TS-Br} are 10 similar in terms of bond-making and bond-breaking distances (Fig. 2B). The Pd-C bond-forming distance in the mono-ligated PdL₁-OA_{TS-Br} is 2.01 Å compared to a slightly longer Pd–C bondforming distance of 2.12 Å in the more electron-rich diligated PdL₂-OA_{TS-Br}. The greater extent of C-Pd bond-formation in PdL₁-OA_{TS-Br} also corresponds to a more advanced C-Br bondbreaking distance of 2.27 Å; C-Br bond-breaking distance in PdL₂-OA_{TS-Br} is 2.20 Å. 15 Intriguingly, KIE_{C-Br} is exceptionally sensitive to these subtle differences in structure – the predicted KIE_{C-Br} for PdL₁-OA_{TS-Br} is 1.021(1) compared to a predicted KIE_{C-Br} of 1.031(1) for PdL₂-OA_{TS-Br} (Fig. 2B). Based on the excellent agreement of experimental and predicted KIEs for PdL₁-OA_{TS-Br}, our results suggest that OA in the catalytic S-M reaction (Fig. 2A, conditions # 1) occurs to a PdL₁ species. Importantly, this result quantitatively rules out PdL_2 as the active species 20 that undergoes OA in the catalytic reaction.

To further support our finding that OA occurs to PdL_1 in the *catalytic* reaction, we conducted three additional experiments. The first experiment involves determination of KIE_{C-Br} for the stoichiometric OA of 1a to Pd(PPh₃)₄ to form the well-characterized PdL₂(Ar₁)(Br) 25 complex (Fig 2C) (43). This stoichiometric experiment delivers an experimental benchmark KIE_C-Br value to unambiguously characterize PdL₂-OA_{TS-Br}. Gratifyingly, the KIE_{C-Br} for this reaction is 1.031(2) – a value consistent with the predicted KIE_{C-Br} for PdL₂-OA_{TS-Br}. Importantly, this result provides unequivocal evidence that OA to Pd(PPh₃)₄ occurs via different mechanisms under catalytic (Fig 2A, conditions # 1) versus stoichiometric (Fig. 2C) conditions. In the second 30 experiment, the catalytic S-M reaction of 1a and 2a was performed with added PPh₃ ligand (Fig. 2A, conditions # 2). Excess ligand is expected to decrease the probability of OA occurring to a PdL₁ by driving the PdL₁/PdL₂ equilibrium towards the PdL₂ species. Consistent with our hypothesis, the $\text{KIE}_{\text{C-Br}}$ for this reaction is 1.031(1) – a value consistent with the predicted $\text{KIE}_{\text{C-Br}}$ Br for PdL₂-OA_{TS-Br}. The reaction with excess ligand is significantly more sluggish, suggesting 35 that OA to PdL_1 is a faster process than OA to PdL_2 .(22) Finally, we performed the catalytic S-M reaction of 1a and 2a using the isolated complex 4a as the catalyst (Fig. 1A, conditions # 3). Observation of an experimental KIE_{C-Br} of 1.021(1) illustrates that OA occurs via PdL₁-OA_{TS-Br} even when a PdL₂ complex is explicitly used as the catalyst for the S-M reaction.

Next, we turned our attention to studying the S-M reaction of aryl iodide 1b and boronic
acid 2a. We determined KIE_{C-I} under standard catalytic conditions similar to 'conditions # 1' used for the bromo derivative (1a). The first key result is the near-unity KIE_{C-I} of ~1.003(1) (see Fig. 3, conditions # 1). This suggests there is no bonding change at this carbon in the first irreversible step of the catalytic cycle – a result qualitatively inconsistent with OA as the first irreversible step for 1b. Oxidative addition of aryl iodides to palladium (0) is generally considered an irreversible step step for 1b. The absence of a significant normal KIE_{C-I} suggests the first irreversible step

for **1b** likely *precedes* OA, i.e. binding of **1b** to palladium (0) is irreversible and has a higher barrier than the ensuing OA step.



Fig. 3. Using ¹³C KIEs to probe contribution of concurrent pathways for oxidative addition
 in the catalytic Suzuki-Miyaura reaction of iodoarenes and boronic acids. ¹³C KIE experiments to probe the OA TS as a function of ligand concentration for aryl iodides along with predicted ¹³C KIEs for all relevant TSs involved in the OA of 1b under these conditions with key bond distances shown in angstroms (Å). Experimental ¹³C KIEs are shown as an average of 12 measurements from two independent experiments. Experimental and predicted KIEs represent the 95% confidence range for the last digit, shown in parentheses.

For the quantitative interpretation of the KIE_{C-I}, we modeled the OA step of **1b** to both PdL₁ (PdL₁-OA_{TS-I}) and PdL₂ (PdL₂-OA_{TS-I}) complexes (Fig. 3A) using the theoretical methods described previously. Predicted KIE_{C-I} for these two TSs are 1.013(2) and 1.023(2) respectively, both of which are inconsistent with the experimental KIE_{C-I} value. Next, we located PdL₁-bind_{TS-I}, the transition structure for formation of the η^2 binding complex between PdL₁ and **1b**. Predicted KIE_{C-I} for PdL₁-bind_{TS-I} is 1.004, which is in excellent agreement with the experimental KIE_{C-I}. No such TS could be located for PdL₂ (IRC calculations from PdL₂-OA_{TS-I} result in separate starting materials) – consistent with prior investigations suggesting that OA of aryl halides to PdL₂ occurs without a preceding binding event (*18, 22, 23*). These results lend strong support to OA of **1b** to palladium (0) occurring via irreversible binding of **1b** to PdL₁ followed by a facile OA event.

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To further confirm that the PdL₁ pathway is operative for **1b**, we determined KIE_{C-I} in the presence of 5 mol% added PPh₃ (similar to Fig 2A, conditions # 2). Unlike in the case of aryl bromide **1a**, under conditions of excess ligand, we did not observe a KIE_{C-I} corresponding to **PdL₂**-**OA_{TS-I}**; instead, we observed an experimental KIE_{C-I} of 1.008(1) (Fig. 3, conditions # 2, x=5). A measurement greater than the predicted KIE_{C-I} for **PdL₁-bind_{TS-I}** (the KIE-determining step in the

 PdL_1 pathway) but significantly smaller than the predicted KIE_{C-I} of 1.023(2) for PdL₂-OA_{TS-I} (likely the KIE-determining step in the PdL₂ pathway). This result can be interpreted as being consistent with ~85% of the reaction proceeding via the PdL₁ pathway and ~15% via the PdL₂ pathway. Increasing ligand concentrations to 20 and 40 mol% resulted in KIE_{C-I} values of 1.016(1), and 1.022(4) (Fig. 3, conditions #2, x=20, 40), respectively – reflecting the increasing contribution of the PdL₂ pathway in the catalytic reaction.

Collectively, these results provide support for OA of both aryl bromides and iodides occurring to a 12 electron PdL₁ species under standard catalytic conditions. This OA step is preceded by the formation of an η^2 binding complex between PdL₁ and the aryl halide. The key difference between aryl bromides and aryl iodides is the relative energies of the binding versus the OA step. Experimental KIE_{C-Br} values (Fig. 2) suggest formation of the η^2 binding complex has a lower barrier than the OA step. In contrast, the weaker C-I bond results in the lowering of the OA barrier relative to that of the binding step (23). The PdL₂ pathway is operational only in the presence of excess PPh₃ ligand or under stoichiometric conditions.



15 Fig. 4. Probing the transmetalation step in the catalytic Suzuki-Miyaura reaction from boronic acid KIEs (A) ¹³C KIE experiments to probe the TM TS in the catalytic S-M reaction of 1b and 2a. (B) Calculated TSs and predicted ¹³C KIEs for possible TM TSs with key bond distances shown in angstroms (Å). Experimental ¹³C KIEs are shown as an average of 12 measurements from two independent experiments. Experimental and predicted KIEs represent the 95% confidence range for the last digit shown in parentheses.

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Probing the transition state geometry of TM in the catalytic S-M reaction: Having established the catalytic mechanism of OA, we turned our attention to the investigation of the TM step under catalytic conditions. In particular, we questioned whether we could distinguish between aryl transfer from the 8B4 (8B4-TM_{TS}) versus the 6B3 (6B3-TM_{TS}) pre-TM intermediates by determining the ¹³C KIE on the carbon atom of 2 (Fig. 1, KIE_{C-Boron}) that migrates from boron to palladium during the TM event. We speculated that KIE_{C-Boron} will be different for these two TSs due to the difference in nucleophilicity of the aryl group in the respective intermediates. We chose the prototypical S-M reaction of aryl iodide 1b and boronic acid 2a catalyzed by Pd(PPh₃)₄ for determination of KIE_{C-Boron} via NMR analysis. Observation of a significant KIE_{C-Boron} of 1.035(1) (Fig. 4A) is qualitatively consistent with TM being the first irreversible step in the catalytic cycle for 2a.

For the quantitative interpretation of this KIE, we modeled the intramolecular TM event (Fig. 4B) from both the tetracoordinate boronate complex (**8B4-TM_{TS}**) and the tricoordinate boronic acid complex (6B3-TM_{TS}). In 8B4-TM_{TS}, the forming Pd-C bond distance (*r*_{Pd-C}) is 2.18 15 Å and the breaking B-C bond (r_{B-C}) is 2.05 Å. The corresponding distances in **6B3-TM_{TS}** are 2.07 Å and 2.35 Å, respectively. Therefore, TM from the 8B4 intermediate has an earlier transition state than the 6B3 intermediate – consistent with the more nucleophilic migrating aryl group in 8B4. We also observed a clear correlation between r_{Pd-C} in the transition structures and KIE_{C-Boron} – increased proximity of the migrating carbon atom to the palladium center in 6B3-TM_{TS} (compared 20 to **8B4-TM_{TS}**) results in a lower predicted KIE _{C-Boron} (Fig. 4B). The average KIE_{C-Boron} from 12 DFT predictions for $6B3-TM_{TS}$ is 1.024(2) – a value that is in variance with the experimental KIE of 1.035(1). On the other hand, the predicted KIE_{C-Boron} for 8B4-TM_{TS} is 1.034(1), which is in excellent agreement with experimental KIE_{C-Boron}. On a side note, we also explored the intermolecular TM TS for transfer of the aryl group from an aryltrihydroxyborate to the 25 $PdL_1(Ar_1)(Br)$ OA complex (inter-TM_{TS}). While the predicted KIE_{C-Boron} for this TS is in reasonable agreement with experiment, it is 7.0 kcal/mol higher in energy than 8B4-TM_{TS} suggesting that inter-TM_{TS} is likely not the operative TM pathway (25). The quantitative match of experimental (~1.035) and predicted (~1.034) KIE_{C-Boron} for 8B4-TM_{TS} provides the first quantitative evidence for the transition structure of the TM step in the Suzuki-Miyaura reaction 30 under *catalytic* conditions. We obtained identical KIE_{C-Boron} (1.035(2)) when the reaction was performed with aryl bromides and in the presence of 5 mol% added triphenylphosphine, suggesting that the TM step was unaffected by these modifications to reaction conditions (see Table S10 in Supplementary Information for details of these experiments).

In conclusion, we have utilized a combination of experimental and theoretical ¹³C KIEs to 35 delineate the fine details of the *catalytic* mechanism of the Pd(PPh₃)₄ catalyzed Suzuki-Miyaura reaction of aryl halides and aryl boronic acids. Our studies provide the first experimentally validated transition structures for both the OA (PdL1-OATS-Br/ PdL1-bindTS-I) and TM (8B4- TM_{TS}) steps in the catalytic reaction, leading to a more detailed description of the catalytic cycle (Fig. 5). The reaction is initiated by dissociation of two phosphine ligands (L) from PdL₄ to form the 14-electron PdL₂ complex. During the first turnover, our experimental KIE_{C-Br} from the 40 stoichiometric OA reaction (Fig. 2C) suggests that PdL₂ is likely the palladium (0) species that undergoes OA to the aryl halide to deliver the palladium (II) complex 4_{L2} . Displacement of the halide ligand by hydroxide likely results in the hydroxo-palladium complex 5_{L2} , which presumably undergoes ligand dissociation and coordination to 2a to form the pre-TM 8B4 intermediate. Our 45 experimental KIE_{C-Boron} supports transmetalation occurring from 8B4 resulting in the pre-RE

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intermediate 6_{L1} . Subsequent facile RE delivers the cross-coupled biaryl 3 and generates the highly reactive, 12-electron PdL₁ complex.



Fig. 4. The catalytic mechanism of the Suzuki-Miyaura reaction as determined herein using a combination of ¹³C KIEs and DFT calculations

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After the first turnover, the mechanism of subsequent catalytic cycles depends on the nature of the aryl halide and the concentration of the phosphine ligand in solution. Experimental KIE_{C-Br} and KIE_{C-I} determined under standard catalytic conditions (1 mol% Pd(PPh₃)₄ and no added PPh₃) support OA occurring to the PdL₁ complex via a two-step process – (i) formation of an η^2 binding complex between PdL₁ and the aryl halide, and (ii) OA of PdL₁ into the C-X bond to form the palladium (II) complex **4**_{L1}. For aryl iodides, we have shown that the binding event is the first irreversible step whereas aryl bromides proceed via reversible binding followed by OA as the first irreversible step. Displacement of the halide from **4**_{L1} by hydroxide results in the mono-ligated hydroxopalladium complex **5**_{L1}, which coordinates to the aryl boronic acid to directly yield the pre-TM intermediate **8B4**. Subsequent TM and RE completes the catalytic cycle and regenerates PdL₁. We anticipate that this unprecedented insight into the S-M reaction under *catalytic* conditions will inspire similar investigations of long-standing mechanistic questions in other areas of transition-metal catalysis.

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Supplementary Materials:

Materials and Methods

Tables S1-S22

References (1-59)

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