1 Shortcut Method for Initialization of Dividing Wall Columns

2 and Estimating Pareto-Optimal NQ-curves

- 3 M.Sc. Lena-Marie Ränger¹, Prof. Dr.-Ing. Thomas Grützner^{*1}
- 4 ¹Laboratory of Thermal Process Engineering, Institute of Chemical Engineering, Ulm University, Albert-
- 5 Einstein-Allee 47, 89081 Ulm, Germany
- 6 *Corresponding author, thomas.gruetzner@uni-ulm.de

7 Abstract

8 In early project stages often no simulation results are available for dividing wall columns. Hence, shortcut methods are used to estimate suitable vapor and liquid splits. In a previous paper it was shown that $\dot{V}_{_{min}}$ 9 10 diagrams are a suited tool to satisfy this need. However, it has turned out that it shows weaknesses for columns with finite or non-optimal stages. This contribution closes that gap and presents an extended 11 approach to derive suited initial guesses. For this purpose, the original $\dot{V}_{_{min}}$ diagram is combined with a 12 heuristic approach to calculate Pareto-optimal column designs resulting in a stage-adapted \dot{V}_{min} diagram. 13 14 A comparative study shows that the new approach is a powerful tool to generate reliable guesses for 15 multiple dividing wall column simulations with finite stage numbers.

16 Key Words

- 17 Dividing wall columns
- 18 Shortcut
- 19 Vmin diagram
- Vapor/liquid split
- Pareto-optimal

22

23 1 Introduction

Distillation is one of the most important separation techniques in chemical industry [1–3]. This is contrasted by the fact that it is also one of the most energy-intensive process options. Accordingly, the process still offers a lot of scope for increasing efficiency. In this context dividing wall columns as intensified processes are a noteworthy alternative [4,5]. They can separate three, four or higher component mixtures into pure product flows in only one column shell by applying dividing walls to separate the feed and product sections [6]. Depending on the design variant of the column between 30 and 50 % energy savings compared to conventional column sequences can be expected [7–9].

31 However, the modelling and operation of dividing wall columns is more complex compared to standard 32 column sequences. In this context especially the vapor and liquid split above and below the dividing wall 33 are relevant. Good initial estimates of these splits are important in three fields: First, for the operation of 34 dividing wall columns suited ranges of the splits should be known in order to enable a stable column 35 operation. Second, for the initialization of flowsheet simulation guesses for the internal streams are 36 required. For bad initial guesses convergence problems are likely. Third, for the optimization of dividing 37 wall columns initial simulations with solutions close to the demanded optimum are advantageous to find 38 global optima. For all three cases, it is helpful to have a tool being able to estimate the split ranges reliably. In literature, the V_{min} diagram is used for this task [10,11]. However, the diagram and thus also the 39 predicted liquid and vapor splits are only valid at an infinite stage number since this is a basic assumption 40 41 for its calculation. In a previous publication the authors of this contribution showed, that the suited vapor 42 and liquid split ranges are strongly depending on the total stage number and allocation in the column sections [12]. Correspondingly, the suitability of the original \dot{V}_{min} method as an estimation tool decreases 43 44 with decreasing stage number of the column.

Accordingly, the objective of this paper is to present a new reliable method for the estimation of liquid 45 46 and vapor splits for columns with finite stage numbers. This approach is also helpful in the case that the 47 allocation of the theoretical stages inside the column is non-optimal for the separated mixture, which can occur for multi-purpose columns. The method is based on the extended version of the \dot{V}_{min} diagram, which 48 49 was introduced in a previous publication [12]. In order to enable a shortcut calculation of the diagram, an approach to estimate Pareto-optimal compromises between stage number N and energy requirement Q50 of distillation columns is needed. For this purpose, an optimization-based empirical methodology is 51 52 developed. Note that this contribution sets the focus on dividing wall columns with two dividing walls to split quaternary mixtures as presented in [13], nevertheless the procedure is adaptable to any other kind
 of distillation columns.

55 This contribution is organized as follows. First, a brief introduction into the theoretical background is given 56 in section 2. Afterwards, an example case is introduced in order to emphasize the limitations of the original $\dot{V}_{_{min}}$ diagram as estimation tool for lower total stage numbers in section 3. With the help of this example, 57 the procedure for the new extended approach is presented in section 4. For this purpose, the so-called 58 59 stage-adapted V_{min} diagram is introduced. The diagram can be calculated by a heuristically developed approximation approach, thus no flowsheet simulator is required. Last, the original and stage-adapted 60 $\dot{V}_{_{min}}$ diagrams are used to initialize a simulation of the example case and the resulting product purities are 61 62 compared in section 5.

63 2 Theoretical Background

This article focuses on the separation of four-component mixtures, thus the theoretical foundations for 64 this kind of separation task is summarized here. Quaternary mixture, denoted as A, B, C and D 65 66 corresponding to the boiling points of the components where A is the light boiler, are conventionally split 67 in a sequence of three distillation column. Then, each column before the last one obtains one final product 68 stream and one consisting of a mixture of the residual components. The last column is then just fed with 69 an almost binary feed and has two final product flows. In Figure 1a one option for the coupling columns, 70 the direct-direct split sequence [14], is shown. In the flow chart, boxes with an x in the middle indicate 71 column sections equipped with separating internals. The expression column region denotes the sections 72 above and below a feed stream being responsible for a certain product split. However, due to the fact 73 that in column sequences not just the heavy boiling component but also the residuals have to be 74 evaporated, this is not the most energy efficient option for the product separation. The minimal feasible 75 energy operation of this separation task without heat integration can only be realized in a multiple dividing 76 wall column (mDWC) [8], which is shown in Figure 1b. Three dividing walls are applied inside the column 77 which prevent remixing of the intermediate boiling components. Consequently, at an infinite stage 78 number these columns require around 50 % less energy input compared to conventional column 79 sequences [8,9]. This lower energy demand comes at the cost of a higher complexity. For the column 80 design, the number of theoretical stages has to defined in 12 instead of just six sections and three vapor 81 and liquid splits at the dividing walls have to be defined additionally.



Figure 1: Options for quaternary product splits. (a) Direct-direct split sequence, (b) multiple dividing wall column and (c) simplified
 multiple dividing wall column.

However, the complexity can be reduced by neglecting one of the dividing walls, which can be done for
many systems without energy penalty [8]. The resulting simplified multiple dividing wall column (smDWC,

86 in literature sometimes 2-2-4 configuration) saves two sections, one liquid and one vapor split.

Similar as conventional distillation columns also the operation of dividing wall columns is limited by the minimum stage operation and the minimum energy operation at an infinite stage number. As a rule of thumb, infinite stages can be approximated at 4 times the minimum one [15,16]. Shortcut approaches are available in order to determine these extreme operating points and also optimal compromises in between.

For the minimum stage number estimation the Fenske equation is a well-known approach [17]. The
equation is also commonly applied to dividing wall columns [12,18–20].

For the minimum energy demand estimation V_{min} diagrams are a suited tool. They are based on the 94 95 Underwood equations [21–24] and have been developed by Halvorsen and Skodestad [25–27]. For the 96 calculation of the diagram an infinite stage number, constant relative volatilities and molar flows are 97 assumed. It is a mountain like diagram with maxima and minima. The number of maxima is one below the number of components in the feed stream. An example for a $\dot{V}_{_{min}}$ diagram of a quaternary system is given 98 99 in Figure 2a. The diagram shows the minimum vapor demand over the corresponding distillate stream for 100 different product splits in a simple distillation column. For a quaternary feed stream there are three 101 maxima in the diagram, which are denoted as sharp product splits. The first one is called AB split, since all 102 component A from the feed stream is obtained in the distillate stream of the column while all component 103 B is obtained in the bottom stream. The same applies for the following BC and CD separations. In between 104 the maxima there are minima representing sharp product splits with distributing intermediate boiling

components. An example for this is the AC split, where all component A is in the distillate and all C in the
 bottom. Meanwhile component B distributes between the product streams. Here the sharp split
 represents the minimum vapor demand reachable by varying the recovery of component B in the distillate
 product.



Figure 2: (a) Principle of \dot{V}_{min} diagram for quaternary system and (b) Product split regions in a mDWC.

110 The V_{min} diagram is directly applicable to a multiple dividing wall column and the highest peak represents 111 the total energy demand of the column. The perquisite for the applicability is that each column region 112 performs its preferred split as shown in Figure 2b. In the section in which the feed stream enters the 113 components A and D are split, the product streams of this separation are fed to regions performing the 114 AC and BD split. The main column part from which the final product flows are obtained performs the 115 product splits without intermediate boiling components.

However, in this contribution a simplified version of the mDWC is considered. For this kind of column the
diagram is still applicable with adaptations. Due to the fact that the sections C23 and C24 (see Figure 1c)
are missing, the sections C31 and C32 can no more perform their preferred AD split. Instead, the BD split

is performed. As a consequence, in the V_{min} diagram the AB split is shifted, the new shifted peak is often denoted as AB', where the superscript prime generally indicates an adjustment of the \dot{V}_{min} diagram compared to the original one of the feed mixture. As long as the adjusted AB' split stays below the highest peak, the smDWC does not require more energy than the full mDWC [13]. A detailed publication about the calculation of the shifted peaks for such a column can be found elsewhere [10].

Due to the fact that the V_{min} diagram does not only show the overall minimum energy demand of the 124 column but also of all column regions performing certain product separations, also the required liquid and 125 126 vapor splits at the dividing walls can be read [27]. Additionally, it can already be evaluated whether these splits can be operated in a certain range resulting in a more flexible operation. This can be easily derived 127 128 from a simple mass balance. Obviously, all vapor produced at the bottom of the column will also be 129 available at the top since constant molar flows inside the column are assumed. Correspondingly, all 130 maxima are shifted to the vapor demand of the highest one. As a consequence, also the sharp splits with 131 distributing components are shifted. Nevertheless, the resulting vapor and liquid splits can be operated 132 in between the original and the shifted vapor demand which is then denoted as optimality region [27]. In 133 this work it is distinguished between the optimality region and a flexibility of the liquid and vapor splits. 134 This arises from the fact that the optimality region considers pure products at the minimum energy 135 operation. Flexibility denoted the extended optimality region with a certain distance to the energetic 136 minimum and for slight impurities in the product flows. Since literature about the flexibility of multiple 137 dividing wall columns is quite rare, this topic is explained in more detail in section 3.

As already stated, the minimum energy and minimum stage operation are the extreme compromises for the operation of distillation columns. Usually, the design is chosen in between these cases. A well-known shortcut approach to determine an intermediate operation point is the empirical approach of Gillilant[28], which makes use of the standardization of stage number *X* and reflux ratio *Y* as shown in equation (1), where *R_R* denotes the reflux ratio. Gilliland states that all optimal column setups are located on one line when plotting *Y* over *X*. The approach is graphically based.

$$X = \frac{\sum N_{Ci} - \sum N_{min,Ci}}{\sum N_{Ci} + 1}, Y = \frac{R_R - R_{R,min}}{R_R + 1}$$
(1)

Later, Molokanov extended with approach by a mathematical description of the correlation [29], which isshown in equation (2).

$$Y = 1 - \exp\left(\frac{1 + 54.4X}{11 - 117.2X} \cdot \frac{X - 1}{\sqrt{X}}\right)$$
(2)

146 3 Example system and limits of original \dot{V}_{min} diagram

147 In order to emphasize the limits of the original \dot{V}_{min} diagram to initialize simulation of smDWCs at finite 148 stage number, an example is first presented here. The \dot{V}_{min} diagram of a system is calculated and four cases 149 representing the borders of the optimality region are used to initialize a rigorous Petlyuk column 150 simulations provided by Aspen Plus^{*}. The resulting product purities are then determined.

As quaternary mixture ethanol, *n*-propanol, *i*-butanol and *n*-butanol is considered, since it is suggested to be suited for the smDWC in literature [13]. The mixture is assumed to be equimolar and at liquid boiling state, the feed stream is set to 100 mol/h. For the thermodynamic modelling the non-random two-liquid model (NRTL) is used and suitability of the parameters is validated with experimental data from literature [13]. The relative volatilities of the components are determined to be $\alpha = [4.7, 2.2, 1.5, 1.0]$.

156 The mixture is assumed to be split in a simplified multiple dividing wall column as shown in Figure 1c. This 157 kind of column is to be built at Ulm University with the purpose to be suited for a broad range of mixtures 158 [13,30]. Thus, the theoretical stages in all sections are set equal and for fluid dynamic reasons double in 159 section C32. For the present example, the stage number in all sections is set to 13 as shown in Table 1. In 160 order to quantify that this stage number can be denoted as finite, the minimum stage number for all 161 product splits to reach final product purities of 98 mol% are calculated with the Fenske equation. The 162 procedure is presented elsewhere [12]. The factor n is introduced describing the ratio between the actual 163 stage number divided by the corresponding minimum one as shown in equation (3).

$$n_i = \frac{\sum N_{Ci}}{\sum N_{min,Ci}}$$
(3)

164 If *n* = 1 the minimum stage number is reached while *n* = 4 approximates an infinite stage number.

165Table 1: Stage distribution of the assumed column and minimum stage number for the corresponding product splits for 98 mol%166pure final products

Sections (see	Region	Stage number	Minimum stage number	Relative stage
Figure 1c)		in example \boldsymbol{N}_i	(Fenske) $N_{\scriptscriptstyle{min,i}}$	number n_i
C11+C12	AB	26	12	2.2
C13+C14	BC	26	24	1.1
C15+C16	CD	26	22	1.2
C21+C22	AC	26	17	1.5
C31+C32	BD	39 (26 in C32)	24	1.6

The factor *n* for all product splits is below 2.2, thus the stage number is finite. Additionally, it is observable that the factor is different for all product splits, especially the BC split is operated close to the minimum stage number thus $n_{BC} = 1.1$. Correspondingly, the stage allocation inside the column sections is also nonoptimal for the system.

The original V_{min} diagram of the mixture is shown in Figure 3a. The CD split has the highest peak which 172 defines the total vapor demand of the column. Due to the use of a simplified version of the multiple 173 174 dividing wall column, the AB peak and correspondingly also the AC minimum is increased as indicated by the dashed line [10,13,31]. Note that in the following shifted peaks in comparison to the original V_{min} of 175 the feed stream are indicated by a superscript prime, thus the shift of the AB peak for the smDWC is called 176 177 AB'. Since the resulting vapor demand of the AB' split still requires less energy than the CD split, the 178 simplified column version can be operated without energy penalty. The following Figure 3b, c and d show 179 several options how peaks can be shifted in comparison to the original diagram resulting in the optimality 180 region indicated in Figure 3d. 181 From the optimality regions in Figure 3a to d, the borders of the operating ranges for the vapor and liquid

splits can be read, the resulting ranges are shown in Figure 7 (section 5). All four cases are then applied to

initialize a flowsheet simulation of the considered system in the column from Table 1.



Figure 3: (a) Original \dot{V}_{min} diagram for the feed mixture; dashed lines indicate the adaptation of the diagram for the separation in the simplified mDWC version (Figure 1c) and (b)-(d) analytically derived ranges for lower peaks resulting in suitable ranges of the liquid and vapor splits. Gray lines indicate the original \dot{V}_{min} diagram, black lines indicate shifted peaks.

187 Table 2 in section 5 summarizes the resulting product purities for all four cases from Figure 3a to d. In any

188 case, the side draw streams have low product purities around 60 %. Correspondingly, it gets clear that the

resulting vapor and liquid split ranges are not well suited for the column.

190 The reason for this observation have already been stated above. On the one hand the overall stage

191 number is relatively low and on the other hand the allocation inside the section is non-optimal. Especially

the BC split is operated at a stage number close to the minimum one, while the AB split is operated with

more than double the amount of the minimum one. At this point the three fields of application of the V_{min}

diagram for estimation of vapor and liquid splits stated in the introduction (section 1) should be recalled.

195 The first area where reliable estimates of split ranges are needed is their implementation for real column operation. Here the guess of the original \dot{V}_{min} diagram is not sufficient since the objective of columns is 196 197 usually to obtain product purities above approximately 90 mol%. The second aspect, to obtain converging 198 flow sheet simulations is partly fulfilled. Even though the simulations converged in the end, in some cases 199 more that the maximal feasible 200 iteration runs set by Aspen Plus had to be used. Last, the third aspect 200 to obtain good initial guesses for an optimization to reach high product purities could not be covered. 201 Actually, an optimization (according to literature²⁹) initialized with the splits from Figure 3a did not find a global optimum. Here an additional disadvantage of the original \dot{V}_{min} diagram comes into play: the reboiler 202 203 input for the finite stage number operation has to be guessed additionally. Overall, it can be concluded that the suitability of the original \dot{V}_{min} diagram as a universal tool for vapor and liquid split estimations is 204 205 reduced with decreasing total stage number.

206 4 New approach based on extended V_{min} diagram

Nevertheless, to make the basic idea of the \dot{V}_{min} diagram also usable for lower numbers of theoretical 207 stages a new method is presented here. It is built on the idea of a three-dimensional $V_{_{min}}$ diagram 208 considering also the dimension n (equation(3)), which has been published previously [12]. However, in 209 210 the original publication an optimization was used to derive the additional dimension of the diagram. In 211 order to avoid the need of an optimization, first a shortcut approach to calculate Pareto-optimal column designs in between the minimum stage number and the minimum energy demand is introduced in section 212 4.1. Afterwards, the methodology is applied to derive the extended $\dot{V}_{_{min}}$ diagram of the considered test 213 system in section 4.2. Additionally, inserting the factors n of the column sections into the diagram gives a 214 first hint on the distortion of the \dot{V}_{min} diagram for the column. In the following section 4.3 the stage-215 adapted \dot{V}_{min} diagram is introduced. It is a two-dimensional projection of the \dot{V}_{min} diagram resulting from 216 the column section location in the three-dimensional $\dot{V}_{_{min}}$ diagram. In this new depiction a graphical 217 approach can be applied to determine adjusted vapor and liquid split ranges for a simulation initialization. 218 In the following section 5 the results derived from the original \dot{V}_{min} method are compared to the ones 219 based on the new stage-adapted \dot{V}_{min} method. 220

221 4.1 Approximation of Pareto-optimal column designs

To enable a shortcut calculation of the extended three-dimensional \dot{V}_{min} diagram, a heuristic rule to determine Pareto-optimal distillation column designs between the minimum stage number and minimum energy operation is required. In order to quantify the suitability of shortcut methods, the suited correlations are compared to a data set derived from rigorous flowsheet optimizations. The data set includes several Pareto-optimal column designs considering the reboiler duty and total stage number of stages as objectives. Optimizations are performed for different binary, ternary or quaternary mixtures that are split in standard distillation columns, column sequences or dividing wall columns. A detailed description of the optimization procedure would exceed the scope of this paper, however the corresponding background has already been published elsewhere [32].

As a first step, the Gilliland/Molokanov method which is discussed in section 2 is tested according to its suitability to describe the optimization data. Figure 4a shows the comparison of the scattered optimization results to the shortcut method (black solid line). The optimization results are not well described by the equation. Additionally, the overall scattering range of the data points is relatively large and thus another standardization should be used. Accordingly, a new method is developed here to describe the Pareto-optimal solutions.

The procedure to develop the new approximation approach can be distinguished into two steps which are iteratively repeated until a suited result is found. First, a standardization approach has to be found for both dimensions, which are the stage number and energy demand. In this context the reboiler duty is used, however it is similar for the vapor demand or reflux ratio. The aim of normalization is to ensure that all the points considered are located on a line with the smallest possible scattering radius. Second, the mathematical relationship between the two standardized values should be describable by a simple equation.

For the new standardization approach, several options are tested. Initially the parameter *n* shown in equation (3) is assumed. However, after the first iteration with the correlation equation it was decided to additionally divide the number by 0.97. The reason for this is given in the later part of this chapter, at which the correlation equation is presented. The resulting final standardization of the stage number is shown in equations (4). The standardized energy input is shown in equation (5), however also equation (6) can be applied for the second dimension.

$$N_{rel} = \frac{n}{0.97} = \frac{\sum N_{Ci}}{0.97 \sum N_{min,Ci}}$$
(4)

250

$$\dot{Q}_{rel} = \frac{\dot{Q}}{\dot{Q}_{min}} \tag{5}$$

11



Figure 4b shows the location of the same scattered optimization data as Figure 4a but according to the new standardization approach. The scatter radius of the data points is reduced significantly. Interestingly,

also no impact of the considered system can be seen.



Figure 4: Standardization methods for Pareto-optimal setups of distillation columns. Comparison of actual optimization results
 (dots) with heuristic shortcut approaches (lines) (a) Gilliland/Molokanov and (b) new standardization approach and approximation
 according to equation (8)

A suited correlation to describe the relation between the two dimensions of Pareto-optimal column designs from Figure 4b is shown in equation (7). This equation has two asymptotes, *b* in x-direction and *c* in y-direction.

$$\dot{Q}_{rel} = \frac{a}{N_{rel}^2 - b} + c \tag{7}$$

The parameters *a*, *b* and *c* have to be fitted to the optimization data. At first glance, it is obvious to assume that the minimum energy demand is one asymptote and the other is the minimum stage number. For equation (7) this means that *b* and *c* should be one, which results in a quite simple equation. However, the nature of asymptotes is that they are not defined by the corresponding equation. Figuratively speaking, the equation would predict that the minimum stage number and minimum energy demand are not reachable but they actually are. For the heat duty of the evaporator this is no big problem since it is a 266 continuous number, thus its minimum value is overestimated only slightly. In contrast to this, the total 267 stage number is discrete and thus the model quality is reduced if the minimum stage number is not 268 defined by the equation. At this point, the adjusted standardization of the relative number of stages from 269 before can be explained. If the stage number is standardized according to equation (4) the asymptote of 270 the function is located at 0.97 times the minimum stage number. Accordingly, the minimum stage number 271 is actually defined by the equation and the parameters b and c can be set to one. The third parameter a 272 is then fitted to the optimization results to be 0.27. The final correlation for the approximation approach 273 is shown in equation (8).

$$\dot{Q}_{rel} = \frac{0.27}{N_{rel}^2 - 1} + 1 \tag{8}$$

274 Correspondingly, by inserting the actual stage number and reboiler duty into the equation the required 275 energy input related to a specific total stage number can be calculated according to equation (9), a similar 276 approach can be used when \dot{Q} is replaced by $\frac{\dot{V}}{\dot{F}}$.

$$\dot{Q} = \frac{0.27 \dot{Q}_{min}}{\left(\frac{N}{0.97 N_{min}}\right)^2 - 1} + \dot{Q}_{min}$$
(9)

277 **4.2** Extended V_{min} diagram by coupling with approximation method

278 The approximation approach from the previous section 4.1 is applied in order to calculate the threedimensional version of the \dot{V}_{min} diagram without the need of extensive optimization runs. For this purpose, 279 first the original \dot{V}_{min} diagram is calculated[10]. Then, equation (9) is applied on all considered product 280 281 splits. For the guaternary system from section 3, the three maxima describing the AB (the increased one 282 for this column version), BC and CD split are required and also the minima for the AC and BD splits. Note 283 that the AD split is not performed in the considered simplified version of the mDWC thus it is not shown 284 here. The resulting diagram for the example system is shown in Figure 5. Additionally, black squares 285 indicate the actual vapor demand for the example system in a column with the assumed non-optimal 286 stage number in the column sections (from Table 1).



Figure 5: Extended three-dimensional version of the \dot{V}_{min} diagram for the considered quaternary mixture. Dotted lines: Correlation according to equation (9), black squares and dashed line: determine stage-adapted \dot{V}_{min} for system inside a column with specified stage allocation.

287

At the front of the diagram at $n_i = 4$ the original \dot{V}_{min} diagram can be found. The development of the 291 292 significant points in the direction to lower n_i is calculated with the new approach from section 4.1. 293 Generally, the shape of the V_{min} diagram at lower stage numbers is similar to the one at higher stage 294 numbers. From the markers indicating the example system in the considered column design (Table 1) it 295 already gets clear that the BC split has the highest energy demand of the real column. According to the original $\dot{V}_{_{min}}$ diagram the CD split is limiting, however this bottleneck is shifted due to the chosen stage 296 297 allocation in the column sections. Additionally, the AC and BD splits have high relative stage numbers in 298 comparison to the BC split. While the BC split determines the total amount of vapor, the difference 299 between AC and BD to BC sets the flexibility of the vapor and liquid splits. Correspondingly, due to the 300 difference in n of these product splits a relatively broad operating range for the liquid and vapor splits is 301 expectable.

302 **4.3** Stage-adapted \dot{V}_{min} diagram

303 From the black dashed lines with black markers in Figure 5 a two-dimensional projection to axis similar to the original \dot{V}_{min} diagram can be obtained which is shown in Figure 6a. The dark gray lines in this diagram 304 are hereafter referred to as stage-adapted \dot{V}_{min} diagram. All observations from the previous section still 305 hold, however in the two-dimensional projection it is easier to read suited ranges of the vapor and liquid 306 splits. This is indicated in Figure 6b, c and d. As already noted, in contrast to the original \dot{V}_{min} diagram the 307 stage-adapted $\dot{V}_{_{min}}$ diagram predicts the BC split to be determining the total energy demand because BC' 308 309 is the highest peak. Accordingly, to evaluate the flexibility ranges now the CD and AB peaks can be lifted 310 to the height of BC. The determination of the optimality region is performed on a graphical basis. 311 Accordingly, the location of the AC and BD peak have to be guessed. Based on an iterative empirical 312 approach it is found that the location of these minima is best represented when the slopes next to the minima from the original \dot{V}_{min} diagram are maintained. For an illustration of this description, Figure 6b can 313 314 be considered, in which the CD peak is increased. Correspondingly, the BD minimum has to be estimated. For this purpose, the original $\dot{V}_{_{min}}$ diagram indicated by the light gray line is considered. The slopes of the 315 v-shaped line connecting the points BC-BD-CD is graphically shifted to the stage-adapted BC and shifted 316 317 CD peaks (BC'-BD''-CD''). This procedure is repeated for the AB peak in Figure 6c. In between the four 318 cases the ranges of the liquid and vapor splits can be read, which are shown in Figure 7 in the following 319 section 5.





322 5 Comparison of new and old approach

Figure 7 shows the liquid and vapor split ranges derived by different methods. The shortcut results from the original \dot{V}_{min} diagram (section 3) and the ones predicted with the stage-adapted version (section 4.3) are compared to results obtained from automated screenings based on rigorous flowsheet simulations (method published elsewhere [12]). Note that the resulting split ranges are four-dimensional, thus two projections of the results are shown here. Also, the section labeling from Figure 1c has to be kept in mind. The column C2 denoted sections C21 and C22, in which the AC split is performed (dotted lines in Figure 7a). C3 denotes the sections C31 and C33 performing the BD split (solid lines in Figure 7a). Note that an adapted split is shown for the liquid split to C3. The adapted split is the product of the two liquid splits to
C2 and C3. It is observed that the resulting splits are located on a straight line and thus this representation
is chosen. The correlation between columns C2 and C3 can be seen in Figure 7b. All liquid and vapor splits
are defined as the molar flow to the left side of the dividing walls according to Figure 1c related to the
total flow split (index I).



Figure 7: Actual split ranges for the considered example (black) vs. ranges predicted by original (gray) and adapted (red) \dot{V}_{min} diagram. Screening results are obtained at $\dot{Q} = 1.2 \cdot \dot{Q}_{min}$ to reach 97 mol% pure products.

337 Black lines indicate the actual split ranges based on rigorous flowsheet simulations and gray lines indicate the ranges predicted by the original \dot{V}_{min} diagram. While the ranges for C2 fit well with the actual ranges, 338 the liquid split for C3 is significantly underestimated. Note here that the \dot{V}_{min} diagram predicts a line for 339 340 the vapor and liquid splits in C3 while a narrow area results from the screening. This behavior can be 341 explained with the fact that lower product purities and an increased energy demand above the minimum one are assumed for the screening. Thus, the corresponding split ranges widen to a field are easier to find 342 343 compared to a straight line, which is actually valid at the energetic minimum for pure products (from 344 Figure 3b). Also, the correlation between the vapor splits C2 and C3 is not located inside the suited range. This is the reason why the simulations initialized with the original $\dot{V}_{_{min}}$ shows low purities of the side 345 products (Table 2). In contrast to this, the ranges derived with the new approach (red lines) represent 346 347 most of the actual range well. Only the upper region of the vapor split to C3 and the upper range of the 348 liquid split to C2 are overestimated.

These extreme cases predicted with the stage-adapted \dot{V}_{min} diagram are again used to initialize flowsheet simulations of the system introduced in section 3. The resulting product purities are also shown in Table 2. It should be noted that the option from Figure 6b is not realizable in the column since the BD split cannot be operated at a higher energy input than AC. Consequently, the column section C22 would have to be operated at a negative vapor input. Thus, this option is not considered in Table 2.

With the new approach, significantly higher product purities are reached of at least 93.9 mol% for all products. The case from Figure 6d has the lowest purities, the corresponding data point in Figure 7 is the one overestimating the split range for C3. With the cases from Figure 7a and b higher purities are reached.

357 Table 2: Comparison of product purities resulting from the two initialization methods

Component i	Original $\dot{V}_{_{min}}$ method			stage-a	dapted $\dot{V}_{_{\!m}}$	_{iin} method	
	Figure	Figure 3b	Figure	Figure 3d	Figure	Figure	Figure 6d
	3a		3c		6a	6c	
Α	98.3	97.0	98.0	98.1	98.4	98.0	98.1
В	66.2	66.2	61.6	63.3	96.6	97.8	94.7
С	59.9	61.5	55.9	57.7	95.7	97.1	93.9
D	92.4	92.6	92.7	93	97.5	97.3	97.3

358

359 Correspondingly, the new approach is a significant enhancement for the vapor and liquid split description 360 of systems in columns with finite and non-optimal stage numbers. All three aspects stated in the 361 introduction about the significance of this approach are fulfilled again. High product purities are reached, 362 thus the vapor and liquid split ranges are applicable to a real column operation. Also, the initialization of 363 flowsheet simulation was successful. Last, an optimization was performed [32] with the initial simulation according to Figure 6c. With the new approach, in addition to the liquid and vapor split ranges also the 364 365 required initial energy demand could be estimated with equation (9). This optimization was successful and found a suited optimum which was not possible with the initial simulation by the original V_{min} diagram. 366 Nevertheless, it should still be kept in mind that the assumption of constant relative volatilities is still valid 367 for the stage-adapted V_{min} diagram. Accordingly, for strongly non-ideal systems the method could still 368 369 suffer reduced applicability.

370 6 Summary

This paper focuses on the weaknesses of \dot{V}_{min} diagrams as a tool to estimate liquid and vapor split ranges 371 372 for dividing wall columns with finite stage numbers and presents a new approach to overcome them. The 373 initial problem results from a sensitivity of the vapor and liquid splits to the total stage number in the 374 column sections. Correspondingly, for columns with relatively low stage numbers and non-optimal 375 allocation, the \dot{V}_{min} approach does not result in satisfactory product purities. In order to overcome these drawbacks, this paper presents a new heuristic shortcut method to extend the original $\dot{V}_{_{min}}$ diagram to 376 finite stage numbers. For this purpose, the idea of the \dot{V}_{min} diagram is coupled with the knowledge about 377 Pareto-optimal column designs in between the minimum energy and stage operation. For the calculation 378 379 of Pareto-optimal column setups, a new approximation approach is presented. This approach is not 380 limited to dividing wall columns and can also be applied to any other kind of distillation columns. Based on the original \dot{V}_{min} diagram and the estimation approach for Pareto-optimal column setups, a stage-381 adapted $\dot{V}_{_{min}}$ diagram can be calculated. Afterwards, a graphical approach can be used to determine the 382 383 actual optimality region of the column. Based on this region suited liquid and vapor splits can be derived. It is shown, that the new approach is able to estimate the actually suited ranges very well. 384 385 Correspondingly, the resulting product purities are significantly higher compared to the results from the original V_{min} method. 386

387

388 **7** Acknowledgements

We gratefully acknowledge the funding by Deutsche Forschungsgemeinschaft (DFG), project number440334941.

391 8 Symbols used

392 8.1 Abbreviations

Abbreviation	Meaning
smDWC	Simplified multiple dividing wall column
NRTL	Non-random two liquid model
mDWC	Multiple dividing wall column

393

394 8.2 Variables

Variable/Parameter	Meaning	
<i>V</i>	Molar vapor flow	
α	Relative volatility	
Ė	Molar feed flow	
Ď	Molar distillate flow	
а-с	Parameters to be fitted for approximation approach	
Ν	Number of theoretical stages	
R	Split ratio	
n	Relative total stage number	

395

396 8.3 Sub- and superscripts

Index	Meaning
min	Minimum
rel	Relative
Сі	Column or column section/region <i>i</i>
R	Reflux
V	Vapor
L	Liquid

Ι	left
(Shifted energy demand in $\dot{V}_{\scriptscriptstyle min}$ diagram compared to original one of the
	feed mixture

397

398 9 Table and Figure Captions

399	Table 1: Stage distribution of the assumed column and minimum stage number for the corresponding
400	product splits for 98 mol% pure final products7
401	Table 2: Comparison of product purities resulting from the two initialization methods
402	
403	Figure 1: Options for quaternary product splits. (a) Direct-direct split sequence, (b) multiple dividing wall
404	column and (c) simplified multiple dividing wall column4
405	Figure 2: (a) Principle of \dot{V}_{min} diagram for quaternary system and (b) Product split regions in a mDWC5
406	Figure 3: (a) Original $\dot{V}_{_{min}}$ diagram for the feed mixture; dashed lines indicate the adaptation of the diagram
407	for the separation in the simplified mDWC version (Figure 1c) and (b)-(d) analytically derived ranges for
408	lower peaks resulting in suitable ranges of the liquid and vapor splits. Gray lines indicate the original $\dot{V}_{_{min}}$.
409	diagram, black lines indicate shifted peaks9
410	Figure 4: Standardization methods for Pareto-optimal setups of distillation columns. Comparison of actual
411	optimization results (dots) with heuristic shortcut approaches (lines) (a) Gilliland/Molokanov and (b) new
412	standardization approach and approximation according to equation (8)12
413	Figure 5: Extended three-dimensional version of the $\dot{V}_{_{min}}$ diagram for the considered quaternary mixture.
414	Dotted lines: Correlation according to equation (9), black squares and dashed line: determine stage-
415	adapted $\dot{v}_{_{min}}$ for system inside a column with specified stage allocation
416	Figure 6: Stage-adapted $\dot{V}_{_{min}}$ diagram two-dimensional projection of points from Figure 5) and graphical
417	approach to determine vapor and liquid split ranges in (b) to (d)16
418	Figure 7: Actual split ranges for the considered example (black) vs. ranges predicted by original (gray) and
419	adapted (red) \dot{V}_{min} diagram. Screening results are obtained at $\dot{Q} = 1.2 \cdot \dot{Q}_{min}$ to reach 97 mol% pure products.
420	
421	

422 10 References

423 [1] D. S. Sholl, R. P. Lively, *Nature* **2016**, *532* (*7600*), 435–437. DOI: 10.1038/532435a.

- 424 [2] J. L. Humphrey, *Chemical Engineering Progress* **1995**, *91* (10).
- 425 [3] J. L. Humphrey, G. E. Keller, *Separation process technology*, Chemical engineering books, McGraw
 426 Hill, New York **1997**.
- 427 [4] A. A. Kiss, C. S. Bildea, *Chemical Engineering and Processing: Process Intensification* 2011, *50 (3)*,
 428 281–292. DOI: 10.1016/j.cep.2011.01.011.
- Y. Tian, S. E. Demirel, M. F. Hasan, E. N. Pistikopoulos, *Chemical Engineering and Processing: Process Intensification* 2018, *133*, 160–210. DOI: 10.1016/j.cep.2018.07.014.
- 431 [6] I. Dejanović, L. Matijašević, Ž. Olujić, *Chemical Engineering and Processing: Process Intensification* 432 **2010**, *49* (6), 559–580. DOI: 10.1016/j.cep.2010.04.001.
- 433 [7] M. A. Schultz, D. G. Stewart, J. M. Harris, S. P. Rosenblum, M. S. Shakur, D. E. O'Brien, *Chemical*434 *Engineering Progress* 2002, *98*, 64–71.
- [8] I. Dejanović, I. J. Halvorsen, S. Skogestad, H. Jansen, Ž. Olujić, *Chemical Engineering and Processing: Process Intensification* 2014, *84*, 71–81. DOI: 10.1016/j.cep.2014.03.009.
- 437 [9] I. Dejanović, L. Matijašević, I. J. Halvorsen, S. Skogestad, H. Jansen, B. Kaibel, Ž. Olujić, *Chemical*
- 438 *Engineering Research and Design* **2011**, *89* (8), 1155–1167. DOI: 10.1016/j.cherd.2011.01.016.
- 439 [10] L.-M. Ränger, U. Preißinger, T. Grützner, *ChemEngineering* **2018**, *2* (2), 25. DOI:

440 10.3390/chemengineering2020025.

- [11] I. Dejanović, L. Matijašević, Ž. Olujić, *Chemical and Biochemical Engineering Quarterly* 2011, 25 (2),
 147–157.
- L.-M. Ränger, L. Trescher, M. von Kurnatowski, M. Bortz, T. Grützner, *Chemical Engineering and Processing: Process Intensification* 2021, *163*, 108365. DOI: 10.1016/j.cep.2021.108365.
- 445 [13] U. Preißinger, L.-M. Ränger, T. Grützner, *ChemEngineering* **2019**, *3* (2), 34. DOI:

446 10.3390/chemengineering3020034.

- 447 [14] J. K. Kim, P. C. Wankat, *Ind. Eng. Chem. Res.* **2004**, *43* (14), 3838–3846. DOI: 10.1021/ie030640I.
- [15] I. J. Halvorsen, S. Skogestad, *Journal of Natural Gas Science and Engineering* 2011, *3 (4)*, 571–580.
 DOI: 10.1016/j.jngse.2011.06.002.
- [16] A. A. Kiss, *Advanced distillation technologies: Design, control, and applications*, Wiley, Chichester,
 West Sussex, United Kingdom **2013**.
- 452 [17] M. R. Fenske, *Ind. Eng. Chem.* **1932**, *24* (*5*), 482–485. DOI: 10.1021/ie50269a003.
- 453 [18] C. Triantafyllou, R. Smith, *Chemical Engineering Research and Design* **1992**, *70*, 118–132.
- 454 [19] K. Muralikrishna, V. Madhavan, S. S. Shah, *Chemical Engineering Research and Design* **2002**, *80*
- 455 *(2)*, 155–166. DOI: 10.1205/026387602753501870.

- 456 [20] N. Ramírez-Corona, A. Jiménez-Gutiérrez, A. Castro-Agüero, V. Rico-Ramírez, Chemical
- 457 *Engineering Research and Design* **2010**, *88* (10), 1405–1418. DOI: 10.1016/j.cherd.2010.02.020.
- 458 [21] A. J. V. Underwood, *Journal of the Institute of Petroleum* **1945**, 111–118.
- 459 [22] A. J. V. Underwood, *Journal of the Institute of Petroleum* **1946**, 198–613.
- 460 [23] A. J. V. Underwood, *Journal of the Institute of Petroleum* **1946**, 614–626.
- 461 [24] A. J. V. Underwood, *Ind. Eng. Chem.* **1949**, *41* (*12*), 2844–2847. DOI: 10.1021/ie50480a044.
- 462 [25] I. J. Halvorsen, S. Skogestad, Ind. Eng. Chem. Res. 2003, 42 (3), 596–604. DOI: 10.1021/ie010863g.
- 463 [26] I. J. Halvorsen, S. Skogestad, Ind. Eng. Chem. Res. 2003, 42 (3), 605–615. DOI: 10.1021/ie0108649.
- 464 [27] I. J. Halvorsen, S. Skogestad, Ind. Eng. Chem. Res. 2003, 42 (3), 616–629. DOI: 10.1021/ie0108651.
- 465 [28] E. R. Gilliland, Ind. Eng. Chem. **1940**, 32 (9), 1220–1223. DOI: 10.1021/ie50369a035.
- 466 [29] Y. K. Molokanov, T. P. Korablina, N. I. Mazurina, G. A. Nikiforov, *Chem Technol Fuels Oils* 1971, 7
 467 (2), 129–133. DOI: 10.1007/BF00718702.
- 468 [30] U. Preißinger, G. Lukač, I. Dejanović, T. Grützner, *Chem. Eng. Technol.* 2021, 44 (2), 223–237. DOI:
 469 10.1002/ceat.202000557.
- 470 [31] L.-M. Ränger, U. Preißinger, T. Grützner, *Chemie Ingenieur Technik* 2019, *91* (4), 420–428. DOI:
 471 10.1002/cite.201800080.
- 472 [32] L.-M. Ränger, M. von Kurnatowski, M. Bortz, T. Grützner, *Computers & Chemical Engineering* 473 **2020**, *142*, 107059. DOI: 10.1016/j.compchemeng.2020.107059.
- 474

475 **11** Entry for the Table of Contents

Research Article:

Dividing wall columns require reliable initial guesses for vapor and liquid splits for simulation and operation. This contribution presents a reliable approach to fulfil this need even if the column is non-optimally designed and has finite stage numbers. For this purpose, a stage-adapted V_{min} diagram is introduced, that can be calculated based on shortcut methods. Shortcut Method for Initialization of Dividing Wall Columns and Estimating Pareto-Optimal NQcurves

M.Sc. Lena-Marie Ränger, Prof. Dr.-Ing. Thomas Grützner*

Chem. Eng. Technol. **20XX**, *XX* (*X*), **XXXX...XXX**



476