

Enantioselective synthesis of atropisomeric indoles via iron catalysed oxidative cross-coupling

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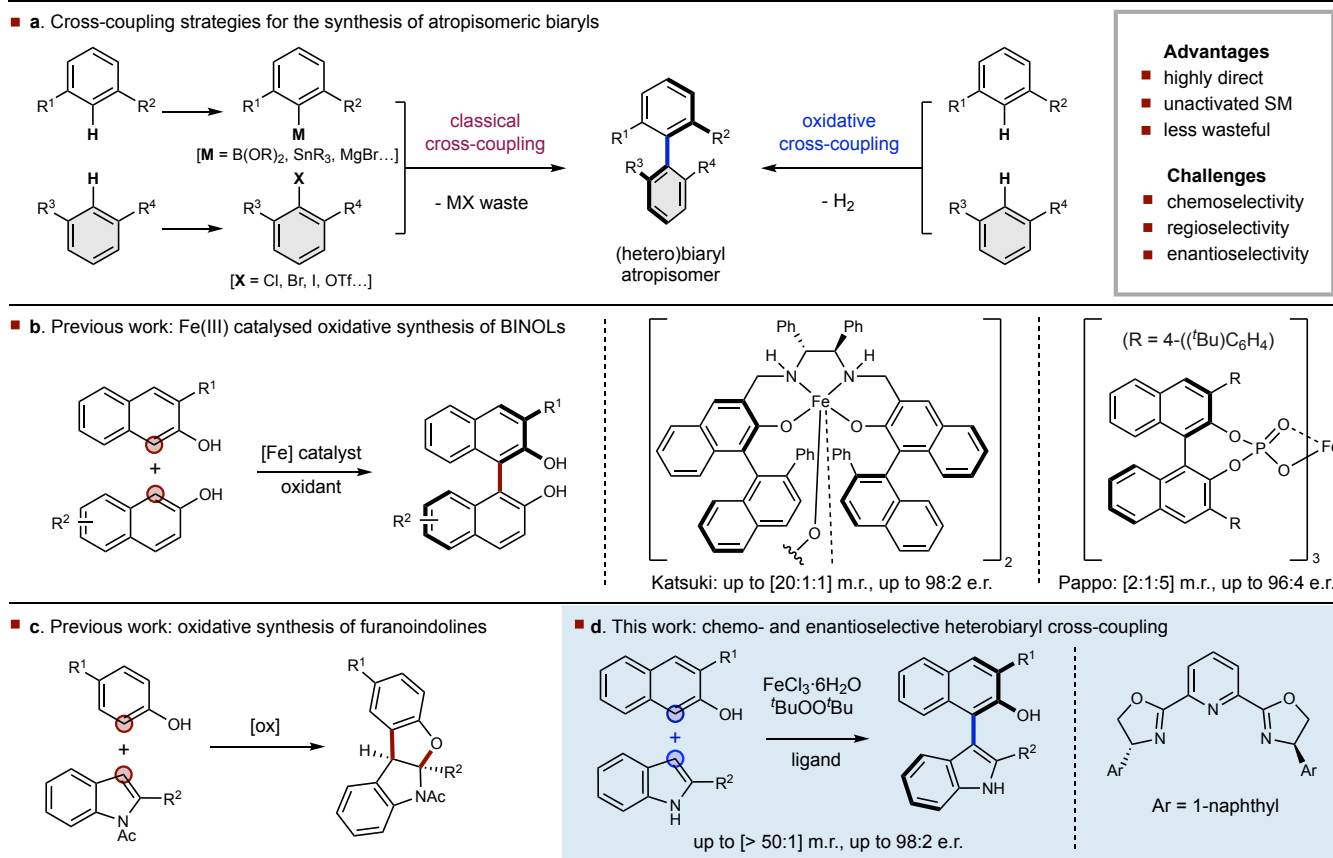
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Abstract

Heterobiaryl compounds that exhibit axial chirality are of increasing value and interest across several fields, but direct oxidative methods for their enantioselective synthesis are elusive. Here we disclose that an iron catalyst in the presence of a chiral PyBOX ligand and an oxidant enables direct coupling between naphthols and indoles to yield atropisomeric heterobiaryl compounds with high levels of enantioselectivity. The reaction exhibits remarkable chemoselectivity and exclusively yields cross-coupled products without competing homocoupling. Mechanistic investigations enable us to postulate that an indole radical is generated in the reaction but that this is likely an off-cycle event, and that the reaction proceeds through formation of a chiral Fe-bound naphthoxy radical which is trapped by a nucleophilic indole. We envision that this simple, cheap, and sustainable catalytic manifold will facilitate access to a range of heterobiaryl compounds and enable their applications across the fields of materials science, medicinal chemistry, and catalysis.

Atropisomeric biaryls comprise a privileged class of compounds whose applications span the fields of medicinal chemistry, catalysis and materials science; as such, a panoply of elegant and efficient methods have been developed for their synthesis¹. The most convergent route to biaryls is generally the transition metal mediated cross-coupling of two partners^{2,3} (although significant advances in metal-free methods have been demonstrated recently)⁴. Whilst this strategy generally results in cross-coupled products in good yields and predictable levels of chemo- and regioselectivity, these advantages may be offset by the requirement to synthesize two specifically functionalized coupling partners (Figure 1a)⁵. In principle, oxidative coupling represents a more direct, atom economic and environmentally benign approach as it creates the desired aryl-aryl linkage from two C–H bonds^{6,7}. This realization has led to a significant number of oxidative *homo*-coupling procedures that can generate *C*₂-symmetric BINOL-like structures in an enantioselective fashion. These include reactions mediated by transition metals including copper⁸⁻¹⁰, iron¹¹ and vanadium,¹² amongst others. However, in the absence of specific functional groups, controlling the regio-, chemo- and enantioselectivity of the corresponding *hetero*-couplings remains a formidable challenge, and successful examples have been limited to the synthesis of BINOL or NOBIN type scaffolds¹³⁻¹⁶. In particular, Katsuki demonstrated that iron salan complexes are effective in the enantioselective heterocoupling of naphthols¹⁷, and Pappo showed that chiral iron phosphate complexes act as effective precatalysts for the enantioselective synthesis of non *C*₂-symmetric BINOLs (Figure 1b)¹⁸. We considered whether this oxidative cross-coupling approach could be used in the development of a method for the enantioselective synthesis of axially chiral indoles, which are emerging as a valuable member of the atropisomeric biaryl family¹⁹⁻²¹.



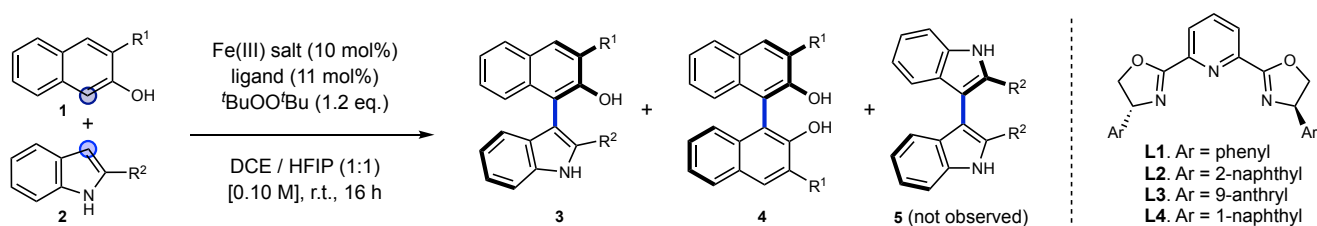
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36 **Figure 1. Oxidative cross coupling reactions.** **a** Cross-coupling strategies to assemble biaryls include transition metal catalysed cross-
 37 coupling between specifically functionalized partners and direct oxidative cross-coupling where the desired aryl-aryl linkage is formed from
 38 two C–H bonds. **b** Previous work: iron catalysed enantioselective oxidative syntheses of C_1 -symmetric BINOLs. m.r. = molar ratio of *hetero*-
 39 coupled : *homo*-coupled : *homo*-coupled products. e.r. = enantiomeric ratio. **c** Previous work: synthesis of benzofuranoindolines by oxidative
 40 coupling has been achieved by a variety of different methods ([ox] = oxidant). **d** This work: direct chemo- and enantioselective cross-coupling
 41 to form configurationally stable heterobiaryls.

42 Oxidative cross-couplings between indoles and phenols have been disclosed in the synthesis of
 43 benzofuranoindolines^{22,23}, which are key components of complex natural products including diazonamide and
 44 phalarine (Figure 1c). A range of oxidants (including hypervalent iodine reagents²⁴, iron(III) salts²⁵ and
 45 electrochemistry²⁶) have been successfully employed in such cross-coupling reactions, some of which demonstrate
 46 exceptional levels of cross-coupling selectivity. We reasoned that if the steric bulk on the phenol, and particularly
 47 the indole component was increased, the overall process could favour rearomatization rather than [3+2] annulation
 48 to generate an atropisomeric heterobiaryl (Figure 1d). We began by screening a range of oxidants for the reaction
 49 between a 1:1:1 mixture of 2-naphthol and 2-methylindole. In a preliminary screen of conditions (see supplementary
 50 information S25), hypervalent iodine reagents and VOF_3 ²⁷ were poorly selective for the desired heterocoupling
 51 process, whilst $[\text{Cu}(\text{OH})\cdot\text{TMEDA}]_2\text{Cl}_2$ in air favoured formation of the homocoupled BINOL product. We were
 52 delighted to find that catalytic iron(III) chloride in 1,1,1,3,3,3-hexafluoroisopropanol (HFIP) with di-*tert*-
 53 butylperoxide as co-oxidant^{28,29}, gave exclusively the cross-coupled heterobiaryl product **3a** in 96% yield, as a single
 54 indole C-3 regioisomer (Table 1, entry 1). The remarkable selectivity of this reaction is noteworthy: we do not
 55 observe any trace of the potential homocoupled BINOL **4** or 3,3'-bisindole side **5** products. The rotational barrier of
 56 this product was determined to be $\Delta G^\ddagger_{353\text{K}} = 26.0 \text{ kcal mol}^{-1}$; the potential configurational lability of this material (a
 57 class 2 atropisomer)³⁰ motivated us to continue to investigate compounds with higher rotational barriers. We reasoned
 58 that a larger substituent at the C-2 position of the indole would significantly increase the barrier to rotation of the
 59 product. Hence, we subjected 2-*tert*-butylindole to the same reaction conditions; this afforded 39% of the desired
 60 heterocoupled product **3b** in addition to a 48% yield of the homocoupled BINOL product (Table 1, entry 2). One
 61 strategy to mitigate homocoupling is to modulate the oxidation potential and nucleophilicity of the phenol component
 62 through the installation of an electron withdrawing group³¹⁻³³. When a naphthol bearing a C-3 methyl ester was used
 63 in the cross-coupling reaction with 2-*tert*-butylindole without a large excess of either component, exclusive formation

64 of the cross-coupled product **3c** was observed in 89% yield (Table 1, entry 3). The rotational barrier of this molecule
 65 was determined to be $\Delta G^\ddagger_{413K} = 38.3 \text{ kcal mol}^{-1}$; a barrier of this magnitude essentially precludes racemisation unless
 66 forcing thermal conditions are employed.

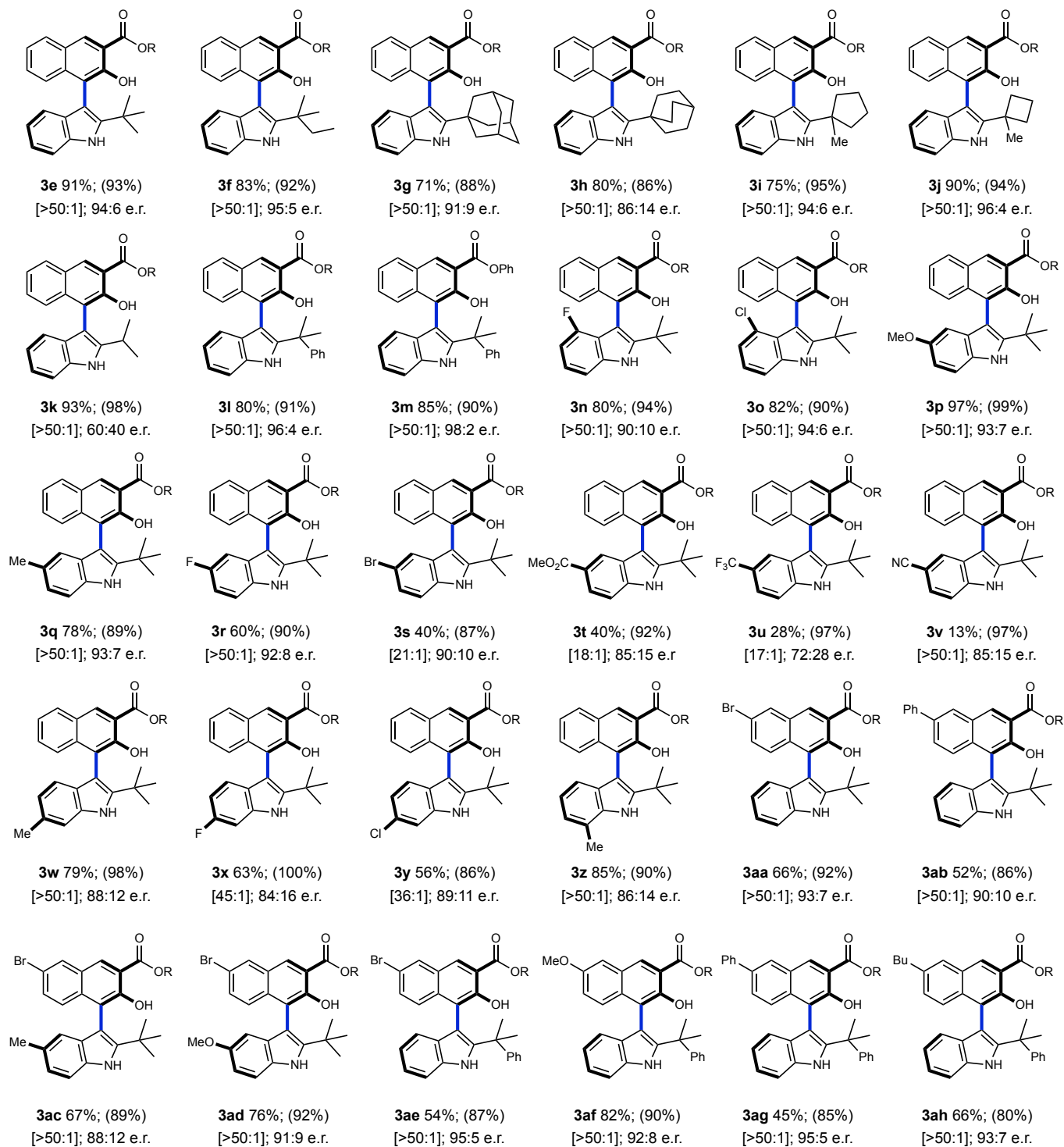
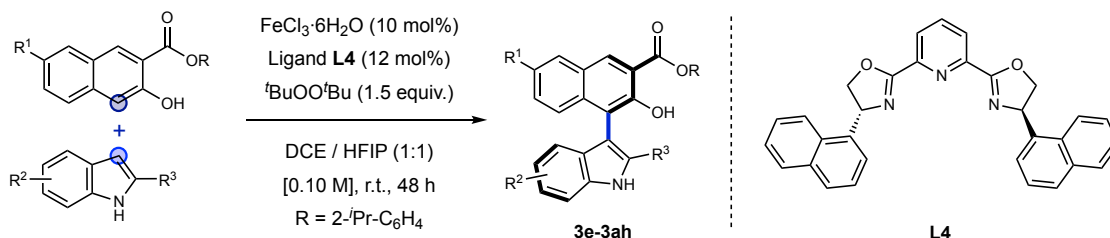
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Entry	[Fe] salt	Ligand	Heterobiaryl	R ¹	R ²	Yield of 3 (4)	[3 : 4] m.r.	e.r. of 3
1 ^a	FeCl ₃	none	3a	H	Me	96%	>50:1	n/a
2 ^a	FeCl ₃	none	3b	H	^t Bu	39% (48%)	5:6	n/a
3 ^a	FeCl ₃	none	3c	CO ₂ Me	^t Bu	89%	>50:1	n/a
4 ^a	FeCl ₃	L1	3c	CO ₂ Me	^t Bu	56%	>50:1	60:40
5 ^a	FeCl ₃ ·6H ₂ O	L1	3c	CO ₂ Me	^t Bu	80%	>50:1	70:30
6	FeCl ₃ ·6H ₂ O	L1	3c	CO ₂ Me	^t Bu	60%	>50:1	75:25
7	FeCl ₃ ·6H ₂ O	L1	3d	CO ₂ Ph	^t Bu	67%	>50:1	84:16
8	FeCl ₃ ·6H ₂ O	L2	3d	CO ₂ Ph	^t Bu	63%	>50:1	88:12
9	FeCl ₃ ·6H ₂ O	L3	3d	CO ₂ Ph	^t Bu	58%	>50:1	75:25
10	FeCl ₃ ·6H ₂ O	L4	3d	CO ₂ Ph	^t Bu	71%	>50:1	89:11
11 ^b	FeCl ₃ ·6H ₂ O	L4	3d	CO ₂ Ph	^t Bu	75%	>50:1	90:10
12 ^b	FeCl ₃ ·6H ₂ O	L4	3e	CO ₂ R*	^t Bu	63%	>50:1	92:8
13 ^{b,c,d}	FeCl ₃ ·6H ₂ O	L4	3e	CO ₂ R*	^t Bu	91% ^e	>50:1	94:6

68 **Table 1: Reaction Optimization.** Conditions: performed on 0.1 mmol scale, Fe salt (10 mol%), ligand (11 mol %) naphthol (1.0 eq.), indole
 69 (1.1 eq.), ^tBuOO^tBu (1.2 eq.), DCE/HFIP, 1:1 (v/v); [naphthol] = 0.1 M, r.t., 16 h. Yields and molar ratios (m.r.) of products determined by
 70 quantitative ¹H NMR spectroscopy using 1,3,5-trimethoxybenzene as internal standard. Numbers in parentheses refer to yields of homocoupled
 71 (BINOL) product; e.r. = enantiomeric ratio, determined by chiral stationary phase HPLC; R* = 2-isopropylphenyl; ^a [naphthol] = 0.2 M.
 72 ^b 12 mol% ligand used. ^c FeCl₃·6H₂O pulverized by sonication in DCE for 30 min before adding ligand. ^d indole (1.5 eq.), ^tBuOO^tBu (1.5 eq.),
 73 48 h. ^e isolated yield.

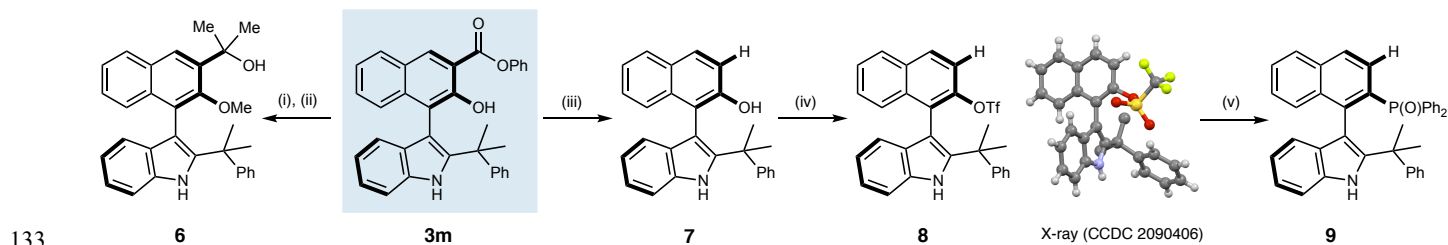
74 With an effective catalyst system in hand for the chemoselective production of the desired heterobiaryl, we focused
 75 on selection of an appropriate chiral ligand to facilitate an atropselective reaction. We discovered that ligands of the
 76 bis-oxazoline family were viable for an enantioselective transformation, with phenyl substituted PyBOX ligand **L1**
 77 affording the heterocoupled product in the presence of anhydrous iron(III) chloride in a modest 60:40 e.r.³⁴ Both
 78 yield and e.r. were improved (80% yield at 75:25 e.r.) on switching to the hexahydrate salt (which is both cheaper
 79 and easier to handle; Table 1, entry 5). We recognized that the group at C-3 of the naphthol partner might also have
 80 an impact beyond enhancing chemoselectivity and found that e.r. of **3d** increased (to 84:16) with a larger (phenyl)
 81 ester group. We subsequently explored different PyBOX ligands in combination with changes at the C-3 ester, finding
 82 that the combination of 1-naphthyl substituted PyBOX ligand **L4** with a 2-isopropylphenyl ester substrate afforded
 83 the heterobiaryl product **3e** in 63% yield and 92:8 e.r. (Table 1, entry 12). Modulation of reaction time, an increase
 84 in the quantity of ligand (to 12 mol%) and indole (to 1.5 eq.) and sonication of the Fe salt prior to ligand addition all
 85 continued the aggregation of marginal gains to ultimately afford the desired heterocoupled biaryl product in 91%
 86 yield and 94:6 e.r. With an optimized set of reaction conditions, we explored the substrate scope for the reaction
 87 (Figure 2). In all cases, the mass balance is accounted for as unreacted naphthol starting material, and the 3,3'-
 88 bisindole product **5** is not observed.



89

Figure 2. Scope of Enantioselective Indole-Naphthol Coupling. Reaction Conditions: All performed on 0.1 mmol scale: $\text{FeCl}_3 \cdot 6\text{H}_2\text{O}$ (10 mol%), ligand **L4** (12 mol%) naphthol (1.0 eq.), indole (1.5 eq.), $t\text{BuOO}t\text{Bu}$ (1.5 eq.), DCE/HFIP, 1:1 (v/v); [naphthol] = 0.10 M, r.t., 48 h. Yields refer to isolated and purified material. Figures in parentheses indicate yields based upon remaining naphthol starting material determined by quantitative ^1H NMR spectroscopy using 1,3,5-trimethoxybenzene as internal standard. Figures in square brackets represent the ratio of [heterocoupled biaryl **3** : homocoupled BINOL **4**] determined by quantitative ^1H NMR spectroscopy; e.r. = enantiomeric ratio, determined by chiral stationary phase HPLC.

96 The size of the indole C-2 substituent is important in determining the rotational barrier of the biaryl products and
 97 hence we explored substrates with sterically hindered groups in this position. An indole bearing a *tert*-amyl group
 98 couples effectively to afford **3f** in 83% yield and high enantioselectivity (95:5 er) with no trace of other products.
 99 Similarly, 2-adamantyl and bicyclo[2.2.2.]octane groups are also tolerated to afford **3g** (71% yield; 91:9 e.r.) and **3h**
 100 (80% yield; 86:14 e.r.) with good levels of selectivity. Other cycloalkyl groups including 2-methylcyclopentyl and
 101 2-methylcyclobutyl are also effective in this reaction, affording biaryls **3i** (75% yield, 94:6 e.r.) and **3j** (90% yield,
 102 96:4 e.r.) respectively. Changing to a smaller group at this position such as *iso*-propyl does not impact cross-coupling
 103 efficiency or chemoselectivity (affording **3k** in 93% yield as the sole product) but does lead to a significant reduction
 104 in enantioselectivity (to 60:40 e.r.). Enantioselectivity is restored with an indole bearing an α,α -dimethylbenzyl
 105 group (to afford **3l** in 80% yield and 96:4 e.r.). The combination of a phenyl ester on the naphthol with the α,α -
 106 dimethylbenzyl indole was also viable and more selective in this reaction, generating **3m** in 85% yield and 98:2 e.r.
 107 We subsequently explored substitution around the indole ring, and indoles bearing halogens such as fluorine or
 108 chlorine both undergo oxidation without incident to afford biaryls **3n** (80% yield, 90:10 e.r.) and **3o** (82% yield, 94:6
 109 e.r.) respectively. We next examined C-5 substitution on the indole reactant. Electron donating groups such as
 110 methoxy are highly effective, affording biaryl **3p** in 97% yield and 93:7 e.r. 5-Alkyl groups are also well tolerated,
 111 affording biaryl **3q** in 78% yield and 93:7 e.r. We observed that electron withdrawing groups such as fluorine in this
 112 position led to lower conversions as in **3r** (60% yield, 92:8 e.r.), and considered that this may provide some insight
 113 into the mechanism of this transformation. Consequently, we decided to study a series of different electron
 114 withdrawing groups in this position to evaluate the impact on the reaction. A 5-bromo substituent led to biaryl **3s** in
 115 only 40% yield, but with a relatively high enantioselectivity (90:10 e.r.). More powerful electron withdrawing groups
 116 on the indole coupling partner led to the generation of biaryls bearing an ester **3t** (40% yield, 85:15 e.r.), a
 117 trifluoromethyl group **3u** (28% yield, 72:28 e.r.) or a cyano group **3v** (13% yield, 85:15 e.r.) in lower yields and
 118 enantioselectivities; we also saw a reduction in chemoselectivity as manifested by the competitive formation of small
 119 quantities of the C_2 -symmetric BINOL product. It is clear that the electronic nature of the substituents on the indole
 120 has an impact on conversion and selectivity. C-6 substitution is tolerated albeit with slightly lower enantioselectivity:
 121 6-methyl **3w** (79% yield, 88:12 e.r.), 6-fluoro **3x** (63% yield, 84:16 e.r.) and 6-chloro **3y** (56% yield, 89:11 e.r.) are
 122 all effectively produced. An indole bearing a C-7 methyl group is also a competent partner in this reaction, leading
 123 to the corresponding biaryl **3z** in 85% yield and 86:14 e.r. We next examined whether the introduction of different
 124 groups on the naphthol coupling partner was possible. A 6-bromo substituent coupled effectively to afford biaryl **3aa**
 125 in 66% yield and 93:7 e.r.; a more conjugating group in 6-phenyl was also successful to afford **3ab** with lower
 126 conversion (52% yield) and 90:10 e.r. We are able to accommodate groups on both coupling partners: a 6-methyl
 127 indole coupled with a 6-bromo naphthol to exclusively afford the *hetero*-coupled biaryl **3ac** (67% yield; 88:12 e.r.).
 128 This principle can be extended to the formation of different biaryls such as **3ad** (76% yield, 91:9 e.r.). Different
 129 substituents on the naphthol component can also be combined with different C-2 substituents on the indole
 130 component to afford an array of different products; these are exemplified by the formation of biaryl compounds
 131 bearing bromo **3ae** (54% yield, 95:5 e.r.), methoxy **3af** (82% yield, 92:8 e.r.), aryl **3ag** (45% yield, 95:5 e.r.) and
 132 alkyl **3ah** (66% yield, 93:7 e.r.) groups.



134 **Figure 3: Chemoselective derivatizations.** (i) Me_2SO_4 (1.5 eq.), K_2CO_3 (1.2 eq.), acetone, reflux, 24 h, 90% (97:3 e.r.). (ii) MeMgCl (3.0 eq.),
 135 THF, r.t. – 65 °C, 16 h, 71% (97:3 e.r.). (iii) $\text{Pd}(\text{OAc})_2$ (10 mol.%), 1,2-bis(dicyclohexylphosphino)ethane (20 mol.%), Et_3SiH (1.5 eq.),
 136 toluene, 160 °C, 16 h, 69% (97:3 e.r.). (iv) Tf_2O (1.5 eq.), DIPEA (2.0 eq.), CH_2Cl_2 , 0 °C – r.t., 48 h, 94% (97:3 e.r.). (v) $\text{Ph}_2\text{P}(\text{O})\text{H}$, $\text{Pd}(\text{OAc})_2$
 137 (10 mol.%), 1,4-bis(diphenylphosphino)butane (20 mol.%), DMSO, 120 °C, 96 h, 56% (94% BRSM, 97:3 e.r.).

138 The atropisomeric biaryl **3m** contains a number of different functional groups, and to demonstrate their orthogonality,
 139 chemoselective derivatizations were implemented (Figure 3). The C-3 ester on the naphthol **3m** (97:3 e.r.), which is

140 implicated in the observed selectivity in the cross-coupling process, can be transformed into tertiary alcohol **6** which
141 is valuable in catalysis by *O*-functionalization followed by the addition of an excess of Grignard reagent. The C-3
142 ester group can also be conveniently removed by a palladium(II) catalysed reductive decarboxylation in the presence
143 of stoichiometric triethylsilane to afford **7**. Although this requires high temperatures, the magnitude of the barrier to
144 rotation enables this to be performed without compromising enantiointegrity. The phenol in **7** can be simply
145 transformed into triflate **8**; the absolute configuration of this compound was confirmed by X-ray crystallography.
146 This compound can function as a divergent intermediate for a range of cross-coupling reactions, as exemplified by
147 the formation of **9**, through a palladium(II) coupling with diphenylphosphine oxide.

148 The majority of mechanisms proposed for Fe catalysed oxidative cross-couplings are based upon an Fe(III)/Fe(IV)
149 cycle, which broadly parallel the accepted mechanisms for the operation of heme-containing enzymes^{35,36}. Cross-
150 coupling selectivity in non-heme systems are usually determined by differences in oxidation potentials that control
151 which cross-coupling partner is oxidized preferentially, in conjunction with other parameters that influence
152 nucleophilicity and acidity^{11,37}. To probe the determinants of reactivity and selectivity in our system, we measured
153 the oxidation potentials of 2-*tert*-butyl indole (0.71 V vs Ag/AgCl) and 2-isopropylphenyl 3-hydroxy-2-naphthoate
154 (1.42 V vs Ag/AgCl) in HFIP. These measurements clearly show that under these conditions the indole is
155 significantly easier to oxidize than the naphthol component. To determine whether our oxidation state measurements
156 were reflected by the presence of radical species in solution, we employed EPR spectroscopy (Figure 4a). We were
157 able to observe a species ($g = 2.0051$) in low spin concentration that we identified as the indole radical (by virtue of
158 its characteristic ¹⁴N hyperfine signature) by stirring the indole in HFIP/DCE without precautions to exclude oxygen.
159 In the presence of the Fe(III) catalyst, a different species also consistent with an indole radical^{38,39} can be observed
160 ($g = 2.00265$). This lacks the ¹⁴N hyperfine structure observed previously, most likely due to reduced nitrogen
161 character in the wavefunction and rapid relaxation as a consequence of being proximal to the metal centre no other
162 radical species apart from Fe(III) were observable (see supplementary information S96-97). We were also able to
163 capture the indole radical species by the addition of trapping agents triethylphosphite and 5,5-dimethylpyrroline-*N*-
164 oxide. This led to the observation of adducts of the proposed indole radical (by HRMS ESI); the corresponding
165 naphthol adducts were not observed. (see supplementary information S92-93). We also determined the oxidation
166 potentials of the indoles used in the synthesis of **3r-3v** (Figure 4b) and found that the presence of electron
167 withdrawing groups had a significant impact: the oxidation potential of the unsubstituted indole is 0.71 V, whereas
168 this value rises to 1.22 V for the 5-cyano derivative. This is consistent with the electronic nature of the 5-substituent
169 on the indole limiting the ease of oxidation, which would impact on the rate of formation of indole radicals. However,
170 the spin concentration was very low throughout our ESR experiments, and we considered that our observation of the
171 indole radical was potentially an off-cycle event occurring independently of the cross-coupling. This is consistent
172 with the lack of formation of the homocoupled indole product under the reaction conditions in the absence of the
173 phenol component, where the indole starting material can be isolated unchanged. In contrast, we were able to isolate
174 the homocoupled BINOL derivative in 66% yield when naphthol **1** was treated under the reaction conditions in the
175 absence of indole **2**. The formation of this product likely occurs via the reaction of a ligated naphthoxy radical, which
176 is trapped by a naphthol as a π -nucleophile⁴⁰, and we considered whether this mechanism could be operative for our
177 observed heterocoupling. This led us to consider whether the divergent reactivity of 5-substituted indoles observed
178 previously might be explained by the relative nucleophilicity of these substrates. Mayr has determined nucleophilicity
179 parameters for indoles, which demonstrate that electron withdrawing groups in the 5-position lead to a significant
180 reduction in rates of attack upon a standardized electrophile⁴¹. This is coherent with observations from Baran who
181 showed that reactions between indoles and ketone-derived radicals were less efficient with electron-deficient
182 indoles⁴². To probe this further, we performed a Hammett analysis of the coupling reactions that yield **3p-3v**. The
183 Hammett plot (ratio of the initial reaction rate (k_s/k_u) vs σ_p parameters) gave a linear graph with a negative slope
184 ($\rho = -0.49$, $R^2 = 0.99$; see supplementary information S102). This is indicative of the build-up of positive charge on
185 the indole during the rate-determining transition state and is consistent with its proposed role as a π -nucleophile. In
186 cases where the conversion to the heterobiaryl is low (**3r-3v**), we were able to recover both unreacted indole and
187 naphthol. This is consistent with slow trapping of the ligated naphthoxy radical with indole limiting the rate of
188 reaction where the nucleophilicity is relatively low and is also reflected in the (incrementally) lower ratio of
189 heterocoupled:homocoupled products when electron deficient indoles are used.

213 abstraction⁴⁷ or oxidation to afford **15**^{48,49}, followed by ligand exchange to enable release of the enantioenriched
214 heterobiaryl system **3** and an Fe(III) complex able to continue the catalytic cycle. Although we have proposed an
215 outer sphere coupling between a chiral Fe-bound naphthoxy radical and an indole, this does not preclude the
216 possibility of an alternative cycle involving an indole radical being operative in the catalytic reaction, and further
217 mechanistic studies are underway.

218 **Conclusion**

219 We have described a process for the enantioselective synthesis of atropisomeric heterobiaryl derivatives via a direct
220 oxidative cross-coupling that constructs the key biaryl linkage from two C–H bonds. This reaction utilizes a cheap
221 and abundant Fe catalyst in the presence of a readily available chiral PyBOX ligand to enable a remarkably
222 chemoselective cross-coupling between indoles and phenols. We envision that this process will enable the application
223 of these and similar heterobiaryl compounds across the fields of materials science, catalysis and medicine.

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230 **Authorship**

231 R.R.S., X.L. and M.D.S. conceived and designed the study; R.R.S. and X.L. performed the synthetic experiments
232 and analyzed data for all compounds; W.M. performed the ESR study. R.R.S, X.L., W.M. and M.D.S. co-wrote the
233 paper.

234 **Data Availability**

235 Crystallographic data for compound **8** has been deposited with the Cambridge Crystallographic Data Centre under
236 deposition number CCDC 2090406. These data can be obtained free of charge from
237 www.ccdc.cam.ac.uk/data_request/cif. Any relevant data not present in the manuscript or supplementary information
238 are available from the authors.

239 **Additional Information**

240 Supplementary information, X-ray data (CIF) for compound **8** and chemical compound information are available in
241 the [online version](#) of the paper. Reprints and permissions information is available online at www.nature.com/reprints.
242 Correspondence and requests for materials should be addressed to M.D.S.

243 **Competing Financial Interests**

244 The authors declare no competing financial interests.

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