Catalytic Static Mixer-Enabled Hydrogenation of a Key Fenebrutinib Intermediate: Real-time Analysis for a Stable and Scalable Process

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KEYWORDS: flow chemistry, nitro hydrogenation, process analytical technology, catalytic static mixers, catalyst leaching

ABSTRACT: Catalytic static mixer (CSM) technology is presented as an industrially-viable solution for an aromatic nitro group reduction in the synthesis of fenebrutinib. Factors effecting catalyst inhibition could be rapidly understood and mitigated by the use of real-time analytics (FT-IR, UHPLC). This facilitated the development of stable and optimal conditions in a system with 4 CSMs positioned inside a thermostated shell-and-tube reactor. Additional care was taken to ensure the control of three key impurities to low levels (< 0.10 area% by offline UHPLC analysis). The optimal conditions (0.4 M concentration, 20 bar, 120 °C, 3.3 equiv H₂) were then scaled up to a reactor with 8 CSMs, whereby an improvement in space-time yield was observed. Over 16 h of processing, a stable impurity profile was observed, without metal leaching. Finally, the reaction was performed in a system with 16 CSMs, which also provided an increase in space-time yield (to 26.2 mol/L/h or 6.5 kg/L/h), resulting in 174 g/h throughput, whilst maintaining an excellent impurity profile.

INTRODUCTION

Multiple sclerosis (MS) is a condition affecting the central nervous system, which can lead to paralysis and often significantly shortens life expectancy. MS affects around 2.5 million people worldwide and has no cure, but relies on various treatments to manage symptoms.¹ Fenebrutinib (**1**, **Figure 1a**)² is a Bruton's tyrosine kinase (BTK) inhibitor, currently in phase III clinical trials for the treatment of MS. The synthesis of fenebrutinib is convergent, relying on a Suzuki-Miyaura coupling of two advanced fragments (**Figure 1a**).³ The upper fragment (highlighted in red) requires a nitro reduction of nitropyridine **2**, to its corresponding aminopyridine **3**, two synthetic steps away from the required boronic acid coupling partner (**Figure 1b**).

Although aromatic nitro reductions are common in the pharmaceutical and bulk chemical industries, they continue to present challenges for process development between lab and manufacturing scale for a number of reasons.⁴ These reactions are most often performed using hydrogen gas and a heterogeneous catalyst, resulting in a triphasic reaction system with scale-dependent mass transfer limitations. Many nitro hydrogenations are exothermic, so can be difficult to handle in large scale batch vessels, particularly due to their often rapid (mass transfer-limited) rate of reaction.⁵ Finally, batch processing often imposes to pressure and temperature limits, which acts as an additional barrier to achieving a productive process, whilst retaining a safe reaction profile.

Continuous processing has been well utilized for hydrogenation reactions for a number of years.⁶ This processing

technique can allow safe handling of far higher reaction pressures and temperatures than in batch vessels, due to a smaller reactive inventory (limiting buildup of potentially explosive intermediates) and the absence of reactor headspace. Generally, continuous flow hydrogenation reactors make use of a heterogeneous catalyst immobilized within the reactor,





(a) Structure of fenebrutinib, showing the disconnection for the Suzuki-Miyaura coupling of two advanced intermediates. (b) Aromatic nitro reduction to be studied, two synthetic steps from the completed upper fragment.

allowing a low effective catalyst loading (but high local catalyst loading), with no requirement for filtration of the reactor effluent.

Numerous catalyst technologies have been reported for use in continuous flow reactors. Arguably the simplest of these uses a packed bed of active catalyst,⁷ which often remains the method of choice in the pharmaceutical and other industries.^{8,9} However, this requires scale-dependent optimization of the catalyst particle sizing, to balance reactivity against pressure drop. Furthermore, significant efforts are also necessary to ensure reproducible catalyst packing and to avoid flow channeling.⁹ Other approaches include the use of metal foams,¹⁰ or polymer-based carbon supports.¹¹

Another modern solution for immobilized catalysts is the use of catalytic static mixers (CSMs).^{12,13} These have been reported with a number of different coating methods, including electroplating, cold spray coating or slurry coating with Pd/Al₂O₃. Previous studies using these catalysts report excellent catalyst stability with little to no leaching observed under experimental conditions. Furthermore, only minimal pressure drop is incurred through the use of these supports, implying that scaling up the reactor can be done by simply increasing the number of CSMs in series or parallel.¹⁴ Similar to other immobilized catalyst technologies for this class of reaction, catalyst deactivation over time has been observed and must be managed accordingly.^{12,13}

Herein, we discuss the use of CSM technology for the effective hydrogenation of nitropyridine **2**. In order to observe and control any change in activity over time, a combined monitoring approach of inline FT-IR and online UHPLC was implemented. This approach facilitated the development of a stable process, where all of the key process impurities could be controlled, whilst achieving an exceptionally high reaction throughput.

RESULTS AND DISCUSSION

The hydrogenation of interest comes with multiple challenges, due to the formation of several troublesome impurities (**Figure 2a**). These include azo and azoxy intermediates (**4** and **5**, respectively), thought to arise from condensation of the nitroso and hydroxylamine intermediates (**2a** and **2b**). The combined level of these impurities must be tightly controlled and may not surpass 0.10 area% by HPLC

Figure 2. Intermediates and Key Impurities in the Hydrogenation of Interest and Reaction/Analytics Setup.



(a) Key impurities to be controlled in the hydrogenation process, arising from reactions of intermediate species. (b) Schematic representation of the experimental setup used to study this reaction, including real-time analysis by inline FT-IR and online UHPLC. P and T denote pressure and temperature sensors, respectively. (c) Photograph showing the open shell-and-tube reactor, with static mixers partially removed.

assay, to ensure acceptable levels downstream. An additional dimeric impurity, 6 has been identified and should also be controlled below 0.10 area% in this step.

In order to investigate the reaction in detail, particularly with respect to stability of the process and CSM activity over time, a platform (based on an Ehrfeld Miprowa shell-and-tube reactor) featuring inline FT-IR and online UHPLC analysis was utilized (**Figure 2b**).¹⁵ This reactor setup also featured numerous temperature sensors, as well as pressure sensors before and after the reactor. A membrane-based back pressure regulator (BPR) was used to pressurize the reactor (Equilibar Zero Flow), combined with an electronic pressure meter (Bronkhorst EL-Press) for automated control of the reaction pressure. The Miprowa reactor allows different configurations, whereby the number of CSMs can be varied. This feature was leveraged in the present study, to examine the behavior of the reaction on three different scales (4, 8 or 16 CSMs).

Initial Reaction Optimization (4 CSMs)

Initial optimization reactions were carried out using 4 (of a total 16 possible) CSMs. The Pd-electroplated CSMs displayed only a minimal extent of reaction (~6% conversion, see Supporting Information, section 2.2.1.), whilst Pd/Al₂O₃ slurry coated CSMs were substantially more effective under the same conditions (full conversion).^{12,13} This can likely be attributed to the significantly higher effective surface area of the Pd/Al₂O₃ variant (active surface area = $200 \text{ m}^2/\text{g},$ pore volume = 0.725 mL/g, average pore size = 14.45 nm,^{12d} which provides a large catalyst surface for reaction.

For all experiments, real-time monitoring by FT-IR and UHPLC was carried out to give an overall view of reaction progress over time. For a more detailed impurity analysis by UHPLC (impurities reported down to 0.025 area%), offline samples were taken. These additional details for each experiment can be found in the Supporting Information. By inline FT-IR, it was possible to distinguish starting material **2** (N-O stretch, 1570 cm⁻¹) and product **3** (C-N stretch, 1487 cm⁻¹). Additionally, the total analyte concentration (**2** + **3**) could be estimated by an aromatic signal, which was present in both species (C-H bend, 973 cm⁻¹), as well as the quantity of water (1631 cm⁻¹), which is generated as a reaction by-product (2 equiv).

To obtain an initial picture of the reaction behavior at different residence times, the substrate **2** flow rate was increased from 1 to 5 mL/min, whilst maintaining all other process parameters (concentration = 0.2 M, pressure = 20 bar, jacket temperature = 80 °C, **Figure 3a**). Here, real-time analysis provided invaluable additional insight on behavior over time, which would have likely not been detected if standard offline measurements were used.

It was found that increasing the flow rate from 1 to 2 mL/min already resulted in incomplete conversion of nitropyridine starting material **2**. Furthermore, the conversion appeared to decrease over the 30 min at which these conditions were applied. This effect was increasingly apparent at higher flow rates (3, 4 and 5 mL/min), which appeared to show a decrease in conversion at a constant gradient. Finally, to verify whether the initial conditions would still be stable, the flow rate was returned to 1 mL/min, where complete substrate conversion was no longer observed (~95% conversion). A similar decrease in performance over time has been observed previously, when using this type of CSM.¹³ The problem was attributed to catalyst inhibition over time by reaction species, but was previously tackled by using a protic solvent and increasing the reaction temperature.

Figure 3. Real-time Analysis of Initial Parameter Screening Experiments



Initial parameter screening, monitored by FT-IR (colored lines, every 15 s) and UHPLC (colored points, every 4.25 min). (a) Liquid flow rate screening. Concentration = 0.2 M, pressure = 20 bar, jacket temperature = 80 °C. (b) Jacket temperature screening. Concentration = 0.4 M, pressure = 20 bar, flow rate = 2 mL/min. (c) Water content screening. Concentration = 0.2 M, pressure = 20 bar, jacket temperature = 80 °C, liquid flow rate = 2 mL/min.

Accordingly, in a second set of experiments, the jacket temperature was increased from 60 to 140 °C in steps of 20 °C, to determine its influence on reaction rate and stability (**Figure 3b**). Temperature appeared to have a significant positive influence on the reaction, in both conversion and in decreasing its erosion over time. The gradient of conversion loss was substantially shallower at 80 °C compared to 60 °C and was not observed at all at 120 °C. Similarly to in the previous experiment, the final set of conditions were a replicate of the initial conditions, to determine whether any change had occurred over the duration. A substantial difference was observed between the two instances (78% conversion before vs 56% conversion after), implying that some loss of performance was also occurring even at higher temperature and would have an effect in longer term processing.

The approach of adding a protic solvent was also examined, by first adding methanol as a co-solvent. Unfortunately, the reactivity and impurity profile were drastically affected by this modification (see Supporting Information, section 2.2.5.), suggesting that it would not be a viable solution. Since water (2 equiv) is generated as a by-product of this reaction (see FT-IR traces in Figure 3), it was anticipated that its presence would not be detrimental to reaction performance. Therefore, this hypothesis was tested further by adding small quantities of water to the reaction solution. Using a second HPLC pump, 1 to 4 equiv were introduced with the reaction stream (Figure 3c). Gratifyingly, the presence of water appeared to significantly improve the rate of reaction, whilst preventing catalyst deactivation over time. The maximum examined value, 4 equiv, provided almost double the level of conversion, versus conditions in the absence of water (38% vs 73%, see Supporting Information section 2.2.6.).

These CSMs use Al_2O_3 as a catalyst support material, so there were concerns over their stability under aqueous conditions. To ensure that this would not cause catalyst degradation, one single CSM was exposed to an increasing quantity of water from 4 to 512 equiv in a control experiment (512 equiv corresponds to a THF:water volumetric ratio of ~2:1.9). Surprisingly, no loss of activity or visible degradation was observed. Furthermore, inductively coupled plasma mass spectrometry (ICP-MS) analysis of the reactor effluent showed no elevated levels of palladium, indicating that the CSMs were stable, even in the presence of such high levels of water (see Supporting Information section 2.1.). Accordingly, experiments were continued without concern for the effect of water on CSM stability.

The reaction concentration was also examined, using the twopump setup (see Supporting Information section 2.2.3.). This demonstrated that it would be possible to reach higher throughput and minimize solvent waste by operating at higher concentration. However, with the solubility of **2** and **3** in mind, it was decided that 0.4 M would provide good experimental results, as a basis for further optimization and minimization of deactivation, whilst mitigating the risks of starting material or product precipitation in the process.

Using this reaction setup, it was possible to rapidly screen reaction parameters within each experimental run. This was exploited in two separate experiments, each examining nine experimental conditions (**Figure 4a** shows the results of one such experiment). A clear trend was observed, in which higher temperature and higher H₂O loading improved conversion. In the second of these experiment sets, jacket temperature was varied in the same manner, as well as varying the pressure from 10 to 30 bar (see Supporting Information section 2.2.8.), where pressure showed only a minor effect.

From these experiments (25 in total, including 2 repetitions) it was possible to build a model (using Sartorius Modde v12.1 software) representing the most significant reaction variables. As anticipated by qualitative observations, the temperature was by far the most significant parameter, followed by H₂O loading. Conversely, reaction pressure had a relatively minimal effect. A contour plot representing predictions of conversion at different conditions could be plotted from this data (**Figure 4b**).





(a) Detailed parameter screening, monitored by FT-IR (colored lines, every 15 s) and UHPLC (colored points, every 4.25 min). Concentration = 0.4 M, liquid flow rate = 2 mL/min, pressure = 20 bar. (b) Contour plot built using data from optimization experiments, showing the conversion of starting material **2** as a function of the two most influential reaction parameters: jacket temperature and quantity of added H₂O.

Separately, an experiment demonstrated that the flow rate of H_2 had no effect on reaction performance, so long as sufficient H_2 is supplied (see Supporting Information 2.3.2.). As observed in previous studies using this reactor system,¹³ the residence time is not affected by excess gas, likely due to a stratified flow regime within the reactor. Based on the knowledge gained in these experiments, the jacket temperature, pressure, H_2 equiv were set at 120 °C, 20 bar and 3.3 equiv (10% excess), respectively, for all following experiments. The entire initial optimization campaign was performed using the same 4 CSMs, which showed no signs of irreversible deactivation over this period.

Further Optimization and Stability Demonstration (8 CSMs)

Based on the lessons learnt in the previous experiments using 4 CSMs, further experiments were performed with 8 CSMs, to begin to determine the level of throughput that could be reached in this reaction system. Key to this experiment was the quantity of key impurities (4 + 5 and 6) observed with increasing flow rate. It had already been determined that a higher flow rate would result in increased levels of these impurities, due to shorter residence time, but also that adding water to the input solution would decrease them. Accordingly, an array of conditions were examined, in which the flow rate and water content were varied (**Table 1**).

 Table 1. Offline UHPLC Results for Experiments to

 Determine Possible Throughput Using 8 CSMs

Entry	Flow rate	H ₂ O	3	2	4 + 5	6
	[mL/min]	[equiv]	[area%]	[area%]	[area%]	[area%]
1	4.0	2.0	98.682	< r.l.	0.083	0.053
2	4.0	4.0	99.022	< r.l.	0.089	0.046
3	4.0	8.0	98.836	< r.l.	0.074	0.031
4	6.0	2.0	98.558	0.046	0.127	0.066
5	6.0	4.0	98.558	0.028	0.110	0.047
6	6.0	8.0	98.871	< r.l.	0.084	0.032
7	8.0	2.0	97.817	0.212	0.123	0.063
8	8.0	4.0	98.648	0.078	0.118	0.047
9	8.0	8.0	99.104	< r.l.	0.091	0.033

Determined by offline UHPLC analysis. r.l. = reporting limit, set to 0.025 area%.

Although all results (aside from entry 7) showed very high levels of the desired product **3** (>98.5 area%) and essentially no remaining starting material **2** (<0.1 area%), there was a clear trend observed in the quantity of the key impurities. At low flow rate (entry 1-3) all results showed satisfactory impurity levels, whereas an increased flow rate of 6 mL/min (entry 4-6) only provided satisfactory impurity profiles when 8 equiv H₂O was included in the feed solution. This trend was mirrored in the experiments using 8 mL/min flow rate (entry 7-9). These experiments showed that a flow rate of 8 mL/min (corresponding to 192 mmol/h throughput) would be possible, with an acceptable purity profile.

In order to demonstrate the longer-term stability of the reactor system, an experiment was then carried out over two working days: for 10 h, then for 6 h, with solvent washing in between. This demonstration was used to determine the behavior of the reactor, the impurity profile over time and to detect any catalyst leaching or deactivation. The experimental conditions selected for this demonstration were with 8 mL/min flow rate, 6 equiv H₂O.

During the course of this experiment, 16 fractions were collection (1 per hour) for detailed offline analysis. Some issues in real-time analysis were observed towards the end of the first day of processing, due to small amounts of precipitation. However, this had no effect on the performance of the reaction itself and no increase in pressure was observed (see Supporting Information section 2.3.4.). Additionally, pumping issues were observed toward the end of the second day of processing, but this was remedied by switching to an alternative pump, resulting in <5 min downtime. Gratifyingly, the online analysis (FT-IR and UHPLC) showed no deactivation over the processing time (see Supporting Information section 2.3.4.).

Offline analysis of the fractionated reactor output also provided excellent results. The quantity of product **3** was 99.2 area% in the first fraction measured and showed no decrease over time – in fact a gradual increase was observed (**Figure 5a**). Furthermore, starting material **2** and key impurities **4** + **5** and **6** were low in the first fraction and decreased over time (**Figure**

5b). None of the collected fractions provided >0.1 area% of impurities 4 + 5 combined, or impurity 6, meaning that all collected material was within specification.

Figure 5. Reaction Performance over Long Duration Experiment



Offline UHPLC measurements of fractionated reactor output are shown. (a) Desired product 3. (b) Starting material 2 and key process intermediates 4, 5 and 6. Note: results below reporting limit (0.025 area%) are not shown.

Over this period, 850 g (3.07 mol) of starting material was processed, with no loss in catalyst activity over time. Based on a total Pd loading of 96 mg (0.9 mmol, 12 mg per CSM), this represents an effective catalyst loading of just 0.011 weight%, which would continue to decrease with longer term processing. When considering the long-term stability of such a process, it is vital to ensure that no leaching of the catalyst is occurring. Accordingly, ICP-MS measurements were carried out on six of the fractions collected and compared with measurements of the

Table 2. ICP-MS Analysis from Long Duration Experiment

Entry	Description	Al [ppm]	Pd [ppm]	
1	THF blank	< 0.1	< 0.001	
2	THF processed ^a	< 0.1	0.005 ± 0.001	
3	Reaction mixture	< 0.1	1.315 ± 0.015	
4	Day 1, 1h	< 0.1	0.120 ± 0.002	
5	Day 1, 6 h	< 0.1	0.120 ± 0.002	
6	Day 1, 10 h	< 0.1	0.106 ± 0.006	
7	Day 2, 1 h	< 0.1	0.134 ± 0.002	
8	Day 2, 4 h	< 0.1	0.106 ± 0.004	
9	Day 2, 6 h	< 0.1	0.104 ± 0.002	

^aTHF solvent, which had been passed through the reactor under the set conditions (120 $^{\circ}$ C, 20 bar, with H₂ flow).

input reaction mixture and solvent blanks (**Table 2**). No detectable level of Al was observed in any samples, implying that there was no degradation of the alumina support over time, in agreement with previous work using this type of CSM.¹³

The input reaction solution was found to contain 1.315 ± 0.015 ppm of Pd, due to a Buchwald-Hartwig amination in the preparation of 2^{3} However, to our surprise, the reactor effluent contained significantly less Pd, consistently in the range of 0.1 ppm. This was attributed to the ability of alumina to scavenge some quantity of Pd from the reaction solution and could explain the improvement in performance over the duration of the experiment (Figure 5b). However, it should be noted that the quantity of Pd introduced in this manner likely does not have a significant impact upon the successful reaction operation overall, since its adsorption/deposition in this manner is unlikely to result in catalytically active surface.

Maximum Throughput Processing (16 CSMs)

It was noted that the increase in possible throughput from 4 to 8 CSMs was higher than an expected linear scale up (48 mmol/h to 192 mmol/h; a four-fold increase). This is reasoned to be caused by improved mixing, which is achieved at higher flow rates, but may also be influenced by minor temperature increase due to reaction exotherm. Accordingly, it was decided that an additional demonstration of the reactor at its full capacity of 16 CSMs would be of interest, to determine the maximum reachable productivity in this reactor system. In order to manage significantly higher flow rates, the reactor system underwent some minor modifications including adding a heat exchanger prior to the reactor and implementing four

Figure 6. Real-time Analysis of High Throughput Experiment Using 16 CSMs



(a) Reaction scheme showing the reaction conditions for maximum throughput: 714 mmol/h using 16 CSMs. (b) Online monitoring of high-throughput experiment by FT-IR (colored lines, every 15 s) and UHPLC (colored points, every 4.25 min). Concentration = 0.4 M, pressure = 20 bar, jacket temperature = 120 °C, liquid flow rate = 30 mL/min. Note: two UHPLC samples (17 and 38 min) provided erroneous results, due to an injection error.

additional internal temperature sensors inside the reactor itself (between fluidic channels).

An initial screening experiment examined the reaction performance at 16 mL (according to linear scalability from the 8 CSM setup) as well as 20, 24, 27 and 30 mL/min. Surprisingly, an excellent impurity profile was observed, even at 30 mL/min, with acceptable levels of 4 + 5 (0.082 area%) and an exceptionally low level of 6 (0.039 area%). These conditions were then run for 1 h, to ensure stability and process a larger quantity of material (**Figure 6**).

The outstanding throughput achieved in this experiment represents a remarkable improvement compared to the expected value (**Figure 7**). This corresponds to an increasing space-time yield with scale-up, likely due to improved mixing with higher flow rates.¹⁶ The maximum space-time yield achieved here is 26.2 mol/L/h, owing to the small reactor channels used (27.2 mL void volume).

Figure 7. Comparison of Throughput with Increasing Number of CSMs



Experimental throughput achieved, compared to the theoretical value, linearly extrapolated from initial reactions using 4 CSMs.

With a view to further scale-up toward production scale, the CSM approach offers a straightforward solution.¹⁷ The negligible pressure drop in this system means that the reactor length and number of parallel channels can be increased, numbering up the quantity of CSMs substantially before becoming problematic.¹⁴ In this manner, the exact same CSMs can be used, maintaining the same active surface area, pore size and other critical catalyst parameters. This relieves a substantial hurdle in the development of standard heterogeneously catalyzed process, wherein the catalyst size/properties must often be changed to operate at different reaction scales.

When operating at high throughput, a notable internal temperature rise was observed (~12 °C), especially in the first reaction channel, due to the reaction exotherm (see Supporting Information section 2.4.1.). This study benefited greatly from the additional temperature sensors in the reaction channel, which allowed the behavior over the progress of the reaction to be monitored. For larger scale operation, this exotherm could be managed by a variety of approaches, such as alternating CSMs with inactive static mixers, or introducing substoichiometric quantities of H_2 at multiple positions along the reactor.

CONCLUSION

In conclusion, Pd/Al_2O_3 CSMs have been demonstrated as an industrially viable technology for an aromatic nitro reduction in the synthesis of fenebrutinib. Problematic catalyst deactivation was understood and tackled with the assistance of real-time analysis, leading to a simple solution of higher temperature operation and addition of small quantities of water. This real-time analysis also enabled rapid optimization of reaction parameters, in a data-rich environment, with a total of 72 h FT-IR data and >1100 online UHPLC samples measured. In addition, detailed offline analysis was used to confirm the impurity profile in each case.

The optimized parameters were applied to a system with 8 CSMs, where the throughput could be increased to a higherthan-anticipated level. Importantly, the reaction could be operated for a 16 h period (10 h + 6 h over 2 days), with no deactivation or leaching observed. Finally, moving to 16 CSMs facilitated an exceptional throughput of 714 mmol/h (spacetime yield = 26.2 mol/L/h or 6.5 kg/L/h). When combined with a straightforward scale-up strategy and good control over impurities, this represents a breakthrough application in pharmaceutical processing.

ASSOCIATED CONTENT

Supporting Information. This material is available free of charge via the Internet at http://pubs.acs.org.

Further details of reaction setups, experimental results and NMR data (PDF)

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Funding Sources

The CC FLOW Project (Austrian Research Promotion Agency FFG No. 862766) is funded through the Austrian COMET Program by the Austrian Federal Ministry for Climate Protection, Environment, Energy, Mobility, Innovation and Technology (BMK), the Austrian Federal Ministry for Digital and Economic Affairs (BMDW), and by the State of Styria (Styrian Funding Agency SFG). The INFRA FLOW project (Zukunftsfonds Steiermark No. 9003) is funded by the State of Styria (Styrian Funding Agency SFG)

ACKNOWLEDGMENT

The authors thank Prof. Walter Goessler (University of Graz) for ICP-MS analysis. In addition, Dr. David Linder, Dr. Helena Reymond and Dr. Lukas Chytil (Roche) are acknowledged for their valuable input to the project, as well as Dr. Christian Hornung (CSIRO) for helpful discussion on CSM technology.

ABBREVIATIONS

API, active pharmaceutical ingredient; BPR, back pressure regulator; BTK, Bruton's tyrosine kinsase; CSM, catalytic static mixer; ICP-MS, inductively coupled plasma mass spectrometry; MS, multiple sclerosis.

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