Resolving chemical and spatial heterogeneities at complex electrochemical interfaces in Li ion batteries

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Abstract

The high specific capacities of Ni-rich transition metal oxides have garnered immense interest for improving the energy density of Li-ion batteries (LIBs). Despite the potential of these materials, Ni-rich cathodes suffer from interfacial instabilities that lead to crystallographic rearrangement of the active material surface as well as the formation of a cathode electrolyte interphase (CEI) layer on the composite during electrochemical cycling. While changes in crystallographic structure can be detected with diffraction-based methods, probing the chemistry of the disordered, heterogeneous CEI layer is challenging. In this work, we use a combination of ex situ solid-state nuclear magnetic resonance (SSNMR) spectroscopy and X-ray photoemission electron microscopy (XPEEM) to provide chemical and spatial information on the CEI deposited on LiNi0.8Mn0.1Co0.1O2 (NMC811) composite cathode films. Specifically, XPEEM elemental maps offer insight into the lateral arrangement of the electrolyte decomposition products that comprise the CEI and paramagnetic interactions (assessed with electron paramagnetic resonance (EPR) and relaxation measurements) in 13C SSNMR provide information on the radial arrangement of the CEI from the NMC811 particles outward. Using this approach, we find that LiF, Li2CO3, and carboxy-containing structures are directly appended to NMC811 active particles, whereas soluble species detected during in situ 1H and 19F solution NMR experiments (e.g., alkyl carbonates, HF, and vinyl compounds) are randomly deposited on the composite surface. We show that the combined approach of ex situ SSNMR and XPEEM, in conjunction with in situ solution NMR, allows spatially-resolved, molecular-level characterization of paramagnetic surfaces and new insights into electrolyte oxidation mechanisms in porous electrode films.

Introduction

Ni-rich cathode materials offer high energy densities (>200 mAh/g)1,2 while simultaneously reducing the amount of costly Co3 used in Li-ion batteries (LIBs). Despite these advantages, Ni-rich cathodes, such as LiNi0.8Mn0.1Co0.1O2 (abbreviated NMC811), suffer from severe thermal1 and
interfacial instabilities during usage that prevent broad application. Degradation pathways in NMC811 cathodes include particle cracking, gas evolution, surface reconstruction, transition metal dissolution, and parasitic interfacial reactions with the electrolyte—many of which are strongly coupled to one another. Changes in the crystallographic and secondary particle structure of Ni-rich NMCs are readily identified using diffraction- and imaging-based techniques. For example, X-ray tomography and electron microscopy can detect crack formation in NMC secondary particles during electrochemical cycling. Electrolyte molecules can then infiltrate these cracks and participate in side reactions that lead to poor capacity retention. Electron and X-ray diffraction measurements indicate that repeated cycling of NMC811 leads to oxygen depletion at the particle surface, forming a NiO reconstruction layer that blocks Li⁺ transport and increases the charge-transfer resistance. The associated oxygen evolution can be directly quantified using differential/on-line electrochemical mass spectrometry (DEMS/OEMS). Strongly oxidizing species generated during battery operation, such as molecular oxygen and freshly exposed Ni⁴+/Ni—O sites, can then go on to attack the electrolyte, leading to further performance degradation. The resulting electrolyte decomposition products deposit on the cathode surface, leading to a disordered, multiphase cathode electrolyte interphase (CEI) layer that alters Li transport to the active material.

Unfortunately, characterizing the composition and the arrangement of the CEI layer, and correlating these features with battery performance, is exceptionally challenging due to the complexity of composite cathode films. The cathodes used in technologically-relevant LIBs contain active particles (e.g., NMC811) embedded in a heterogeneous matrix of conductive carbon and polyvinylidene fluoride (PVDF) binder, presenting issues with both chemical and spatial resolution in CEI characterization. High chemical resolution is essential to distinguishing signals that arise from electrolyte decomposition products versus carbon additives in the composite. Both the CEI layer and the carbon additives are highly disordered and contain light elements (H, C, F) that are difficult to resolve with traditional surface science methods. Li salts and solvents from the electrolyte can get trapped in the porous cathode composite, leading to signal overlap with potential electrolyte decomposition products. In addition, spatial resolution in CEI characterization (e.g., measuring the location of electrolyte decomposition products as they deposit on the heterogenous cathode composite) is important for determining the reactivity associated with the active particle versus the carbon additives. For example, a recent study suggested that surface Ni—O sites attack cyclic ethylene carbonate (EC) solvent, appending dehydrogenated EC molecules to the NMC811 surface. Direct attachment of electrolyte decomposition products to the active particle is consistent with work from Gasteiger and coworkers that shows high surface area carbons do not evolve CO₂ (from EC ring opening) in the absence of NMC particles. While these reports strongly suggest that electrolyte oxidation occurs at the active particle surface, no direct evidence for CEI attachment at the active material was obtained. In contrast, several reports have identified electrolyte decomposition products on the carbon additives, but it is not clear if these species decompose directly on the carbon/binder or if they are deposited on the composite in some other fashion (e.g., during anode/cathode crosstalk).

Nuclear magnetic resonance (NMR) spectroscopy is a powerful, non-destructive tool to characterize electrochemical interfaces in their native operating environment. The high chemical resolution, especially for light elements (e.g., C), can be leveraged to determine the precise molecular structure of electrolyte decomposition products present in interphase layers. While solid-state NMR (SSNMR) has been used to characterize the composition of the electrode/electrolyte interphase (EEl on the anode side of the battery, substantially less work has focused on using SSNMR to characterize the CEI on the cathode. Most industrially-relevant cathode materials contain paramagnetic transition metals (e.g., Co, Mn, Ni, Fe). Unpaired electrons from these paramagnetic substrates can couple to nuclei in the CEI layer, leading to fast nuclear spin relaxation and severe line

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broadening during NMR characterization\textsuperscript{50,52,53} which presents a technical challenge for detecting discrete compounds in the CEI with NMR. Traditional signal enhancement strategies used in SSNMR, such as $^1$H→$^{13}$C cross polarization magic-angle spinning (CPMAS), do not work on paramagnetic cathodes due to fast relaxation during the CP step of the NMR experiment,\textsuperscript{54} further hindering CEI characterization efforts.

Here, we show that by manipulating the Ni oxidation state in NMC811 cathode composites, we can determine the composition and arrangement of the CEI using SSNMR. We report that direct polarization of $^{13}$C nuclei in SSNMR facilitates detection and compositional assignment of the CEI formed on NMC811 upon delithiation (charging of the battery). Electron paramagnetic resonance (EPR) spectroscopy indicates that during battery charging, oxidation of Ni$^{2+}$ to Ni$^{4+}$ dilutes the paramagnetic matrix present in NMC811, greatly decreasing the magnetic susceptibility and enabling well-resolved SSNMR of organic species in the CEI. In particular, we show that carbonates directly bound to NMC particles can be discerned from CEI species spatially distant from NMC with SSNMR because NMC-bound carbonates produce a characteristic spinning sideband pattern in the NMR spectrum. These data indicate that SSNMR provides radial information (as measured from the center of the NMC particle) on CEI arrangement, where compounds closest to the NMC811 active particles exhibit spinning sidebands. SSNMR measurements are complemented with X-ray photoemission electron microscopy (XPEEM) elemental imaging experiments, which reveal the lateral arrangement of LiF and the organic decomposition products in the CEI of the composite cathode film. While LiF and carboxy-containing Li salts and Li$_2$CO$_3$ selectively deposit on NMC811 particles, alkyl carbonates are randomly distributed on the composite (i.e., indiscriminately on the active material and the carbon additives), possibly due to their more soluble nature and/or anode/cathode crosstalk. Soluble electrolyte oxidation products (e.g., short-chain alkyl carbonates, vinyl species, and HF) from side reactions at the NMC surface are identified with \textit{ex situ} and \textit{in situ} solution NMR spectroscopy, allowing us to rationalize the distribution of organic small molecules in the CEI.

Materials and Methods

Materials.

Li metal ribbon (0.75 mm thick), 1 M LiPF$_6$ in ethylene carbonate:dimethyl carbonate (EC:DMC 1:1 v/v, LP30, battery grade), 1-methyl-2-pyrrolidinone (NMP, anhydrous, 99.5%), KBr (≥99.0%), silica gel (ultrapure, 60–200 µm) and Au powder (≥99.9%, particle size ≤10 µm) were purchased from Sigma-Aldrich. DMSO-$d_6$ (≥99.9%) was purchased from Cambridge Isotope Laboratories. Prior to use, DMSO-$d_6$ was dried over molecular sieves in an Ar-filled glovebox (O$_2$ <0.1 ppm, H$_2$O <0.5 ppm) for 48 h. Both KBr and the silica gel were dried at 60 ºC for 7 days before bringing into the glovebox for preparation of SSNMR samples. The surface oxide layer on Li metal was scraped off prior to assembly in Li/NMC half cells. All other chemicals were used as received. NMC811, NMC532, and NMC111 cathode powders were purchased from MTI Corporation. All cathode powders were stored in an Ar-filled glovebox. Carbon super P C45 and PVDF were purchased from MTI Corporation and used as received.

Electrode Fabrication

Cathode films for each NMC composition were prepared by first hand grinding a 10:1 ratio (by mass) of NMC:carbon super P. This mixture was added to a solution of PVDF binder in NMP at a 10:1 ratio (by mass) of NMC+C:PVDF to create a viscous slurry. The slurry was cast onto an Al current collector (25 µm thick, MTI Corporation) using a 150 µm doctor blade and dried at 100 ºC under vacuum overnight. The dried film was punched into 12.7 mm diameter disks to use in cell assembly.
Once dried, cathode films were stored in an Ar-filled glovebox to minimize exposure to air and moisture. Typical mass loadings of active material (e.g., NMC811) per cathode were 8–13 mg cm⁻². These electrodes were used for all electrochemical testing, and then extracted for EPR, NMR or XPEEM characterization.

Electrochemistry

Electrochemical tests were conducted using 2032 coin cells assembled in an Ar-filled glovebox with a 12.7 mm Li metal disc as the anode and NMC composite films (see Electrode Fabrication) as the cathode. Each cell used a Whatman glass microfiber (GF/A) separator and was cycled in ~0.2 mL of battery grade, LP30 electrolyte. Galvanostatic cycling experiments were performed at C-rates of C/10 or C/5 (based on theoretical capacities of 275, 278, and 279 mAh/g for NMC811, NMC532, and NMC111, respectively) between 3.0 V and various upper cutoff voltages (the maximum upper cutoff voltage used for NMC811 was 4.6 V vs Li⁺/Li⁻).

Solid-State NMR Spectroscopy

SSNMR experiments were performed at room temperature on a Bruker Avance NEO 600 MHz spectrometer equipped with a 1.6 mm HFXY MAS Phoenix NMR probehead. To prepare samples for SSNMR, Li/NMC half cells were disassembled in the glovebox at the desired state of charge (e.g., at the end of charge at 4.6 V). After cell disassembly, the cathode film was scraped from the Al current collector and dried under vacuum overnight to remove residual solvent. Electrodes were not washed during sample preparation to preserve the highly sensitive interphase layer. Each sample was mixed with KBr powder or silica gel in a roughly 1:1 ratio by mass in a mortar and pestle until homogenized to fill a 1.6 mm o.d. ZrO₂ rotor. All experiments were performed at 28 kHz MAS frequency, unless otherwise noted. ¹H and ¹³C spin echo spectra were collected using a rotor-synchronized spin echo pulse sequence (90°–τ–180°–τ–acquire, with τ set to 1 rotor period) with a recycle delay of 10 s and 0.8 s, respectively. While direct polarization of ¹³C SSNMR of diamagnetic samples often requires long acquisition times, fast T₁ relaxation of the CEI on the paramagnetic cathode let us to acquire ¹³C SSNMR more quickly by using a short recycle delay of 0.8 s (recycle days were varied from 0.8–10 s to determine the optimal value). For ¹³C SSNMR, ¹H decoupling was performed with T2PPM at ~100 kHz. ¹H T₁ measurements of the CEI on NMC811 (Table S1), show that relaxation of ¹H nuclei present on NMC811 composites is too short (on the order of ms) to perform CPMAS experiments (the contact pulse is typically applied for ms). ¹³C SSNMR spectra presented in this study were acquired over a period of about 14–18 h per sample, equivalent to ~100,000 scans per sample. Single pulse ⁷Li SSNMR spectra were collected using 90° pulse length of 2.6 μs, 5 s recycle delay, and 128 scans. All SSNMR spectra presented are normalized to the maximum peak height in the given spectrum, unless otherwise noted. ¹H NMR was externally referenced to adamantane at 1.85 ppm. ¹³C NMR was externally referenced to the high frequency peak of adamantane at 38.5 ppm. ⁷Li NMR was externally referenced to LiF at −1 ppm or Li₃CO₃ at 0 ppm. We attempted to collect ¹⁹F SSNMR from these samples, but no signals from common electrolyte decomposition products (e.g., LiF at −204 ppm) were observed, likely due to the higher gyromagnetic ratio of ¹⁹F compared to ¹³C (γ₁⁹F = 2.52 × 10⁹ rad/s/T compared to γ₁³C = 6.73 × 10⁷ rad/s/T) and the close proximity to the NMC811 surface.

EPR Spectroscopy

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X-band EPR measurements were performed on an ESR-5000 spectrometer manufactured by Freiberg Instruments for Bruker, sold by Rotunda Scientific Technologies. The spectrometer has a microwave frequency of 9.47 GHz at room temperature. All measurements employed a $B$-field sweep from 50 to 650 mT, microwave power of 0.3162 W, 0.5 mT modulation amplitude with 100 kHz frequency, and a 60 s sweep time with 1 scan. Dried cathode samples extracted from Li/NMC half cells were ground with KBr and packed into either a Bruker 1.9 mm or Varian 1.6 mm ZrO$_2$ rotor. The rotor was placed into a quartz EPR tube for measurement. Because the content of carbon was kept constant in the cathodes and is not electrochemically active (10 wt% of the composite film), spectra are normalized to the peak from conductive carbon.

**XPEEM**

Prior to electrochemical cycling, NMC811 cathodes were prepared by pressing composite cathode films at 2 tons in a hydraulic press (Specac) to flatten the sample for XPEEM. After cycling, cathode films were removed from the batteries in the glovebox and dipped into 1 mL DMC twice for 3 s each to remove residual salts and minimize surface layer outgassing in the vacuum chamber. Cathode films were then dried under vacuum overnight to removal residual solvent. Samples were double sealed in airtight pouches under Ar and transferred to the XPEEM facility at Brookhaven National Laboratory (BNL) for measurement. Samples were mounted on XPEEM holders in an Ar-filled glovebox and transferred to the XPEEM vacuum chamber using an air-free suitcase.

Pixel-wise X-ray absorption spectra (XAS) were obtained by recording a series of XPEEM images at each energy in a given absorption edge range at sequential increments of 0.2 eV. Ni L-edge spectra were referenced to the low energy L$_3$ edge peak, which has a reported value of 853.5 eV. Other edges were translated accordingly, except the C K-edge which referenced the lowest energy peak, assigned to conductive carbon, at 285.4 eV. Local XAS spectra can be extracted by plotting the intensity of a given spatial region (set of pixels) at each energy. For example, for Ni L-edge, 152 XPEEM images were acquired from 850 eV–880 eV. XPEEM elemental contrast images were obtained by subtracting the pre-edge image from the desired X-ray absorption energy. The C K-edge image at 292.8 eV was extracted to display the spatial distribution of conductive carbon. The pre-edge image at 280.0 eV was then subtracted, yielding the final elemental map of conductive carbon. The same procedure was conducted for other elements presented in these elemental maps: Ni L-edge at 853.5 eV for Ni$^{2+}$/3$^+$ and 855.9 eV for Ni$^{4+}$ (850.0 eV pre-edge subtracted), and F K-edge at 691.7 eV for PVDF (685.0 eV pre-edge subtracted).

Acquisition of C K-edge spectra in XPEEM is challenging due to strong absorption at this edge from carbon impurities in the X-ray optics as well as the Au mesh typically used to normalize the spectra of absorption edges of other elements. To make use of these spectra, we adopted the approach reported by Kazzi and coworkers where Au microparticles are deposited on a small portion of the surface of a pristine NMC811 film for internal referencing prior to pressing the sample at 2 tons. Local C K-edge spectrum at the Au particle was extracted and used to normalize all other local C K-edge spectra from the cathode films to yield the true C K-edge spectrum. Each spectrum presented was normalized to a maximum intensity of one unless otherwise noted.

**Ex and In Situ Solution NMR**

For **ex situ** solution NMR measurements, Li/NMC811 half coin cells were disassembled in the glovebox after cycling. The glass fiber separator was soaked in 1 mL DMSO-$d_6$ for 5 min and compressed using clean tweezers to fully extract electrolyte from the separator. The resulting solution was pipetted into a 5 mm air-tight J-Young NMR tube for analysis. All solution NMR experiments were performed on a Bruker Avance III 400 spectrometer equipped with a triple resonance broadband
observe (TBO) probehead. One-dimensional (1D) $^1$H (30° single pulse, 1 s recycle delay, 16 scans, internally referenced to residual DMSO at 2.5 ppm) and $^{19}$F (30° single pulse, 2 s recycle delay, 32 scans, internally referenced to PF$_6^-$ at −74.5 ppm) were recorded at room temperature. Three replicate samples were measured.

For in situ solution NMR measurements, we designed a Li/NMC811 tube cell to collect $^1$H and $^{19}$F NMR during electrochemical cycling. The Li/NMC811 cell was galvanostatically cycled for 10 cycles and placed in the NMR magnet for measurement. This method eliminates the need to disassemble the working cell for NMR and expose electrolyte decomposition products to DMSO-$d_6$ NMR solvent for locking. The in situ cell was assembled as a flood cell in a chemically resistant FEP NMR tube liner with o.d. = 3.65 mm and i.d. = 3.15 mm that was purchased from Wilmad Glassware. A NMC811/C45/PVDF/NMP cathode slurry was cast on an Al substrate and dried overnight in vacuo.

Once dried, a 2.5 mm wide × 22 cm long section was cut out of the film such that only the bottom ~2 cm was covered with the NMC811 composite. This method allows for the Al substrate to serve as both a current collector for the NMC and lead for electrochemical connection, similar to the approach reported by Nowak and coworkers.$^{57}$ Active material loading was estimated such that the first cycle was consistent with C/10 cycling (~1–2 mg). For the anode, a 2 mm wide × 2 cm long piece of Li was hammered onto Cu mesh. The Cu mesh was connected to a 22 cm long pure Cu wire via a heat-shrink, chemically resistant electrical insulation tubing. The heat-shrink tubing prevented cell shorting along the length of the NMR tube. The Li metal was wrapped in a single layer of Celgard 2325 separator.

Prior to electrode insertion, 300 μL of LP30 was pipetted into the tube liner. Electrodes were inserted into the tube such that the ends are 25 mm from the bottom of the FEP tube. It is important that the electrodes remain outside the receiver coil (coil length 23.85 mm) during NMR measurement to be able to obtain a high quality shim for satisfactory resolution in $^1$H NMR. The cell was cycled for 10 full cycles at C/10 between 3.0–4.6 V. After cycling, the tube cell was disconnected, submerged into a 5 mm glass NMR tube containing 0.1 mL DMSO-$d_6$ and immediately brought to the spectrometer for measurement of the electrolyte contents. NMR acquisition parameters are the same as described above for ex situ solution NMR, except 64 scans were used for $^{19}$F acquisition. The high sensitivity of $^1$H and $^{19}$F NMR allowed us to collect spectra in less than 3 min. Low concentration $^1$H species with chemical shifts between 2.5 ppm–5.5 ppm cannot be discerned with this method since this region is dominated by the electrolyte solvent signals (the bulk component of the sample, Figure S15).

Background/Theory

In paramagnetic systems, unpaired electrons can interact with nearby nuclei, leading to fast nuclear relaxation behavior. These interactions scale with the magnetic moment of the paramagnet, the electron-nuclear internuclear distance ($r^3$), and gyromagnetic ratio ($\gamma$) of the observe nucleus.$^{51,54}$ As a result, nuclear coupling with electronic spins is strongest when in close proximity to unpaired electrons and increases for high $\gamma$ nuclei (e.g., $^1$H, $^{19}$F) compared to low $\gamma$ nuclei (e.g., $^{13}$C). In SSNMR, two nuclear relaxation processes influence the resulting SSNMR signal acquisition: spin-lattice ($T_1$) and spin-spin ($T_2$) relaxation times, both of which typically decrease when near unpaired electrons. $T_1$ relaxation describes the time it takes for the spin system to reach thermal equilibrium in the external magnetic field, $B_0$. Thus, the $T_1$ time determines how long one must wait between individual NMR measurements during signal averaging. In paramagnetic systems, $T_1$ is typically very fast (on the timescale of ms), allowing fast interscan repetition (i.e., recycle delays) that lead to short NMR experiment times. $T_2$ relaxation is inversely proportional to the full width at half maximum (fwhm) of the resulting NMR signal. $T_2$ values are also very short in paramagnetic systems (on the order of μs),$^{58}$ leading to broad NMR lineshapes compared to diamagnetic compounds. In magic-angle spinning (MAS) SSNMR experiments, these broad lineshapes are broken up into a spinning sideband pattern (often denoted with asterisks) that envelopes the peak breadth observed under static conditions. Each

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spinning sideband is separated by the MAS frequency. The isotropic resonance is invariant of the spinning frequency and is used in NMR to assign chemical environments, akin to the isotropic resonance observed in solution NMR. Consequently, as the MAS frequency changes, the isotropic resonance remains constant, while the separation between individual sidebands changes.

Prior work from our group on Li$_2$RuO$_3$ model cathodes indicates that quenching paramagnetism in the active material facilitates SSNMR characterization of the CEI layer generated during electrochemical cycling of layered transition metal oxide cathodes. In this work, we hypothesize that oxidation of paramagnetic Ni$^{2+/3+}$ to diamagnetic Ni$^{4+}$ in NMC811 composite films will similarly reduce the magnetic susceptibility and the resulting electron-nuclear coupling between the substrate (NMC) and the CEI layer, allowing for acquisition of high resolution SSNMR of the CEI. To test this hypothesis, we can (i) directly monitor changes in paramagnetism of the composite and (ii) measure changes in nuclear spin relaxation in the CEI as a function Ni oxidation state. In the first instance, changes in the magnetic properties of NMC811 during lithiation/delithiation are measured with EPR spectroscopy, which is sensitive to the presence of paramagnetic centers and their exchange interactions. The area under the EPR curve represents the number of unpaired electrons in the sample, which is proportional to the magnetic susceptibility of the sample. In the second case, weakened paramagnetism of the composite cathode substrate upon delithiation is expected to increase the $T_1$ and $T_2$ relaxation times of the appended CEI layer, where longer relaxation times are expected to mitigate paramagnetic line broadening and the associated poor chemical resolution in SSNMR. In contrast, lithiation (discharge) of NMC811 is expected to increase paramagnetic interactions between the substrate and the CEI, leading to signal dephasing in SSNMR. Accordingly, both EPR spectroscopy of the bulk NMC as well as $T_1$ measurements of the CEI as a function of NMC811 charge state are presented in the following section.

**Results**

To provide context for the SSNMR measurements of the CEI on NMC811, we start by investigating the magnetic properties of composite cathode films at different states-of-charge (SoC) and different number of cycles. **Figure 1** shows the EPR spectra of NMC811:C:PVDF (80:10:10) composites obtained after discharging (3.0 V vs Li/Li$^+$, red) and charging (4.6 V vs Li/Li$^+$, black) in Li half cells. Upon discharge to 3.0 V at the end of the first cycle, we observe a narrow resonance that is characteristic of unpaired electrons in the conductive carbon as well as a broad resonance from the network of paramagnetic transition metal cations in NMC811. We expect that the formal oxidation states in NMC811 are LiNi$_{2-0.1}$Ni$_{1+0.7}$Mn$_{4+0.1}$Co$_{3+2}$O$_{2}$, where the paramagnetic centers in the material include Ni$^{3+}$ ($S = \frac{1}{2}$), Ni$^{2+}$ ($S = 1$), and Mn$^{4+}$ ($S = 3/2$). Co$^{3+}$ ($S = 0$) is diamagnetic and thus, EPR inactive. Therefore, we assign the broad signal observed at 3.0 V to Mn$^{4+}$--Ni$^{2+}$ exchange coupling interactions.
in the active material,\textsuperscript{61,64-68} which is supported by control experiments comparing NMC811 with NMC532, NMC111, and LNMO (LiNi_{0.5}Mn_{1.5}O_{4}) (Figures S1-S2).

Upon charging to 4.6 V in the first cycle, the EPR signal from NMC811 is quenched, while the signal from conductive carbon is still present (Figure 1). The persistence of the EPR signal from conductive carbon is expected because the carbon additives are not electrochemically active. Decreased EPR signal from the active material in the cathode composite is consistent with the oxidation of Ni^{2+}/Ni^{3+} (paramagnetic) to Ni^{4+} (S = 0, diamagnetic) that occurs during delithiation.\textsuperscript{22,65,66} Since the Mn^{4+} (S = 3/2) and Co^{3+} (S = 0) are not redox active,\textsuperscript{31,69,70} the EPR signal from NMC811 disappears as the bulk oxidation state of the transition metal centers are oxidized to form diamagnetic Ni^{4+}. Paramagnetic Mn^{4+} cannot couple with diamagnetic Ni^{4+}, decreasing the observable EPR signal.\textsuperscript{61} The gradual decay in EPR upon charging NMC811 composites is shown in Figure S3, where the EPR signal disappears at voltages \( \geq 4.2 \) V, indicating that the net magnetic susceptibility of the bulk sample decreases with increasing SoC.

A very low intensity, broad EPR signal, reminiscent of the active material at discharge, appears after 50 galvanostatic cycles in the charged state (Figure S4) that likely corresponds to surface reconstruction after repeated lithiation/delithiation. Terminal Ni^{4+} sites on the surface of NMC811 are highly unstable, and are reduced to form a Ni^{2+}-O rocksalt layer that has been widely reported in the literature\textsuperscript{12,13,31,55,71-73} and can be visualized in XPEEM maps (see below, Figure 3). The Ni^{2+} on the active particle surface can undergo exchange coupling with neighboring Mn^{4+} sites, leading to the low intensity, exchange-narrowed EPR spectrum. The NiO layer represents a small proportion of the overall sample and based on the EPR intensities, we conclude that even after 50 cycles, the magnetic susceptibility of the substrate is substantially smaller than that observed upon discharge. Strong paramagnetism at discharge and weaker paramagnetism at charge is also supported by $^1$H $T_1$ relaxation measurements of the corresponding CEI layer. For example, $^1$H $T_1$ values for the CEI on NMC811 composites upon charging to 4.6 V are two orders of magnitude greater than that observed upon discharge (Table S1, Figure S5), and thus, charged Ni-rich cathode samples are expected to produce better-resolved NMR spectra for CEI detection. However, the $T_1$ of NMC at charge is still relatively
fast ($10^{-1}$ s) compared to diamagnetic materials due to weak paramagnetism associated with residual (but dilute) paramagnetic centers such as Mn$^{4+}$, Ni$^{3+/2+}$ from incomplete delithiation and surface NiO.

With an understanding of the paramagnetic properties of NMC811 composites in hand, we next use $^{13}$C SSNMR to characterize the organic CEI that forms after 50 galvanostatic cycles when cells are disassembled at 4.6 V, where the paramagnetism is the lowest (Figure 2a). Representative voltage profiles collected during the 1$^{st}$ and 50$^{th}$ cycle of Li/NMC811 half cells is shown in Figure S6. As expected from our EPR measurements, the weak paramagnetism associated with NMC811 at charge enables high resolution $^{13}$C SSNMR, where a variety of electrolyte decomposition products are observed. The resonance at ~171 ppm is assigned to Li$_2$CO$_3$ and the broad resonance centered at ~185 ppm is characteristic of structures containing carboxy groups (RCO$_2$Li/H). Carboxy-containing structures can be generated from electrolyte oxidation reactions at the cathode that also produce CO$_2$ and water.\textsuperscript{19} \textit{In situ} $^1$H solution NMR shows the presence of Li formate at 8.08 ppm, which is likely generated from reduction of CO$_2$ gas at the anode\textsuperscript{19} side of the battery (Figure 2b). The large $^{13}$C resonance at ~69 ppm is assigned to poly(ethylene oxide) (PEO)-type moieties that are present in the CEI layer and/or residual ethylene carbonate (EC).\textsuperscript{44} The $^{13}$C resonance at ~159 ppm is assigned to the carbonyl carbon (RCO$_2$R') in alkyl carbonates and/or residual EC/DMC. We also observe a small $^{13}$C resonance at ~58 ppm that corresponds to DMDOH (dimethyl 2,5-dioxahexanedioate), a soluble decomposition product of DMC solvent.\textsuperscript{57,74,75} The assignment of DMDOH in $^{13}$C SSNMR is supported by the observation of DMDOH in \textit{ex situ} $^1$H and $^{13}$C solution NMR (Figure S7). The small resonance at ~32 ppm is assigned to the aliphatic (RCH=CHR') environment in short-chain decomposition products such as LBDC (lithium butylene dicarbonate) and/or Li succinate.\textsuperscript{43,44} PVDF binder in the composite produces $^{13}$C resonances at 45 ppm and 122 ppm, corresponding to the -CH$_2$CF$_2$- and -CH$_2$CF$_2$- groups in PVDF, respectively. However, careful inspection of the relative

**Figure 2.** (a) Direct polarization $^{13}$C MAS SSNMR of a NMC811 cathode film that underwent 50 galvanostatic cycles at C/10 and was dissembled at 4.6 V vs Li/Li$^+$. MAS frequency = 28 kHz. Asterisks denote spinning sidebands. (b) \textit{In situ} solution $^1$H NMR from a NMC811/Li battery in an NMR tube cell after 10 galvanostatic cycles at C/10 between 3.0–4.6 V.
ratios of \(\text{CH}_2\text{CF}_2:\text{CH}_2\text{CF}_2\) in pristine PVDF (Figure S8) compared to our cathode film indicates that the resonance at 122 ppm is larger than expected. Based on this increased \(^{13}\text{C}\) signal intensity at 122 ppm, we believe that this resonance does not solely arise from PVDF, and is likely due to the presence of unsaturated/vinyl compounds (RC=CR') that also appear at this chemical shift \(^{42,76}\) and are observed during in situ \(^1\text{H}\) solution NMR measurements (Figure 2b). The in situ \(^1\text{H}\) solution NMR shows the presence of vinylene carbonate (\(^1\text{H}\) shift at 7.83 ppm (s)) \(^{19,41}\) and Li formate (\(^1\text{H}\) shift at 8.08 ppm (s)). \(^{19}\) The singlet at 6.25 ppm is assigned to a symmetric ROC–HC=CH–COR group \(^{77,78}\) and we also observe two unique asymmetric RHC=CHR' groups \(^{41}\) (both \(^1\text{H}\) shifts appear as doublets with one shift centered at 7.53 ppm and the other at 7.96 ppm with \(J_{\text{H-H}} = 8.15\) Hz; we suspect that the difference in chemical shift arises from different R substituents). These vinyl species are not detected with ex situ solution NMR, suggesting that they are highly reactive. The formation of unsaturated compounds in the electrolyte is thus consistent with the detection of a C=C resonance at approximately 122 ppm in \(^{13}\text{C}\) SSNMR (Figure 2a).

Analysis of the full spectral breadth of Figure 2a shows that only certain \(^{13}\text{C}\) resonances from the CEI on NMC811 composites exhibit spinning sidebands that span a wide spectral range (e.g., Li\(_2\)CO\(_3\) (shaded blue) and carboxy-containing structures (shaded green) in Figure 2). Since paramagnetic coupling to NMR-observe nuclei is distance-dependent (\(r^3\) relationship), we expect that compounds closest to the paramagnetic NMC particles will exhibit more line broadening (and thus a broad sideband pattern) than those deposited further away. \(^{51,52,54,79}\) However, the exact location of these compounds in the composite is not immediately apparent because EPR (Figure 1) indicates that both NMC811 and conductive carbon contain unpaired electrons that could induce these sideband patterns. To determine if NMC811, conductive carbon, or both components contribute to the observed SSNMR sidebands in Figure 2a, we performed a series of control experiments where bulk Li\(_2\)CO\(_3\) was attached (via mechanical milling) to NMC811 or conductive carbon (at a 1:2 ratio of Li\(_2\)CO\(_3\):NMC811 or conductive carbon). The \(^7\text{Li}\) SSNMR spectrum of pristine Li\(_2\)CO\(_3\) shows a relatively narrow sideband pattern, with a fwhm of 1030 Hz (Figure S9). After ball-milling with conductive carbon, the fwhm of the \(^7\text{Li}\) SSNMR resonance for Li\(_2\)CO\(_3\) increases slightly to 1175 Hz, likely due to a minor increase in paramagnetic anisotropy from interaction with delocalized electrons on the conductive carbon. The largest change in line broadening is observed after ball milling Li\(_2\)CO\(_3\) with NMC811, where we see a \(^7\text{Li}\) fwhm of approximately 1580 Hz. Likewise, the corresponding \(^{13}\text{C}\) SSNMR spectrum of Li\(_2\)CO\(_3\):NMC811 (Figure S10) shows a sideband pattern that spans from +300 to −200 ppm, similar to that observed in \(^{13}\text{C}\) SSNMR of the CEI on NMC811 (Figure 2a). No sidebands are observed for Li\(_2\)CO\(_3\):carbon and we had to use a longer recycle delay (10 s vs 0.8 s) to detect \(^{13}\text{C}\) SSNMR of Li\(_2\)CO\(_3\):carbon, which is consistent with slower \(T_1\) relaxation times for Li\(_2\)CO\(_3\) attached to carbon rather than NMC811 (i.e., less paramagnetic relaxation enhancement). \(^{54}\) The similarities between the spinning sideband patterns and the nuclear relaxation rates for the Li\(_2\)CO\(_3\):NMC811 control sample and the CEI on NMC composite films strongly suggest that the spinning sideband patterns observed for Li\(_2\)CO\(_3\) and carboxy moieties in Figure 2a arise from attachment to NMC811 particles, \(^{52}\) and not conductive carbon.

Conversely, the lack of a spinning sideband pattern for certain CEI components in \(^{13}\text{C}\) SSNMR (vinyl compounds, PEO/residual solvent, DMDOH) indicates that these compounds are further from the NMC811 surface. Yet, these species could be located (1) in the outer CEI layer that lies directly on NMC811 particles or (2) on the surface of the carbon additives in the composite where they do not

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interact with unpaired electrons from the paramagnetic NMC particles. To understand the lateral distribution of the CEI on NMC811 films, we used XPEEM elemental imaging (Figure 3). In XPEEM, XAS spectra are recorded as a function of position on the composite surface, allowing us to map the location of active particles (through Ni L-edge spectroscopy), conductive carbon (C K-edge spectroscopy), PVDF binder (F K-edge spectroscopy), and CEI components (from unique binding energies in C and F K-edge spectroscopies). Figure 3 shows elemental XPEEM images depicting the lateral arrangement of NMC811 particles (magenta/dark blue color) show the distribution of NMC particles in the composite. Cyan indicates regions of conductive carbon. (b) Elemental XPEEM images from the cycled sample from (a) showing the LiF elemental map (green) extracted from the XPEEM image at 702 eV. The top image shows the Ni\(^{2+}\) map (magenta) overlaid with the LiF map (green). The bottom image shows the regions corresponding to conductive carbon (cyan) and LiF (green). The elemental maps in (b) indicate that LiF is selectively deposited on the surface of NMC811 particles. (c) F K-edge local XAS spectra extracted from the cycled composite shown in (a) with regions corresponding to NMC811 particles and conductive carbon highlighted with green and orange boxes. Local C K-edge spectra for these regions and the red/yellow boxes in the pristine sample in (a) are shown in Figure S13.

Figure 3. (a) Elemental XPEEM images (area = 30×30 \(\mu\text{m}^2\)) of pristine NMC811 (top) and NMC811 composites after 50 galvanostatic cycles (bottom) at C/5 and disassembled at 4.6 V vs Li/Li\(^{+}\). Ni elemental maps (dark blue/magenta color) show the distribution of NMC particles in the composite. Cyan indicates regions of conductive carbon. (b) Elemental XPEEM images from the cycled sample from (a) showing the LiF elemental map (green) extracted from the XPEEM image at 702 eV. The top image shows the Ni\(^{2+}\) map (magenta) overlaid with the LiF map (green). The bottom image shows the regions corresponding to conductive carbon (cyan) and LiF (green). The elemental maps in (b) indicate that LiF is selectively deposited on the surface of NMC811 particles. (c) F K-edge local XAS spectra extracted from the cycled composite shown in (a) with regions corresponding to NMC811 particles and conductive carbon highlighted with green and orange boxes. Local C K-edge spectra for these regions and the red/yellow boxes in the pristine sample in (a) are shown in Figure S13.
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indicating that this compound is produced from trace water in the as-purchased, battery grade electrolyte.\textsuperscript{18,19,83} On the other hand, the presence of HF could only be observed with \textit{in situ} metrology, which is consistent with the reactive nature of HF. No HF is detected in the pristine electrolyte, indicating that HF is primarily generated from hydrolysis of LiPF\textsubscript{6} upon electrolyte oxidation rather than from trace water in the battery grade electrolyte. Oxidation of EC and DMC solvents is proposed to produce carboxylic acids, CO\textsubscript{2}, and H\textsubscript{2}O.\textsuperscript{9,19} This H\textsubscript{2}O then serves as the source of LiPF\textsubscript{6} hydrolysis that forms HF. Once HF is present in the electrolyte, it reacts with Li\textsubscript{2}CO\textsubscript{3} on the surface of NMC811 to form LiF that remains directly attached to the active material during battery operation.

Local C K-edge XAS (Figure S14) spectra from the XPEEM images on the cycled NMC sample indicate that organic carbonate structures are deposited on both NMC as well as the carbon additives. The C K-edge spectra are dominated by peaks from conductive carbon at 285.4 eV and 291.9 eV that correspond to \pi and \sigma transitions, respectively.\textsuperscript{37} Regions corresponding to \pi and \sigma transitions are also observed on the NMC particles, suggesting that conductive carbon is also present in these regions and/or these XAS features arise from other sp\textsuperscript{2} hybridized carbon molecules in the CEI layer (e.g., vinyl species). Local C K-edge spectra extracted from pristine NMC811 particles also exhibit a shoulder at 290.4 eV that is assigned to Li\textsubscript{2}CO\textsubscript{3}.\textsuperscript{55,56} This assignment is consistent with the spinning sideband pattern observed for Li\textsubscript{2}CO\textsubscript{3} in \textsuperscript{13}C SSNMR as well as the location of LiF on NMC811 (that we believe is generated from Li\textsubscript{2}CO\textsubscript{3}). Upon electrochemical cycling, we observe a more clearly defined peak at 290.8 eV on both NMC and the conductive carbon that may correspond to the EC/DMC-derived species observed in SSNMR (e.g., alkyl carbonates, DMDOHD).\textsuperscript{56,84} Likewise, the broad absorption at 287.5 eV is assigned aliphatic carbon environments from these short-chain alkyl carbonates as well as the PVDF binder.\textsuperscript{56,85,86} Taken together, the C K-edge results indicate that alkyl carbonates deposit on both the carbon and the active material, and are consistent with the soluble nature of these species in the outer CEI,\textsuperscript{46,87} such as DMDOHD, which is also detected in solution NMR experiments (Figure S7). We suspect that these organic molecules are distributed randomly across the cathode film as a result of their solubility, indicating that they desorb from the interphase and fail to protect the cathode during electrochemical cycling.\textsuperscript{46,87}

\textbf{Figure 4.} \textit{In situ} solution \textsuperscript{19}F NMR from a NMC811/Li battery in a NMR tube cell after 10 galvanostatic cycles at C/10 from 3.0–4.6 V.
Discussion

Figure 5 summarizes the chemical insight gained from SSNMR, in situ solution NMR, and XPEEM on the radial and lateral arrangement of the CEI on NMC811 composite films. Coupling to residual paramagnetic centers in NMC811 (e.g., Mn$^{4+}$ and surface NiO) after electrochemical cycling leads to spinning sideband patterns for Li$_2$CO$_3$ and carboxy-containing compounds in the CEI that are attached to the surface of active particles. Based on both XPEEM and SSNMR, we believe that Li$_2$CO$_3$ is present as a native CEI component on pristine NMC811 (leaving from synthesis or from exposure to moisture$^{85}$) that can be altered during electrolyte oxidation. Electrolyte solvent oxidation at the cathode that produces particle-bound carboxy-containing CEI compounds, water, and CO$_2$. The water produced during these reactions hydrolyzes LiPF$_6$ salt in the electrolyte, forming HF that can attack Li$_2$CO$_3$ and leads to the NMC811-bound LiF detected in XPEEM. Note that some Li$_2$CO$_3$ is likely still bound to the NMC811 surface after electrochemical cycling, as indicated by $^{13}$C SSNMR measurements, but cannot be resolved due to spectral overlap with other CEI components in C K-edge spectra. The presence of high quantities of organic carbonates in the

CEI on Ni-rich cathodes is consistent with findings from Manthiram and coworkers who used a combination of X-ray photoelectron spectroscopy (XPS) and time-of-flight secondary ion mass spectrometry (TOF-SIMS) to show that oxygen evolution at high voltages produces numerous carbonate-containing structures.$^{89}$ Electrolyte oxidation at the cathode also produces highly soluble CEI components such as unsaturated carbons (decomposition products that possibly arise from solvent dehydrogenation$^4$) and short-chain alkyl carbonates (e.g., DMDOHD) that fail to passivate the active material. Prior work from Shao-Horn and coworkers suggests that the formation of these vinyl species is correlated with higher Ni content active materials and leads to performance decline during usage.$^{4,33}$ Strategies that mitigate interaction between the Ni-rich surface and the liquid electrolyte (e.g., coatings) are expected to prevent the formation of these soluble decomposition products and improve LIB performance.

Conclusions

We demonstrate that SSNMR can be used to analyze the radial arrangement of the CEI from active material particles in Ni-rich composite cathode films. EPR measurements indicate that high resolution SSNMR is enabled by dilution of the paramagnetic matrix upon oxidation of Ni$^{2+/3+}$ to Ni$^{4+}$ and can likely be extended to other Ni-rich transition metal oxides that are of technological interest (e.g., LiNi$_{1-x}$Co$_x$Al$_2$O$_2$). XPEEM imaging complements SSNMR measurements by confirming the

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lateral distribution of electrolyte decomposition products on the composite surface, where reaction of residual Li$_2$CO$_3$ generates an active material-bound CEI layer consisting of insoluble LiF. Soluble organic compounds in the CEI can detach from the particle surface and randomly deposit on the outer CEI of the active material as well as the carbon additives. The detection of many soluble electrolyte oxidation products (e.g., vinyl groups and HF) was accomplished via in situ NMR spectroscopy and provided key evidence of reactions between the active material, CO$_2$, and water that lead to the resulting CEI compositional arrangement. The molecular-level information provided by SSNMR and XPEEM can be leveraged to provide mechanistic insight into how specific cycling protocols (e.g., altering upper cutoff voltage) and coating strategies may alleviate particular modes of battery degradation. More broadly, we expect that these techniques can be extended to monitor chemical reactivity at complex electrochemical interfaces used in a wide range of applications for energy storage, conversion, and harvesting.

**Supporting Information**

Electrochemical cycling data, EPR spectra, solution NMR spectra, SSNMR spectra, $^1$H $T_1$ relaxometry results, XPEEM results and associated XAS spectra can be found in the supplementary information free of charge. The NMR spectral datasets presented in this study can be accessed freely on the Open Science Framework (OSF) online repository at DOI: 10.17605/OSF.IO/VXUZC

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