1	L-MolGAN: An improved implicit generative model
2	for large molecular graphs
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8 Abstract

9 Deep generative models are used to generate arbitrary molecular structures with the desired 10 chemical properties. MolGAN is a renowned molecular generation models that uses generative 11 adversarial networks (GANs) and reinforcement learning to generate molecular graphs in one 12 shot. MolGAN can effectively generate a small molecular graph with nine or fewer heavy 13 atoms. However, the graphs tend to become disconnected as the molecular size increase. This poses a challenge to drug discovery and material design, where large molecules are potentially 14 inclusive. This study develops an improved MolGAN for large molecule generation (L-15 MolGAN). In this model, the connectivity of molecular graphs is evaluated by a depth-first 16 17 search during the model training process. When a disconnected molecular graph is generated, L-MolGAN rewards the graph a zero score. This procedure decreases the number of 18 19 disconnected graphs, and consequently increases the number of connected molecular graphs.

20	The effectiveness of L-MolGAN is experimentally evaluated. The size and connectivity of the
21	molecular graphs generated with data from the ZINC-250k molecular dataset are confirmed
22	using MolGAN as the baseline model. The model is then optimized for a quantitative estimate
23	of drug-likeness (QED) to generate drug-like molecules. The experimental results indicate that
24	the connectivity measure of generated molecular graphs improved by 1.96 compared with the
25	baseline model at a larger maximum molecular size of 20 atoms. The molecules generated by
26	L-MolGAN are evaluated in terms of multiple chemical properties, QED, synthetic
27	accessibility, and log octanol-water partition coefficient, which are important in drug design.
28	This result confirms that L-MolGAN can generate various drug-like molecules despite being
29	optimized for a single property, i.e., QED. This method will contribute to the efficient discovery
30	of new molecules of larger sizes than those being generated with the existing method.
31	

32 Keywords: deep learning, generative adversarial network, graph convolutional network,
33 molecular graph

35 **1. Introduction**

Machine learning-based molecular design of drugs is used to efficiently determine the desired 36 37 molecular structure in drug discovery. It also aids the automated search for unknown molecular 38 structures of the desired properties and predict their physical properties without requiring the domain knowledge of organic chemistry. A renowned classical molecular design model is 39 40 inverse quantitative structure-activity relationship (inverse-QSAR) [1]. Based on the QSAR model—an analytical model of the relationship between molecular structure and bioactivity, 41 42 formulated using molecular descriptors quantifying the features of the molecular structure-43 inverse-QSAR performs a backward prediction of the molecular structure from the desired bioactivity. Therefore, to obtain a molecular structure with the desired bioactivity, it is 44 45 necessary to select the appropriate molecular descriptors that are equivalent to the raw data of feature engineering in machine learning. However, it is difficult to identify the descriptors 46 47 correlated with the desired bioactivity from the numerous available molecular descriptors, which is a core problem in inverse-QSAR analysis. 48

Several molecular-structure search methods based on deep generative models, which generate new data with similar features as the original without the availability of predetermined feature vectors for the dataset, have been proposed and developed. Most adopt a graph-based approach in which the molecular structure is represented as a graph and are classified into two approaches in terms of the molecular generation process: sequential iterative process and one-shot 54 generation [2].

In the sequential iterative process, molecules are assembled stepwise by adding atoms and 55 56 bonds to a predefined scaffold. The advantage of the generative model [3–6] when combined 57 with the sequential iterative process is the assurance of chemical validity of the generated molecules. Thus, it is possible to obtain functional molecules by reliably generating larger 58 59 molecules. However, the disadvantage of the sequential iterative process is the increased computational cost of verifying the valence, topological prediction of molecular structure, and 60 61 graph isomorphism to calculate the reconstruction error when iteratively assembling molecules. 62 In one-shot generation, a molecule is generated by determining the combination of atoms and 63 bonds in a single step. The advantage of the generative model combined with one-shot 64 generation [2,7–10] is the simplicity of its architecture and algorithm. Its computational cost is 65 smaller than the sequential iterative process. Consequently, the generative model can be 66 optimized in a short time. However, the one-shot generation method can only generate small molecular graphs because the number of possible connections between atoms in larger 67 68 molecules increases quadratically, increasing the likelihood of the generation of chemically 69 invalid molecules [8]. 70 One of the most successful generative models using the one-shot generation scheme is the

molecular generative adversarial network (MolGAN) [7]. MolGAN generates small molecular
graphs with the desired chemical properties by combining GANs [11] and reinforcement

73	learning. It can generate chemically valid molecules if the number of heavy atoms used for
74	molecular representation is nine or fewer. However, when this number exceeds nine, many
75	disconnected molecular graphs are generated.
76	To overcome this issue, we propose a large MolGAN (L-MolGAN), an improved version of
77	the MolGAN model, for generating larger, more connected molecular graphs. Increasing the
78	number of connected molecular graphs in MolGAN will lead to the rapid generation of large
79	molecular graphs. We integrated into L-MolGAN a mechanism that enhances the generation of
80	connected graphs in the generative process of MolGAN. The first stage of the model judges if
81	the generated molecular graph is connected or disconnected. If the graph is disconnected, it
82	will be penalized during model training. Consequently, the generation of disconnected
83	molecular graphs is suppressed in the model optimization process.
84	The contributions of this study are:
85	1. An improved MolGAN that produces large (up to 20 atoms), novel molecules without
86	disconnections.
87	2. A molecular graph expansion mechanism that penalizes, and consequently suppresses,
88	the production of disconnected graphs.
89	The remainder of this paper is organized as follows. Section 2 presents an overview of the
90	proposed L-MolGAN and a method to represent the molecular graph and the framework of the
91	original MolGAN. A method to improve the connectivity of molecular graphs generated by L-

92 MolGAN is also described in this section. Then, in Sections 3 and 4, the effectiveness of the 93 proposed model is validated by comparing its performance in generating new molecules with 94 that of the original MolGAN using a publicly available dataset of drug-like molecules. Finally, 95 the paper is concluded in Section 5.

96

97 **2. Method**

98 2.1 Model Architecture

99 MolGAN, which is the baseline model, consists of GANs (generator and discriminator) and a 100 reward network. In this model, the molecular structure is represented by a graph. The L-101 MolGAN adds a mechanism called molecular graph expansion to the baseline model that 102 increases the number of generated connected molecular graph. The model architecture of L-103 MolGAN is illustrated in Fig 1. The L-MolGAN differs from the original MolGAN only in 104 terms of the molecular graph expansion mechanism, highlighted by the colored box in the 105 figure.

106

Fig 1 Model architecture of L-MolGAN for generating large molecular graph. It consists
of a generator, a discriminator, a reward network, and a molecular graph expansion mechanism.
Molecular graphs are generated by inputting into the generator vectors sampled from a prior
distribution. The discriminator classifies the input molecular graph into generator-produced or

111 dataset. The reward network predicts the chemical properties of the input molecular graph.

112

GANs were used to learn the molecular features of the training dataset, and the reward network was trained to predict the chemical properties of the given molecular graph. A multi-layer perceptron (MLP) was adopted for all three components, the generator, the discriminator, and the reward network, similar to the baseline study by De Cao et al. [7]. In the following subsections, we shall explain the molecular representation and each network model, as well as the proposed modifications to the baseline model.

119 2.2 MolGAN

120 **2.2.1 Molecular representation as a graph**

Studies related to the artificial generation of molecules using deep generative models [12–14]
represented molecules as strings using the simplified molecular-input line-entry system
(SMILES) [15]. The linear SMILES is in turn generated string using a recurrent neural network
and long short-term memory. Thus, the molecule of interest was artificially produced.

125 However, the inherent syntax of SMILES is complex, and the chemical structure and properties

126 of a molecule can vary drastically with the order of the string and changes in a single character.

127 In addition, the same molecule has multiple string representations, making it impossible to

- determine a unique SMILES [16, 17]. To avoid these problems, researchers have developed
- 129 molecular graphs that represent molecules based on the graph theory.
- 130 Molecular graphs are an intuitive, more robust representation of molecules compared with

131 intermediate representations such as SMILES. In this study, the molecules were treated as labeled undirected graphs. A molecular graph was defined as G = (V, E), where E and V 132 133 denote a set of edges and nodes, respectively. Each atom and each bond that make up a molecule 134 correspond to a node $v_i \in V$ and an edge $(v_i, v_i) \in E$, respectively. The molecular graph consists of two types of matrix: the node feature matrix and the adjacency matrix. The node 135 $v_i \in V$ in the molecular graph G was defined by the one-hot vector x_i in T dimensions, 136 where T represents the number of types of atoms. From this vector, the type of atom, which is 137 an attribute of node v_i , can be determined. The node feature matrix is represented by 138 aggregating all node feature vectors. The edge $(v_i, v_i) \in E$ in the molecular graph G 139 140 indicates that nodes v_i and v_j are connected. In addition to the connections between nodes, 141 the type of bond $y \in \{1, ..., Y\}$ is considered in the molecular graph, where Y is the number of bond types. In this study, the node feature matrix $X = [x_1, ..., x_N]^T \in \mathbb{R}^{N \times T}$ and the adjacency 142 matrix $A \in \mathbb{R}^{N \times N \times Y}$ were used to identify the types of atoms in all node sets of the molecular 143 graph G and the adjacency matrix. 144

145 **2.2.2 Generative adversarial networks**

GANs are deep generative models that aim to generate samples similar to a training set by
approximating the model distribution to an empirical distribution. In computational molecular
design, adversarial generation is an important strategy for producing molecular species similar
to a given molecular dataset.

150 GANs can be interpreted as an implicit generative model as it does not need assume a specific 151 probability distribution for the model distribution when approximating the empirical 152 distribution. This eliminates the need for an explicit likelihood function for approximating the 153 probability distribution. On the one hand, the variational autoencoder (VAE) [18], a likelihood-154 based model, adopts a method to approximate the empirical and model distributions by 155 assuming in advance the latter to be Gaussian and maximizing the evidence lower bound 156 instead. On the other hand, GANs adopt a method to approximate the model distribution to the 157 empirical distribution by parameterizing the distribution with a deep neural network and 158 estimating its density ratio. GANs mainly consist of two deep neural networks to approximate the distribution by density ratio estimation: generator G_{θ} , generates a new sample $G(z; \theta)$ 159 160 similar to the training sample $x \sim p_{data}$ by inputting a random number $z \sim p_z$ obtained from 161 a prior distribution p_z ; discriminator D_{ω} , which accurately identifies the input data as a training sample $x \sim p_{data}$ or a sample $G(z; \theta)$ generated by the generator. Training generator 162 G_{θ} to generate samples similar to the empirical distribution means will yield worse 163 identification results for the samples produced by the generator. In other words, the density 164 165 ratio estimation problem is replaced by a classification problem, which can be effectively 166 solved by deep neural networks are good. Therefore, these deep neural networks can be considered players in the minimax game of Equation 1, which shows the expected value of the 167 168 cross-entropy error.

169

170
$$\min_{\varphi} \max_{x \sim p_{data}(x)} [\log D_{\varphi}(x)] + E_{z \sim p_{z}(z)} [\log(1 - D_{\varphi}(G_{\theta}(z)))]$$
(1)

171

172	In adversarial learning, the generator is trained to generate samples similar to the training set
173	and misidentify them to the discriminator. In contrast, the discriminator is trained to correctly
174	discriminate between the samples generated by the generator and those from the training set.
175	With this process, the two models coevolve in adversary, with the generator minimizing the
176	second term in Equation 1 and the discriminator maximizing the linear sum of the first and
177	second terms. The alternate optimization the two neural networks through back-propagation, a
178	sample is eventually generated such that the discriminator cannot distinguish between real and
179	fake samples.

180 **2.2.3 Generator**

The generator can generate molecular graphs with the desired chemical properties. In this study, its architecture was a simple MLP with four layers. The number of units in each layer was 256, 512, 1024, and 2200, respectively. By inputting a random number z sampled from the standard normal distribution N(0, I) into the generator, we output the adjacency matrix \tilde{A} and the node feature matrix \tilde{X} representing the molecular graph. The output graph $\tilde{G} = (\tilde{A}, \tilde{X})$ is a probabilistic complete graph, which is interpreted as a categorical distribution for the types of atoms and bonds. Here, \tilde{A} contains the existence probabilities of the nodes and edges for each bond type, and \tilde{X} the class probabilities of the nodes. To enable its transformation into a chemically valid molecular graph, the discrete graph G = (A, X) was obtained using the argmax function on the output probabilistic complete graph $\tilde{G} = (\tilde{A}, \tilde{X})$. The adjacency matrix was defined as $A \in \mathbb{R}^{N \times N \times Y}$, and the node feature matrix as $X = [x_1, ..., x_N]^T \in \mathbb{R}^{N \times T}$.

192 The maximum number of nodes in the molecular graph was set to N = 20, and the number of bond types to Y = 5. The five types of bonds are single bond, double bond, triple bond, aromatic 193 bond, and no bond. The number of types of atoms was set to T = 10: carbon, nitrogen, oxygen, 194 195 fluorine, phosphorus, sulfur, chlorine, bromine, and iodine, and one-padding symbol. Thus, the 196 maximum number and types of atoms and bond types were restricted. These constraints shall be used to determine the generator architecture. The dimensions of the output adjacency and 197 198 output node feature matrices were represented by $N \times N \times Y$ (i.e., $20 \times 20 \times 5$) and 199 $N \times T$ (i.e., 20 × 10), respectively.

To output the two types of matrices simultaneously, an output layer is required to output the 2200-dimensional vector, which is the sum of the number of elements of the adjacency and node feature matrices. The number of units in the output layer depends on these constraints. The random number inputs to the generator had 256 dimensions. Based on the results of existing research, the number of units in each hidden layer was set as a multiple of the number of dimensions of the input random numbers. The 2200-dimensional vector output from the generator was split into two vectors—2000- and 200-dimensional vectors—to create the adjacency and node feature matrices. These divided vectors were then transformed into the
dimensionality of each defined matrix. Consequently, the output molecular graph is a complete
probabilistic graph.

The final output molecular graph is a chemically valid molecular graph. Therefore, the argmax function was used to break the weak bonds in the complete graph. The output of this operation on the adjacency matrix \tilde{A} is the adjacency matrix A binarized at [0,1]. The node feature matrix \tilde{X} was also binarized using the same process. Finally, a new molecular graph with the

214 correct valence was generated through the optimized molecular generation process.

215 However, this adversarial generation process only generates molecular species similar to the 216 training set. Moreover, it is necessary to introduce methods to improve the properties of the 217 generated molecules such as reinforcement learning, which uses a deterministic policy in the 218 process of molecule generation. We incorporated the deep deterministic policy gradient method 219 [19] into a generative model to optimize the non-differentiable chemical indices based on the literature. The stochastic policy is expressed as $\pi(a|s;\theta)$. This denotes the policy π_{θ} that 220 probabilistically selects action a for state s. In this case, θ is a parameter used when the 221 policy is being modeled. The deterministic policy μ_{θ} is the policy $a = \mu_{\theta}(s)$, where action 222 223 a is uniquely determined for a certain state s. This policy is optimized by updating θ to 224 maximize the behavioral value function for this behavior. In this study, the policy was G, and state s was represented as a random number z. Thus, for a random number z, the molecular 225

226	graph is uniquely generated according to the deterministic policy. In the deep deterministic
227	policy gradient method, the deterministic policy and action value functions were approximated
228	using a deep neural network. Therefore, a property prediction neural network, which can be
229	trained using gradients, was introduced into the action value function for calculating rewards.
230	The rewards can then be used to generate molecules with indistinguishable chemical properties.
231	These properties can be maximized by varying the policy parameters in the direction of the
232	approximated action value gradient.

By formulating these series of processes, we trained the generator such that the objective function $L(\theta)$ in Equation 2 was minimized. A molecular graph with the desired chemical properties similar to the training data was generated by minimizing the linear combination of the GAN loss, L_{GAN} , and the reinforcement learning loss, L_{RL} :

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238
$$L(\theta) = \lambda \cdot L_{RL} + (1 - \lambda) \cdot L_{GAN}, \qquad (2)$$

239

240 where λ is a hyperparameter that balances between adversarial learning and property 241 optimization. This tunable parameter takes values in the range of $\lambda \in [0, 1]$.

242 **2.2.4 Discriminator and Reward network**

243 The architecture of these two neural networks, discriminator and reward network, were244 implemented by a simple MLP with three layers. The three hidden layers of both the

245	discriminator and the reward network had 512, 256, and 2 units, respectively. The input
246	molecules were discriminated by the discriminator as the training set or molecules sampled by
247	the generator. The chemical properties of the input molecules were predicted using the reward
248	network. In the generation process, the discriminator outputs the discrimination rate of
249	authenticity based on the feature vector of the entire molecular graph, and the reward network
250	outputs the predicted score of the chemical property. The generator can be optimized by feeding
251	back the outputs.
252	However, a simple MLP cannot directly handle the graph structure data. Therefore, it would be
253	necessary to develop a graph convolution operation specific to the graph structure data before

inputting molecular graphs into the two models. The type of bond between atoms must be
considered when convoluting the molecular graph. Therefore, based on the literature, we used
a relational graph convolution operation that considers the attributes of the edges on a graph
[20, 21]. This operation uses the adjacency matrix to convolute the node information for each
edge attribute as follows:

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260
$$h_i^{(l+1)} = \sigma(\sum_{r \in R} \sum_{j \in N_i^r} \frac{1}{|N_i|} W_r^{(l)} h_j^{(l)} + W_0^{(l)} h_i^{(l)})$$
(3)

261

262 where h_i^l is the feature representation of node v_i in the *l*th layer, <u>R</u> is the set of relations, 263 and N_i^r is the set of nodes connected by the relation r in node v_i . Thus, a linear transformation was performed by extracting the neighboring node information for each relation.

265 The self-loop was convolved similarly.

266 Finally, a nonlinear transformation was performed over the input signal by the activation function σ , and the feature representation $h_i^{(l+1)}$ of the l+1 st layer was output. The 267 convolution of a node uses its own information as well as information from its neighboring 268 nodes. The output of the hidden layer was recursively used same as in a neural network by 269 270 accumulating the convolutions. Finally, each convolved node information was aggregated into a single feature representation. Each time the convolution operation was repeated, the 271 272 neighboring node information was convolved; thus, a global feature representation revealing 273 the entire graph was obtained from the local features.

274 The generator and discriminator were used to facilitate the adversarial learning of the molecular 275 generation model. The discriminator was trained to maximize Equation 1. The parameters of 276 the generator was updated via backpropagation through the discriminator to the generator. 277 The generator and the reward network were used to optimize the chemical properties of molecules. The generator used the reward network output as a reward, and the parameters of 278 279 the two models were updated using the deep deterministic policy gradient method. In addition, 280 the reward network was trained by back-propagating the error between the output of the reward network and the estimated property using RDKit [22], a chemoinformatics tool. Reinforcement 281 282 learning for chemical properties optimization was performed once for every three iterations of adversarial learning. The parameters of the reward network were fixed in adversarial learning,whereas the parameters of the discriminator are fixed in the chemical properties optimization.

285 2.2.5 Molecular graph generation using the trained MolGAN

The optimized generator was extracted from the trained MolGAN model and used to generate new molecules by inputting random numbers sampled from the standard normal distribution into the generator. Changes in these numbers resulted in different molecular graphs. This allowed the generator to generate not only known molecules but also unknown ones included in the training dataset.

291 2.3 L-MolGAN and Molecular Graph Expansion Mechanism

According to the literature [7, 8], the number of nodes in the generated molecular graph is small, which is the problem we aim to solve. Earlier studies evaluated the MolGAN under the condition that only nine heavy atoms can be used to produce a molecular graph without disconnection. However, this limit is not practical in drug discovery, especially for larger molecules because the more the atoms, the more the disconnected graphs. To solve this problem, we propose modifications to the MolGAN.

We suppress the generation of disconnected graphs by penalizing them during the model training process. The detailed algorithm is as follows: 1) for each generated graph, its connectivity is checked by depth-first search (DFS) and 2) if the graph is disconnected, its chemical property score is set to zero as a penalty; otherwise, its score is predicted by the reward network. This is similar to the general training process. DFS is a recursive and exhaustive algorithm used to search all nodes of a graph or a tree. With DFS, the entire graph is traversed by starting at a certain node in the molecular graph and following the edges. If all the nodes in the graph can be reached, the graph is considered connected. Repeated penalizations to a disconnected graph will suppress its generation and increase the number of connected graphs generated. We refer to these modifications as the "molecular graph expansion mechanism," and rename the resulting improved MolGAN as L-MolGAN.

309 **3. Experiment**

310 We shall investigate the effectiveness of the L-MolGAN by comparing it with the baseline 311 MolGAN. In all experiments, we set the QED as a singular objective to derive new drug 312 candidates and trained two modes (i.e., the model training was performed to optimize QED 313 score with an RL objective). Its effectiveness was evaluated in terms of 1) how well it works 314 for large molecular graph generation and 2) how many novel drug-like molecules it generates. 315 The general settings of the model training and its evaluation metrics shall be described in 316 Subsections 3.1 to 3.3. Then, three different numerical experiments shall be described in the 317 Subsections 3.4 to 3.6.

318 **3.1 Dataset**

In this study, ZINC-250k [23], a renowned molecular datasets, was used in the experiments to
generate molecular graphs. ZINC-250k is made up of 250 000 commercial drug-like molecules

321 randomly selected from the ZINC database. The maximum number of constituent heavy atoms of a molecule in ZINC-250k is 38. Particularly, a subset of ZINC-250k was sampled by 322 323 randomly choosing 15 000 molecules from ZINC-250k, with the maximum number of constituent heavy atoms limited to 20, which is approximately twice the molecular size of that 324 used in the baseline study by De Cao et al. [7]. 325

3.2 326

Evaluation metrics

We employed the generally used indices of validity, novelty, and uniqueness to evaluate the 327 molecular generation model. Validity is the percentage of chemically valid molecules among 328 329 the generated molecules. Note that validity is not a measure of the connectivity of molecules 330 but only the valence of atoms. Novelty is the percentage of valid molecules among the 331 generated molecules not included in the training data. In this study, these molecules were 332 defined as novel molecules. Uniqueness is the percentage of generated molecules that are valid 333 as well as unique. This measure indicates the degree of diversity among the molecules generated. Furthermore, species, the number of unique and connected molecular graphs, was 334 introduced to clearly represent the number of unique molecules that were derived. The ideal 335 336 molecular generation model should generate novel, valid, and connected molecules. 337 Additionally, connectivity, which is the percentage of connected graphs, is one of the most important metrics introduced in this study. It indicates the percentage of valid and connected 338 molecular graphs among the ones generated. 339

340	Furthermore, three chemical indicators were used to evaluate the chemical properties of the
341	generated molecules, QED [24], solubility, and synthetic accessibility (SA) score. In QED,
342	drug-like properties were calculated using a weighted geometric mean based on the distribution
343	of multiple drug-properties data. Solubility indicates the degree of hydrophilicity of a molecule,
344	which was quantified by the logP coefficient. This coefficient is defined as the logarithm of the
345	concentration ratio of different solvents [25]. The SA score indicates the ease of synthesis of a
346	molecule [26]. In this experiment, all chemical-property scores were manipulated to take values
347	in the range of [0,1]. Note that the property scores of molecules with disconnected graphs in
348	the L-MolGAN were set to zero as a penalty.

349 **3.3 Model training**

350 MolGAN and L-MolGAN were trained using the Adam optimizer [27] with a learning rate of 351 0.0001 to optimize the QED for all the experiments. Mini-batch training was conducted to stabilize the learning. The batch size was set to 100. With an early stopping strategy, the model 352 353 training was terminated when the average change in loss during 10 epochs was less than 1.0% 354 or when the maximum number of epochs (300) had been reached. Mode collapse [28], a situation where similar data are generated regardless of the arbitrariness of numbers input to 355 356 the generator, is one of the crucial issues in GANs. To circumvent this issue, we used mini-357 batch training and the early stopping strategy mentioned above.

In another study [7], researchers terminated model training when the uniqueness score fell

below 2.0%. However, this cause the generated molecules to become more homogenous
because several epochs would be solely dedicated to satisfying the termination criterion.
Therefore, we focused on the average loss change in the training process to determine the
termination criterion, rather than thresholding for each property score.

363 3.4 Experiment I: Parameter study of learning balance

We investigated the extent to which the value of parameter λ , which balances the chemical properties optimization and adversarial learning, affects the characteristics of the generated molecules. The optimal choice of λ for the molecular generation model was determined through this experimental task. The value of λ was varied from 0.0 to 1.0 in increments of 0.2, and the model was trained in five trials for each value.

369 As explained earlier, we optimized the QED to generate drug-like molecules. The trained model 370 that maximized the sum of validity, novelty, uniqueness, and QED scores was selected as the 371 reference to evaluate the performance of the molecular generation model.

372 3.5 Experiment II: Performance comparison of proposed

373

method with existing method

374 The proposed method and the baseline model (MolGAN) were compared in terms of 375 performance using the evaluation metrics described in Subsection 3.2. The representative 376 model for each method was chosen through a parametric study of λ .

377 3.6 Experiment III: Generation and evaluation of novel

378 molecules

The proposed method was evaluated in terms of the number of novel drug-like molecules that 379 380 can be derived. Here, new molecules were generated by inputting into the pretrained generator 381 random numbers sampled 5000 times from the standard normal distribution. The chemical 382 properties of the generated molecules were evaluated using RDKit. Novel molecules with the 383 desired chemical properties were identified from the generated molecules. The 20 molecules with the highest QED scores were chosen, and their chemical properties were examined in 384 385 terms of novelty and ease of synthesis. 386 In addition, in drug discovery and materials design, the generated molecules should not only 387 satisfy a single property (such as the QED) but also possess other properties such as 388 synthesizability. Therefore, SA and logP were chosen in addition to the QED to evaluate the 389 molecules generated in this experiment. However, there is a tradeoff between QED and logP 390 [29]. There is no single best molecule but several 'good' molecules that exist within the 391 envelope of all the generated molecules. Here, we refer to them as 'dominant molecules' and 392 chose them in terms of the three chemical properties, QED, SA, and logP, for each combination 393 of two of the three properties. Furthermore, we classified them into hydrophiles and lipophiles based on the logP score and verified if the dominant molecules possessed both the properties. 394

Both hydrophilicity and lipophilicity are important properties considered in drug design.

4. Results and Discussion 396

397	4.1 Experiment I: Parametric study of learning balance λ
398	The effect of λ on the molecules generated by the L-MolGAN are summarized in Table 1. The
399	table indicates that the mean value of validity increases with an increase in λ , while its standard
400	deviation decreases. The same tendency was observed for the connectivity and QED scores. In
401	contrast, the uniqueness decreased as λ increased. We believe this could have been caused by
402	the occurrence of mode collapse in the model training, as reported by a conventional study [7].
403	Consequently, as λ increases, the generative model becomes more susceptible to mode
404	collapse. This would also affect the diversity of the generated molecules, as evidenced by the
405	decrease in the standard deviation of every molecular property index with an increase in λ .

406

Table 1 Comparison of properties of molecules generated at different λ by the proposed 407

λ	Validity	Uniquen	Novelty	Connecti	QED	SA	logP	Species
	[%]	ess [%]	[%]	vity [%]				
0.0	28.62 ±	19.66 ±	100.00 ±	59.82 ±	0.62 ±	$0.29 \pm$	0.54 ±	$72.60 \pm$
	6.43	6.53	0.00	15.5	0.03	0.05	0.05	41.67
0.2	80.72 ±	$8.46 \pm$	100.00 ±	85.57 ±	$0.77 \pm$	0.21 ±	$0.59 \pm$	138.00 ±
	2.71	2.40	0.00	9.10	0.04	0.11	0.06	41.74

408 method. Each value indicates the mean and the standard deviation of each metric for five trials.

0.4	$94.70 \pm$	$4.10 \pm$	$100.00 \pm$	95.26 ±	$0.82 \pm$	$0.12 \pm$	$0.60 \pm$	$72.60 \pm$
	3.34	4.92	0.00	4.92	0.02	0.05	0.04	41.67
0.6	95.00 ±	3.21 ±	100.00 ±	94.85 ±	0.85 ±	0.16 ±	0.62 ±	76.80 ±
	3.31	1.09	0.00	5.58	0.03	0.07	0.03	20.98
0.8	98.79 ±	0.30 ±	100.00 ±	99.81 ±	0.82 ±	0.10 ±	0.55 ±	10.20 ±
	1.88	0.11	0.00	0.24	0.05	0.06	0.08	5.12
1.0	96.79 ±	0.04 ±	100.00 ±	100.00 ±	0.86 ±	0.16 ±	0.55 ±	1.60 ±
	0.21	0.02	0.00	0.0	0.05	0.09	0.11	0.89

409

410 The average QED score from the training data was 0.76 ± 0.12 . The QED score of the newly 411 generated molecules for $\lambda = 0.0$ was smaller than the average. The scores with other λ values 412 were greater than the average. Validity, connectivity, and QED for $\lambda = 0.0$ were remarkably 413 smaller than those of the other settings. The generator should be trained not only to improve 414 the chemical property score, but also to suppress the generation of invalid molecules during 415 the optimization. However, at $\lambda = 0.0$, the model training was completely dedicated to the 416 adversarial learning of the generative model, rather than the chemical properties optimization. 417 It is plausible that the overall performance at $\lambda = 0.0$ was the weakest because of the 418 generation of several invalid graphs.

419 From these results, we chose $\lambda = 0.6$ as the optimal value, which maximizes the total values

420	of all the considered evaluation metrics. Furthermore, a single representative model, which had
421	the largest total value among the five trials for $\lambda = 0.6$, was chosen. This setting shall be used
422	for all subsequent experiments with MolGAN as well as L-MolGAN.
423	
424	Fig 2 Distribution of QED scores of molecules generated by the proposed method at the
425	different λ . The black solid line labeled as 'ZINC subset' indicates the distribution of
426	molecules included in the training dataset. Kernel density estimation has been used to depict
427	the QED distribution. Only the connected molecular graphs are used for the density estimation.
428	
429	Fig 2 shows the distribution of QED scores of molecules generated by the proposed method,
430	estimated using the best-performing generative model for each λ value. Additionally, 'ZINC
431	subset' indicates the distribution of molecules included in the training dataset.
432	We shall focus on the peak of each distribution to determine the effect of λ . Because each
433	distribution has multiple peaks, we shall focus only on the highest one. As λ increases, the
434	peak shifts to a higher QED score. Specifically, when λ was larger than 0.4, the peak shifted
435	to a higher QED score than that of the training data. From the fact that the model was trained

- 436 to maximize the QED score, we confirmed that the model was well-optimized. Note that a
- 437 narrower distribution was obtained owing to the mode collapse with a larger λ .

438 4.2 Experiment II: Performance comparison of the proposed

439 method with existing methods

Table 2 lists the results of the performance comparison between MolGAN and L-MolGAN in
generating molecules. The results confirm the validity, connectivity, and QED scores of the LMolGAN were better than those of the MolGAN.

443

	Model	Validity	Uniqueness	Novelty	Connectivity	QED	SA	logP	Species
		[%]	[%]	[%]	[%]				
	MolGAN	94.53	5.97	100.00	48.12	0.85	0.47	0.60	44.00
	L-MolGAN	98.91	4.88	100.00	94.32	0.88	0.23	0.66	88.00

444 Table 2 Comparison of molecules generated by MolGAN and L-MolGAN

445

446	Particularly, connectivity of graphs saw an improvement of 1.96 times in L-MolGAN over the
447	existing model. However, the uniqueness and SA of L-MolGAN were worse than those of
448	MolGAN. This indicates that the molecules generated by MolGAN were more diverse than L-
449	MolGAN and are relatively easy to synthesize. However, the lower connectivity score of
450	MolGAN indicates the presence of several disconnected molecules in the generated molecules.
451	In this regard, the L-MolGAN is more effective in generating valid as well as connected
452	molecules than the MolGAN.

453 In addition, the lower SA score in the L-MolGAN suggests that the model generates molecular

454	graphs with more complex molecular structures because they have mostly connected nodes.
455	Both models generated entirely novel molecules that did not exist in the training data; however,
456	MolGAN had a connectivity score of 48.12%. In contrast, the L-MolGAN achieved a higher
457	connectivity score of 94.32%. These results suggest that the proposed model generates larger,
458	more novel drug-like molecules, and has more practical implications for drug discovery
459	compared with the existing method.
460	
461	Fig 3 Distributions of the QED score in the molecules generated by the representative
462	models of MolGAN and L-MolGAN. Kernel density estimation has been used to depict the
463	QED distribution. Only the connected molecular graphs were used for the density estimation.
464	
465	Fig 3 illustrates the distribution of QED scores estimated from the molecules generated by the
466	L-MolGAN and MolGAN. These distributions only represent the connected molecular graphs.
467	The average QED values of the training data, MolGAN, and L-MolGAN were 0.76, 0.81, and
468	0.88, respectively. In Fig 3, the training data and MolGAN have a single peak, whereas L-
469	MolGAN has two. In addition, the peak positions of the training data and MolGAN were similar.
470	However, the distribution of MolGAN is narrower than that of the training data and has higher
471	QED scores than the training data. These results indicate that MolGAN has been successful at
472	chemical properties optimization.

A closer look at the distribution in L-MolGAN in Fig 3 reveals two large peaks; one is close to
the peak position of the MolGAN and the training data, while the other is located where the
QED score is higher. We hypothesize that the peak in MolGAN and one of the peaks in LMolGAN were close to those of the training data because the optimization of chemical
properties was strongly affected by the properties of mode of the QED distribution in the
training data.

Another peak in the L-MolGAN was located where the QED score was greater than 0.9. 479 480 Notably, in this range of QED, the distributions of MolGAN and training data contained few 481 molecules. This indicates that the L-MolGAN can exploit molecular graphs with better QED 482 scores than the MolGAN. We assumed this was so because the L-MolGAN generated many 483 connected molecular graphs. Improvement in connectivity would contribute to the generation 484 of substituents and molecular skeletons with higher QED scores. Moreover, because the QED 485 score is based on the physical properties of a molecular graph, it can be even calculated for 486 disconnected graphs. For this reason, contrary to our presupposition, the chemical properties 487 would be optimized for disconnected graphs as well. These results suggest that the L-MolGAN 488 can overcome this issue in MolGAN.

489 4.3 Experiment III: Generation and evaluation of novel 490 molecules

491 Fig 4 illustrates a two-dimensional description of the best 20 molecules with the highest QED

492 scores generated by the optimized generator of L-MolGAN.

493

494 Fig 4 Two-dimensional representation of 20 molecules with the best QED scores. The
495 numbers at the bottom of each molecule represent the corresponding QED, logP, and SA scores.
496

497 Most molecules contained one or two sulfur atoms in their structures. In addition, many had QED scores of 0.9 or higher, indicating that their chemical properties were superior to those of 498 499 the ZINC dataset. However, because their SA scores were significantly small, the molecules 500 generated may be unrealistic. Therefore, we focused on the relationship between the structure 501 of the generated molecules and their synthesizability. 502 1,3-Thiazole was included as the common substructure of the top 20 molecules. Thiazole is a 503 nitrogen-containing five-membered heterocyclic compound, which is a common skeleton in 504 molecules used in pharmaceuticals and agrochemicals. The bicyclic ring skeleton was also 505 found to be a common substructure within thiazole-containing molecules with an SA of 0.0. 506 This skeleton is composed of five carbon or sulfur atoms bridging the carbon atoms at the 2 507 and 5 positions of the thiazole ring. Nine out of the top twenty molecules had these 508 characteristics. The bicyclic ring skeleton is difficult to synthesize because of the high steric strain of the molecule. 509

511 Fig 5 Three-dimensional representation of the thiazole and bicyclic skeletons.

513 Fig 5 represents the three-dimensional model of the common thiazole and bicyclic ring 514 skeletons included in the generated molecules. Because the thiazole ring skeleton has a planar 515 structure, the atoms and substituents (i.e., the two methyl groups) in the ring lie on the same 516 plane because the thiazole ring is aromatic. However, the planarity of the thiazole ring and its surroundings in the bicyclic ring skeleton is broken. The planarity of the thiazole ring was not 517 518 maintained at the 2 and 5 carbon positions. This steric strain is affected by the number of atoms 519 to be bridged. Therefore, we concluded that they were unrealistic due to the steric strain caused 520 by the bicyclic framework. However, the L-MolGAN could generate these molecules, which 521 have not yet been discovered. Therefore, it was worth an attempt to synthesize them as drug 522 candidates. 523 Fig 6 highlights the dominant molecules chosen in the QED-SA space. The dotted line 524 indicates the envelope of the generated molecules. We confirmed that a tradeoff between the 525 QED and SA scores, and the existence of eight dominant molecules. The dominant molecules 526 [A] to [F] with a high QED score had a common cyclic substructure, whereas molecules [G] 527 and [H] with low QED scores were chain-like. This suggested the potential of heterocyclic compounds as oral drugs. It is plausible that the synthesis became difficult when the ring 528 structure contained two or more heteroatoms. Consequently, molecules [A] to [C] exhibited an 529

530 SA of less than 0.2, and that of molecules [D] to [F] approximately 0.5.

532	Fig 6 Dominant molecules identified through QED and SA scores ([A] to [H]). The points
533	indicate all the molecules generated by the L-MolGAN. The dotted line indicates the envelope
534	of the generated molecules.
535	
536	Subsequently, the dominant molecules were selected in SA-logP space, as shown in Fig 7. The
537	molecule [H] was also chosen in the QED-SA space. We only reported molecules with higher
538	lipophilicity based on the logP score. The molecule [H] was also chosen in the QED-SA space.
539	The dominant molecules with higher lipophilicity in the QED-logP space are also shown in
540	Fig 8. The molecule [B] was also chosen in the QED–SA space. Several dominant molecules
541	were sulfur-containing compounds.
542	
543	Fig 7 Dominant molecules with higher lipophilicity identified through SA and logP scores
544	([H], [I] and [J]). The points indicate all the molecules generated by the generator of L-
545	MolGAN. The dotted line indicates the envelope of the generated molecules.
546	
547	Fig 8. Dominant molecules with higher lipophilicity identified through QED and logP
548	scores ([B], [K] to [Q]). The points indicate all the molecules generated by the L-MolGAN.

549 The dotted line indicates the envelope of the generated molecules.

551	The dominant molecules with higher hydrophilicity in the QED-logP space are shown in Fig
552	9. Molecules [A] and [C] are also chosen in the QED–SA space. Fig 10 indicates molecules in
553	the SA-logP space. Molecules [E], [G], and [H] were already chosen in the QED-SA space. In
554	addition, the molecule [R] was also chosen in the QED-logP space.
555	
556	Fig 8 Dominant molecules with higher hydrophilicity identified through QED and logP
557	scores ([A], [C], [R] to [U]). The points indicate all the molecules generated by the L-MolGAN.
558	The dotted line indicates the envelope of the generated molecules.
559	
560	Fig 9 Dominant molecules with higher hydrophilicity identified through SA and logP
561	scores ([E], [G], [H], [R] and [V]). The points indicate all the molecules generated by the L-
562	MolGAN. The dotted line indicates the envelope of the generated molecules.
563	
564	These results revealed the generation of a variety of dominant molecules by the L-MolGAN.
565	Although the model was trained to optimize only the QED for drug discovery, a variety of
566	molecules were identified among several combinations of the three chemical properties.
567	Additionally, there was no best single molecular graph that simultaneously optimized the three

568 chemical properties or their combinations. This motivated us to search for a variety of 569 molecular graph among conflicting optimization goals of plural chemical properties. Future 570 studies should apply a multi-objective optimization framework to the proposed method to 571 search for dominant molecules with higher chemical property scores and more diverse 572 chemical structures and properties.

573

574 **5. Conclusions**

575 The performance of the MolGAN deteriorates when generating a molecular graph with a 576 molecular size larger than nine atoms, owing to the increase of disconnected graphs. This introduces challenges in the design of drugs with large molecules. We addressed this challenge 577 578 by adding to the MolGAN a molecular graph expansion mechanism that penalizes disconnected 579 graphs and referred to it as L-MolGAN. The L-MolGAN improved the number of connected 580 graphs generation on the ZINC-250k molecular dataset by a factor of 1.96, compared with the 581 MolGAN. We also confirmed the generation of a variety of drug-like molecules by the L-MolGAN, even though it was optimized for a single property, i.e., QED. The L-MolGAN shall 582 contribute to the efficient discovery of new molecules larger than those generated by the 583 584 MolGAN.

585

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- 589

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