## Principle of Chirality Hierarchy in Three-Blade Propeller Systems

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## Abstract

There are different kinds of molecular chirality, such as zero-dimensional point chirality, onedimensional axial chirality, 2D planar chirality, and 3D chirality. When they coexist in one system, such as in helical structures of proteins and DNA, they form a chirality hierarchy. Earlier, we showed that the chirality propensity of a lower level in a hierarchy is dictated by that of a higher level and henceforth proposed the Principle of Chirality Hierarchy. In this work, we confirm the validity of this principle in the three-blade propeller molecular system. We consider different choices for the three components of a propeller and systematically investigate the dependence of the stereoisomeric energy difference on these choices. Our results show that the preference of the OD chirality of a functional group in the propeller system is determined by the 1D chirality, and homochirality is also a remarkable feature for this system. We also unveiled that there exists positive cooperativity and electrostatic interactions play the dominant role in determining the stereoisomeric stability difference while the roles for exchange-correlation and steric effects are minor but indispensable. The establishment and confirmation of the Principle of Chirality Hierarchy from this work should find important applications in asymmetric synthesis, macromolecular assembly, and many others.

## **TOC Graphic**



*Keywords*: Homochirality; Handedness; Propeller; Chirality hierarchy; Density functional theory; Cooperativity; Electrostatic interaction

Chirality is the geometric property of a molecular system whose mirror image is not superposable to itself. Chiral center as the most common form of molecular chirality is usually called point chirality or 0D (zero-dimensional) chirality, which can be labeled by D/L based on relative optical activity using Latin words Dexter (right) and Laevus (left), or by its R or S absolute configuration using Latin words *Rectus* (right) and *Sinister* (left).<sup>1</sup> There are other types of chirality in molecules. For example, in axial chirality, a molecule with a helical, propeller, or screw-shaped geometry is held in a spatial arrangement about an axis that is not superposable on its mirror image. This property is also called 1D (one-dimensional) chirality or helicity, expressed by P (plus) or  $\Delta$  for right-handedness (counterclockwise), and M (minus) or  $\Lambda$  for left-handedness (clockwise).<sup>2</sup> Similarly, there are planar (2D, two-dimensional) chirality (e.g., in atropisomers), and supramolecular and topological (3D, three-dimensional) chirality reported in the literature.<sup>2,3</sup> When different kinds of chirality in different dimensions are brought together and embedded in one molecular system, a chirality hierarchy will then be generated. For example, in helical structures, which is of 1D chirality, of proteins and DNA, amino acid residues or deoxyribose sugars contain chiral centers (OD chirality), so proteins and DNA structures form a chirality hierarchy with two kinds of chirality, OD and 1D. In principle, it is possible that a 3D chiral supramolecular structure contains planar (2D) chirality, whose structure also possesses axial (1D) chirality and (0D) chiral centers, thus forming a complete set of chirality hierarchy.

Very recently, based on the model results for proteins and DNA to attribute the origin of homochirality, we proposed the *Principle of Chirality Hierarchy*,<sup>5</sup> which states that *the propensity of lower dimension chirality is dictated by that of the higher dimension chirality*. One consequence of this *Principle* is homochirality, as well shown in proteins and DNA, a striking feature of these life-essential biomacromolecules with multiple chiral centers,<sup>8-10</sup> where all chiral centers in a system possess the same chirality. We have shown that right-handed  $\alpha$ -helix and 3<sub>10</sub>-helix structures in proteins both favor the L-chiral form of amino acids,

whereas deoxyribose sugars in right-handed DNA helical structures prefer the D-chiral form instead.<sup>5</sup> We also identified the existence of strong cooperativity effect,<sup>5-7</sup> which is dominated by the favorable electrostatic interaction in the homochiral conformation through the analysis of total energy partition<sup>11,12</sup> and information-theoretic approach.<sup>13,14</sup>

In this work, we confirm the validity of the *Principle of Chirality Hierarchy* in an another axial chirality system, a left-handed three-blade propeller molecule (Scheme 1). There are three components to make a propeller molecule in Scheme 1, including the propeller central atom X, the six-membered-ring (6MR), and the number and location of chiral groups on the 6MR, which can be at either the *meta* position, or *para* position, or both. The purpose of the present study is to answer the following three questions. (i) When one or more chiral groups are placed on the 6MR of the propeller blade, will there be any stability difference between its R and S stereoisomers? (ii) What is the impact of the three components of the blade on the R/S stability difference? In other words, how does the higher hierarchical chirality still valid for this model system? If yes, what is the role of the electrostatic interaction dominance and cooperativity effect?

To that end, as shown in Scheme 1, we build the three-blade propeller model with 6 options for the center X, X = Al, B, C<sup>+</sup>, C<sup>-</sup>, N and P, 8 alternatives for the six-membered ring, 6MR = phenyl, pyridine, pyrimidine, pyrazine, triazine, and borazine, and 10 choices for the chiral groups, C<sup>\*</sup>R<sub>1</sub>R<sub>2</sub>R<sub>3</sub> with R<sub>1</sub>R<sub>2</sub>R<sub>3</sub> = H, F, Cl, OH, and Me, in either *R* or *S* conformer attached to the *meta*, *para*, or both positions. Blade angles defined as the dihedral angle between two blades for one of the systems are available as an illustrative example in *SI* Table S1. To examine the homochirality propensity and interaction dominance, we choose one model with 6 chiral centers and consider all possible chirality permutations, yielding 2<sup>6</sup> = 64 stereoisomers. To analyze the cooperativity effect, <sup>5,7,15-17</sup> we gradually add chiral groups to the 6MR, and observe the *S-R* stereoisomeric energetic difference to quantify the enhancement of the energetic

difference as more chiral groups are added to the 6MR of the blades.

Table 1 shows the dependence of the stereoisomeric energy difference (S-R) on basis sets and methodologies for three models, where with X=B, 6MR=phenyl, the chiral group is CHFMe, and chiral groups are placed on *meta*, *para*, and two *meta* positions of each blade, respectively. Results in the last row with the CBSB7 basis set and MP2 method can be regarded as the reference. As an illustration, Figure 1 exhibits the optimized structure of R and Sconformers of the model at the MP2/6-311G(d) level of theory with chiral groups all at the meta position. As can be seen from the Table, (i) the stereoisomeric energy difference is always no zero, suggesting that when chiral centers are placed on 6MRs, there will always be a stability difference between R and S configurations, yielding a thermodynamic preference of one conformer over the other. (ii) This stability difference depends on where and how many these chiral centers are placed. (iii) Compared with the results from the complete basis set and MP2 method, the stereoisomeric energy difference does not significantly depend on the choice of basis sets and approximate density functionals. It is well known that there is no energetic difference between a pair of enantiomers, R and S. For example, the R configuration of CHFMe (0D, point chirality) should have the same energy as its mirror image, the S configuration. However, when it is put on blades of a propeller molecule (with 1D, axial chirality) in Scheme 1, such as the one shown in Fig. 1, which henceforth forms a 0D-1D chirality hierarchy, discernible differences in energy from the two stereoisomers are yielded. The results in Table 1 numerically confirm this point. They also show that chiral centers placed at different positions and with different numbers on the blade could generate substantially different results, highlighting the validity of the Principle of Chirality Hierarchy.

This stereoisomeric energy difference also depends on the nature of the three components of the blade. Table 2 displays the result for the impact of the propeller center, where six choices, Al, B, C<sup>+</sup>, C<sup>-</sup>, N and P, were examined for ten chiral groups located on only one *meta* position of the three blades. It is seen from the Table that the *S-R* stereoisomeric

energy difference can be both negative and positive with the same propeller center but with different chiral groups. The same is true for the same chiral group but different propeller centers. This result confirms that the nature of the axial chirality (i.e., the 3-blade propeller) determines the propensity of the point chirality (i.e., the preference of *R/S* configurations and the stability difference for the chiral group).

In Table 3, we studied the impact of the 6MR on the stereoisomeric energy difference. In the study, we chose 8 options of 6MR as the blade of the propeller (Scheme 1). Again, as shown in the Table, the *S-R* stereoisomeric energy difference can be both positive and negative except for two cases, when AI is the propeller center, and pyridine and pyrimidine are the blade. In these two cases, we found that the two stereoisomers become mirror images to each other (since blade angles are exceedingly small and one propeller switches from left-handedness to right-handedness), so their stereoisomeric energy values become identical. In the same spirit, in Table 4, we examined the impact of the number and position of chiral groups on 6MRs on the stereoisomeric energy difference, where we considered five possible ways to place chiral groups on 6MRs. Again, same as Table 3, the *S-R* energy difference can be both negative and positive with the same chiral group at different locations, or at the same location but with different chiral groups. Put together, it is adequate to conclude that it is the axial chirality of the propeller that dictates the stereoisomeric energetic preference of the point chirality on 6MRs.

To find out if cooperativity effect<sup>5,7,15-17</sup> is still present in this propeller system, we chose one model from Scheme 1 (B as the propeller center and phenyl as the blade) and then gradually increased the number of chiral groups at the *meta* positions of the blades from 1 to 6 with either *R* or *S* configuration. After six groups are all added, the all-*R* and all-*S* conformers become the two structures in Fig. 1. Figure 2 shows (a) the strong linear relationship between the stereoisomeric energy difference and the number of chiral groups and (b) the cooperativity profile. From the cooperativity profile in Fig. 2b, whose tendency is going downwards, according to the definition of cooperativity,<sup>5</sup> we know that there exists positive cooperativity in this system. This means that when more chiral groups are added to the blade, the average stereoisomeric energy difference will become even larger in magnitude. Therefore, this is an enhancement effect to make the energy gap between all-*R* and all-*S* stereoisomers more significant as more chiral groups are added to the blade.

What is the driving force behind? To answer this question, based on the structures in Fig. 1, we built  $2^6 = 64$  heterochiral models by permutating all 6 chiral centers on the blades with either *R* or *S* configuration. All models we considered thus far are homochiral. These 64 models built by permutation include 62 heterochiral structures, plus two homochiral ones, one all *R* and the other all *S*. With these 64 models, we can answer the question if homochirality is a feature of this propeller system. Details of the total energy and its components for the 64 stereoisomers are available in *SI* Table S2. From the Table, we can see that the all-*S* homochiral isomer possesses the lowest energy, so it is the most stable isomer. This result confirms that homochirality is indeed a remarkable feature of this propeller system, same as the helical structures reported in the literature.<sup>5,7</sup>

Included in Fig. 3 are energetic analysis results for the 64 stereoisomers. In Fig. 3a, a strong linear correlation between the stereoisomeric stability (with all-*R* isomer as the reference) and the electrostatic energy component is obtained, suggesting that (i) the electrostatic interaction in the all-*S* form is stronger than that in any other isomers and (ii) it is the electrostatic component that dominates the energetic contribution to the stereoisomeric stability. This result is consistent with that reported previously for other systems.<sup>18-25</sup> In Figs. 3b and 3c, when the electrostatic component is fitted together with either the exchange-correlation and steric components play minor yet indispensable roles.<sup>7,18-25</sup>

Besides the energetic analysis, the stability preference of a chirality hierarchy can also be appreciated by quantities associated with the electron density, such as simple density functionals from the information-theoretic approach (ITA) in density functional theory.<sup>13,14</sup> Shown in Fig. 4 are a few strong correlations of the stereoisomer stability with ITA quantities, such as Fisher information,<sup>26</sup> Ghosh-Berkowitz-Parr entropy, relative Fisher information,<sup>27-29</sup> etc. These strong correlations demonstrate that besides energetic differences, chirality propensity and stability property can additionally be reflected in the electron density distribution. For example, Fisher information gauges the electron density heterogeneity and steric effect,<sup>11,30</sup> so a strong correlation with this quantity is an indication that heterochiral models possess stronger electron density heterogeneity and larger steric repulsion due to the electron density redistribution from the chirality rearrangement. The same analysis could be applied to other ITA quantities in Fig. 4.

In summary, in this work, the validity of *Principle of Chirality Hierarchy* has been verified by the three-blade propeller molecular system. Two prominent features are unambiguously demonstrated. At first, for this 0D-1D chirality hierarchy system, the chirality preference of the 0D (point) chirality is determined by the 1D axial chirality of the left-handed propeller. Secondly, homochirality is favored over heterochirality. We also observed the existence of positive cooperativity in this system with the electrostatic interaction playing the dominant role while the roles of the exchange-correlation and steric effects are minor yet indispensable. These results, together with the ones from our previous studies for helical structures, should pave the pathway to make this principle generally applicable to studies in asymmetric synthesis, macromolecular assembly, and many others.

**Supporting Information Available:** Terminology and model building methods, computational details, blade angles of 10 chiral groups at the *meta* position with 6 centers, and energetic decomposition results for 64 heterochiral models, together with the Cartesian coordinate of all the optimized structures discussed in this work are available free of charge via the Internet at http://pubs.acs.org.

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Table 1. Benchmark results of the stereoisomeric energy difference (*S*-*R*) with eight basis sets and seven approximate density functionals for three models in Scheme 1 with the chiral centers at *meta*, *para*, and two *meta* positions on each six-membered ring. The propeller center is B atom and the chiral group is carbon linked with H, Me, and F in both *R* and *S* configurations. In the Meta case, the chiral group is at a *meta* position of the six-membered ring, whereas in the Para case, it is at the *para* position. For the Meta/Meta case, both *meta* positions were taken by the chiral group. The CBSB and MP2 result can be served as the reference in basis set and approximate functional tests, respectively. In basis set benchmarks, wB97XD was used, and in methodology tests, 6-311G(d) was employed. Units in kcal/mol.

Basis Set	Meta/Meta	Meta	Para	Functional	Meta/Meta	Meta	Para
6-31G(d)	-4.16	-0.42	-0.09	B3LYP	-3.32	0.11	-0.08
6-311G(d)	-4.09	-0.42	-0.10	PBEPBE	-3.83	-0.02	-0.08
6-311+G(d)	-3.45	-0.08	-0.12	HCTH	-3.71	-0.09	-0.06
6-311++G(d,p)	-3.45	-0.10	-0.13	TPSSTPSS	-3.46	0.04	-0.09
Def2TZVP	-3.15	-0.17	-0.10	wB97XD	-4.09	-0.42	-0.10
DGDZVP	-3.43	-0.25	-0.12	M062X	-4.02	-0.46	-0.09
cc-pVDZ	-4.25	-0.43	-0.08	APFD	-4.57	-0.38	-0.10
CBSB7	-4.08	-0.41	-0.10	MP2	-4.32	-0.37	-0.08

Table 2. The dependences of the stereoisomeric energy difference (*S*-*R*) on the X center in Scheme 1. A total of six centers, Al, B, C<sup>+</sup>, C<sup>-</sup>, N and P, were examined for the model system with B = C = hydrogen atom, and A takes one of the ten chiral groups in both *R* and *S* configurations. Units in kcal/mol.

$R_1_R_2_R_3$	Al	В	C⁺	<b>C</b> -	Ν	Р
H_F_Cl	-0.40	-0.37	-1.15	-0.34	-0.74	1.31
H_Me_Cl	0.36	0.38	0.99	-0.16	0.22	-1.61
H_Me_F	-0.04	-0.16	-0.12	-0.53	-0.69	0.23
H_Me_OH	-0.33	-0.62	-0.58	-1.15	-1.04	0.38
H_OH_CI	-0.68	-0.62	-1.93	-0.38	-0.88	-0.75
H_OH_F	-0.79	-0.16	0.03	-0.03	-0.75	-1.23
Me_F_Cl	-0.82	-1.13	1.67	-2.73	-0.70	-0.76
Me_OH_Cl	-1.19	-1.04	-2.23	0.17	-0.94	-0.89
Me_OH_F	-0.15	-0.27	-0.13	0.01	-0.01	-0.07
OH_F_CI	-0.05	0.11	-0.11	0.23	0.44	0.05

Table 3. The impact of the six-membered ring on the stereoisomeric energy difference (*S*-*R*) in Scheme 1 for six X centers with the chiral group of B = hydrogen atom and A = C = CHMeF at the *meta* position in both *R* and *S* configurations. Units in kcal/mol.

6MR Group	Al	В	C⁺	C-	Ν	Р
1	-3.16	-4.09	-4.01	-4.61	-3.67	-1.52
2	-3.21	-4.40	-5.37	-4.86	-5.51	-5.89
3	0.00	-2.27	-1.72	-2.25	-2.76	-2.95
4	-2.27	-3.49	-4.38	-5.17	-5.73	-2.72
5	-0.30	-0.44	-1.10	-1.86	-2.49	-3.95
6	-1.02	-2.85	-2.61	-3.20	-3.15	0.40
7	0.00	-1.20	-0.84	-2.92	-2.65	-1.88
8	0.90	0.95	0.68	2.24	3.77	0.14

Table 4. The influence of the number and position of chiral groups on each six-membered ring to the stereoisomeric energy difference (S-R) in Scheme 1 for ten chiral groups between their both *R* and *S* states. In the Meta case, A is one of the ten groups, and B = C = hydrogen atom. In the Para case, A = C = hydrogen, and B takes one of the ten chiral groups. In the Meta/Meta case, B = H, and A=C. In the Meta/Para case, A=B and C = H. In the Meta/Para/Meta case, A=B=C. Units in kcal/mol.

$R_1 R_2 R_3$	Meta	Para	Meta/Meta	Meta/Para	Meta/Para/Meta
H_F_CI	-0.37	-0.01	1.58	0.04	1.93
H_Me_Cl	0.38	-0.16	-2.43	-0.20	-4.31
H_Me_F	-0.16	-0.14	-4.09	-1.00	-6.15
H_Me_OH	-0.62	-0.14	-4.95	-1.23	-7.06
H_OH_CI	-0.62	0.01	1.68	0.47	3.26
H_OH_F	-0.16	0.43	-1.10	0.18	0.42
Me_F_Cl	-1.13	0.02	2.67	2.63	-0.07
Me_OH_Cl	-1.04	0.02	1.26	-2.90	5.32
Me_OH_F	-0.27	-0.05	-0.97	-0.99	-6.27
OH_F_CI	0.11	-0.01	-0.43	0.37	-1.89



Scheme 1. Propeller molecular models as a chirality hierarchy studied in this work. The lefthanded [clockwise, M (minus) or  $\Lambda$ ] three-blade propeller molecular system with 6 options for the center X, 8 alternatives for the six-membered ring, and 10 choices for the chiral groups attached to the *meta* and/or *para* positions in both *R* and *S* configurations.



Figure 1. The optimized structure of (a) all-R and (b) all-S conformers of the three-blade model at the MP2/6-311G(d) level of theory with X=B, 6MR=phenyl, and the chiral group C<sup>\*</sup>HFMe at all *meta* positions. The blade angle is  $53.2^{\circ}$  and  $49.6^{\circ}$  for all-*R* and all-*S* conformers, respectively.



Figure 2. (a) The relationship between the stereoisomeric energy difference and the number of chiral groups and (b) the cooperativity profile (the stereoisomeric energy difference per chiral group vs. the number of chiral groups) for the model system in Figure 1. For Numbers 1-3, each blade is consecutively added one chiral group, and for Numbers 4-6, each blade is added another chiral group.



Figure 3. Energetic analyses for 64 heterochiral isomers in Figure 1. (a) The strong linear correlation of the stereoisomeric energy difference (with all-R as the reference) with (a) the electrostatic component; (b) two-variable fitting with electrostatic and exchange-correlation energy components; and (c) two-variable fitting with electrostatic and steric components.



Figure 4. Strong linear correlations of the stereoisomeric energy difference (with all-*R* homochiral isomer as the reference) with four ITA quantities, Fisher information, Ghosh-Berkowitz-Parr entropy, 2<sup>nd</sup>-order Rényi entropy, and relative Fisher information for the 64 heterochiral modes based on structures in Figure 1.