

1 **Photoswitchable Serotonins for Optical Control of the 5-HT<sub>2A</sub> Receptor**

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9 **Abstract**

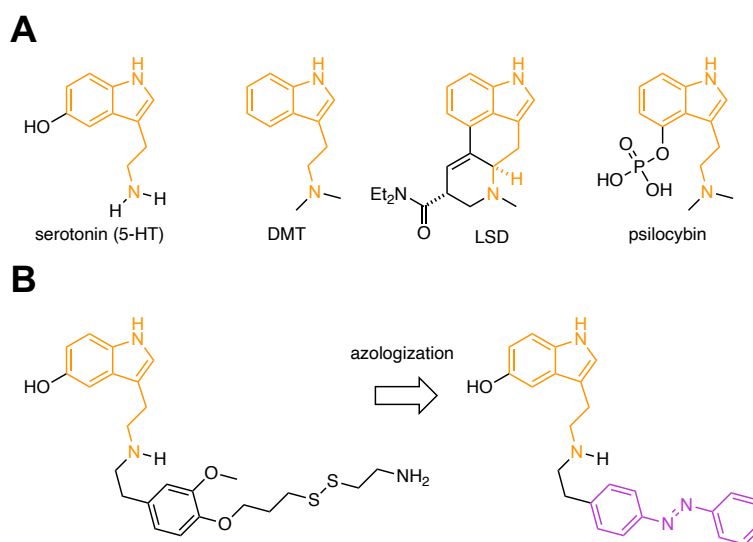
10 The serotonin receptor family of G protein-coupled receptors (GPCRs) and ligand-gated ion channels play  
11 central roles in neuromodulation and are critical drug targets for the treatment of psychiatric disorders.  
12 Optical control of serotonin receptor subtypes has the potential to greatly enhance our understanding of  
13 the spatiotemporal dynamics of receptor function both at the cellular level and within neural circuits. While  
14 other neuromodulatory receptors have been successfully rendered photoswitchable, reversible  
15 photocontrol of serotonin receptors has not been achieved, representing a major gap in GPCR  
16 photopharmacology. Herein, by designing and screening a family of azobenzene-conjugated serotonin  
17 analogues, we developed the first photopharmacological tools that allow for such control. **Azo5HT-2**  
18 shows light-dependent 5-HT<sub>2A</sub>R agonism, inducing receptor-mediated calcium signaling in the light-  
19 activated *cis*-form. Based on computational docking and test compound analysis, we also synthesize and  
20 test photoswitchable orthogonal, remotely-tethered ligands (PORTLs). **BG-Azo5HT<sub>n</sub>** PORTLs provide  
21 rapid, reversible and repeatable optical control following conjugation to SNAP-tagged 5-HT<sub>2A</sub>R. Overall,  
22 this study both introduces new tools for the optical control of 5-HT<sub>2A</sub>R and provides a foundation for the  
23 broad extension of photopharmacology to the serotonin receptor family.

## 24 **Introduction**

25 Serotonin (5-hydroxytryptamine; 5-HT) is a neuromodulator that is released in the brain primarily by Dorsal  
26 Raphe Nuclei neurons, in the gut by enterochromaffin cells, and in blood platelet cells.<sup>1,2</sup> Serotonin acts  
27 through a large family of G protein-coupled receptor (5-HT<sub>1</sub>Rs, 5-HT<sub>2</sub>Rs, 5-HT<sub>4</sub>Rs, 5-HT<sub>5</sub>Rs, 5-HT<sub>6</sub>Rs, 5-  
28 HT<sub>7</sub>Rs) and ion channel (5-HT<sub>3</sub>Rs) subfamilies to regulate a plethora of neuronal and behavioral processes.<sup>1</sup>  
29 Given the importance of 5-HT to the regulation of mood, cognition and reward, great effort has been made  
30 to harness pharmacology to manipulate 5-HTRs for both basic study and therapeutic applications. Recent  
31 developments establishing the potential of 5-HT<sub>2A</sub>R-targeting psychedelic drugs for the treatment of  
32 depression, anxiety, and addiction have further motivated the detailed study of 5-HTR signaling.<sup>3-7</sup> Despite  
33 great attention, limitations in the ability of 5-HTR-targeting compounds in terms of subtype-specificity and  
34 spatiotemporal precision and their inability to be targeted to genetically defined cell types have hindered  
35 progress toward a mechanistic understanding of the physiological and therapeutic effects of 5-HTR  
36 signaling.

37 As an alternative to classical pharmacology, photopharmacology has emerged as a means of gaining  
38 further precision through the development of photosensitive compounds whose activity can be modified  
39 depending on the wavelength of illumination<sup>8-10</sup>. Photopharmacological compounds have enabled the  
40 optical control of a variety of GPCRs, including class A ( $\mu$ -opioid receptor,<sup>11</sup> dopamine receptors,<sup>12,13</sup>  
41 histamine receptors,<sup>14</sup> adenosine receptors,<sup>15</sup> muscarinic receptors,<sup>16</sup> adrenergic receptors<sup>17</sup>, fatty acid  
42 receptors,<sup>18</sup> lysophospholipid receptors,<sup>19,20</sup> and cannabinoid receptors<sup>21,22</sup>), class B (glucagon-like peptide  
43 1 receptor<sup>23,24</sup>), and class C GPCRs (metabotropic glutamate receptors<sup>25-28</sup>). For further precision, including  
44 the ability to target the effects of light to genetically-defined cell populations, photopharmaceuticals may  
45 be covalently tethered to a genetically engineered receptor containing a labeling domain (i.e. SNAP, Halo)  
46 as Photoswitchable Orthogonal Remotely Tethered Ligands (PORTLs), as has been demonstrated with  
47 metabotropic glutamate receptors (mGluRs).<sup>29,30</sup> mGluR-targeting PORTLs have been applied for both  
48 molecular biophysical studies<sup>31</sup> and the *in vivo* manipulation of mGluR2 in specific cell types<sup>32-34</sup> in behaving  
49 mice, providing a template for their development and application in complex systems.

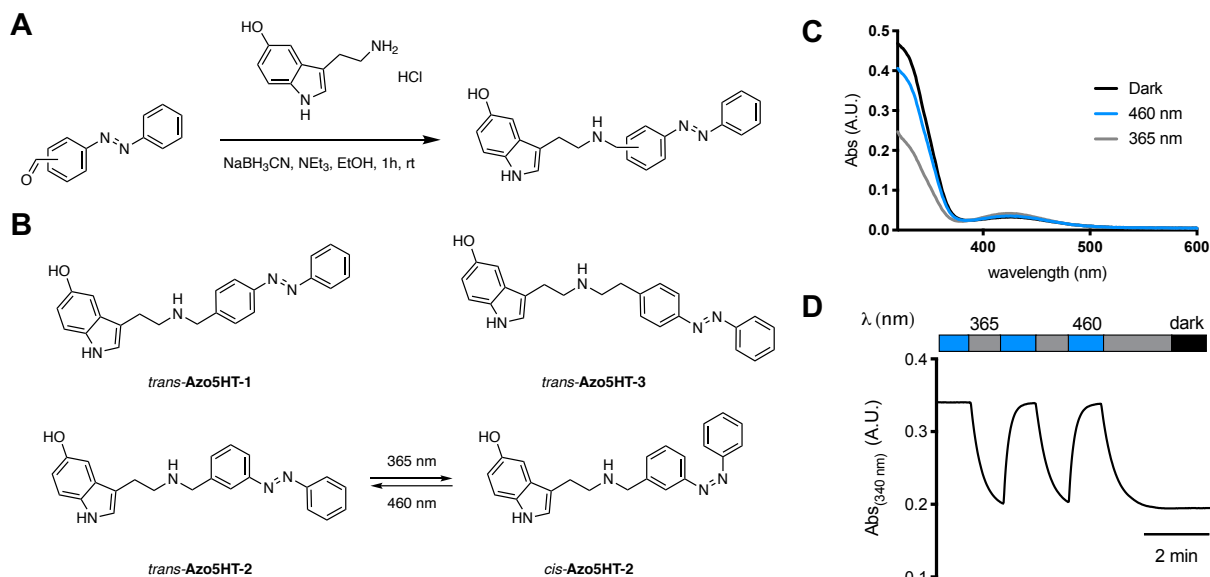
50 Surprisingly, 5-HTRs have received limited attention in terms of photopharmacology. Photocaged variants  
51 of serotonin have enabled light-induced release of 5-HT through removal of photocleavable protecting  
52 groups.<sup>35-38</sup> However, these tools do not offer reversible control, lack 5-HTR subtype targeting, and have  
53 not been paired with genetic targeting as can be done with PORTLs.<sup>29,30,39</sup> Thus, the development of a  
54 photoswitchable ligand platform for the 5-HTR family would enable the study of these receptors with  
55 unprecedented spatiotemporal control, which could facilitate new insight into the dynamics of neural  
56 signaling. Herein, we describe the first development of a series of photoswitchable ligands for the 5-HT<sub>2</sub>  
57 receptor family. We identify an azobenzene-conjugated 5-HT lead compound, **Azo5HT-2**, that enables  
58 optical control of 5-HT<sub>2A</sub>Rs with activity which is increased approx. 10-fold in the *cis* form upon irradiation.  
59 Computational structural analysis suggests that the 5-HT moiety of *cis*-**Azo5HT-2** binds with a canonical  
60 pose and enables access to the azobenzene ring from the extracellular face of the receptor, motivating the  
61 design and synthesis of a first generation of 5-HT PORTLs. Finally, **BG-Azo5HT<sub>n</sub>** PORTLs of variable linker  
62 length enable repeatable optical control of SNAP-tagged 5-HT<sub>2A</sub>R, opening the door to genetically  
63 targeted, receptor-specific optical control of serotonergic signaling.



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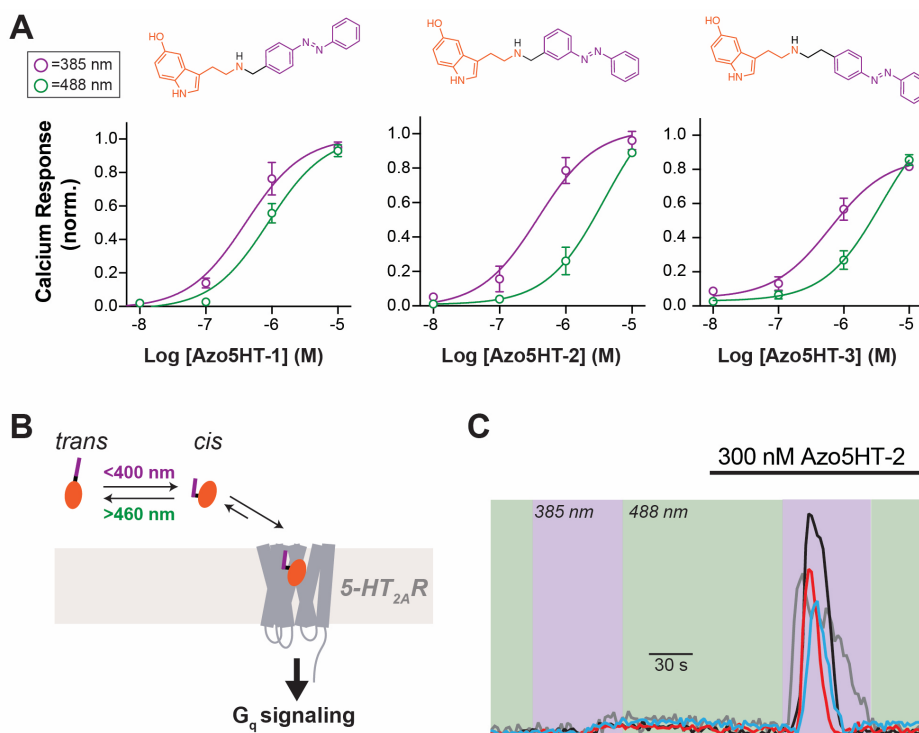
65 **Figure 1.** (A) Representative tryptamine-derived agonists of 5-HT receptors: serotonin, PNU 22394, LSD,  
66 and psilocybin. Shared tryptamine moiety highlighted in orange. (B) Azologization strategy<sup>40,41</sup> for the  
67 design of photoswitchable agonists based on a previously-reported covalent agonist of 5-HT<sub>2A</sub> (left).<sup>42</sup>

68 The 5-HT receptor family is targeted by a variety of natural and synthetic agonists, including many with a  
 69 tryptamine (indolamine) moiety (Fig. 1A). We considered several of these ligands for the design of  
 70 photochromic agonists but reasoned that serotonin would be best suited because analogs would likely  
 71 mimic endogenous signaling and derivatives which could be suited for incorporation of azobenzene motifs  
 72 have been reported previously. These derivatives include covalent 5-HT analogs with an appended  
 73 benzene ring (Fig. 1B).<sup>42</sup> We considered an ‘azologization’<sup>40,41</sup> approach to install the azobenzene at the  
 74 matching position (**Azo5HT-3**) and designed additional derivatives with the azobenzene moved one carbon  
 75 atom closer to the pharmacophore (**Azo5HT-1** and **Azo5HT-2**). The derivatives were synthesized through  
 76 reductive amination of 5-HT with the corresponding azobenzene-aldehydes (Fig. 2 A,B). Photophysical  
 77 characterization of **Azo5HT-1** to **Azo5HT-3** (Fig. 2 C,D and Fig. S1) revealed similar properties to classical  
 78 azobenzenes. All derivatives could be reversibly switched to their respective *cis* and *trans* forms with UV-A  
 79 (365 nm) and blue light (460 nm), respectively, and underwent slow thermal relaxation ( $t_{1/2} > 1\text{h}$ ).



80  
 81 **Figure 2.** Synthesis and photophysical characterization of photoswitchable 5-HT derivatives **Azo5HT-1-3**.  
 82 (A) Synthesis of **Azo5HT** series. (B) Chemical structures of **Azo5HTs**. (C) The UV-Vis spectra of **Azo5HT-**  
 83 **2** in the dark-adapted (black, *trans*), 365 nm adapted (grey, *cis*) and 460 nm adapted (blue, *trans*)  
 84 photostationary states (50 mM, DMSO). (D) Reversible cycling between **Azo5HT-2** photoisomers with  
 85 alternating illumination at 365/460 nm (50 mM, DMSO).

86 To assess the ability of **Azo5HT** molecules to serve as 5-HTR agonists, we tested each compound  
 87 across the human 5-HT<sub>2</sub>R family (5-HT<sub>2A</sub>R, 5-HT<sub>2B</sub>R, 5-HT<sub>2C</sub>R). As these receptors are all G<sub>q</sub>-coupled and  
 88 produce intracellular Ca<sup>2+</sup> release via phospholipase C-β activation, we performed live cell Ca<sup>2+</sup> imaging  
 89 with the fluorescent sensor, GCaMP6f. Using this assay, all three receptors showed the expected 5-HT  
 90 responses with nM EC<sub>50</sub> values (Table 1). Compounds **Azo5HT-1-3** were tested independently either under  
 91 standard conditions with 488 nm illumination for GCaMP6f excitation, which maintain them in the *trans*  
 92 state, or with interweaved 385 nm illumination to convert them to the *cis* state. All three compounds  
 93 showed dose-dependent activation of 5-HT<sub>2A</sub>R in the *trans* and *cis* states with a leftward shift in the *cis*  
 94 state (Fig. 3A; Fig. S2). For **Azo5HT-1** there was a ~2-fold shift, while a larger 5-10-fold shift was seen for  
 95 **Azo5HT-2** and **Azo5HT-3** (Table 1). It's worth noting that 385 nm likely does not maximally occupy the *cis*  
 96 state, so the relative difference between *cis* and *trans* may be underestimated using this approach. In  
 97 contrast to the 5-HT<sub>2A</sub>R, no or very modest differences were observed between *cis* and *trans* for each  
 98 molecule on 5-HT<sub>2B</sub>R and 5-HT<sub>2C</sub>R (Table 1; Fig. S2).



99

100 **Figure 3.** Photoactivation of 5-HT<sub>2A</sub>R by **Azo5HT-2**. (A) Dose-response curves for **Azo5HT** compounds  
 101 showing enhanced agonism for *cis* versus *trans* for all compounds using a Ca<sup>2+</sup> imaging assay. (see Table

102 S1). (B) Schematic of **Azo5HT-2** mediated optical control. (C) Representative  $\text{Ca}^{2+}$  imaging traces showing  
103 photoactivation of 5-HT<sub>2A</sub>R by **Azo5HT-2**. In the absence of **Azo5HT-2**, no 385 nm light response is seen  
104 but a clear response is seen in the presence of 300 nM **Azo5HT-2** with similar on and desensitization  
105 kinetics compared to 5-HT application (see Fig. S4).

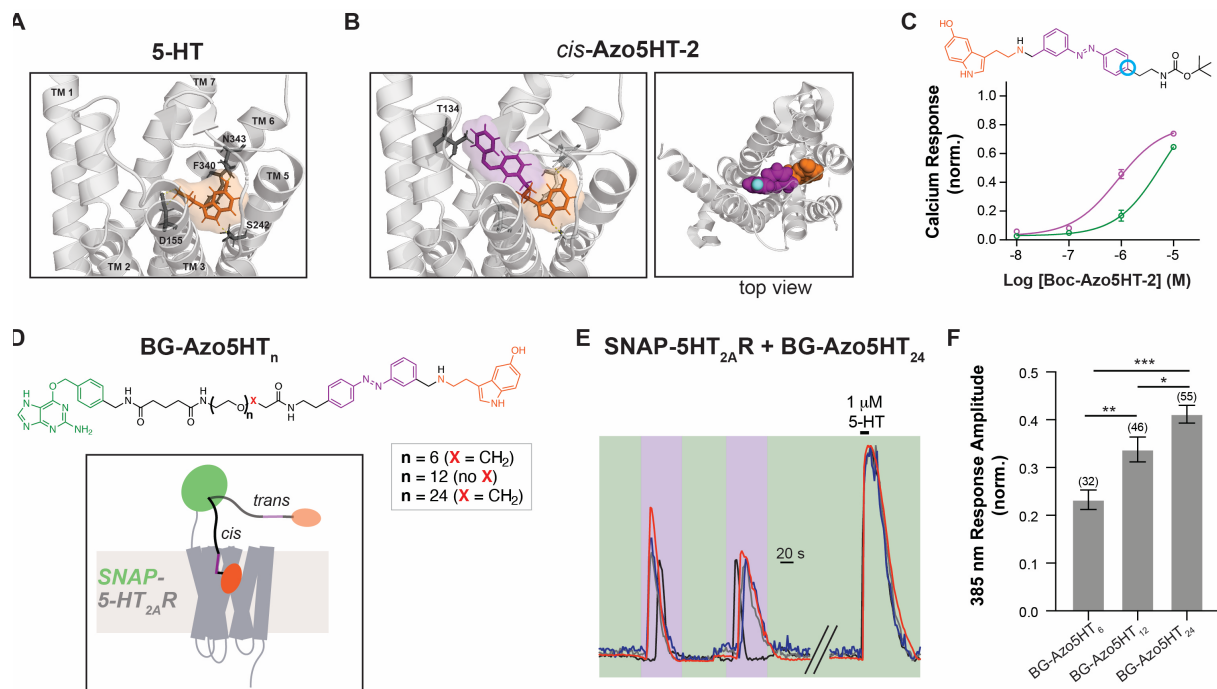
106 Next, we asked if **Azo5HT-2** photoconversion could be harnessed for optical activation of 5-HT<sub>2A</sub>R  
107 (Fig. 3B). Application of 100-300 nM **Azo5HT-2** produced minimal responses under 488 nm illumination  
108 but following application of 385 nm light, clear responses were observed that were up to 50% in amplitude  
109 relative to saturating 5-HT (Fig. 3C; Fig. S4A-B). Together, these data indicate that **Azo5HT-2** enables  
110 reversible photoagonism of 5-HT<sub>2A</sub>R with similar signaling properties to the endogenous agonist 5-HT.

111 We next used computational ligand docking to gain insight into the binding mode of **Azo5HT-2**  
112 using the recently reported LSD-bound crystal structure of the 5-HT<sub>2A</sub>R.<sup>43</sup> *Cis*-**Azo5HT-2** showed similar  
113 binding of the 5-HT moiety compared to 5-HT alone (Fig. 4A, B) and the azobenzene moiety showed  
114 occupancy of a pocket toward the extracellular face of the receptor with likely solvent accessibility from  
115 the cell surface (Fig. 4B, Fig. S5A). In contrast, *trans*-**Azo5HT-2** showed variable docking results with a  
116 lower proportion of docks containing a canonical pose (see SI for details) for the 5-HT moiety (24/78 for  
117 *cis*-**Azo5HT-2** versus 7/41 for *trans*-**Azo5HT-2** versus 25/95 for 5-HT) (Fig. S5B, C). Based on the potential  
118 binding pose of *cis*-**Azo5HT-2**, we reasoned that extension of this molecule would be tolerated and,  
119 ultimately, enable tethering to a labeling site (e.g. SNAP-tag) outside the core of the transmembrane helix  
120 bundle and extracellular loops of the receptor. To test this, we synthesized the extended photoswitch **Boc**-  
121 **Azo5HT-2** (Fig. 4C) using our established reductive amination conditions (Fig. S2). **Boc-Azo5HT-2** showed  
122 clear agonism of 5-HT<sub>2A</sub>R and maintained enhanced apparent affinity in the *cis* state (Fig. 4C; Table 1),  
123 enabling photo-activation of  $\text{Ca}^{2+}$  responses (Fig. S6).

124 Motivated by our docking and **Boc-Azo5HT-2** test compound analysis, we designed PORTLs with  
125 the goal of enabling tethered optical control of SNAP-5HT<sub>2A</sub>R (Fig. 4D). To this end, BOC-Azo5HT-2 was  
126 deprotected and various PEG-linkers and benzyl guanine (BG) were attached through successive amide  
127 couplings (Fig. S3). We first used a previously established fluorophore competition labeling assay<sup>30</sup> to

128 confirm that all PORTLs efficiently label N-terminally SNAP-tagged 5-HT<sub>2A</sub>R (“SNAP-5HT<sub>2A</sub>R”) (Fig. S7A,  
 129 B). We then tested the ability of each PORTL to produce optically-evoked Ca<sup>2+</sup> responses following  
 130 conjugation to SNAP-5HT<sub>2A</sub>R and 385 nm illumination. Reversible and repeatable 385 nm light-evoked Ca<sup>2+</sup>  
 131 transients were seen with all 3 PORTL variants in 10-40% of cells with a higher proportion of cells showing  
 132 photoactivation with BG-Azo5HT<sub>24</sub> and BG-Azo5HT<sub>12</sub> compared to BG-Azo5HT<sub>6</sub> (Fig. S7C, D). Light  
 133 responses were not seen in the absence of PORTL labeling (Fig. S7E) labeling and were as large as 60%  
 134 in amplitude relative to saturating 5-HT for BG-Azo5HT<sub>24</sub> and smaller for shorter variants (Fig 4F).  
 135 Importantly, 385 nm light responses were blocked by the 5-HT<sub>2</sub>R antagonist ketanserin (Fig. S7F). A subset  
 136 (<10%) of cells showed Ca<sup>2+</sup> transients in the absence of 385 nm illumination (Fig. S7G), likely indicative of  
 137 some activation via the PORTL in *trans*. This potential *trans* activation was more pronounced in shorter  
 138 variants, suggesting that the decreased local concentration associated with longer PORTLs enhances the  
 139 relative *cis* versus *trans* agonism via the Azo5HT moiety. Together, these data establish genetically  
 140 targetable, PORTL-mediated optical control of 5-HT<sub>2A</sub>R and provide a strong foundation for both further  
 141 engineering and application.

142





144 **Figure 4.** Docking and test compound analysis enable PORTL development. (A-B) Docking analysis  
145 showing that both 5-HT (A) and the 5-HT moiety of **Azo5HT-2** (B) show identical poses, with the  
146 azobenzene moiety occupying a water-filled cavity at the extracellular face of 5-HT<sub>2A</sub>R. Residues  
147 associated with canonical 5-HT binding are highlighted in (A) and position T134, which was previously  
148 substituted for conjugation of a covalent 5-HT<sub>2A</sub>R agonist<sup>42</sup>, is highlighted in (B). Top view (B, right) shows  
149 that the para position (yellow) is positioned facing toward the extracellular solution. C) Chemical structure  
150 (top; para position circled in yellow) and dose response curve (bottom) showing light-dependent  
151 (purple=385 nm illumination; green=488 nm illumination) activation of 5-HT<sub>2A</sub>R by **Boc-Azo5HT-2**. (D)  
152 Chemical structure, top, and schematic, bottom, of **BG-Azo5HT<sub>n</sub>** PORTL-mediated optical control of  
153 SNAP-tagged 5-HT<sub>2A</sub>R. (E-F) Representative traces (F) and summary bar graph (G) showing  
154 photoactivation of SNAP-5-HT<sub>2A</sub>R by **BG-Azo5HT<sub>n</sub>** PORTLs. The numbers of cells analyzed are shown in  
155 parentheses. \* indicates statistical significance (1-way ANOVA with Tukey-Kramer Multiple Comparisons;  
156  $p=0.0068$  for BG-Azo5HT<sub>6</sub> vs. BG-Azo5HT<sub>12</sub>,  $p<0.0001$  for BG-Azo5HT<sub>6</sub> vs. BG-Azo5HT<sub>24</sub>,  $p=0.036$  for BG-  
157 Azo5HT<sub>12</sub> vs. BG-Azo5HT<sub>24</sub>)

158 In summary, we have developed first-in-class photoswitchable analogs of serotonin that allow for  
159 the optical control of 5-HT<sub>2A</sub>R. Interestingly, all three test compounds, **Azo5HT1-3** showed preferential  
160 agonism in *cis* over *trans* on the 5-HT<sub>2A</sub>R, but no clear difference between states on the 5-HT<sub>2B</sub>R or 5-  
161 HT<sub>2C</sub>R, providing a powerful chemical lead for further molecular pharmacological analysis. At the  
162 appropriate concentrations (100-300 nM), our lead compound **Azo5HT-2** is inactive in the dark and  
163 becomes an effective agonist for 5-HT<sub>2A</sub>R following illumination. While **Azo5HT-2** offers the advantage of  
164 being based on the endogenous 5-HT ligand, the design employed likely provides a template for  
165 azologization of other 5-HTR agonists, including psilocin and LSD which all contain a shared tryptamine  
166 motif. Furthermore, this study establishes the proof-of-principle of photopharmacology for 5-HTRs and  
167 should provide a basis for extension of this approach to other 5-HTR subfamilies, including those that are  
168 Gi<sub>o</sub> (5-HT<sub>1</sub>Rs, 5-HT<sub>5</sub>Rs) or G<sub>s</sub> (5-HT<sub>4</sub>R, 5-HT<sub>6</sub>R, 5-HT<sub>7</sub>R)-coupled.

169 Most importantly, our screen of azobenzene-conjugated 5-HT analogs lays the groundwork for  
170 their proximity photopharmacology. BG-Azo5HT PORTLs enable reversible, repeatable optical control of

171 SNAP-5HT<sub>2A</sub>R, opening the door to spatiotemporally precise and genetically-targeted control of this  
172 biologically important receptor. As an intriguing possibility, the PORTL technique enables incorporation of  
173 mutations to the SNAP-tagged receptor that alter transducer coupling (e.g. G protein versus arrestin) or  
174 regulation (e.g. phosphorylation or scaffold sites) to test their roles in a biological context. This approach  
175 has long-term potential for untangling the pleiotropic antidepressant, anxiolytic, anti-addictive and  
176 hallucinogenic effects of 5-HT<sub>2A</sub>R agonism. Finally, the establishment of a core PORTL for the 5-HT<sub>2A</sub>R may  
177 enable the application of next-generation PORTL approaches including branched PORTLs for dual imaging  
178 and manipulation,<sup>33</sup> spectrally fine-tuned PORTLs<sup>34</sup> or PORTL-based strategies for targeting native  
179 receptors.<sup>44,45</sup>

180

#### 181 **Associated Content**

182 The Supporting Information is available free of charge at [weblink].

183 Experimental details, NMR spectra, photophysical characterization, and supporting data on cellular  
184 imaging and computational ligand docking studies.

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196

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## 209 **References**

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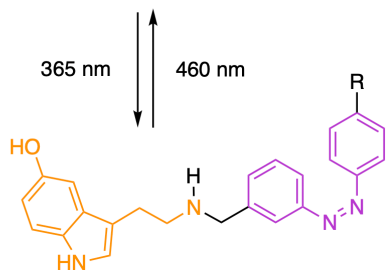
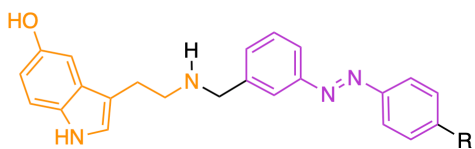
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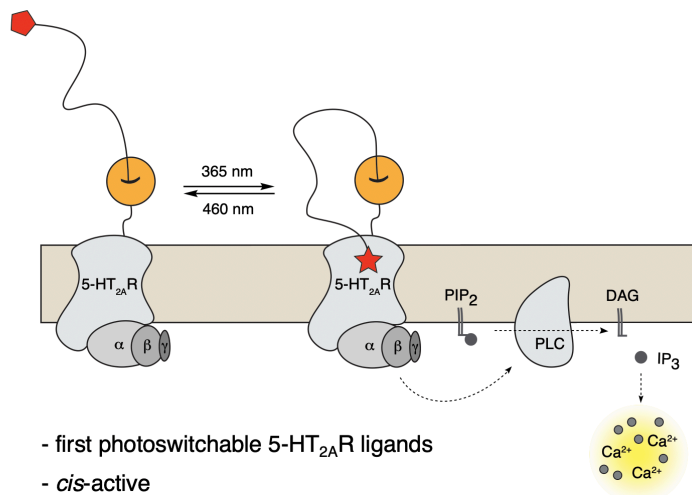
363

364 TOC Figure



365 nm      460 nm

R = H or Tether



- first photoswitchable 5-HT<sub>2A</sub>R ligands
- *cis*-active
- 10-fold activity difference
- tethered variants for genetically-targeted control

365