Resolving chemical and spatial heterogeneities at complex electrochemical interfaces in Li ion batteries

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12 Abstract

13 The high specific capacities of Ni-rich transition metal oxides have garnered immense interest 14 for improving the energy density of Li-ion batteries (LIBs). Despite the potential of these materials, 15 Ni-rich cathodes suffer from interfacial instabilities that lead to crystallographic rearrangement of the active material surface as well as the formation of a cathode electrolyte interphase (CEI) layer on the 16 17 composite during electrochemical cycling. While changes in crystallographic structure can be detected 18 with diffraction-based methods, probing the chemistry of the disordered, heterogeneous CEI layer is 19 challenging. In this work, we use a combination of ex situ solid-state nuclear magnetic resonance 20 (SSNMR) spectroscopy and X-ray photoemission electron microscopy (XPEEM) to provide chemical and spatial information on the CEI deposited on LiNi_{0.8}Mn_{0.1}Co_{0.1}O₂ (NMC811) composite cathode 21 22 films. Specifically, XPEEM elemental maps offer insight into the lateral arrangement of the electrolyte 23 decomposition products that comprise the CEI and paramagnetic interactions (assessed with electron paramagnetic resonance (EPR) and relaxation measurements) in ¹³C SSNMR provide information on 24 the radial arrangement of the CEI from the NMC811 particles outward. Using this approach, we find 25 that LiF, Li₂CO₃, and carboxy-containing structures are directly appended to NMC811 active particles, 26 whereas soluble species detected during in situ ¹H and ¹⁹F solution NMR experiments (e.g., alkyl 27 carbonates, HF, and vinyl compounds) are randomly deposited on the composite surface. We show 28 that the combined approach of ex situ SSNMR and XPEEM, in conjunction with in situ solution NMR, 29 30 allows spatially-resolved, molecular-level characterization of paramagnetic surfaces and new insights

31 into electrolyte oxidation mechanisms in porous electrode films.

32 Introduction

Ni-rich cathode materials offer high energy densities (>200 mAh/g)^{1,2} while simultaneously reducing the amount of costly Co^3 used in Li-ion batteries (LIBs). Despite these advantages, Ni-rich cathodes, such as LiNi_{0.8}Mn_{0.1}Co_{0.1}O₂ (abbreviated NMC811), suffer from severe thermal¹ and

interfacial^{2,4,5} instabilities during usage that prevent broad application. Degradation pathways in 36 NMC811 cathodes include particle cracking, 5-8 gas evolution, 9-11 surface reconstruction, 12-14 transition 37 metal dissolution,^{5,15–17} and parasitic interfacial reactions with the electrolyte^{4,18,19}—many of which are 38 39 strongly coupled to one another. Changes in the crystallographic and secondary particle structure of Ni-rich NMCs are readily identified using diffraction- and imaging-based techniques.^{12,13,20–24} For 40 example, X-ray tomography and electron microscopy can detect crack formation in NMC secondary 41 particles during electrochemical cycling.^{6,25} Electrolyte molecules can then infiltrate these cracks and 42 participate in side reactions that lead to poor capacity retention.⁵ Electron and X-ray diffraction 43 measurements indicate that repeated cycling of NMC811 leads to oxygen depletion at the particle 44 surface, forming a NiO reconstruction layer that blocks Li⁺ transport¹² and increases the charge-transfer 45 resistance.²⁶ The associated oxygen evolution can be directly quantified using differential/on-line 46 electrochemical mass spectrometry (DEMS/OEMS).^{27–29} Strongly oxidizing species generated during 47 battery operation, such as molecular oxygen and freshly exposed Ni⁴⁺/Ni–O sites,^{30–32,4,33} can then go 48 on to attack the electrolyte, leading to further performance degradation. The resulting electrolyte 49 decomposition products deposit on the cathode surface, leading to a disordered, multiphase cathode 50 electrolyte interphase (CEI)³⁴ layer that alters Li transport to the active material. 51

52 Unfortunately, characterizing the composition and the arrangement of the CEI layer, and 53 correlating these features with battery performance, is exceptionally challenging due to the complexity 54 of composite cathode films. The cathodes used in technologically-relevant LIBs contain active particles 55 (e.g., NMC811) embedded in a heterogeneous matrix of conductive carbon and polyvinylidene fluoride 56 (PVDF) binder, presenting issues with both chemical and spatial resolution in CEI characterization.^{35–} 37 57 High chemical resolution is essential to distinguishing signals that arise from electrolyte 58 decomposition products versus carbon additives in the composite. Both the CEI layer and the carbon 59 additives are highly disordered and contain light elements (H, C, F) that are difficult to resolve with 60 traditional surface science methods. Li salts and solvents from the electrolyte can get trapped in the porous cathode composite, leading to signal overlap with potential electrolyte decomposition products. 61 62 In addition, spatial resolution in CEI characterization (e.g., measuring the location of electrolyte 63 decomposition products as they deposit on the heterogenous cathode composite) is important for 64 determining the reactivity associated with the active particle versus the carbon additives. For example, a recent study suggested that surface Ni-O sites attack cyclic ethylene carbonate (EC) solvent, 65 appending dehydrogenated EC molecules to the NMC811 surface.^{18,33} Direct attachment of electrolyte 66 decomposition products to the active particle is consistent with work from Gasteiger and coworkers 67 68 that shows high surface area carbons do not evolve CO₂ (from EC ring opening) in the absence of NMC particles.³⁶ While these reports strongly suggest that electrolyte oxidation occurs at the active particle 69 70 surface, no direct evidence for CEI attachment at the active material was obtained. In contrast, several reports have identified electrolyte decomposition products on the carbon additives, ^{35,38,39} but it is not 71 72 clear if these species decompose directly on the carbon/binder or if they are deposited on the composite 73 in some other fashion (e.g., during anode/cathode crosstalk).

74 Nuclear magnetic resonance (NMR) spectroscopy is a powerful, non-destructive tool to 75 characterize electrochemical interfaces in their native operating environment. The high chemical resolution, especially for light elements (e.g., ¹³C), can be leveraged to determine the precise molecular 76 77 structure of electrolyte decomposition products present in interphase layers. While solid-state NMR 78 (SSNMR) has been used to characterize the composition of the electrode/electrolyte interphase (EEI) on the anode side of the battery,^{40–45} substantially less work has focused on using SSNMR to characterize the CEI on the cathode.^{46–49} Most industrially-relevant cathode materials contain 79 80 paramagnetic transition metals (e.g., Co, Mn, Ni, Fe).^{50,51} Unpaired electrons from these paramagnetic 81 82 substrates can couple to nuclei in the CEI layer, leading to fast nuclear spin relaxation and severe line broadening during NMR characterization^{50,52,53} which presents a technical challenge for detecting discrete compounds in the CEI with NMR. Traditional signal enhancement strategies used in SSNMR, such as ${}^{1}\text{H} \rightarrow {}^{13}\text{C}$ cross polarization magic-angle spinning (CPMAS), do not work on paramagnetic cathodes due to fast relaxation during the CP step of the NMR experiment,⁵⁴ further hindering CEI characterization efforts.

88 Here, we show that by manipulating the Ni oxidation state in NMC811 cathode composites, we 89 can determine the composition and arrangement of the CEI using SSNMR. We report that direct 90 polarization of ¹³C nuclei in SSNMR facilitates detection and compositional assignment of the CEI 91 formed on NMC811 upon delithiation (charging of the battery). Electron paramagnetic resonance (EPR) spectroscopy indicates that during battery charging, oxidation of Ni²⁺ to Ni⁴⁺ dilutes the 92 93 paramagnetic matrix present in NMC811, greatly decreasing the magnetic susceptibility and enabling 94 well-resolved SSNMR of organic species in the CEI. In particular, we show that carbonates directly 95 bound to NMC particles can be discerned from CEI species spatially distant from NMC with SSNMR 96 because NMC-bound carbonates produce a characteristic spinning sideband pattern in the NMR 97 spectrum. These data indicate that SSNMR provides radial information (as measured from the center 98 of the NMC particle) on CEI arrangement, where compounds closest to the NMC811 active particles 99 exhibit spinning sidebands. SSNMR measurements are complemented with X-ray photoemission electron microscopy (XPEEM) elemental imaging experiments, which reveal the lateral arrangement 100 101 of LiF and the organic decomposition products in the CEI of the composite cathode film. While LiF 102 and carboxy-containing Li salts and Li₂CO₃ selectively deposit on NMC811 particles, alkyl carbonates 103 are randomly distributed on the composite (i.e., indiscriminately on the active material and the carbon 104 additives), possibly due to their more soluble nature and/or anode/cathode crosstalk. Soluble electrolyte 105 oxidation products (e.g., short-chain alkyl carbonates, vinyl species, and HF) from side reactions at the 106 NMC surface are identified with ex situ and in situ solution NMR spectroscopy, allowing us to 107 rationalize the distribution of organic small molecules in the CEI.

108 Materials and Methods

109 Materials.

110 Li metal ribbon (0.75 mm thick), 1 M LiPF₆ in ethylene carbonate: dimethyl carbonate (EC:DMC) 111 1:1 v/v, LP30, battery grade), 1-methyl-2-pyrrolidinone (NMP, anhydrous, 99.5%), KBr (≥99.0%), 112 silica gel (ultrapure, 60–200 μ m) and Au powder (\geq 99.9%, particle size \leq 10 μ m) were purchased from 113 Sigma-Aldrich. DMSO- d_6 (\geq 99.9%) was purchased from Cambridge Isotope Laboratories. Prior to 114 use, DMSO- d_6 was dried over molecular sieves in an Ar-filled glovebox (O₂ <0.1 ppm, H₂O <0.5 ppm) 115 for 48 h. Both KBr and the silica gel were dried at 60 °C for 7 days before bringing into the glovebox 116 for preparation of SSNMR samples. The surface oxide layer on Li metal was scraped off prior to 117 assembly in Li/NMC half cells. All other chemicals were used as received. NMC811, NMC532, and 118 NMC111 cathode powders were purchased from MTI Corporation. All cathode powders were stored 119 in an Ar-filled glovebox. Carbon super P C45 and PVDF were purchased from MTI Corporation and 120 used as received.

121 Electrode Fabrication

122 Cathode films for each NMC composition were prepared by first hand grinding a 10:1 ratio (by 123 mass) of NMC:carbon super P. This mixture was added to a solution of PVDF binder in NMP at a 10:1 124 ratio (by mass) of NMC + C:PVDF to create a viscous slurry. The slurry was cast onto an Al current 125 collector (25 μ m thick, MTI Corporation) using a 150 μ m doctor blade and dried at 100 °C under 126 vacuum overnight. The dried film was punched into 12.7 mm diameter disks to use in cell assembly. 127 Once dried, cathode films were stored in an Ar-filled glovebox to minimize exposure to air and

128 moisture. Typical mass loadings of active material (e.g., NMC811) per cathode were 8–13 mg cm⁻².

129 These electrodes were used for all electrochemical testing, and then extracted for EPR, NMR or

130 XPEEM characterization.

131 Electrochemistry

Electrochemical tests were conducted using 2032 coin cells assembled in an Ar-filled glovebox with a 12.7 mm Li metal disc as the anode and NMC composite films (see Electrode Fabrication) as the cathode. Each cell used a Whatman glass microfiber (GF/A) separator and was cycled in ~0.2 mL of battery grade, LP30 electrolyte. Galvanostatic cycling experiments were performed at C-rates of C/10 or C/5 (based on theoretical capacities of 275, 278, and 279 mAh/g for NMC811, NMC532, and NMC111, respectively) between 3.0 V and various upper cutoff voltages (the maximum upper cutoff voltage used for NMC811 was 4.6 V vs Li⁺/Li).

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140 Solid-State NMR Spectroscopy

141 SSNMR experiments were performed at room temperature on a Bruker Avance NEO 600 MHz 142 spectrometer equipped with a 1.6 mm HFXY MAS Phoenix NMR probehead. To prepare samples for 143 SSNMR, Li/NMC half cells were disassembled in the glovebox at the desired state of charge (e.g., at 144 the end of charge at 4.6 V). After cell disassembly, the cathode film was scraped from the Al current 145 collector and dried under vacuum overnight to remove residual solvent. Electrodes were not washed 146 during sample preparation to preserve the highly sensitive interphase layer. Each sample was mixed with KBr powder or silica gel in a roughly 1:1 ratio by mass in a mortar and pestle until homogenized 147 148 to fill a 1.6 mm o.d. ZrO₂ rotor. All experiments were performed at 28 kHz MAS frequency, unless otherwise noted. ¹H and ¹³C spin echo spectra were collected using a rotor-synchronized spin echo 149 pulse sequence $(90^{\circ}-\tau-180^{\circ}-\tau-\text{acquire}, \text{ with } \tau \text{ set to } 1 \text{ rotor period})$ with a recycle delay of 10 s and 150 151 0.8 s, respectively. While direct polarization of ¹³C SSNMR of diamagnetic samples often requires long acquisition times, fast T_1 relaxation of the CEI on the paramagnetic cathode let us to acquire ¹³C 152 153 SSNMR more quickly by using a short recycle delay of 0.8 s (recycle days were varied from 0.8–10 s 154 to determine the optimal value). For ¹³C SSNMR, ¹H decoupling was performed with TPPM at ~100 155 kHz. ¹H T_1 measurements of the CEI on NMC811 (**Table S1**), show that relaxation of ¹H nuclei present 156 on NMC811 composites is too short (on the order of ms) to perform CPMAS experiments (the contact pulse is typically applied for ms). ¹³C SSNMR spectra presented in this study were acquired over a 157 158 period of about 14–18 h per sample, equivalent to ~100,000 scans per sample. Single pulse ⁷Li SSNMR 159 spectra were collected using 90° pulse length of 2.6 µs, 5 s recycle delay, and 128 scans. All SSNMR spectra presented are normalized to the maximum peak height in the given spectrum, unless otherwise 160 noted. ¹H NMR was externally referenced to adamantane at 1.85 ppm. ¹³C NMR was externally 161 referenced to the high frequency peak of adamantane at 38.5 ppm. ⁷Li NMR was externally referenced 162 to LiF at -1 ppm or Li₂CO₃ at 0 ppm. We attempted to collect ¹⁹F SSNMR from these samples, but no 163 164 signals from common electrolyte decomposition products (e.g., LiF at -204 ppm) were observed, likely due to the higher gyromagnetic ratio of ¹⁹F compared to ¹³C ($\gamma_{19F} = 2.52 \times 10^8$ rad/sT compared to γ_{13C} 165 $= 6.73 \times 10^7$ rad/sT) and the close proximity to the NMC811 surface. 166

167

168 EPR Spectroscopy

169 X-band EPR measurements were performed on an ESR-5000 spectrometer manufactured by 170 Freiberg Instruments for Bruker, sold by Rotunda Scientific Technologies. The spectrometer has a 171 microwave frequency of 9.47 GHz at room temperature. All measurements employed a B-field sweep 172 from 50 to 650 mT, microwave power of 0.3162 W, 0.5 mT modulation amplitude with 100 kHz 173 frequency, and a 60 s sweep time with 1 scan. Dried cathode samples extracted from Li/NMC half cells 174 were ground with KBr and packed into either a Bruker 1.9 mm or Varian 1.6 mm ZrO₂ rotor. The rotor 175 was placed into a quartz EPR tube for measurement. Because the content of carbon was kept constant 176 in the cathodes and is not electrochemically active (10 wt% of the composite film), spectra are

177 normalized to the peak from conductive carbon.

178 **XPEEM**

179 Prior to electrochemical cycling, NMC811 cathodes were prepared by pressing composite 180 cathode films at 2 tons in a hydraulic press (Specac) to flatten the sample for XPEEM. After cycling, 181 cathode films were removed from the batteries in the glovebox and dipped into 1 mL DMC twice for 182 3 s each to remove residual salts and minimize surface layer outgassing in the vacuum chamber. 183 Cathode films were then dried under vacuum overnight to removal residual solvent. Samples were 184 double sealed in airtight pouches under Ar and transferred to the XPEEM facility at Brookhaven 185 National Laboratory (BNL) for measurement. Samples were mounted on XPEEM holders in an Ar-186 filled glovebox and transferred to the XPEEM vacuum chamber using an air-free suitcase.

187 Pixel-wise X-ray absorption spectra (XAS) were obtained by recording a series of XPEEM 188 images at each energy in a given absorption edge range at sequential increments of 0.2 eV. Ni L-edge 189 spectra were referenced to the low energy L₃ edge peak, which has a reported value of 853.5 eV.⁵⁵ Other edges were translated accordingly, except the C K-edge which referenced the lowest energy 190 peak, assigned to conductive carbon, at 285.4 eV.^{37,56} Local XAS spectra can be extracted by plotting 191 192 the intensity of a given spatial region (set of pixels) at each energy. For example, for Ni L-edge, 152 XPEEM images were acquired from 850 eV-880 eV. XPEEM elemental contrast images were obtained 193 194 by subtracting the pre-edge image from the desired X-ray absorption energy. The C K-edge image at 195 292.8 eV was extracted to display the spatial distribution of conductive carbon. The pre-edge image at 196 280.0 eV was then subtracted, yielding the final elemental map of conductive carbon. The same 197 procedure was conducted for other elements presented in these elemental maps: Ni L-edge at 853.5 eV 198 for Ni^{2+/3+} and 855.9 eV for Ni⁴⁺ (850.0 eV pre-edge subtracted), and F K-edge at 691.7 eV for PVDF 199 (685.0 eV pre-edge subtracted).

200 Acquisition of C K-edge spectra in XPEEM is challenging due to strong absorption at this edge from carbon impurities in the X-ray optics as well as the Au mesh typically used to normalize the 201 spectra of absorption edges of other elements.³⁷ To make use of these spectra, we adopted the approach 202 reported by El-Kazzi and coworkers³⁷ where Au microparticles are deposited on a small portion of the 203 204 surface of a pristine NMC811 film for internal referencing prior to pressing the sample at 2 tons. Local 205 C K-edge spectrum at the Au particle was extracted and used to normalize all other local C K-edge spectra from the cathode films to yield the true C K-edge spectrum.³⁷ Each spectrum presented was 206 normalized to a maximum intensity of one unless otherwise noted. 207

208 Ex and In Situ Solution NMR

For *ex situ* solution NMR measurements, Li/NMC811 half coin cells were disassembled in the glovebox after cycling. The glass fiber separator was soaked in 1 mL DMSO- d_6 for 5 min and compressed using clean tweezers to fully extract electrolyte from the separator. The resulting solution was pipetted into a 5 mm air-tight J-Young NMR tube for analysis. All solution NMR experiments were performed on a Bruker Avance III 400 spectrometer equipped with a triple resonance broadband observe (TBO) probehead. One-dimensional (1D) ¹H (30° single pulse, 1 s recycle delay, 16 scans, internally referenced to residual DMSO at 2.5 ppm) and ¹⁹F (30° single pulse, 2 s recycle delay, 32 scans, internally referenced to PF_6^- at –74.5 ppm) were recorded at room temperature. Three replicate samples were measured.

218 For *in situ* solution NMR measurements, we designed a Li/NMC811 tube cell to collect ¹H and 219 ¹⁹F NMR during electrochemical cycling. The Li/NMC811 cell was galvanostatically cycled for 10 220 cycles and placed in the NMR magnet for measurement. This method eliminates the need to 221 disassemble the working cell for NMR and expose electrolyte decomposition products to DMSO- d_6 222 NMR solvent for locking. The in situ cell was assembled as a flood cell in a chemically resistant FEP 223 NMR tube liner with o.d. = 3.65 mm and i.d. = 3.15 mm that was purchased from Wilmad Glassware. 224 A NMC811/C45/PVDF/NMP cathode slurry was cast on an Al substrate and dried overnight in vacuo. 225 Once dried, a 2.5 mm wide \times 22 cm long section was cut out of the film such that only the bottom \sim 2 226 cm was covered with the NMC811 composite. This method allows for the Al substrate to serve as both 227 a current collector for the NMC and lead for electrochemical connection, similar to the approach reported by Nowak and coworkers.⁵⁷ Active material loading was estimated such that the first cycle 228 229 was consistent with C/10 cycling (~1–2 mg). For the anode, a 2 mm wide \times 2 cm long piece of Li was 230 hammered onto Cu mesh. The Cu mesh was connected to a 22 cm long pure Cu wire via a heat-shrink, 231 chemically resistant electrical insulation tubing. The heat-shrink tubing prevented cell shorting along 232 the length of the NMR tube. The Li metal was wrapped in a single layer of Celgard 2325 separator. 233 Prior to electrode insertion, 300 µL of LP30 was pipetted into the tube liner. Electrodes were inserted 234 into the tube such that the ends are 25 mm from the bottom of the FEP tube. It is important that the 235 electrodes remain outside the receiver coil (coil length 23.85 mm) during NMR measurement to be 236 able to obtain a high quality shim for satisfactory resolution in ¹H NMR. The cell was cycled for 10 full cycles at C/10 between 3.0-4.6 V. After cycling, the tube cell was disconnected, submerged into a 237 238 5 mm glass NMR tube containing 0.1 mL DMSO-d₆ and immediately brought to the spectrometer for 239 measurement of the electrolyte contents. NMR acquisition parameters are the same as described above for *ex situ* solution NMR, except 64 scans were used for 19 F acquisition. The high sensitivity of 1 H and 240 241 ¹⁹F NMR allowed us to collect spectra in less than 3 min. Low concentration ¹H species with chemical 242 shifts between 2.5 ppm–5.5 ppm cannot be discerned with this method since this region is dominated 243 by the electrolyte solvent signals (the bulk component of the sample, Figure S15).

244 Background/Theory

245 In paramagnetic systems, unpaired electrons can interact with nearby nuclei, leading to fast nuclear relaxation behavior. These interactions scale with the magnetic moment of the paramagnet, the 246 electron-nuclear interspin distance (r^{-3}) , and gyromagnetic ratio (γ) of the observe nucleus.^{51,54} As a 247 result, nuclear coupling with electronic spins is strongest when in close proximity to unpaired electrons 248 and increases for high γ nuclei (e.g., ¹H, ¹⁹F) compared to low γ nuclei (e.g., ¹³C). In SSNMR, two 249 nuclear relaxation processes influence the resulting SSNMR signal acquisition: spin-lattice (T_1) and 250 251 spin-spin (T_2) relaxation times, both of which typically decrease when near unpaired electrons. T_1 252 relaxation describes the time it takes for the spin system to reach thermal equilibrium in the external 253 magnetic field, B_0 . Thus, the T_1 time determines how long one must wait between individual NMR 254 measurements during signal averaging. In paramagnetic systems, T_1 is typically very fast (on the 255 timescale of ms), allowing fast interscan repetition (i.e., recycle delays) that lead to short NMR 256 experiment times. T_2 relaxation is inversely proportional to the full width at half maximum (fwhm) of the resulting NMR signal. T_2 values are also very short in paramagnetic systems (on the order of μ s).⁵⁸ 257 258 leading to broad NMR lineshapes compared to diamagnetic compounds. In magic-angle spinning 259 (MAS) SSNMR experiments, these broad lineshapes are broken up into a spinning sideband pattern (often denoted with asterisks) that envelopes the peak breadth observed under static conditions. Each 260

spinning sideband is separated by the MAS frequency. The isotropic resonance is invariant of the spinning frequency and is used in NMR to assign chemical environments, akin to the isotropic resonance observed in solution NMR. Consequently, as the MAS frequency changes, the isotropic resonance remains constant, while the separation between individual sidebands changes.

265 Prior work from our group on Li₂RuO₃ model cathodes indicates that quenching paramagnetism 266 in the active material facilitates SSNMR characterization of the CEI layer generated during electrochemical cycling of layered transition metal oxide cathodes.⁴⁶ In this work, we hypothesize that 267 oxidation of paramagnetic Ni^{2+/3+} to diamagnetic Ni⁴⁺ in NMC811 composite films will similarly 268 reduce the magnetic susceptibility and the resulting electron-nuclear coupling between the substrate 269 270 (NMC) and the CEI layer, allowing for acquisition of high resolution SSNMR of the CEI. To test this 271 hypothesis, we can (i) directly monitor changes in paramagnetism of the composite and (ii) measure 272 changes in nuclear spin relaxation in the CEI as a function Ni oxidation state. In the first instance, 273 changes in the magnetic properties of NMC811 during lithiation/delithiation are measured with EPR spectroscopy, which is sensitive to the presence of paramagnetic centers and their exchange 274 interactions.⁵⁹ The area under the EPR curve represents the number of unpaired electrons in the sample, 275 which is proportional to the magnetic susceptibility of the sample. In the second case, weakened 276 277 paramagnetism of the composite cathode substrate upon delithiation is expected to increase the T_1 and 278 T_2 relaxation times of the appended CEI layer, where longer relaxation times are expected to mitigate 279 paramagnetic line broadening and the associated poor chemical resolution in SSNMR. In contrast, 280 lithiation (discharge) of NMC811 is expected to increase paramagnetic interactions between the 281 substrate and the CEI, leading to signal dephasing in SSNMR. Accordingly, both EPR spectroscopy of



Figure 1. X-band EPR spectra of NMC811 composites after the first charge at C/10 to 4.6 V vs Li/Li⁺ (black line) and the corresponding discharge to 3.0 V vs Li/Li⁺ (red line). The sharp peaks in the center of the spectra correspond to conductive carbon in the composite, whereas the broad lineshape observed upon discharge is assigned to NMC811.

the bulk NMC as well as T_1 measurements of the CEI as a function of NMC811 charge state are presented in the following section.

Results

To provide context for the SSNMR measurements of the CEI on NMC811, we start by investigating the magnetic properties of composite cathode films at different states-of-charge (SoC) and different number of cycles. Figure 1 shows the EPR spectra NMC811:C:PVDF (80:10:10) composites of obtained after discharging (3.0 V vs Li/Li⁺, red) and charging (4.6 V vs Li/Li⁺, black) in Li half cells. Upon discharge to 3.0 V at the end of the first cycle, we observe a narrow resonance that is characteristic of unpaired electrons in the conductive $\operatorname{carbon}^{60,61}$ as well as a broad resonance from the network of transition paramagnetic metal cations in NMC811.⁵⁹ We expect that the formal oxidation in states **NMC811** are $LiNi^{2+}_{0.1}Ni^{3+}_{0.7}Mn^{4+}_{0.1}Co^{3+}O_{2}$ where the paramagnetic centers in the material include Ni^{3+} (S $= \frac{1}{2}$, Ni²⁺ (S = 1), and Mn⁴⁺ (S = 3/2). Co³⁺ (S = 0) is diamagnetic and thus, EPR inactive.^{64,65} Therefore, we assign the broad signal observed at 3.0 V to Mn⁴⁺–Ni²⁺ exchange coupling interactions in the active material, ${}^{61,64-68}$ which is supported by control experiments comparing NMC811 with NMC532, NMC111, and LNMO (LiNi_{0.5}Mn_{1.5}O₄) (**Figures S1-S2**).

309 Upon charging to 4.6 V in the first cycle, the EPR signal from NMC811 is quenched, while the 310 signal from conductive carbon is still present (Figure 1). The persistence of the EPR signal from 311 conductive carbon is expected because the carbon additives are not electrochemically active. Decreased EPR signal from the active material in the cathode composite is consistent with the oxidation of 312 Ni^{2+}/Ni^{3+} (paramagnetic) to Ni^{4+} (S = 0, diamagnetic) that occurs during delithiation.^{22,65,66} Since the 313 Mn^{4+} (S = 3/2) and Co³⁺ (S = 0) are not redox active, ^{31,69,70} the EPR signal from NMC811 disappears 314 as the bulk oxidation state of the transition metal centers are oxidized to form diamagnetic Ni⁴⁺. 315 Paramagnetic Mn⁴⁺ cannot couple with diamagnetic Ni⁴⁺, decreasing the observable EPR signal.⁶¹ The 316 gradual decay in EPR upon charging NMC811 composites is shown in Figure S3. where the EPR 317 318 signal disappears at voltages \geq 4.2 V, indicating that the net magnetic susceptibility of the bulk sample 319 decreases with increasing SoC.

320 A very low intensity, broad EPR signal, reminiscent of the active material at discharge, appears 321 after 50 galvanostatic cycles in the charged state (Figure S4) that likely corresponds to surface reconstruction after repeated lithiation/delithiation. Terminal Ni⁴⁺ sites on the surface of NMC811 are 322 323 highly unstable, and are reduced to form a Ni²⁺–O rocksalt layer that has been widely reported in the literature^{12,13,31,55,71–73} and can be visualized in XPEEM maps (see below, **Figure 3**). The Ni²⁺ on the 324 active particle surface can undergo exchange coupling with neighboring Mn⁴⁺ sites, leading to the low 325 326 intensity, exchange-narrowed EPR spectrum. The NiO layer represents a small proportion of the 327 overall sample and based on the EPR intensities, we conclude that even after 50 cycles, the magnetic 328 susceptibility of the substrate is substantially smaller than that observed upon discharge. Strong 329 paramagnetism at discharge and weaker paramagnetism at charge is also supported by ${}^{1}H T_{1}$ relaxation 330 measurements of the corresponding CEI layer. For example, ¹H T_1 values for the CEI on NMC811 331 composites upon charging to 4.6 V are two orders of magnitude greater than that observed upon 332 discharge (Table S1, Figure S5), and thus, charged Ni-rich cathode samples are expected to produce 333 better-resolved NMR spectra for CEI detection. However, the T_1 of NMC at charge is still relatively

- fast (10^{-1} s) compared to diamagnetic materials due to weak paramagnetism associated with residual
- 335 (but dilute) paramagnetic centers such as Mn^{4+} , $Ni^{3+/2+}$ from incomplete delithiation and surface NiO.

With an understanding of the paramagnetic properties of NMC811 composites in hand, we next use ¹³C SSNMR to characterize the organic CEI that forms after 50 galvanostatic cycles when cells are disassembled at 4.6 V, where the paramagnetism is the lowest (**Figure 2a**). Representative voltage profiles collected during the 1st and 50th cycle of Li/NMC811 half cells is shown in **Figure S6**. As



Figure 2. (a) Direct polarization ¹³C MAS SSNMR of a NMC811 cathode film that underwent 50 galvanostatic cycles at C/10 and was dissembled at 4.6 V vs Li/Li⁺. MAS frequency = 28 kHz. Asterisks denote spinning sidebands. (b) *In situ* solution ¹H NMR from a NMC811/Li battery in an NMR tube cell after 10 galvanostatic cycles at C/10 between 3.0-4.6 V.

expected from our EPR measurements, the weak paramagnetism associated with NMC811 at charge 340 341 enables high resolution ¹³C SSNMR, where a variety of electrolyte decomposition products are observed. The resonance at ~171 ppm is assigned to Li_2CO_3 and the broad resonance centered at ~185 342 ppm is characteristic of structures containing carboxy groups (RCO₂Li/H).^{40,44} Carboxy-containing 343 344 structures can be generated from electrolyte oxidation reactions at the cathode that also produce CO₂ and water.¹⁹ In situ ¹H solution NMR shows the presence of Li formate at 8.08 ppm, which is likely 345 generated from reduction of CO_2 gas at the anode¹⁹ side of the battery (Figure 2b). The large ¹³C 346 resonance at ~69 ppm is assigned to poly(ethylene oxide) (PEO)-type moieties that are present in the 347 CEI layer and/or residual ethylene carbonate (EC).⁴⁴ The ¹³C resonance at ~159 ppm is assigned to the 348 carbonyl carbon (ROCO₂R') in alkyl carbonates and/or residual EC/DMC. We also observe a small 349 ¹³C resonance at ~58 ppm that corresponds to DMDOHD (dimethyl 2,5-dioxahexanedioate), a soluble 350 decomposition product of DMC solvent.^{57,74,75} The assignment of DMDOHD in ¹³C SSNMR is 351 supported by the observation of DMDOHD in *ex situ* ¹H and ¹³C solution NMR (Figure S7). The small 352 353 resonance at ~32 ppm is assigned to the aliphatic (RCH₂CH₂R') environment in short-chain decomposition products such as LBDC (lithium butylene dicarbonate) and/or Li succinate.^{43,44} PVDF 354 binder in the composite produces ¹³C resonances at 45 ppm and 122 ppm, corresponding to the -355 356 CH₂CF₂- and -CH₂CF₂- groups in PVDF, respectively. However, careful inspection of the relative

ratios of -CH₂CF₂-:-CH₂CF₂- in pristine PVDF (Figure S8) compared to our cathode film indicates 357 that the resonance at 122 ppm is larger than expected. Based on this increased ¹³C signal intensity at 358 359 122 ppm, we believe that this resonance does not solely arise from PVDF, and is likely due to the presence of unsaturated/vinvl compounds (RC=CR') that also appear at this chemical shift^{42,76} and are 360 observed during *in situ* ¹H solution NMR measurements (Figure 2b). The *in situ* ¹H solution NMR 361 shows the presence of vinylene carbonate (¹H shift at 7.83 ppm (s))^{19,41} and Li formate (¹H shift at 8.08 362 ppm (s)).¹⁹ The singlet at 6.25 ppm is assigned to a symmetric ROC-HC=CH-COR group^{77,78} and we 363 also observe two unique asymmetric RHC=CHR' groups⁴¹ (both ¹H shifts appear as doublets with one 364 shift centered at 7.53 ppm and the other at 7.96 ppm with $J_{H-H} = 8.15$ Hz; we suspect that the difference 365 366 in chemical shift arises from different R substituents). These vinyl species are not detected with ex situ 367 solution NMR, suggesting that they are highly reactive. The formation of unsaturated compounds in the electrolyte is thus consistent with the detection of a C=C resonance at approximately 122 ppm in 368 369 ¹³C SSNMR (Figure 2a).

Analysis of the full spectral breadth of **Figure 2a** shows that only certain ¹³C resonances from 370 371 the CEI on NMC811 composites exhibit spinning sidebands that span a wide spectral range (e.g., Li₂CO₃ (shaded blue) and carboxy-containing structures (shaded green) in Figure 2). Since 372 paramagnetic coupling to NMR-observe nuclei is distance-dependent (r^{-3} relationship), we expect that 373 compounds closest to the paramagnetic NMC particles will exhibit more line broadening (and thus a 374 broad sideband pattern) than those deposited further away.^{51,52,54,79} However, the exact location of these 375 376 compounds in the composite is not immediately apparent because EPR (Figure 1) indicates that both NMC811 and conductive carbon contain unpaired electrons that could induce these sideband patterns. 377 378 To determine if NMC811, conductive carbon, or both components contribute to the observed SSNMR 379 sidebands in Figure 2a, we performed a series of control experiments where bulk Li₂CO₃ was attached 380 (via mechanical milling) to NMC811 or conductive carbon (at a 1:2 ratio of Li₂CO₃:NMC811 or conductive carbon). The ⁷Li SSNMR spectrum of pristine Li₂CO₃ shows a relatively narrow sideband 381 382 pattern, with a fwhm of 1030 Hz (Figure S9). After ball-milling with conductive carbon, the fwhm of 383 the ⁷Li SSNMR resonance for Li₂CO₃ increases slightly to 1175 Hz, likely due to a minor increase in 384 paramagnetic anisotropy from interaction with delocalized electrons on the conductive carbon. The 385 largest change in line broadening is observed after ball milling Li₂CO₃ with NMC811, where we see a ⁷Li fwhm of approximately 1580 Hz. Likewise, the corresponding ¹³C SSNMR spectrum of 386 387 Li₂CO₃:NMC811 (Figure S10) shows a sideband pattern that spans from +300 to -200 ppm, similar 388 to that observed in ¹³C SSNMR of the CEI on NMC811 (Figure 2a). No sidebands are observed for 389 Li₂CO₃:carbon and we had to use a longer recycle delay (10 s vs 0.8 s) to detect ¹³C SSNMR of 390 Li_2CO_3 :carbon, which is consistent with slower T_1 relaxation times for Li_2CO_3 attached to carbon rather than NMC811 (i.e., less paramagnetic relaxation enhancement).⁵⁴ The similarities between the 391 392 spinning sideband patterns and the nuclear relaxation rates for the Li₂CO₃:NMC811 control sample 393 and the CEI on NMC composite films strongly suggest that the spinning sideband patterns observed 394 for Li₂CO₃ and carboxy moieties in Figure 2a arise from attachment to NMC811 particles,⁵² and not 395 conductive carbon.

396 Conversely, the lack of a spinning sideband pattern for certain CEI components in ${}^{13}C$ SSNMR 397 (vinyl compounds, PEO/residual solvent, DMDOHD) indicates that these compounds are further from 398 the NMC811 surface. Yet, these species could be located (1) in the outer CEI layer that lies directly on 390 NMC811 particles on (2) on the surface of the earlier additions in the composite where they do not

399 NMC811 particles or (2) on the surface of the carbon additives in the composite where they do not



Figure 3. (a) Elemental XPEEM images (area = $30 \times 30 \ \mu m^2$) of pristine NMC811 (top) and NMC811 composites after 50 galvanostatic cycles (bottom) at C/5 and disassembled at 4.6 V vs Li/Li⁺. Ni elemental maps (dark blue/magenta color) show the distribution of NMC particles in the composite. Cyan indicates regions of conductive carbon. (b) Elemental XPEEM images from the cycled sample from (a) showing the LiF elemental map (green) extracted from the XPEEM image at 702 eV. The top image shows the Ni²⁺ map (magenta) overlaid with the LiF map (green). The bottom image shows the regions corresponding to conductive carbon (cyan) and LiF (green). The elemental maps in (b) indicate that LiF is selectively deposited on the surface of NMC811 particles. (c) F K-edge local XAS spectra extracted from the cycled composite shown in (a) with regions corresponding to NMC811 particles and conductive carbon highlighted with green and orange boxes. Local C K-edge spectra for these regions and the red/yellow boxes in the pristine sample in (a) are shown in **Figure S13**.

400 interact with unpaired electrons from the paramagnetic NMC particles. To understand the lateral 401 distribution of the CEI on NMC811 films, we used XPEEM elemental imaging (Figure 3). In XPEEM, XAS spectra are recorded as a function of position on the composite surface, allowing us to map the 402 403 location of active particles (through Ni L-edge spectroscopy), conductive carbon (C K-edge 404 spectroscopy), PVDF binder (F K-edge spectroscopy), and CEI components (from unique binding 405 energies in C and F K-edge spectroscopies). Figure 3 shows elemental XPEEM images depicting the 406 lateral arrangement of NMC811 particles (magenta/dark blue, depending on Ni oxidation state) with 407 respect to conductive carbon (cyan). Ni L-edge XAS spectra (Figure S11) were used to locate active particles in the cathode composite and generate the XPEEM images in Figure 3. True intensities were 408 used in the color maps for the $Ni^{2+/3+}$ (magenta) and conductive carbon (cyan) (Figure 3). For XPEEM 409 maps of the Ni L-edge, the Ni⁴⁺ maps' (850.0 eV, dark blue) image intensities were renormalized to 410 emphasize the changing ratio between the two L_3 edge peaks upon charge. The real intensity values of 411 412 the Ni⁴⁺ maps were multiplied by the ratio obtained from dividing the maximum intensity of the Ni Ledge component at 855.8 eV (Ni^{3+/4+}) by the component at 853.4 eV (Ni²⁺) in Figure S11. The LiF 413 map in Figure 3b was produced by subtracting the LiF slice at 702.0 eV from the slice at 689.0 eV 414

- 415 (corresponding to the left shoulder of the PVDF peak) to remove the contribution from PVDF to the
- 416 LiF slice while avoiding subtraction of the low intensity LiF peak that may overlap with PVDF sites.
- 417 (Figure 3c shows that the broad PVDF peak has a shoulder that slightly overlaps with the LiF peak).
- 418 The resulting LiF image was then thresholded to only show high intensity regions, ultimately producing
- 419 a single intensity map that indicates the spatial distribution of high LiF regions.

Analysis of the Ni L-edge XAS spectra supports the presence of a thin Ni²⁺ reconstruction laver 420 on the cycled sample based on the fact that the peak intensity of the $Ni^{2+/3+}$ transition (853.5 eV) is 421 higher than that of the Ni⁴⁺ transition $(855.9 \text{ eV})^{31}$ even on the delithiated sample (Figure 3a, bottom 422 and Figure S11, note that XPEEM is a surface sensitive technique and does not represent bulk 423 424 oxidation states). In the pristine NMC811 film (Figure 3a, top), we observe a heterogeneous 425 distribution of carbon about the NMC particles. Dark regions at the top right of the pristine film 426 correspond to the location of Au particles used for C K-edge normalization (see Methods section). 427 After 50 cycles (Figure 3a, bottom), additional dark regions are observed due to the surface roughening 428 that occurs during electrochemical cycling.

429 Figure 3b shows the F K-edge elemental maps that depict the spatial distribution of LiF with 430 respect to NMC811 and the carbon additives after 50 galvanostatic cycles and disassembled at 4.6 V; 431 representative local XAS spectra are shown in Figure 3c. Both F K-edge spectra from NMC particles 432 and carbon additives show a broad peak at 693 eV that corresponds to the PVDF binder distributed 433 throughout the composite, which is supported by the location of PVDF seen in XPEEM maps from F 434 K-edge spectra (Figures S12-13) and agrees with prior XPEEM reports on Ni-rich composite cathodes.^{55,80} The breadth of the PVDF absorption peak makes it difficult to discern the presence of 435 metal fluoride (MeF_x)-type compounds at 690 $eV^{55,80}$ that are proposed to deposit in the CEI,⁷² although 436 a slight shoulder in this region is more apparent local to the NMC particles (Figure 3c). In contrast, 437 LiF in the CEI shows a distinct peak at 702 eV⁸⁰ and deposits preferentially on NMC811 particles 438 rather than the carbon additives (Figure 3b and 3c). 439

440 In principle, LiF can be generated from reaction of surface Li₂CO₃ on NMC811 and HF in the 441 electrolyte as follows:

442 $\text{Li}_2\text{CO}_3 + 2\text{HF} \rightarrow 2\text{LiF} + \text{H}_2\text{O} + \text{CO}_2$

443 In situ ¹⁹F solution NMR detects HF at –190 ppm^{19,81,82} upon electrochemical cycling of Li/NMC811

- half cells (**Figure 4**). The resonance at -85.2 ppm (d, $J_{P-F} = 930$ Hz) is assigned to $PF_2O_2^-$, a reaction
- 445 product from hydrolysis of PF_6^- . Small quantities of $PF_2O_2^-$ are also observed in the pristine electrolyte,



Figure 4. *In situ* solution ¹⁹F NMR from a NMC811/Li battery in a NMR tube cell after 10 galvanostatic cycles at C/10 from 3.0–4.6 V.

indicating that this compound is produced from trace water in the as-purchased, battery grade electrolyte.^{18,19,83} On the other hand, the presence of HF could only be observed with in situ metrology, which is consistent with the reactive nature of HF. No HF is detected in the pristine electrolyte, indicating that HF is primarily generated from hydrolysis of LiPF₆ upon electrolyte oxidation rather than from trace water in the battery grade electrolyte. Oxidation of EC and DMC solvents is proposed to produce carboxylic acids, CO₂, and H₂O.^{9,19} This H₂O then serves as the source of LiPF₆ hydrolysis that forms HF. Once HF is present in the electrolyte, it reacts with Li₂CO₃ on the surface of NMC811 to form LiF that remains directly attached to the active material during battery operation.

Local C K-edge XAS (**Figure S14**) spectra from the XPEEM images on the cycled NMC sample indicate that organic carbonate structures are deposited on both NMC as well as the carbon additives. The C K-edge spectra are

dominated by peaks from conductive carbon at 285.4 eV and 291.9 eV that correspond to π and σ 469 transitions, respectively.³⁷ Regions corresponding to π and σ transitions are also observed on the NMC 470 particles, suggesting that conductive carbon is also present in these regions and/or these XAS features 471 472 arise from other sp² hybridized carbon molecules in the CEI layer (e.g., vinyl species). Local C K-edge spectra extracted from pristine NMC811 particles also exhibit a shoulder at 290.4 eV that is assigned 473 474 to Li₂CO₃.^{55,56} This assignment is consistent with the spinning sideband pattern observed for Li₂CO₃ in ¹³C SSNMR as well as the location of LiF on NMC811 (that we believe is generated from Li₂CO₃). 475 Upon electrochemical cycling, we observe a more clearly defined peak at 290.8 eV on both NMC and 476 477 the conductive carbon that may correspond to the EC/DMC-derived species observed in SSNMR (e.g., alkyl carbonates, DMDOHD).^{56,84} Likewise, the broad absorption at 287.5 eV is assigned aliphatic 478 carbon environments from these short-chain alkyl carbonates as well as the PVDF binder.^{56,85,86} Taken 479 480 together, the C K-edge results indicate that alkyl carbonates deposit on both the carbon and the active material, and are consistent with the soluble nature of these species in the outer CEI,^{46,87} such as 481 482 DMDOHD, which is also detected in solution NMR experiments (Figure S7). We suspect that these 483 organic molecules are distributed randomly across the cathode film as a result of their solubility, 484 indicating that they desorb from the interphase and fail to protect the cathode during electrochemical cycling.46,87 485

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Figure 5. (a) Scanning electron microscopy (SEM) image of the surface of a NMC811 composite cathode film, with an NMC particle circled. (b) Schematic diagram depicting how the CEI is deposited on a composite cathode film during electrochemical cycling. (b) shows the cross section of the electrolyte-facing cathode film. An NMC811 particle is shown as a light grey sphere, carbon additives are shown in speckled grey, and the electrolyte is shown in white.

Figure 5 summarizes the chemical insight gained from SSNMR, in situ solution NMR, and XPEEM on the radial and lateral arrangement of the CEI on NMC811 composite films. Coupling to residual paramagnetic centers in NMC811 (e.g., Mn⁴⁺ and surface NiO) after electrochemical cycling leads to spinning sideband patterns for Li₂CO₃ and carboxy-containing compounds in the CEI that are attached to the surface of active particles. Based on both XPEEM and SSNMR, we believe that Li₂CO₃ is present as a native CEI component on pristine NMC811 (leftover from synthesis or from exposure to moisture⁸⁸) that can be altered during electrolyte oxidation. Electrolyte solvent oxidation at the cathode that produces particle-bound carboxy-containing CEI compounds, water, and CO₂. The water produced during these reactions hydrolyzes LiPF₆ salt in the electrolyte, forming HF that can attack Li₂CO₃ and leads to the NMC811-bound LiF detected in XPEEM. Note that some Li₂CO₃ is likely still bound to the NMC811 surface after electrochemical cycling, as indicated by ¹³C SSNMR measurements, but cannot be resolved due to spectral overlap with other CEI components in C K-edge spectra. The presence of high quantities of organic carbonates in the

514 CEI on Ni-rich cathodes is consistent with findings from Manthiram and coworkers who used a 515 combination of X-ray photoelectron spectroscopy (XPS) and time-of-flight secondary ion mass 516 517 spectrometry (TOF-SIMS) to show that oxygen evolution at high voltages produces numerous carbonate-containing structures.⁸⁹ Electrolyte oxidation at the cathode also produces highly soluble 518 CEI components such as unsaturated carbons (decomposition products that possibly arise from solvent 519 520 dehydrogenation⁴) and short-chain alkyl carbonates (e.g., DMDOHD) that fail to passivate the active material. Prior work from Shao-Horn and coworkers suggests that the formation of these vinyl species 521 is correlated with higher Ni content active materials and leads to performance decline during usage.^{4,33} 522 Strategies that mitigate interaction between the Ni-rich surface and the liquid electrolyte (e.g., coatings) 523 524 are expected to prevent the formation of these soluble decomposition products and improve LIB 525 performance.

526 Conclusions

527 We demonstrate that SSNMR can be used to analyze the radial arrangement of the CEI from 528 active material particles in Ni-rich composite cathode films. EPR measurements indicate that high 529 resolution SSNMR is enabled by dilution of the paramagnetic matrix upon oxidation of $Ni^{2+/3+}$ to Ni^{4+} 530 and can likely be extended to other Ni-rich transition metal oxides that are of technological interest 531 (e.g., LiNi_{1-x-y}Co_xAl_yO₂). XPEEM imaging complements SSNMR measurements by confirming the 532 lateral distribution of electrolyte decomposition products on the composite surface, where reaction of residual Li₂CO₃ generates an active material-bound CEI layer consisting of insoluble LiF. Soluble 533 534 organic compounds in the CEI can detach from the particle surface and randomly deposit on the outer 535 CEI of the active material as well as the carbon additives. The detection of many soluble electrolyte 536 oxidation products (e.g., vinyl groups and HF) was accomplished via in situ NMR spectroscopy and provided key evidence of reactions between the active material, CO₂, and water that lead to the 537 538 resulting CEI compositional arrangement. The molecular-level information provided by SSNMR and 539 XPEEM can be leveraged to provide mechanistic insight into how specific cycling protocols (e.g., altering upper cutoff voltage) and coating strategies may alleviate particular modes of battery 540 541 degradation. More broadly, we expect that these techniques can be extended to monitor chemical 542 reactivity at complex electrochemical interfaces used in a wide range of applications for energy storage, 543 conversion, and harvesting.

544 Supporting Information

Electrochemical cycling data, EPR spectra, solution NMR spectra, SSNMR spectra, ¹H T_1 relaxometry results, XPEEM results and associated XAS spectra can be found in the supplementary information free of charge. The NMR spectral datasets presented in this study can be accessed freely on the Open Science Framework (OSF) online repository at DOI: 10.17605/OSF.IO/VXUZC

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