Divergent Stereochemical Outcomes in the Insertion of Donor/Donor Carbenes into the C–H Bonds of Stereogenic Centers

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Department of Chemistry, University of California, One Shields Avenue, Davis, California 95616, USA *C–H insertion, Donor/Donor carbene, Stereoselective, Density-Functional Theory*

ABSTRACT: Intramolecular C-H insertions with donor/donor dirhodium carbenes provide a concise and highly stereoselective method to set two contiguous stereocenters in a single step. Herein, we report the insertion of donor/donor carbenes into stereogenic carbon centers allowing access to trisubstituted benzodihydrofurans in a single step. This study illuminates, for the first time, the stereochemical impact on the carbene center and delineates the structural factors that enable control over both stereogenic centers. Sterically bulky, highly activated C-H insertion centers exhibit high substrate control yielding a single diastereomer and a single enantiomer of product regardless of the catalyst used. Less bulky, less activated C-H insertion centers exhibit catalyst control over the diastereomeric ratio (dr) wherein a single enantiomer of each diastereomer is observed. A combination of experimental studies and DFT calculations elucidates the origin of these results. First, hydride transfer from the stereogenic insertion site proceeds with high stereoselectivity to the carbene center, thus determining the absolute configuration of the product. Second, the short lived zwitterionic intermediate can undergo diastereoselective ring-closure by an S_E2 mechanism that is either controlled by the substrate or the catalyst. These results demonstrate that donor/donor carbenes exhibit uniquely stereoselective reactions that originate from a stepwise reaction mechanism, in contrast to the analogous concerted reactions of carbenes with one or more electron-withdrawing groups attached.

INTRODUCTION

The insertion of metal carbenes into C-H bonds enables the efficient and stereoselective synthesis of a wide array of complex organic molecules. Most metal carbenes derive their high reactivity from having one or more groups electron-withdrawing to electrophilicity. Carbenes with one electron-donating group (e.g. a phenyl or styrenyl) and one electron-withdrawing group, so-called "donor/acceptor" carbenes, exhibit exquisite regio- and stereoselectivity in intermolecular insertions.²⁻⁴ More recently carbenes lacking any electronwithdrawing groups, i.e., "donor/donor carbenes" have been employed in intra- and intermolecular reactions.5-9 The reduced electrophilicity of donor/donor carbenes enables a high degree of functional group tolerance and the accessibility without isolating diazo intermediates provides excellent scalability and safety.^{7,10} Herein, we report the insertion of donor/donor carbenes into stereogenic carbon centers allowing stereoselective access to trisubstituted benzodihydrofuran cores in a single step. For the first time, this study highlights the stereochemical impact on the carbene center and delineates the structural factors that enable control over both stereogenic centers. Facile access to benzodihydrofuran cores enables multiple classes of asymmetric natural products, patented biologically active small molecules, and their respective analogs to be rapidly synthesized (Figure 1).11-17

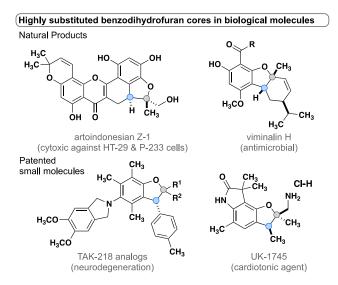


Figure 1. Natural products and patented small molecules containing trisubstituted benzodihydrofuran cores.

Previous work by Taber¹⁸ and Doyle¹⁹ used chiral C-H insertion centers and acceptor-substituted carbenes to create selectivity models for their respective systems. Taber demonstrated that the stereochemistry of the C-H insertion site was retained and attributed this observation to a concerted mechanism (Figure 2A). The stereochemical

outcome of the carbene center was not evaluated due to the high enolizability of the product and its subsequent decarboxylation. Doyle used a chiral substrate and demonstrated retention of configuration as well as catalyst-controlled regiochemistry (Figure 2A). Again, the fate of the carbene center was not examined because this carbon is non-stereogenic in the product. To date, no studies have examined the stereochemical impact of insertion reactions of donor/donor carbenes. While acceptor-substituted carbenes undergo C–H insertion by a concerted mechanism, the stepwise mechanism of donor/donor carbenes suggests that the formation of two new stereogenic centers may be influenced by both the substrate and the catalyst (Figure 2B).

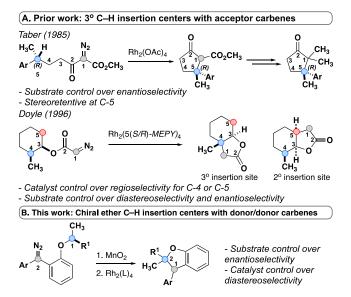


Figure 2. A) Prior work- tertiary C-H insertion centers with acceptor carbenes. B) This work- donor/donor carbenes to chiral, tertiary C-H insertion centers.

RESULTS AND DISCUSSION

The level of stereocontrol the substrate and catalyst impart on the C-H insertion reaction was assessed with two ether substrates and two catalysts. Ethers 1 and 2 (Figure 3) each have a stereogenic insertion site with varying levels of reactivity based on the different stabilities of the oxocarbenium intermediate resulting from hydride transfer. Substrate 1 has a benzylic site that is highly reactive toward C-H insertion and a p-cyano group on the phenyl donor core to enable subsequent derivatization for preparing a crystalline derivative and separation by chiral HPLC. Notably, previous work by our group shows that electronic variation of the phenyl donor core doesn't affect the er significantly.7 Substrate 2 is less activated toward C-H insertion by replacing the phenyl group of **1** with a group that does not stabilize the cation intermediate via resonance. The allyl group on 2 enabled better separation by chiral HPLC and the opportunity to obtain a crystalline derivative. Each C–H insertion reaction had the potential to yield two diastereomers and their respective enantiomers of product. Both racemic and enantiopure substrates were used with chiral rhodium catalysts (R-3 and S-3) as well as the achiral catalyst Rh₂(mes-CO₂)₄ (4) (Figure 3). The experimental data collected from multiple substrate and catalyst pairings enabled stereochemical trends to be identified and studied further using DFT calculations.

Figure 3. Structures of commonly used dirhodium catalysts with donor/donor carbene C–H insertion systems

The initial substrate 1 contained a highly activated, bulky C–H insertion center substituted with a methyl and a phenyl group. Both racemic 1 and enantiopure 1 yielded benzodihydrofuran product as the single cis diastereomer (5a, Table 1, entries 1-3) irrespective of which catalyst was used. The enantioselectivity followed a similar trend where racemic 1 provided racemic 5a and enantiopure 1 gave a single enantiomer of 5a in 97:03 er (Table 1, entries 4-6) regardless of the catalyst employed in the reaction. Therefore, these substrates with highly activated, sterically occluded C–H insertion centers elicit highly stereoselective C–H insertion reactions.

Table 1. Alkyl/Aryl Stereogenic Insertion Centers

$$\begin{array}{c} \text{CH}_3\\ \text{(s)}\\ \text{NC} \\ \text{1} \\ \text{O} \\ \text{O} \\ \text{T} \\ \text{O} \\ \text{NC} \\ \text{O} \\ \text{C} \\ \text{T} \\ \text{O} \\ \text{C} \\ \text{T} \\ \text{O} \\ \text{T} \\ \text{T} \\ \text{NC} \\ \text{O} \\ \text{T} \\ \text{T$$

Entry	SM	Catalyst	dr ^a 5a:5b	er ^b (4a) (S,S):(R,R)	Yield (%)
1	(R,S)- 1	R-3	>95:5	49:51	68
2	(R,S)-1	S-3	>95:5	49:51	65
3	(R,S)-1	4	>95:5	49:51	65
4	(S)- 1	R-3	>95:5	97:03	82
5	(S)- 1	S-3	>95:5	97:03	71
6	(S)- 1	4	>95:5	97:03	76

^adr determined by 1H NMR analysis of unpurified reaction mixtures ^ber determined by chiral HPLC. ^cAbsolute stereochemistry confirmed by X-ray crystallography.

The diastereoselectivity of the reaction of $\mathbf{1}$ is not influenced by the structure of the catalyst whereas the enantioselectivity is dictated by the configuration at the carbon undergoing insertion. These results are consistent with those of Taber and Doyle in that the configuration of insertion site of (S)- $\mathbf{1}$ is retained in product $\mathbf{5a}$, i.e., consistent with a concerted C–H insertion mechanism. The differential results from (R,S)- $\mathbf{1}$ and (S)- $\mathbf{1}$ suggest that the configuration at the insertion site (C-1) dictates the configuration at (C-2) during the insertion reaction. These

data do not rule out a highly stereoselective stepwise mechanism.

Based on the results above, a less activated, less bulky C-H insertion center substituted with methyl and allyl groups (2) was examined to see if diastereo- or enantiocontrol over the reaction differed from 1 (Table 2). Interestingly, the C-H insertions reactions of these alkyl/alkyl substrates showed drastically different stereoselectivity trends compared to the alkyl/aryl substrates. Racemic 2 yielded a 47:53 and 48:52 dr of 6a:6b with R-3 and S-3 respectively (Table 2, entries 1-2). There was a slight enrichment towards the trans diastereomer (6a) with 4 yielding a 57:43 dr (table 2, entry 3). Strikingly, when the er was measured the chiral catalysts generated each diastereomer of 6 in high er (Table 2, entries 1-2), while the achiral catalyst yielded racemic mixtures of each diastereomer of 6. While substrate (+/-)-1 led only to racemic products, (+/-)-2 can be steered toward enantioenriched products with the chiral catalysts.

Table 2. Alkyl/Alkyl Stereogenic Insertion Centers

$$\begin{array}{c} \text{CH}_3 \\ \text{H}_2\text{N} \\ \text{N} \\ \text{O} \\ \text{O} \\ \text{O} \\ \text{Catalyst} \\ \text{O} \\ \text{C} \\ \text{to} \\ \text{rt} \\ \text{O} \\ \text{E} \\ \text{O} \\ \text{O} \\ \text{C} \\ \text{fo} \\ \text{fo}$$

Entry	SM	Catalyst	dr ^a 6a:6b	er ^b -6a (S,R):	er ^b -6b (<i>R</i> , <i>R</i>):	Yield (%)
				(R,S)	(S,S)	
1	(R/S)- 2	R-3	47:53	91:09	86:14	70
2	(R/S)-2	S-3	48:52	11:89	16:84	68
3	(R/S)-2	4	57:43	49:51	50:50	91
4 c	(S)- 2	R-3	86:14	99:01	99:01	77
5	(S)- 2	S-3	10:90	74:26	99:01	75
6	(S)- 2	4	53:47	98:02	99:01	58

^adr determined by ¹H NMR analysis of unpurified reaction mixtures. ^ber determined by chiral HPLC. ^cAbsolute stereochemistry confirmed by X-ray crystallography.

The results with (S)-2 were even more striking. Treatment of this substrate with R-3 resulted in preferential formation of cis benzodihydrofuran 6a (Table 2, entry 4) with high enantioselectivity. Use of the same substrate with S-3 resulted in inverted diastereoselectivity with the same enantiomeric preference as the reaction with R-3 (Table 2, entry 5)! The eroded enantioselectivity for the formation of 6a in this case highlights the mismatch in stereochemical preference between the substrate and the catalyst. Finally, the insertion of (S)-2 with achiral catalyst (4) showed little diastereoselectivity while retaining the high substrate-induced enantioselectivity (Table 2, entry 6). On one hand, these results demonstrate that the stereogenic center

undergoing insertion controls the magnitude and orientation of enantioselectivity for both newly formed stereogenic centers in the product. The catalyst, on the other hand, can have a strong influence on the diastereoselectivity, and *R/S-3* is the privileged catalyst scaffold for this system.⁷ These results are consistent with a highly stereoselective hydride transfer step that is followed by a diastereoselective ring closure that can be controlled by the configuration of the catalyst.

To investigate the C-H insertion mechanism of 6 and delve further into the origins of the observed stereocontrol, we turned our attention to computational studies. Density functional theory (DFT) calculations have previously aided our study of C-H insertion mechanisms of donor/donor carbenes.7 However, unlike many past DFT explorations of similar reactions in which the Rh catalyst can be reasonably modeled with Rh₂(OAc)₄, or even Rh₂(HCO₂)₄,²⁰ we could only adequately investigate the current mechanistic question by modeling the insertion reaction of 6 within the chiral cavity^{21,22} of either Rh₂(R-PTAD)₄ or Rh₂(S-PTAD)₄. Given the size of the *N*-phthalimido and adamantyl ligands on Rh₂(R-PTAD)₄ (weighing in at 219 atoms and 940 electrons), and its concomitant computational cost, we reasoned that truncating the adamantyl groups to methyl groups struck a sensible balance between mechanistic insight and cost with the modeling capabilities at our disposal.21,22

A stepwise mechanism containing a short lived zwitterionic intermediate was found for the reactions of substrate 2, similar to that previously proposed for C-H insertions of donor/donor carbenes with primary, achiral insertion sites (see SI for computational details).7 For clarity, the mechanism for formation of one enantiomer of the major diastereomer is shown in Figure 3 (See SI for detailed reaction profiles for formation of the other diastereomer and its enantiomer are reported). First, addition of the chiral catalyst results in a tetrahedral intermediate (11) with N2 poised to leave. The barrier to extrude nitrogen is low and this process is predicted to be highly exergonic, forming one major rotamer of Rh carbene (8). From 8, an initial hydride-shift from C-1 to C-2 is followed by an S_E2 C-C bond closure step to yield the major product (6a).23 The hydride transfer occurs with high stereochemical fidelity, accounting for the high selectivity for the newly formed stereogenic center at C-2. The observed diastereomeric ratio is hypothesized to be due to the major oxocarbenium ion intermediate (9) rotating about the Caryl-O bond to expose one prochiral face preferentially exposing one prochiral face based on the configuration of the catalyst. Although our computed mechanism is formally stepwise, the C-H insertion event can be considered to border the realm of a concerted, highly asynchronous mechanism (see SI Figure 3).24

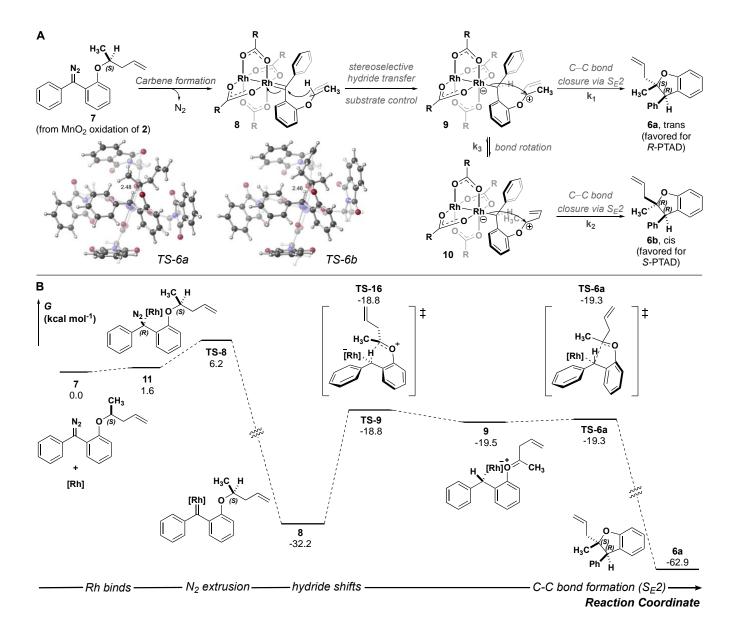


Figure 4. A) Arrow pushing mechanism and $S_E 2$ transition-state structures leading to 6a and 6b. B) Reaction energy profile computed with DFT at the PCM(CH₂Cl₂)-B3LYP-D3(BJ)/SDD[6-31+G(d,p)]//PCM(CH₂Cl₂)-B3LYP-D3(BJ)/LANL2DZ[6-31G(d)] level of theory; [Rh] = Rh₂(R-PTAD)₄.

Although we successfully identified transition states leading from 9 to 6a and from 10 to 6b (SI Figures 4-5), the transition state for 9 to 10 remains elusive. The observed 85:15 ratio results from the relative energy of these two transition states as well as the interconversion of 9 to 10. While it is difficult to disentangle the exact influence of these three transition states on diastereoselectivity, the data are consistent with an oxocarbenium ion whose stereochemical fate is determined by the catalyst. Small perturbations resulting from factors not explicitly modeled here, e.g., explicit solvent effects, deviations in the chiral crown structure, or non-statistical dynamic effects, could account for issues in delineating these three steps' effect on the diastereoselectivity (SI Figures 6-7).

This stepwise pathway can be used to hypothesize a similar mechanism for ${\bf 5a}$ (Figure 5). Oxidation of (S)- ${\bf 1}$ to

diazo followed by addition of catalyst will form Rh carbene **12**. This intermediate will undergo the same highly stereoselective hydride transfer to form a single

Figure 5. Proposed arrow pushing mechanism leading to **5a**.

oxocarbenium ion intermediate (13). Unlike intermediate 9, rotating about the C_{aryl} –0 bond in 13 to expose the other prochiral face of the oxocarbenium ion is likely kinetically unfavored due to increased steric bulk that would contribute a high energic cost to rotate in the chiral cavity. Therefore, 13 rapidly closes to form a new C–C bond by an S_E2 mechanism yielding 5a as the single enantiomer and single diastereomer of product. The computed pathway for the carbene intermediate of substrate 1 reacting with $Rh_2(OAc)_4$ supports a stepwise mechanism for C-H insertion event: the intermediacy of an oxocarbenium ion results from hydride transfer that proceeds to 5a through an S_E2 mechanism, similar to what is observed for 9 proceeding to 6a.

Table 3. Varying electronic activation and steric bulk at the C-H insertion center

	D 1 .	**	D1	0.1.1.	1.0	V' 11(0/)
Entry	Product	X	R ¹	Catalyst	dra	Yield (%)
					(a:b)	
1 ^b	18	Н	Et	R-3	46:54	85
2 ^b	18	Н	Et	S-3	46:54	87
3b	18	Н	Et	4	58:42	85
4 c	19	CN	<i>i</i> -Pr	R-3	38:62	74
5c	19	CN	<i>i</i> -Pr	S-3	35:65	76
6c	19	CN	<i>i</i> -Pr	4	81:19	70
7 ^b	20	Н	c-Pr	R-3	15:85	93
8^{p}	20	Н	c-Pr	S-3	15:85	91
9b	20	Н	c-Pr	4	32:68	44
10^{b}	21	Н	Ph	R-3	>95:5	70
11 ^b	21	Н	Ph	<i>S</i> -3	>95:5	80
12 ^b	21	Н	Ph	4	>95:5	76

 $^a\mathrm{dr}$ determined by 1H NMR analysis of unpurified reaction mixtures. $^\mathrm{b}\mathrm{assigned}$ diastereomers determined from analogous compound NMR shifts, see SI.

This mechanistic model enables rapid assessment of new substrates. If a racemic substrate results in low diastereoselectivity with an achiral catalyst, as was the case with 2, we predict that it is possible to observe high diastereoselectivity with a single enantiomer of starting material and a chiral catalyst. If, on the other hand, a substrate exhibits high diastereoselectivity under the same circumstances, we predict it will probably be *impossible* to favor the minor diastereomer under any circumstances. In all cases, high enantioselectivity for both diastereomers can be expected to result from an enantiomerically pure substrate regardless of catalyst chirality. Four additional substrates are illustrative of these generalizations (Table 3). An *n*-alkyl substrate (14, R=Et) behaves much like 2, exhibiting little substrate control, offering the opportunity for catalyst control. Substrate 17, which is analogous to 1, exhibits high substrate control. A branched alkyl substrate (15, R=i-Pr) is intermediary, with a substrate preference that is opposite to what is preferred by either enantiomer of catalyst 3. Finally, 16 (R=c-Pr) exhibits a slight preference for one diastereomer with catalyst 4 that is enhanced by either enantiomer of catalyst. It is possible in the cases of 15 and 16 that a particular substrate/catalyst pairing will enable high diastereoselectivity, but the inherent substrate control exhibited by the achiral catalyst suggests that favoring the other diastereomer will be more challenging than it is for 2 and 14.

CONCLUSION

In summary, we have developed an intramolecular C-H insertion of donor/donor carbenes system with chiral ethers that enables two contiguous stereogenic centers to be set in a single step yielding a trisubstituted benzodihydrofuran core. Exploration of chiral substrates with two enantiomers of a chiral catalyst revealed stereoselectivity patterns not observed with other types of carbene C-H insertion systems. High enantioselectivity can be achieved and controlled based on the enantiomer of starting material used. For sterically occluded and highly activated C-H insertion centers, high diastereoselectivity emerges from substrate control irrespective of the catalyst used. Less sterically demanding and less activated C-H insertion centers exhibit high diastereoselectivity that is controlled based on the enantiomer of catalyst employed in the reaction. Our DFT studies with a truncated variant of the chiral Rh₂(R-PTAD)₄ catalyst demonstrate that highly stereoselective hydride transfer controls enantioselectivity of the process whereas a zwitterionic intermediate undergoes diastereoselective ring closure through an S_E2 mechanism. These studies demonstrate that donor/donor carbenes are capable of unique levels of stereocontrol not previously seen with carbenes appended with one or more electron-withdrawing group.

ASSOCIATED CONTENT

Supporting Information

The Supporting Information is available free of charge on the ACS Publications website.

Experimental details, characterization data, and spectra (PDF)

Computational data and procedures. All computed structures discussed in this manuscript can be found in the ioChem-BD repository³¹ at the following DOI: https://doi.org/10.19061/iochem-bd-6-94 (PDF)

Crystallographic data for (S,S)-4a (cif)

Crystallographic data for (S,R)-5a (cif)

Crystallographic data for (R,R)-5b (cif)

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Author Contributions

The manuscript was written through contributions of all authors. All authors have given approval to the final version of the manuscript.

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Notes

The authors declare no competing financial interest.

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ABBREVIATIONS

 $Rh_2(mes-CO_2)$, mes=mesityl, i.e. $1,3,5-(CH_3)_3C_6H_2$; dr, diastereomeric ratio; er, enantiomeric ratio; DFT, density functional theory.

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