

Phase stability of the tin monochalcogenides SnS and SnSe: a quasi-harmonic lattice-dynamics study

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ABSTRACT

The tin monochalcogenides SnS and SnSe adopt four different crystal structures, *viz.* orthorhombic *Pnma* and *Cmcm* and cubic rocksalt and π -cubic ($P2_13$) phases, each of which has optimal properties for a range of potential applications. This rich phase space makes it challenging to identify the conditions under which the different phases are obtained. We have performed first-principles quasi-harmonic lattice-dynamics calculations to assess the relative stabilities of the four phases of SnS and SnSe. We investigate dynamical stability through the presence or absence of imaginary modes in the phonon dispersion curves, and we compute Helmholtz and Gibbs free energies to evaluate the thermodynamic stability. We also consider applied pressures from 0-15 GPa to obtain temperature-pressure phase diagrams. Finally, the relationships between the different crystal phases are investigated by explicitly mapping the potential-energy surfaces along the imaginary phonon modes and by using the climbing-image nudged elastic-band method.

INTRODUCTION

The binary chalcogenides SnS and SnSe have been of continuous scientific interest in recent years due to their wide range of applications in next-generation optoelectronic systems.^{1,2} Their semiconducting nature and outstanding electronic properties¹⁻⁸ give rise to potential applications in solar cells, nanowires, superconductors and rechargeable batteries,⁹⁻¹³ and they are considered strong candidates for use in sustainable energy technologies as they are formed of non-toxic and earth-abundant elements.¹⁴⁻¹⁶

There are five reported crystal phases for SnS and SnSe in the recent literature, viz. orthorhombic *Pnma* and *Cmcm* structures and cubic *Fm $\bar{3}m$* (rocksalt), *P2₁3* (π -cubic) and *F $\bar{4}3m$* (zincblende) structures. The *Pnma* phase is the ground-state structure of both materials and transitions to the *Cmcm* phase at elevated temperature.^{1,2} The π -cubic structure was recently reported as a metastable phase of both materials.¹⁷⁻¹⁹ There has been a substantial debate in the literature over the zincblende and rocksalt phases of SnS, but zincblende SnS has been shown to be a high-energy, dynamically-unstable phase,^{14,20} and reports of zincblende SnS were likely misassignments of the recently-discovered π -cubic phase.^{18,21} Rocksalt SnS can be stabilised under certain conditions such as epitaxial growth,^{20,22} whereas rocksalt SnSe is a dynamically stable phase under ambient conditions.²³ We therefore focus on the *Pnma*, *Cmcm*, rocksalt and π -cubic phases, the structures of which are illustrated in **Figure 1**.

Among the most important applications of the tin monochalcogenides is in optoelectronic devices. SnS and SnSe are natural p-type semiconductors and promising absorber materials for photovoltaic (PV) devices.^{1,6} PV materials are a significant contemporary research area due to the global demand for clean energy and ever-decreasing reserves of fossil fuels.¹⁴ SnS has a direct optical band gap between 1.3-1.43 eV, depending on the preparation method, and an indirect

bandgap of 1.07 eV,¹⁴ which are within the Shockley–Queisser limit for maximum PV efficiency.¹⁴ *Pnma* SnS also has an optical absorption coefficient of 10^4 - 10^5 cm⁻¹, which is larger than CdTe and many other established PV materials.^{14,24} It also has a relatively high intrinsic free carrier concentration of 10^{17} cm⁻³.²⁵ Combined, these properties could lead to a theoretical PV efficiency of up to 24 %, ²⁵ which is large for thin-film solar cells, although there are some notable barriers to achieving this in practice.^{14,26} Ground-state SnSe exhibits similar properties, with direct and indirect band gaps of 1-1.4 and 0.9 eV, respectively,²⁷ an optical absorption coefficient around 10^5 cm⁻¹ in the visible region, and a similar intrinsic free carrier concentration around 10^{17} cm⁻³.^{1,27}

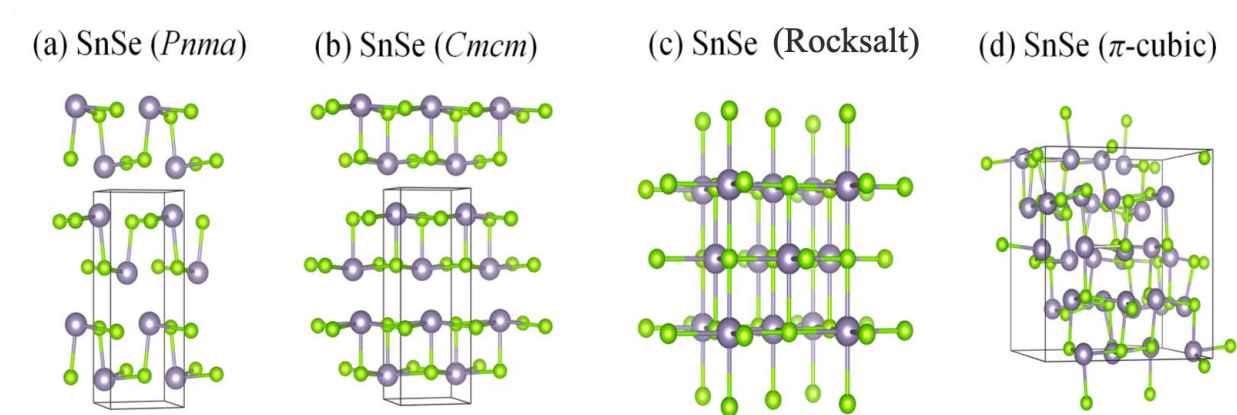


Figure 1. Representative structures of *Pnma*, *Cmcm*, rocksalt and π -cubic SnSe. Images were produced using the VESTA software.²⁸ The corresponding structures of SnS are shown in **Figure S1** (Supporting Information).

In principle, the π -cubic polymorphs of SnS and SnSe may have electronic and optical properties that make them even better suited to PV than the ground-state *Pnma* phase.^{1,18} The optical band gap of π -SnS is in the range of 1.66-1.72 eV and its electrical conductivity is in the

range of $10^{-6} \text{ S cm}^{-1}$.²⁹ Similarly, π -SnSe has an optical band gap of approximately 1.4 eV and an electrical conductivity of $5 \times 10^{-5} \text{ S cm}^{-1}$.¹

Another widely-studied potential application of the tin monochalcogenides is as thermoelectric materials. SnSe is one of the current champion thermoelectric materials due to its high thermoelectric figure of merit of 2.6 ± 0.3 at 923 K along the crystallographic *b*-axis. Given that a thermoelectric system with a *ZT* value above 3 will exceed the efficiency of a traditional heat engine,^{30,31} this is to be considered outstanding. The high *ZT* is partly due to the ultralow thermal conductivity associated with the anisotropy in the orthorhombic structures, which leads to strong phonon anharmonicity and large Grüneisen parameters.¹ Indeed, both SnS and SnSe have ultralow thermal conductivities of $0.46 \text{ W m}^{-1} \text{ K}^{-1}$ and $0.23 \pm 0.3 \text{ W m}^{-1} \text{ K}^{-1}$ at $T = 873$ and 973 K, respectively. SnS has also been explored as a potential thermoelectric material, but has so far only achieved a *ZT* of 0.4 at 873 K. The thermoelectric efficiency of both materials can however be further optimised by, for example, chemical doping.^{1,31} It has been shown that the high-temperature phase transition from the *Pnma* to *Cmcm* phases alters the sample density and leads to enhanced phonon scattering, further lowering the thermal conductivity.^{32–34} The indirect band gap also decreases through the phase transition, from 1.09 eV to 0.42 eV in SnS and from 0.61 to 0.39 eV in SnSe.^{33,34} Finally, a recent study on SnSe revealed that the rocksalt phase has an even lower lattice thermal conductivity than the orthorhombic phase, suggesting rocksalt SnSe is also a potential high-performance thermoelectric.³⁵

As well as their applications to primary renewable energy generation, the tin monochalcogenides have also found uses in energy storage. Materials for high-performance rechargeable batteries have drawn considerable scientific interest lately, and one of the main focusses has been optimising sodium-ion battery (SIB) chemistry. The carbonaceous anode

materials typically used in lithium-ion batteries (LIBs) are not suitable for SIBs due the large volume changes during Na^+ ion extraction and insertion,³² but studies using SnS/SnSe have demonstrated promising enhancements in surface area-to-volume ratio and improved electron transport,³² and SnSe composites with carbon, graphene oxide and carbon nanofiber matrices have shown promise in both LIBs and SIBs.^{1,32} The monochalcogenides may also have potential applications as supercapacitors.³⁶ In 2014 Wang *et al.* demonstrated that spray-painted SnSe nanocrystals retained a capacity of 676 mAh g⁻¹ after 80 cycles at a current density of 200 mA g⁻¹,^{1,37} while in 2017 Patil *et al.* reported that SnS thin films deposited using a low-cost chemical bath route had a maximum specific capacitance of 1375 F g⁻¹, an energy density of 60 Wh kg⁻¹ and a power density of 8 KW kg⁻¹.³⁸

Finally, in addition to their numerous applications as energy materials, the tin monochalcogenides also display a range of other interesting physical phenomena. Topological crystalline insulators (TCIs) have an insulating bulk and conducting surface, but the metallic states are protected by crystal symmetry instead of time-reversal symmetry as in conventional TIs³⁹ and are independent of spin-orbit interactions.¹ *Pnma* SnS and SnSe both show weak spin-orbit interactions and hence could possibly function as TCIs,¹ while the rocksalt phases have also been proposed as native TCIs without the need for compression or alloying.⁴⁰ The *P2₁3* spacegroup of the π -cubic structure is non-centrosymmetric, which also gives rise to potential applications - π -SnS/SnSe are one of a limited range of compounds whose piezoelectric moduli do not vanish and are chiral (optically active), and thus display second-harmonic generation.¹⁸

Despite considerable progress in recent years, widespread applications of these materials require some key challenges to be addressed. The existence of several different metastable phases leads to uncertainty over which phases are produced under different synthesis conditions. Phase

impurities have been shown to affect both SnS and SnSe-based PV devices as well as devices based on more complex multi-component semiconductors containing Sn and S/Se, where tin chalcogenides often form as impurities during synthesis.^{1,20} Such impurities can also reduce the thermal performance and affect electrical properties such as the Seebeck coefficient and electronic conductivity.¹ Thus, a better fundamental understanding of the phase stability is essential to overcoming these obstacles.⁹

In this work, we present a systematic theoretical investigation of the energetic and dynamical stability of the *Pnma*, *Cmcm*, rocksalt and π -cubic phases of SnS and SnSe. We perform first-principles lattice-dynamics calculations using the quasi-harmonic approximation (QHA), allowing us to assess the dynamical stability through the presence or absence of imaginary modes in the phonon dispersion and to use the Helmholtz and Gibbs free energy to compare the energetic stability as a function of temperature and pressure. We also establish the structural relationships between the different crystal phases by mapping the structural potential-energy surfaces (PESs) spanned by imaginary harmonic modes and by transition-state searching. This comprehensive characterization of the tin monochalcogenide phase space provides a reference point for future experimental work to obtain high-quality, phase-pure samples, thereby helping to realize the many potential applications of these systems.

AB INITIO LATTICE DYNAMICS CALCULATIONS

a. The Harmonic Approximation

The theory of lattice dynamics provides a framework for modelling the lattice vibrations (phonons) in solids. Using the harmonic approximation, the second-order interatomic force-constant matrices $\phi_{\alpha\beta}(l\kappa, l'\kappa')$ is calculated as:

$$\phi_{\alpha\beta}(l\kappa, l'\kappa') = -\frac{\partial F_{\alpha}(l\kappa)}{\partial u_{\beta}(l'\kappa')} = \frac{\partial^2 \varphi}{\partial u_{\alpha}(l\kappa) \partial u_{\beta}(l'\kappa')} \quad [1]$$

where φ is the potential energy of the crystal, $u_{\alpha}(l\kappa) = r_{\alpha}(l\kappa) - r_{\alpha,0}(l\kappa)$ is the displacement of the κ th atom in the l th unit cell from its equilibrium position, $F_{\alpha}(l\kappa)$ is the corresponding restoring force, and the subscripts α , β and γ label the Cartesian directions.

Application of the Bloch theorem yields the dynamical matrix $D(\mathbf{q})$, diagonalization of which yields the $3n_a$ phonon frequencies $\omega(\mathbf{q}, j)$ and corresponding displacement vectors $\mathbf{W}(\mathbf{q}, j)$ at a phonon wavevector \mathbf{q} :^{41,42}

$$D(\mathbf{q})_{\kappa\kappa'}^{\alpha\beta} = \sum_{l'} \frac{1}{\sqrt{m_{\kappa} m_{\kappa'}}} \phi_{\alpha\beta}(0\kappa, l'\kappa') e^{i\mathbf{k} \cdot [\mathbf{r}(l'\kappa') - \mathbf{r}(0\kappa')]} \quad [2]$$

The resulting phonon frequencies can then be used to obtain the phonon density of states (DoS) $g(\omega)$ and phonon dispersion curves (band structures).

The thermodynamic partition function Z is related to the phonon frequencies *via*:

$$Z(T) = \exp(-U_L/k_B T) \times \prod_{\mathbf{q}j} \frac{\exp(-\hbar\omega(\mathbf{q}, j)/2k_B T)}{1 - \exp(-\hbar\omega(\mathbf{q}, j)/k_B T)} \quad [3]$$

where U_L is the lattice internal energy, equivalent to the φ in Eq. 1, and the product runs over wavevectors \mathbf{q} and band indices j .⁴¹ The constant-volume (Helmholtz) free energy F can be derived from the partition function *via* the bridge relation:⁴¹

$$F = -k_B T \ln Z(T) = U_L + U_V(T) - TS_V(T) \quad [4]$$

where U_V is the vibrational internal energy and S_V is the vibrational entropy. The terms $U_V(T)$ and $S_V(T)$ are temperature-dependent *via* the partition function, whereas U_L is assumed to be temperature independent and is given by the (athermal) total energy from e.g. a density-functional theory (DFT) calculation.

b. The Quasi-Harmonic Approximation

The harmonic approximation is relatively accurate at low-to-moderate temperatures but is not sufficient to describe quantities that are volume dependent such as the Gibbs free energy and thermal expansion coefficients. The quasi-harmonic approximation (QHA) takes into account the volume dependence of the phonon frequencies by applying the harmonic approximation at a series of compressions and expansions.^{43,44}

The Gibbs free energy $G(T, p)^{38}$ is generally a more experimentally-relevant free energy and is calculated as the minimum of $F(T; V) + pV$ over a range of volumes:

$$G(T, p) = \min_V [F(T; V) + pV] \quad [5]$$

In practice, this is achieved by fitting the Helmholtz free energy versus volume at each temperature T to an expression such as the Birch-Murnaghan equation of state (EoS), which also yields the equilibrium volume V_0 , the bulk modulus B_0 and the derivative of the bulk modulus with respect to pressure B'_0 .⁴⁵

$$E(V) = E_0 + \frac{9V_0B_0}{16} \left\{ \left[\left(\frac{V_0}{V} \right)^{\frac{2}{3}} - 1 \right]^3 B'_0 + \left[\left(\frac{V_0}{V} \right)^{\frac{2}{3}} - 1 \right]^2 \left[6 - 4 \left(\frac{V_0}{V} \right)^{\frac{2}{3}} \right] \right\} \quad [6]$$

Once these quantities are known, other thermal properties can then be straightforwardly derived.⁴¹

COMPUTATIONAL MODELLING

First-principles calculations were performed using pseudopotential plane-wave density functional theory (DFT) as implemented in the Vienna *Ab initio* Simulation Package (VASP) code.⁴⁶ Electron exchange and correlation were modelled using the PBEsol functional⁴⁷ with the DFT-D3 dispersion correction,⁴⁸ which previous studies have shown to yield accurate results for

the structure and vibrational properties of the tin sulfides.²⁰ Initial models of the *Pnma*⁴⁹ and rocksalt⁵⁰ phases of SnS were taken from Materials Project⁵¹ database, while the structures of the *Cmcm* and π -cubic phases were obtained from a previous study.²⁰ The corresponding phases of SnSe were built from these models by replacing the chalcogen atoms. The models were fully optimized to a tolerance of 10^{-2} eV Å⁻¹ on the forces, with a plane-wave cut-off of 600 eV and the *k*-point sampling summarized in **Table 1**. An energy convergence criterion of 10^{-8} eV was applied during the electronic self-consistency cycles. The core electrons were modelled using the projector augmented-wave (PAW) pseudopotential method, with the Sn 5s, 5p, and 4d and the S 3s/3p and Se 4s/4p electrons included in the valence shell.⁵²

Lattice-dynamics calculations were carried out on the optimized structures using the Phonopy⁴¹ software package, which was used to set up and post process supercell finite-displacement phonon calculations using the supercell expansions and *k*-point sampling in **Table 1**.⁵³ The forces were calculated using VASP, and an additional charge-density grid containing eight times the number of points as the standard grid was used to evaluate the augmentation charges to obtain more accurate forces. The force constants of the *Pnma* and π -cubic phases were calculated using expansions of the 8- and 64-atom primitive cells, while those of the *Cmcm* and rocksalt phases were calculated using expansions of the 8-atom conventional cells and transformation matrices applied to map to the primitive cells during the post-processing. For the π -cubic phases of SnS and SnSe, the *k*-point sampling was reduced to the Gamma point for the $2 \times 2 \times 2$ supercell calculations due to the large number of atoms (512 atoms) and large computational demand. Tests comparing phonon spectra computed using a single cell showed that the equivalent reduced *k*-point mesh had a minimal effect on the phonon DoS and calculated free energy (see **Figure S2** Supporting Information).

Table 1. Summary of the technical parameters used for the geometry optimisations and phonon calculations on the four phases of SnS and SnSe: phonon supercell, k -point sampling and plane-wave cutoff.

Phase	Phonon SC (no. atoms)	k -point sampling ^a		Cutoff [eV]
		Optimization	Phonon	
Rocksalt	$3 \times 3 \times 3$ (216)	$6 \times 6 \times 6$ Γ -MP	$2 \times 2 \times 2$ Γ -MP	600
<i>Pnma</i>	$1 \times 6 \times 6$ (288)	$4 \times 8 \times 8$ Γ -MP	$4 \times 2 \times 2$ Γ -MP	600
<i>Cmcm</i>	$6 \times 1 \times 6$ (288)	$8 \times 4 \times 8$ MP	$2 \times 4 \times 2$ MP	600
π -cubic	$2 \times 2 \times 2$ (512)	$4 \times 4 \times 4$ Γ -MP	$1 \times 1 \times 1$ Γ -MP	600

^a MP - Monkhorst-Pack k -point grid; Γ -MP = Γ -centered MP k -point grid.⁵⁴

Table 2. Transformation matrices and uniform Γ -centered q -point meshes used to evaluate the phonon frequencies of SnS and SnSe during post-processing.

Phase	Transformation matrix to primitive cell	q -point sampling
Rocksalt	$\begin{pmatrix} 0 & 1/2 & 1/2 \\ 1/2 & 0 & 1/2 \\ 1/2 & 1/2 & 0 \end{pmatrix}$	$32 \times 32 \times 32$ Γ -MP
<i>Pnma</i>	-	$8 \times 48 \times 48$ Γ -MP
<i>Cmcm</i>	$\begin{pmatrix} 1/2 & -1/2 & 0 \\ 1/2 & 1/2 & 0 \\ 0 & 0 & 1 \end{pmatrix}$	$48 \times 8 \times 48$ Γ -MP
π -cubic	-	$32 \times 32 \times 32$ Γ -MP

Phonon DoS curves were obtained by interpolation of the phonon frequencies onto the q -point meshes summarized in **Table 2**, using the linear tetrahedron method for Brillouin-zone integration. Phonon dispersion curves were obtained by interpolation of the phonon frequencies along lines of q -points passing between the high symmetry points in the Brillouin zones of the respective primitive cells. Thermodynamic properties were calculated based on the phonon frequencies evaluated on the q -point grids used to compute the DoS curves. Quasi-harmonic

calculations were carried out by performing phonon calculations on optimized structures with up to 10 % compressions and 5% expansions of the cell volume relative to the equilibrium structures. During post processing, the free energy and properties were computed over temperature and pressure ranges of 0-1000 K and 0-15 GPa respectively.

The ModeMap code⁵⁵ was used to map out the PES along the imaginary harmonic modes in the *Cmcm* phases of SnS and SnSe. 1D and 2D maps for the two principal imaginary modes at the Y and Γ -points of the *Cmcm* phase were obtained in the conventional cell using a normal-mode coordinate (Q) range of -7.5 to 7.5 amu^{1/2} Å in steps of 0.5 amu^{1/2} Å.

The rocksalt and π -cubic phases are not related by imaginary harmonic modes. A transition path was instead estimated by linearly interpolating the atomic positions in a $2 \times 2 \times 2$ supercell of the rocksalt conventional cell and the distorted $P2_13$ structure and using the climbing-image nudged elastic-band (CI-NEB)⁵⁶ method, starting with six images between the endpoints, to locate the minimum-energy path between them. Each image was optimized until the maximum force on all images was less than 5×10^{-3} eV Å⁻¹.

RESULTS AND DISCUSSION

a. Optimized Structures, Lattice Constants and Lattice Energies

Images of the optimized SnS and SnSe crystal structures are shown in **Figure S1** (Supporting Information) and **Figure 1** respectively, and the optimized lattice constants and relative energies of the four phases of SnS and SnSe are collected in **Tables 3** and **4**. Our results on SnS are in good agreement with previous DFT calculations using the PBEsol + D3 functional.²⁰

Our optimized lattice constants (**Table 3**) are within 0.25-3 % of the values reported for SnS and SnSe.^{30,57} For both chalcogenides, the ground-state structure is the orthorhombic *Pnma*

phase (**Table 4**, **Figure 1a**). In this structure, the Sn(II) atoms adopt a distorted tetrahedral configuration with one site occupied by the active Sn lone pair, leading to a layered structure in which 2D sheets are weakly held together by van der Waals-like interactions.⁵⁸ The adjacent layers in the unit cell stack perpendicular to the long axis, and the crystal is anisotropic due to the different chemical bonding along the three principal axes.¹

At high temperatures of 878 K and 807 K, respectively, SnS and SnSe both transform reversibly to the orthorhombic *Cmcm* structure (**Figure 1b**),⁵⁹ with a change in formal coordination from threefold to fivefold.⁶⁰ The *Cmcm* phase also consists of distorted Sn(II) tetrahedra and 2D layers stacked along one crystal axis,¹ and can be thought of as a more symmetric *Pnma* structure.²⁰ The lattice energies in **Table 4** indicate that *Cmcm* SnS and SnSe are 1.73 and 1.39 kJ mol⁻¹ per F.U. higher in energy than the *Pnma* phase. The optimized lattice constants are within 2.14-3.37 % of experimental measurements (**Table 3**), and we attribute the slightly larger deviation compared to the *Pnma* phase to thermal expansion at high temperature.

The third proposed phase of SnS and SnSe is the *Fm $\bar{3}m$* rocksalt phase (**Figure 1c**). Rocksalt SnS and SnSe were first reported by Mariano *et al.* in 1967 and were obtained by epitaxial growth on NaCl substrates.²² The observed lattice constants were 6.00 and 5.99 Å for SnS and SnSe respectively,²² to which our optimized lattice constants of 5.71 and 5.91 Å are a reasonable match (**Table 3**). We note however that we would not necessarily expect a bulk lattice constant to match an epitaxially-grown sample. Our calculated lattice energies suggest rocksalt SnS is unlikely to be stable in bulk under ambient conditions, at 10.65 kJ mol⁻¹ per F.U. above the *Pnma* phase, whereas rocksalt SnSe is much closer to the convex hull at just 2.52 kJ mol⁻¹ per F.U. above the *Pnma* phase (**Table 4**). This is in line with previous studies.^{23,61} Rocksalt SnS has also been shown

to possess imaginary modes in the phonon dispersion, indicating it to be dynamically unstable in bulk, although these harden and become real under pressure.²⁰

Table 3. Optimized lattice parameters of the four phases of SnS (top) and SnSe (bottom) obtained using the PBEsol + D3 functional with experimental data for comparison; the fourth column shows the % difference between the optimized and experimental values.^a

SnS			
Phase	PBEsol + D3 (this work)	Experiment	% Difference
	<i>a, b, c</i> (Å)	<i>a, b, c</i> (Å)	
Rocksalt	5.71	5.80 ²²	-1.55
<i>Pnma</i>	11.00, 3.97, 4.20	11.18, 3.98, 4.33 ⁵⁷	-1.70, -0.25, -3.00
<i>Cmcm</i>	4.02, 11.18, 4.01	4.18, 11.48, 4.15 ⁶²	-3.83, -2.61, -3.37
π -cubic	11.40	11.60 ¹⁸	-1.72
SnSe			
Phase	PBEsol + D3 (this work)	Experiment	% Difference
	<i>a, b, c</i> (Å)	<i>a, b, c</i> (Å)	
Rocksalt	5.91	5.99 ²²	-1.34
<i>Pnma</i>	11.35, 4.12, 4.34	11.57, 4.19, 4.46 ³⁰	-1.90, -1.67, -2.69
<i>Cmcm</i>	4.19, 11.45, 4.17	4.31, 11.71, 4.32 ⁶³	-2.78, -2.14, -3.25
π -cubic	11.78	11.97 ¹⁷	-1.59

^a Lattice constants in ref. ⁶² and ⁶³ are reported at 905 K and 825 K respectively and are therefore expected to include significant thermal expansion.

Both SnS and SnSe were recently reported in the $P2_13$ (π -cubic) phase (**Figure 1d**).^{17–19} This phase is accessible through several synthetic routes, including deposition techniques such as aerosol-assisted chemical-vapor deposition, chemical-bath deposition and successive ionic-layer adsorption and reaction.^{16,19,64} The π -cubic phases were reported experimentally as new phases of

SnS and SnSe respectively in 2015 by Rabkin *et al.*¹⁹ and in 2016 by Abutbul¹⁷ *et al.* Our optimized lattice constants are within 2 % of the measured values of $a = 11.7$ and 11.97 Å (**Table 3**).^{17,18} Unlike the unit cells of the other three polymorphs, which contain up to 8 atoms, the π -cubic structure has a 64-atom primitive cell and a much larger volume.¹⁸ The structure is based on a distorted rocksalt supercell with a similar local coordination to the *Pnma* and *Cmcm* phases but a 3D bonding network.²⁰ Numerous experimental and theoretical studies have established that these are metastable phases with formation energies close to those of the ground-state *Pnma* phases.²⁰ Our calculations confirm this, placing the lattice energies of π -cubic SnS and SnSe at 2.65 and 2.83 kJ mol⁻¹ per F.U. above the corresponding *Pnma* phases.

Table 4. Lattice energy differences ΔU_L of the four phases of SnS and SnSe relative to the ground-state *Pnma* structure calculated using PBEsol + D3.

Phase	ΔU_L [kJ mol ⁻¹ per F.U.]	
	SnS	SnSe
<i>Pnma</i>	0.00	0.00
<i>Cmcm</i>	1.73	1.39
Rocksalt	10.65	2.42
π -cubic	2.65	2.83

Based on the lattice energy differences per formula unit (F.U.), ΔU_L , listed in **Table 4**, we find the following energetic stability orderings: SnS - *Pnma* < *Cmcm* < π -cubic < rocksalt; SnSe - *Pnma* < *Cmcm* < rocksalt < π -cubic. This is expected given that the *Pnma* phase is the naturally-occurring form of both compounds, the *Cmcm* phase is obtained at elevated temperature, and the π -cubic phase is a known metastable structure accessible under certain synthetic conditions.²⁰ There is however a notable difference in the stabilities of the rocksalt phases. This structure is the least energetically-stable phase of SnS by a considerably margin, whereas rocksalt SnSe is much

closer to the convex hull and more energetically stable than the π -cubic structure, suggesting it may compete with it as a metastable phase.

The comparatively rich monochalcogenide phase spaces results from the stereochemical activity of the Sn 5s lone pair. Sn has the valence electronic configuration $4d^{10}5s^25p^2$, while the chalcogens have the configuration ns^2np^4 with $n = 3$ and 4 for S and Se, respectively. When these elements form covalent bonds, Sn loses two electrons to S/Se resulting in Sn and chalcogen configurations of $4d^{10}5s^25p^0$ and ns^2np^6 . The Sn(II) cation in both SnS and SnSe therefore has a chemically-inactive lone pair of electrons in the 5s orbital. A coupling between the Sn 5s and Sn 5p orbitals, mediated through the antibonding interaction between the Sn 5s and anion p orbitals, produces an asymmetric electron density on Sn and drives structural distortions away from a centrosymmetric environment. The energy gap between Sn 5s and Se 4p is larger than that between the Sn 5s and S 3p, and the weaker interaction in the former therefore results in less Sn 5s character in the antibonding orbitals and less anion p-character in the bonding orbitals, lowering the stability of the distorted structures relative to the symmetric structures with higher coordination numbers.⁹ This difference results in the centrosymmetric rocksalt polymorph of SnS being strongly destabilized compared to the other, distorted, phases, whereas the energetic preference for the distorted structures is much smaller in SnSe.

b. Harmonic Lattice Dynamics

i. Dynamical Stability

Systems with imaginary harmonic modes (corresponding to negative eigenvalues of the dynamical matrix) are dynamically unstable and represent local maxima on the structural potential-

energy surface (PES). The phonon dispersion and DoS curves can therefore be used to assess the dynamical stability of a material.

Figure 2 shows the DoS and dispersion curves of each the four phases of SnS and SnSe. The phonon structures of the analogous SnS and SnSe phases are similar, but the heavier chalcogen and weaker bonding interactions in the selenides results in a smaller range of phonon frequencies. Projections of the DoS onto the Sn and S/Se atoms shows that the lower-frequency branches are mostly due to motions of the heavier Sn atoms, while the higher-frequency branches are associated with S/Se. For some of the systems, there is a clear separation between the low- and high-frequency branches in the dispersion, often referred to as a “phonon bandgap” by analogy to electronic band structures.

For the *Pnma* and π -cubic phases of SnS and SnSe there are no imaginary modes in the DoS and dispersion curves, indicating that these structures are dynamically stable under ambient conditions. This is expected given that they correspond to the low-temperature ground-state and known metastable phases, respectively. The phonon dispersions of the high-temperature *Cmcm* phases of SnS and SnSe show two principal imaginary modes at the zone center (Γ) and the zone-boundary wavevector Y . This is also expected and consistent with previous experimental and theoretical studies.^{20,55} The imaginary modes constitute a very small part of the DoS and are restricted to well-defined phonon wavevectors in the dispersion. The *Cmcm* phase is a low-lying hilltop on the structural PES which links equivalent distorted *Pnma* global minima. At high temperatures the system has sufficient thermal energy to transition rapidly between these minima, and the *Cmcm* phase is observed crystallographically as an “average” structure. This corresponds to a second-order phase transition.⁴⁹ The main difference between the two chalcogenides is again in the rocksalt phase. Rocksalt SnSe has no imaginary modes and is therefore dynamically stable,

whereas SnS has an imaginary mode at the zone-boundary X wavevector, indicating that it is dynamically unstable under ambient conditions. As for the $Cmcm$ phase, the proportion of these modes is small in the overall DoS. The contrasting dynamical stability of rocksalt SnS and SnSe can be rationalized by the Sn lone pair activity, as discussed in the previous section.

ii. Thermodynamic Stability: Helmholtz Free Energy

The harmonic lattice dynamics calculations also allow the thermodynamic stability of the phases to be examined using the temperature-dependent Helmholtz free energy. **Figure 3** compares the free energies of the $Cmcm$, π -cubic and rocksalt phases of SnS and SnSe as a function of temperature relative to the ground-state $Pnma$ phase. For both chalcogenides, the free energies predict the $Pnma \rightarrow Cmcm$ phase transition at elevated temperature. The predicted transition temperatures are $T_{(Pnma \rightarrow Cmcm)} = 490$ and 460 K for SnS and SnSe respectively, compared to the literature values of 878 and 807 K.⁵⁹ The calculations therefore correctly predict that the second-order phase transition of SnS occurs at a higher temperature than SnSe, but the calculated temperatures are both lower than the experimental ones.

This difference could be appointed to the harmonic approximation and the neglect of thermal expansion at finite temperature, small errors in the DFT energies and phonon frequencies, or to the second-order nature of the phase transition. In the latter case, since the $Cmcm$ phase is a maximum on the PES, the temperature at which it is detected will depend on the “hopping rate” between the $Pnma$ minima, and thus the size of the energetic barrier, as well as the temporal resolution of the experimental measurements.

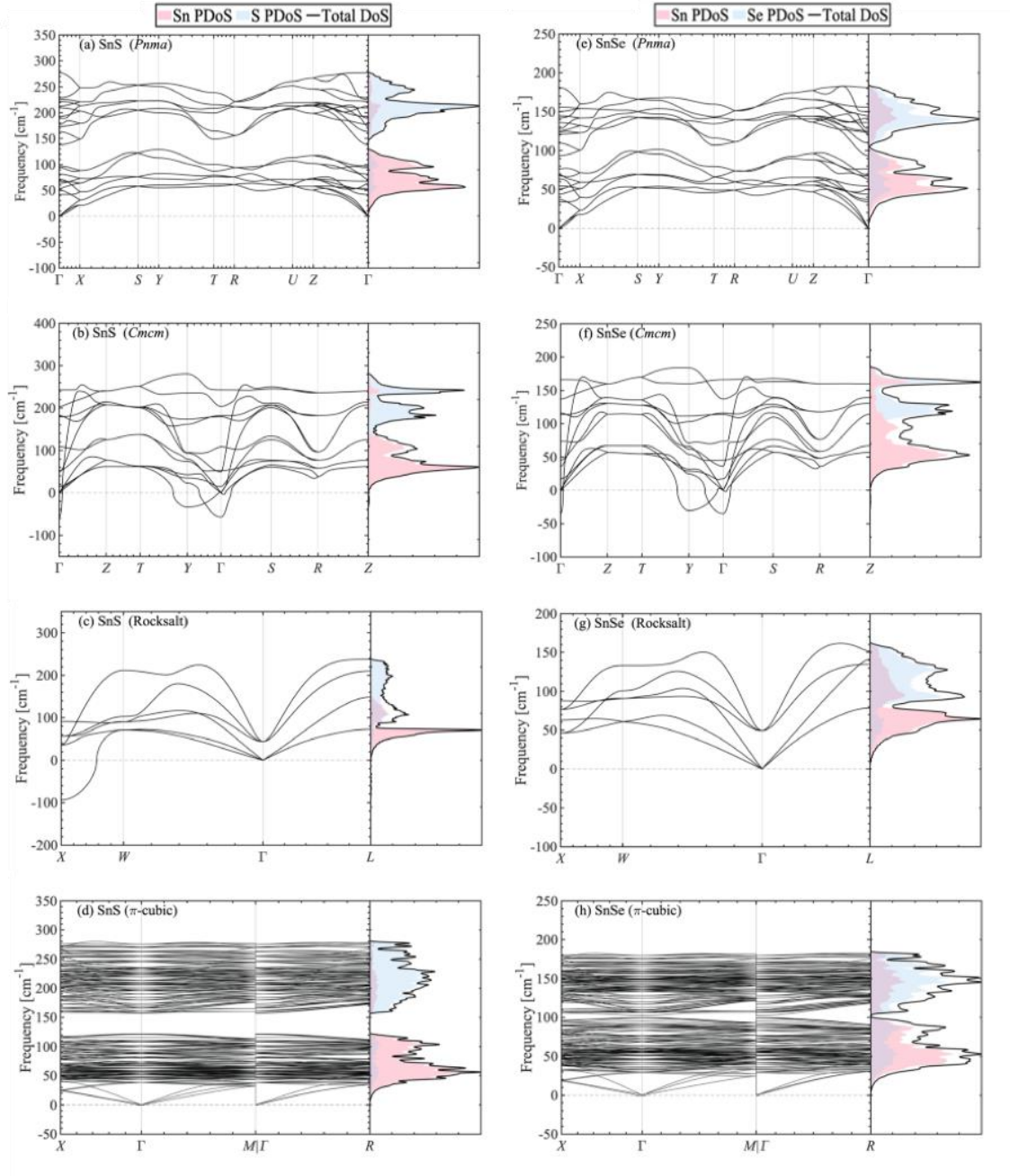


Figure 2. Calculated equilibrium phonon dispersion and density of states (DoS) curves of the four phases of SnS and SnSe: (a)/(b) *Pnma*, (c)/(d) *Cmcn*, (e)/(f) rocksalt and (g)/(h) π -cubic. The partial density of states (PDoS) projected onto the Sn and S/Se atoms is overlaid on the DoS plots as filled curves with pink and blue shading, respectively.

The π -cubic structure remains metastable up to 1000 K. The energy difference with respect to the $Pnma$ phases is approximately constant for SnS, whereas for SnSe the energy difference decreases significantly at higher temperatures. The overall free-energy difference between the π -cubic and $Pnma$ structure is lower in SnS, implying that this phase is relatively more stable for SnS than for SnSe.

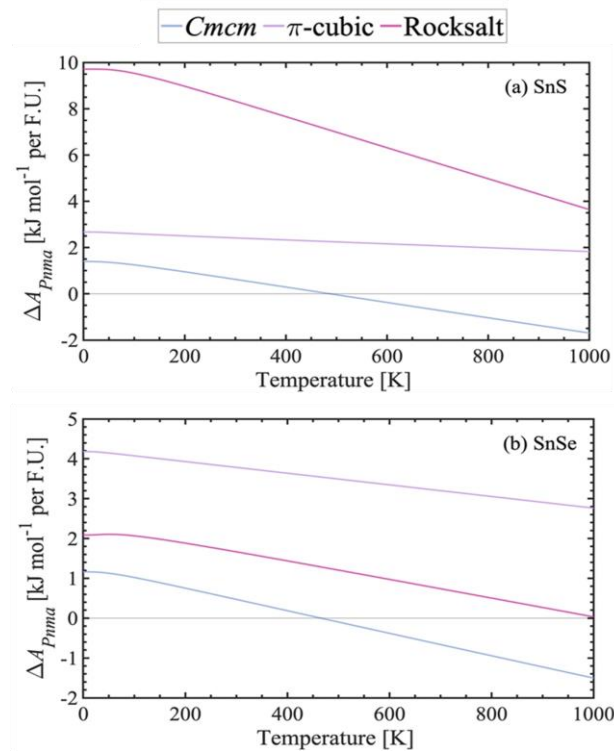


Figure 3. Helmholtz free energy differences ΔA_{Pnma} between the Cmc (blue), π -cubic (purple) and rocksalt (pink) phases of (a) SnS and (b) SnSe relative to the $Pnma$ phase.

A major difference between the two compounds is again seen for the rocksalt structure. The largest energy difference for rocksalt SnS is $\sim 10 \text{ kJ mol}^{-1}$ per F.U. at 0 K, similar to the lattice energy differences, whereas that for SnSe the difference is much smaller and reduced by $\sim 20 \%$

compared to the lattice energies to 2 kJ mol⁻¹ per F.U. (i.e. rocksalt SnSe is stabilized relative to the *Pnma* phase due to the vibrational free energy). This places the rocksalt phase of SnSe closer to the *Pnma* phase than the known metastable π -cubic structure. Interestingly, rocksalt SnSe almost becomes lower in energy than *Pnma* at 1000 K, although at this temperature the *Cmcm* phase is lower in energy than both competing phases.

Our results for SnS are consistent with our previous theoretical study, albeit with a small difference in the predicted transition temperature to the *Cmcm* phase.²⁰

c. Quasi-Harmonic Lattice Dynamics

To examine the volume dependence of the phonon frequencies, further calculations were performed on the eight compounds with a series of 10 % compressions and 5 % expansions about the optimized equilibrium volumes.

i. Volume Dependence of the Phonon Dispersion and DoS: Dynamical Stability

The volume dependence of the phonon DoS and dispersion curves can be used to investigate the effect of pressure on the dynamical stability. **Figure 4** compares the phonon spectra of the rocksalt and *Cmcm* phases of SnS as a function of volume, and similar comparisons for all four phases of SnS and SnSe are presented in **Figure S3** (Supporting Information).

The phonon frequencies generally decrease (soften) with increasing volume, as the interatomic forces decrease with increasing bond lengths, and increase (harden) under compression. The π -cubic phases remain dynamically stable over the full range of calculated volumes. The imaginary modes in the *Cmcm* phases become real under compression and disappear under ~4 % compression, indicating that this phase may be dynamically stabilized under moderate pressures, which we calculated to be around 8 GPa for both structures. The imaginary modes in

rocksalt SnS also harden upon compression, as reported in previous theoretical studies,²⁰ which indicates that this phase may also be dynamically stabilized under pressure. Results of this study suggest that the imaginary mode at X disappears under ~5 % compression. A similar effect could be achieved with a reduction in the lattice constant when grown epitaxially and lattice matching to the substrate.

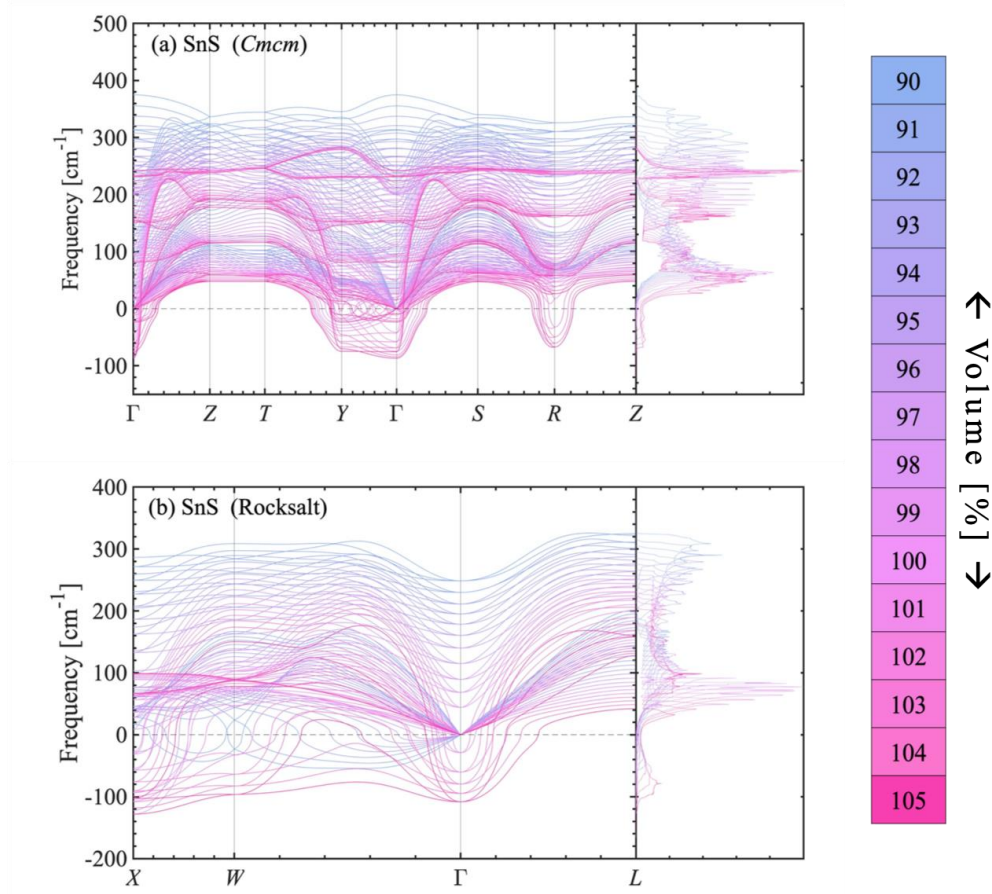


Figure 4. Phonon dispersion and density of states curves of (a) *Cmcmm* and (b) rocksalt SnS computed for up to 10 % compression and 5 % expansion of the volume about the calculated equilibrium. Similar analyses for all four phases of SnS and SnSe are presented in **Figure S3** (Supporting Information).

ii. Thermodynamic Stability at Ambient Pressure: Gibbs Energy

The Gibbs free energies obtained from the QHA were used to assess the thermodynamic stability of the different monochalcogenide phases in the same way as the Helmholtz energies. The Gibbs free energy is at constant pressure, and as such in principle provides a more experimentally relevant method for comparing stabilities.

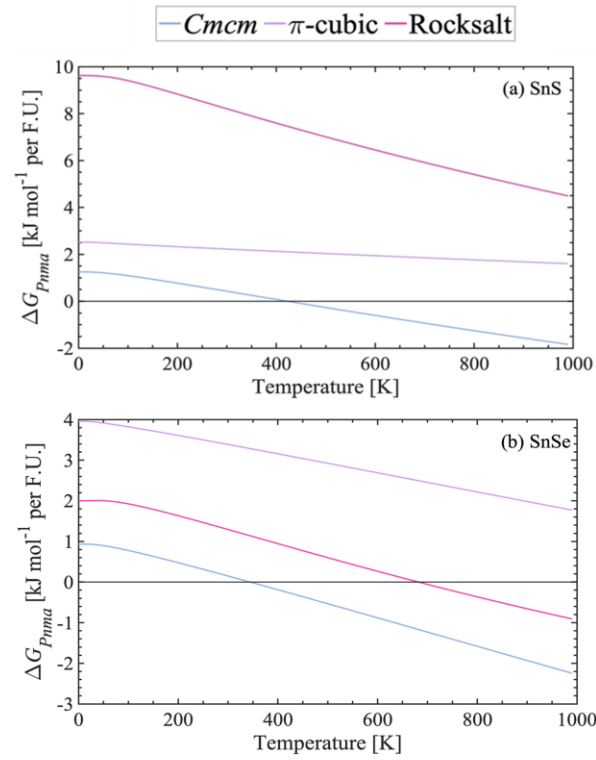


Figure 5. Gibbs energy difference ΔG_{Pnma} between the *Cmcm* (blue), π -cubic (purple) and rocksalt (pink) phases of (a) SnS and (b) SnSe relative to the *Pnma* phase.

Figure 5 compares the Gibbs energies of the *Cmcm*, π -cubic and rocksalt phases of SnS and SnSe to the ground-state *Pnma* phase. The calculations again predict a phase-transition from the *Pnma* to the *Cmcm* phase, but this time at *lower* transition temperatures of $T_{(Pnma \rightarrow Cmcm)} = 440$

and 326 K for SnS and SnSe respectively. Both are lower than those predicted using the Helmholtz free energies and therefore deviate further from the experimental measurements. This suggests that the misprediction is likely due to the nature of the phase transition rather than to the approximation of using the Helmholtz energies of the equilibrium structures.

We note in passing that the QHA calculations predict an expansion in the volume of the *Cmcm* phases at higher temperature, resulting in better agreement with the experimental measurements. The predicted lattice constants are $a = 4.02$, $b = 11.42$ and $c = 4.05$ Å for *Cmcm* SnS at 905 K, and $a = 4.23$, $b = 11.70$ and $c = 4.21$ Å for *Cmcm* SnSe at 825 K, which decrease the error with respect to the measurements from 3.27% to 1.99% for SnS and from 2.83% to 1.44% for SnSe.

For both chalcogenides the Gibbs energies also predict that the π -cubic remain metastable over the 0-1000 K temperature range considered in the calculations. As for the Helmholtz free energies, the energy difference with respect to the *Pnma* structure is approximately constant for SnS but decreases at higher temperatures for SnSe. The overall Gibbs energy difference between the π -cubic and *Pnma* structures is lower for SnS, again indicating that the π -cubic phase of SnS is more stable than that of SnSe.

On the other hand, we observe a notable difference in the Gibbs energies of the rocksalt phases compared to the Helmholtz energies. While the maximum value of ΔG_{Pnma} for rocksalt SnSe is around 2 kJ mol⁻¹ per F.U. at 0 K, a steeper decrease in the Gibbs energy with temperature leads to this phase becoming lower in energy than the *Pnma* phase at 440 K. This is consistent with other theoretical studies, which showed that in SnSe the energy of the rocksalt phase only slightly deviates from that of the ground-state *Pnma* phase.⁶² This suggests that quasi-harmonic effects (i.e. thermal expansion) may lead to rocksalt SnSe being energetically competitive with the

other phases at high temperature. Although the *Cmcm* phase has a lower free energy than the rocksalt phase, the *Cmcm* structure is dynamically unstable at ambient pressure; if the rocksalt phase corresponds to the stable phase with the lowest free energy at elevated temperature, and is accessible from the *Cmcm* phase on the structural PES, we might expect this phase to be observed at high temperature in SnSe. In contrast, rocksalt SnS remains higher in energy than the *Pnma* phase across the range of temperatures examined.

iii. Temperature/Pressure Phase Diagrams

By adding a pV term to the quasi-harmonic Gibbs free energies, we can also use the QHA to study the effect of pressure on the relative stabilities of the materials and to compute, from first principles, 2D temperature-pressure phase diagrams. Phase diagrams for SnS and SnSe, covering temperatures from 0-1000 K and pressures from 0-15 GPa, are presented in **Figure 6**. Based on the Gibbs energies, only the orthorhombic *Pnma* (pink) and *Cmcm* (blue) structures appear on these diagrams. For both SnS and SnSe, the *Pnma* \leftrightarrow *Cmcm* transition temperature is predicted to decrease with increasing pressure, and the transition temperature is consistently lower for SnSe than for SnS. Beyond a certain pressure, which appears to be around 11 GPa for SnS and 7 GPa for SnSe, the *Cmcm* structure becomes lower in energy at all temperatures. We note that we checked the structures of both the *Pnma* and *Cmcm* phases at compressed volumes to confirm that there were no spontaneous transformations between them - optimised equilibrium structures of *Pnma* and *Cmcm* corresponding to pressures of approximately 0, 5, 10 and 15 GPa are shown in **Figure S4** in the Supporting Information.

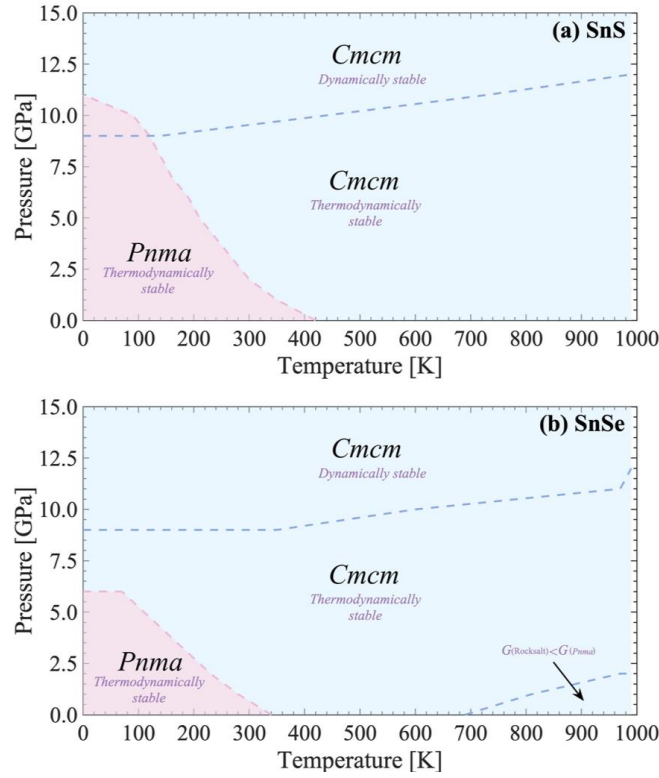


Figure 6. Calculated temperature-pressure phase diagrams for (a) SnS and (b) SnSe, obtained based on the Gibbs energies calculated within the quasi-harmonic approximation. Shaded regions denote the most thermodynamically stable phases: pink - *Pnma*, blue - *Cmcm*. Contour lines outline regions where *Cmcm* is dynamically stable, and where rocksalt SnSe is more thermodynamically stable than *Pnma* SnSe and the *Cmcm* phase is dynamically unstable.

We also show on the phase diagrams the regions where the *Cmcm* phase is predicted to be dynamically stable, which identify that this phase is both dynamically and thermodynamically stable above 11 GPa for SnS and 9 GPa for SnSe. We also find a small region of the SnSe phase diagram where the rocksalt structure is more thermodynamically stable than the *Pnma* phase and the *Cmcm* remains dynamically stable. This region spans a temperature range of ~700 to 1000 K and pressures up to 2 GPa. We note that the regions of the phase diagram where the *Cmcm* phase

is energetically stable but dynamically unstable are those where we would expect crystallography to show an average *Cmcm* structure, but spectroscopic techniques sensitive to local structure, such as X-ray absorption spectroscopy and pair-distribution function measurements, to show something different. The region of the SnSe phase diagram where the calculations predict the rocksalt phase to be lower in energy than the *Pnma* phase would be particularly interesting to study.

d. Structural Relationships Between Phases

i. The *Pnma* and *Cmcm* Phases

Previous theoretical studies and experimental INS measurements have shown that the *Pnma* \leftrightarrow *Cmcm* phase transition is driven by condensation of a “soft” TO phonon mode of A_g symmetry found at the Brillouin zone center in the *Pnma* phase and the Y wavevector in the *Cmcm* phase.⁶⁵ As noted previously, these soft modes manifest as negative eigenvalues in the dynamical matrix of the equilibrium structure, corresponding to imaginary harmonic frequencies, and indicate the structures to be local maxima on the structural PES. By “following” the eigenvectors corresponding to those modes, one can locate the nearest lower-energy stationary point, which may be a minimum or another maximum.

The atomic displacements \mathbf{u}_{jl} along the mode eigenvector \mathbf{W}_λ as a function of the normal-mode coordinate (displacement amplitude) Q_λ are given by:⁴⁹

$$\mathbf{u}_{jl}(Q_\lambda) = \frac{Q_\lambda}{\sqrt{N_a m_j}} \mathbf{w}_\lambda e^{i\mathbf{q} \cdot \mathbf{r}_{jl}} \quad [7]$$

where we have replaced the wavevector and band index with a single index λ for brevity. N_a is the number of atoms in the supercell used to describe the vibration, which should be commensurate with the wavevector, m_j is the atomic mass, and \mathbf{r}_{jl} is the equilibrium position. At small

amplitudes the energy change ΔE with respect to the distortion amplitude is given by the usual harmonic relation:⁵⁵

$$\Delta E = \frac{1}{2} \omega^2 Q_\lambda^2 \quad [8]$$

At larger amplitudes, the PES becomes anharmonic and typically resembles higher-order polynomials.

To investigate the link between the ground-state *Pnma* structure and the high-temperature *Cmcm* phase, the two imaginary modes at the *Y* and Γ wavevectors were mapped as a function of the corresponding normal-mode coordinates, using the ModeMap code,⁵⁵ to obtain a 1D PES along the two modes individually and a combined 2D PES where the structure is distorted along both modes simultaneously.

The PES curves associated with *Y*- and Γ -point imaginary modes in *Cmcm* SnS, computed for a series of volumes including up to 2 % compressions and 5 % expansions about the equilibrium, are shown in **Figure 7**. Equivalent plots for SnSe are presented in **Figure S5** in the Supporting Information.

The 1D plots show that both imaginary modes in SnS and SnSe are associated with a double-well PES. The mode at *Y* has the deepest minima and leads to equivalent distorted *Pnma* structures, whereas the mode at Γ has a steeper local curvature close to the *Cmcm* phase and thus a “more imaginary” harmonic frequency. The 2D PES shows the *Cmcm* phase to be a hilltop in the space spanned by the two imaginary modes. These plots confirm that the “true” minima lie along the *Y*-point mode, whereas the local minima along the Γ -point soft mode are saddle points. Under compression, the barriers from the minima to the *Cmcm* local maxima become smaller and eventually disappear, coinciding with the structure becoming dynamically stable under pressure. On the other hand, as the structure is expanded the 2D PES appears to become more symmetric.

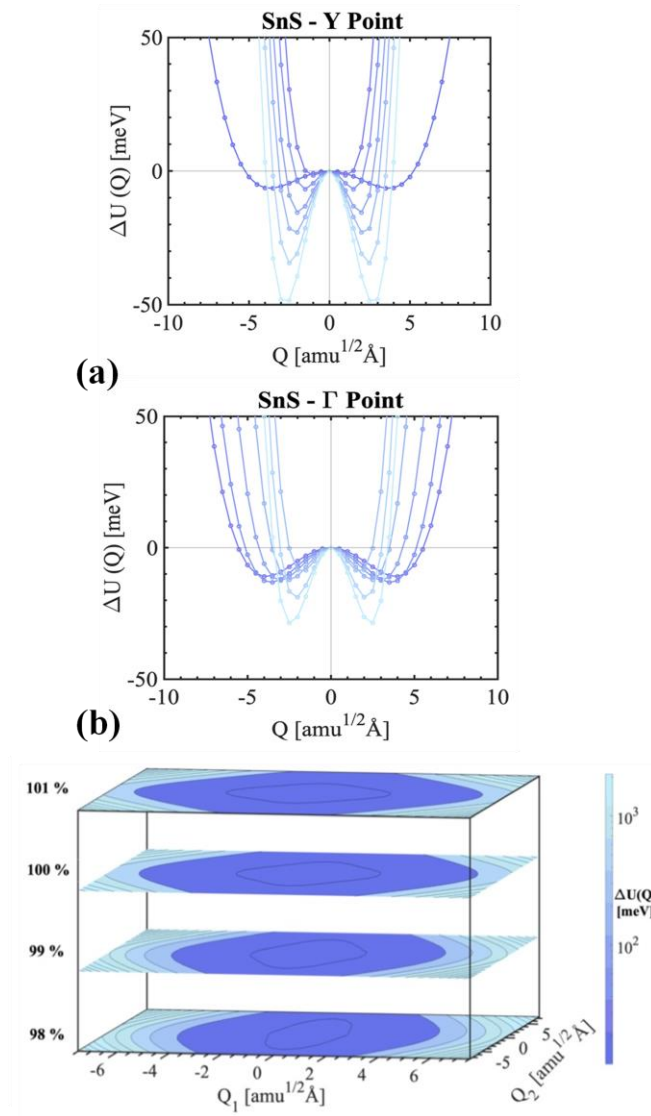


Figure 7. (a) Potential-energy surface (PES) along the two principal imaginary modes at the Y - and Γ wavevectors of $Cmcm$ SnS, evaluated for a series of volumes including up to 2 % compressions and 5 % expansions. (b) Two-dimensional PES spanned by both imaginary modes at four volumes ranging from 2 % compression to 1 % expansion.

ii. Rocksalt and π -Cubic Phases

The mode mapping approach outlined above can be used to characterize the structural PES linking a local maximum with imaginary harmonic modes to the nearest local minima. However, the same method cannot be used to link the two cubic phases, since for SnSe both phases are dynamically-stable local minima. The π -cubic structure can be generated by a continuous distortion of a $2 \times 2 \times 2$ supercell of the eight-atom rocksalt conventional cell, so we instead performed transition-state searches using the climbing-image nudged elastic-band (CI-NEB) method.

The NEB method provides an efficient and accurate means of locating transition states. A series of structural snapshots (“images”) are first defined which describe the reaction coordinate. Atoms in each image are connected to the equivalent atoms in adjacent images *via* harmonic strings (“elastic bands”) with an associated force constant. The force acting on each atom has two components: the sum of the spring force projected along the path and the atomic force perpendicular to the path.⁶⁶ The images are then optimized to minimize the force while maintaining equal spacing between neighboring images, thus refining the path to minimize the activation energy. The CI-NEB is a slight modification of the NEB that attempts to move the highest-energy image uphill to the saddle point, thereby providing a better estimate of the activation barrier.⁵⁶

The energies along the pathways between the rocksalt and π -cubic phases of SnS and SnSe are shown in **Figure 8**. The structures of the initial rocksalt supercell, the transition state (highest-energy configuration along the path), and the final π -cubic structure are compared in **Figure S7** in the Supporting Information.

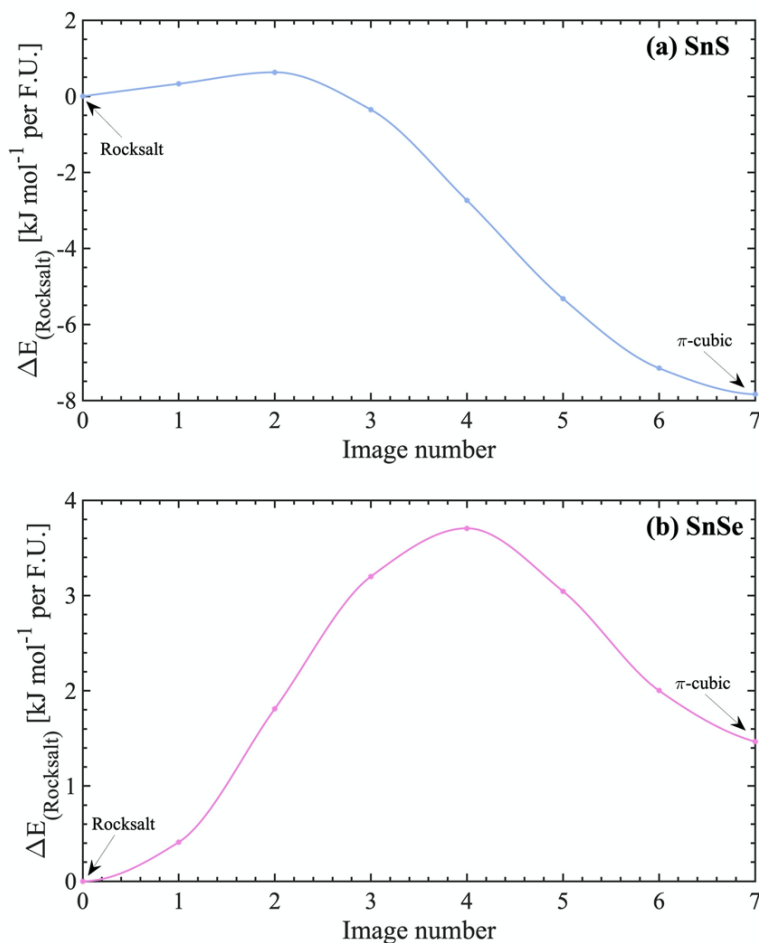


Figure 8. Difference in energy relative to the rocksalt phase as a function of reaction coordinate (“image number”) between a 64-atom rocksalt supercell (Image 0) and the 64-atom π -cubic phase (Image 7) for (a) SnS and (b) SnSe. These profiles were obtained using the climbing-image nudged elastic band (CI-NEB) method to locate the transition states between the two phases.

The two sets of energy profiles show that there is a small activation barrier between the rocksalt and π -cubic phases in both SnS and SnSe. From the rocksalt phase, the barriers are 0.63 and 3.71 kJ mol^{-1} per F.U. in SnS and SnSe, respectively, while the barriers from the π -cubic phases are 8.47 and 2.24 kJ mol^{-1} per F.U. respectively. Compared to SnS, SnSe has a smaller activation barrier from the π -cubic phase and a higher barrier from the rocksalt phase.

This is consistent with the previous findings on the relative stability of the rocksalt phase, i.e. that the rocksalt phase of SnSe is more stable than the rocksalt phase of SnS. In line with this, comparing the energy profiles shows that transition state (highest energy structure) occurs at the second image for SnS but at the fourth image for SnSe. The transition state for SnS is therefore more “rocksalt-like”, whereas that for SnSe is more similar to the π -cubic phase.

CONCLUSIONS

In conclusion, we have performed a detailed first-principles investigation of the thermodynamic and dynamical stability of the four proposed phases of the tin monochalcogenides SnS and SnSe.

Assessment of the dynamical stability from the phonon DoS and dispersion curves shows that the *Pnma* and π -cubic phases of both systems are energy minima. The *Cmcm* phase is dynamically unstable, but becomes stable under a ~ 4 % compression of the lattice volume. Whereas rocksalt SnS shows an imaginary mode at the X wavevector, which also gradually hardens and disappears under compression, the corresponding selenide phase is found to be dynamically stable.

Comparison of the Helmholtz and Gibbs free energies at ambient pressure, computed within the harmonic and quasi-harmonic approximations, correctly predicts the thermal phase transition between the *Pnma* and *Cmcm* phases, albeit at lower temperatures than those reported experimentally. The π -cubic phase of both SnS and SnSe remains metastable up to 1000 K. Rocksalt SnSe is predicted to be significantly more stable than rocksalt SnS and is closer to the *Pnma* phase than the π -cubic structure. Based on the Gibbs free energy, rocksalt SnSe becomes lower in energy than the *Pnma* phase at elevated temperature, and may therefore be observed at

higher temperatures. 2D temperature-pressure phase diagrams computed for temperature ranges of 0-1000 K and pressure ranges of 0-15 GPa identify only the orthorhombic *Pnma* and *Cmcm* structures as the phases with the lowest Gibbs free energies. For both SnS and SnSe the transition temperature from the *Pnma* to the *Cmcm* phase decreases under pressure, and above 11 GPa and 7 GPa for SnS and SnSe respectively, the *Cmcm* structure becomes the most thermodynamically stable phase from 0-1000 K. The *Cmcm* phase is both dynamically and thermodynamically stable above 11 GPa and 9 GPa for SnS and SnSe, respectively. At temperatures between ~700-1000 K and pressures of 0-2 GPa, rocksalt SnSe is more thermodynamically stable than *Pnma* SnSe and the *Cmcm* phase is dynamically unstable, which suggests the rocksalt phase may be observed as a local structure.

First-principles phonon mode mapping and transition-state searches using the climbing-image nudged elastic band method were used to find the structural links between the orthorhombic and cubic phases. The two principal soft modes in the *Cmcm* phase are both associated with a double-well PES and identify the *Cmcm* as a hilltop connecting two *Pnma* energy minima. The energy barrier is enlarged under expansion (temperature) and reduced under compression (pressure). The rocksalt and π -cubic phases of SnS and SnSe are separated by small activation barriers of 0.63/3.71 kJ mol⁻¹ per F.U., respectively, from the π -cubic to rocksalt phases, and 8.47/2.24 kJ mol⁻¹ per F.U. in the reverse direction.

These results provide a comprehensive assessment of the relative stabilities of the monochalcogenide phases, and we hope they will serve as a useful reference point for experimental synthesis and characterization. They also highlight some interesting possibilities for using pressure, for example by materials engineering or epitaxial growth, to fine-tune the material properties. In particular, our calculations suggest that this may provide some level of control over

the *Pnma* to *Cmcm* phase transition and may allow rocksalt SnS to be stabilized. Given that the high thermoelectric performance of bulk SnSe is associated with the inherent anharmonicity and favourable electrical properties of the *Cmcm* phase, and that the rocksalt phases have been identified as interesting optoelectronic materials in their own right, this may provide an interesting route to further applications of these systems.

ASSOCIATED CONTENT

Supporting Information

The Supporting Information is available free of charge on the [ACS Publications](#) website at DOI:



Images of the optimized structures of SnS; *k*-point convergence tests for phonon calculations on the π -cubic phases of SnS and SnSe; phonon dispersion and density of states curves for SnS and SnSe at a series of volumes from 10 % compressions to 5 % expansions; optimized structures of *Pnma* and *Cmcm* SnS and SnSe under pressure; calculated potential-energy surfaces associated with the principal imaginary modes in *Cmcm* SnS and SnSe, computed for a series of volumes from 2 % compression to 5 % expansion; structures of SnSe obtained by mapping the Γ -point imaginary modes; structures of SnS and SnSe obtained from the transition-state searches using the CI-NEB method; plots of the cell volume, bulk modulus and thermodynamic Grüneisen parameters as a function of temperature and at ambient pressure obtained using the quasi-harmonic approximation (PDF).

Data Repository

Raw data from this study including optimized structures and output from the quasi-harmonic lattice dynamics calculations is available to download for free from an online repository at [\[URL to be added on acceptance\]](#).

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ABBREVIATIONS

PV - photovoltaic; DFT - density-functional theory; SIBs - sodium-ion batteries; LIBs - lithium-ion batteries; TCIs - topological crystalline insulators; DoS - (phonon) density of states; EoS - equation of state; VASP - Vienna *Ab initio* Simulation Package; PAW - projector augmented-wave (method); CI-NEB - climbing-image nudged elastic band (method).

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Phase stability of the tin monochalcogenides SnS and SnSe: a quasi-harmonic lattice-dynamics study

Supporting information

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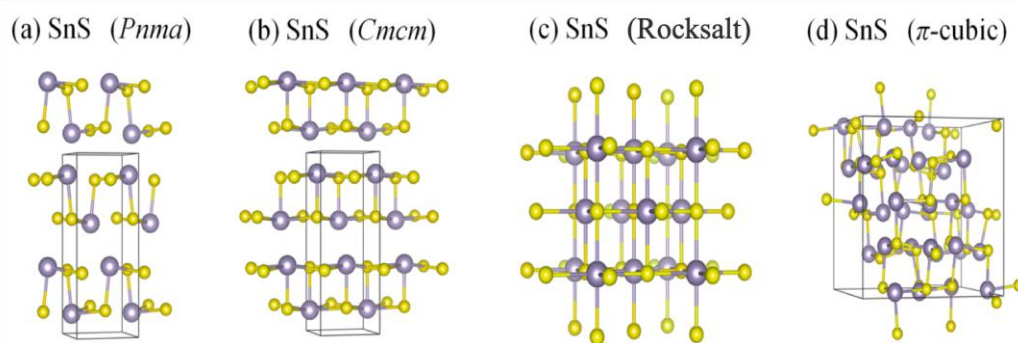


Figure S1. Optimised structures of *Pnma*, *Cmcm*, rocksalt and π -cubic SnS. The images were produced using the VESTA software.¹

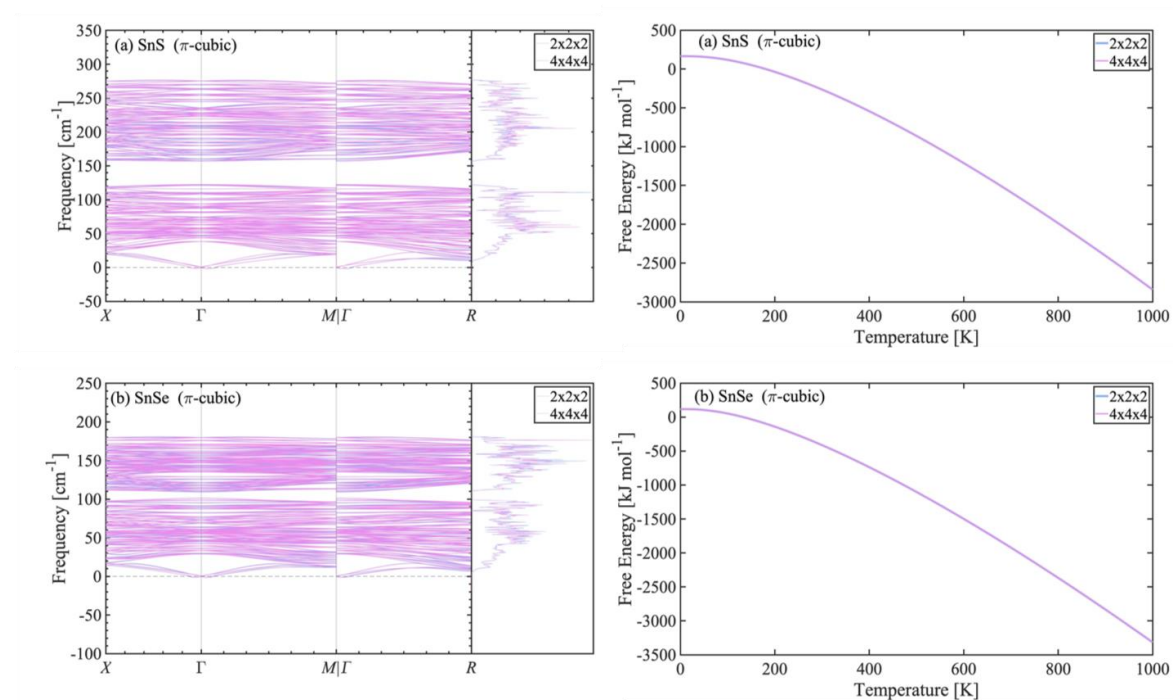


Figure S2. Phonon dispersion and density of states curves and vibrational Helmholtz free energy of the π -cubic phases of SnS (a) and SnSe (b) computed using two k -point meshes. Both calculations were performed on the equilibrium structure using the 64-atom unit cell, so the equivalent k -point meshes for the $2 \times 2 \times 2$ supercell used in the production calculations would be $1 \times 1 \times 1$ (i.e. the Γ point) and $2 \times 2 \times 2$.

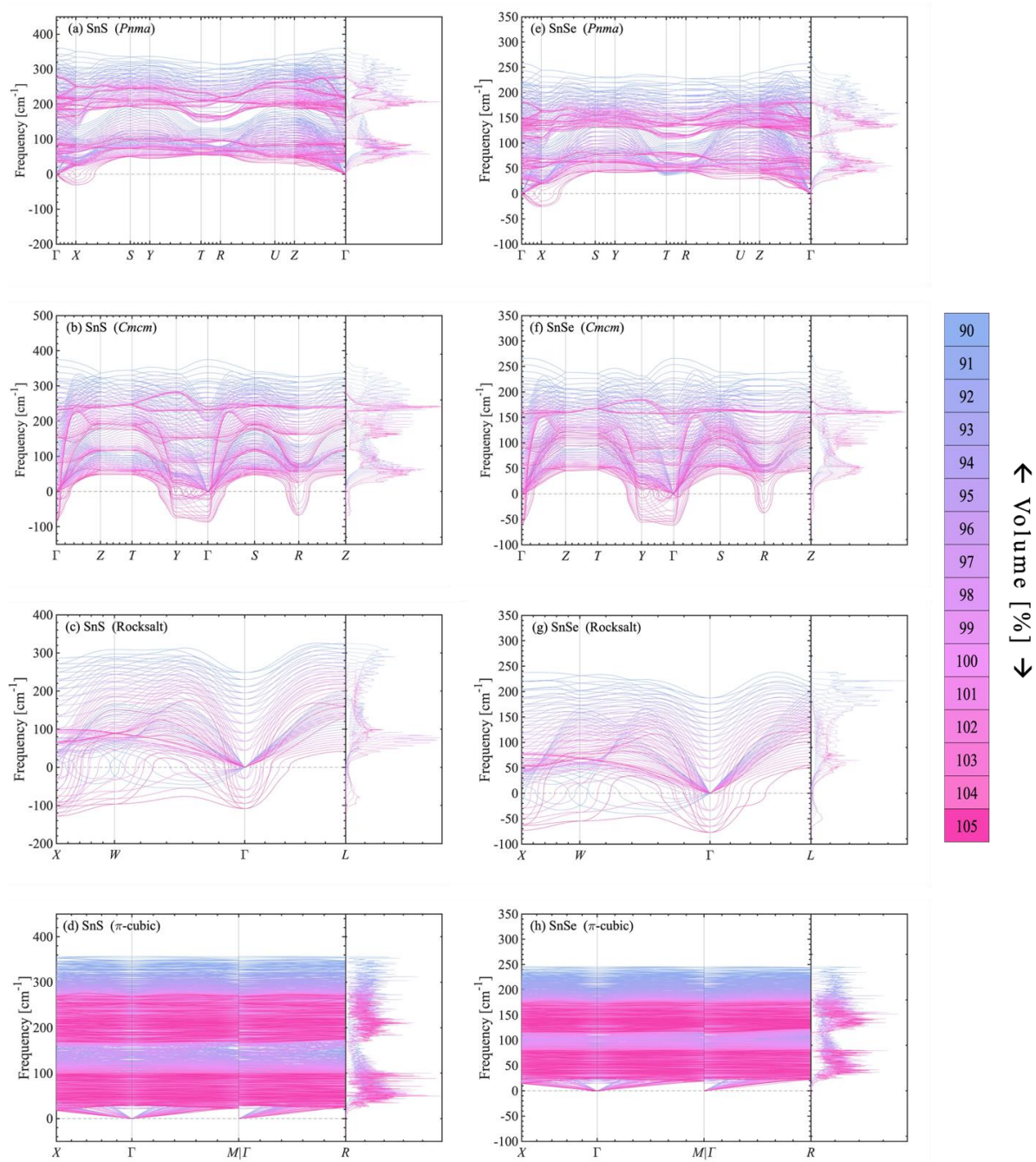


Figure S3. Phonon dispersion and density of states curves of SnS (left, (a)-(d)) and SnSe (right, (e)-(h)) under up to 10 % volume compression and 5 % expansion: (a)/(e) *Pnma*, (b)/(f) *Cmcm*, (c)/(g) rocksalt and (d)/(h) π -cubic.

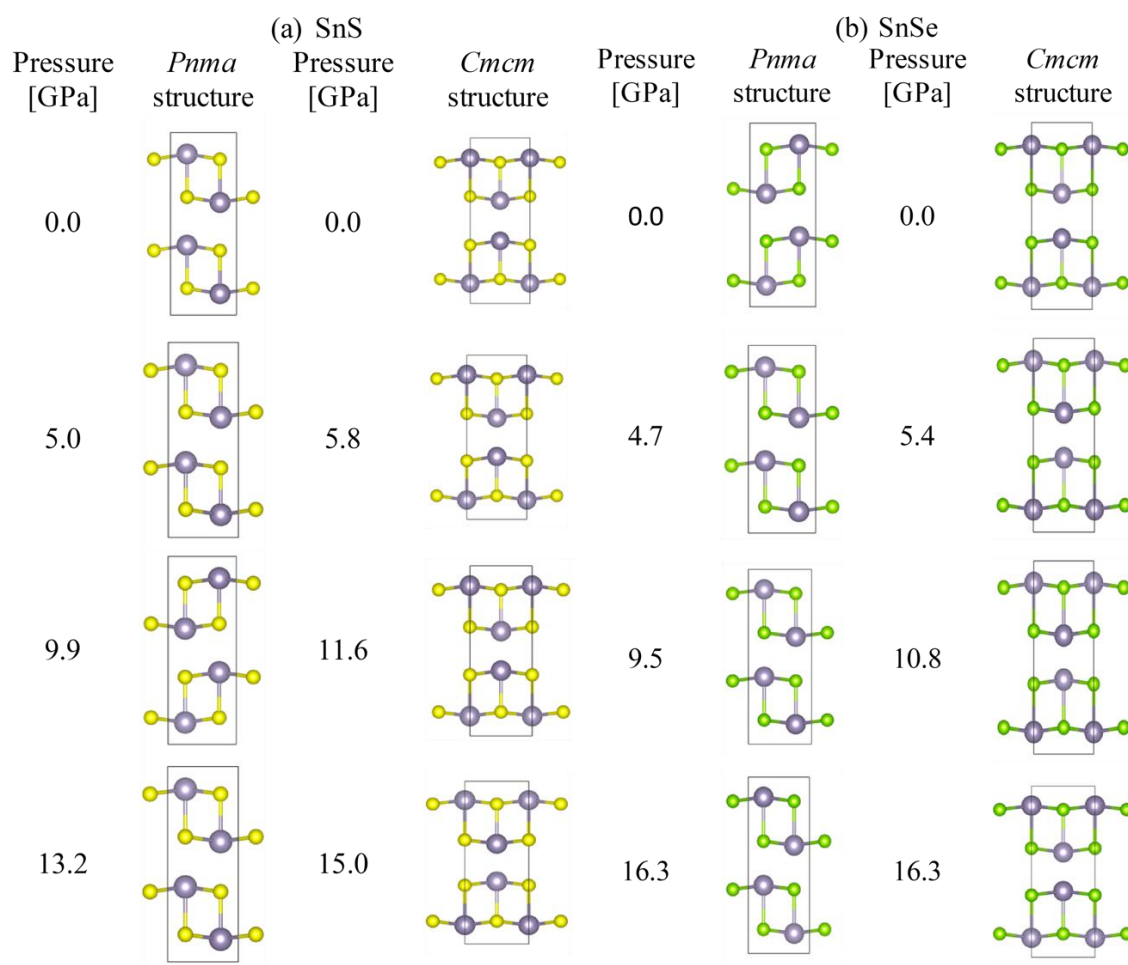


Figure S4. Optimised structures of *Pnma* and *Cmcm* SnS (a) and SnSe (b) at a range of applied pressures. The images were produced using the VESTA software.¹

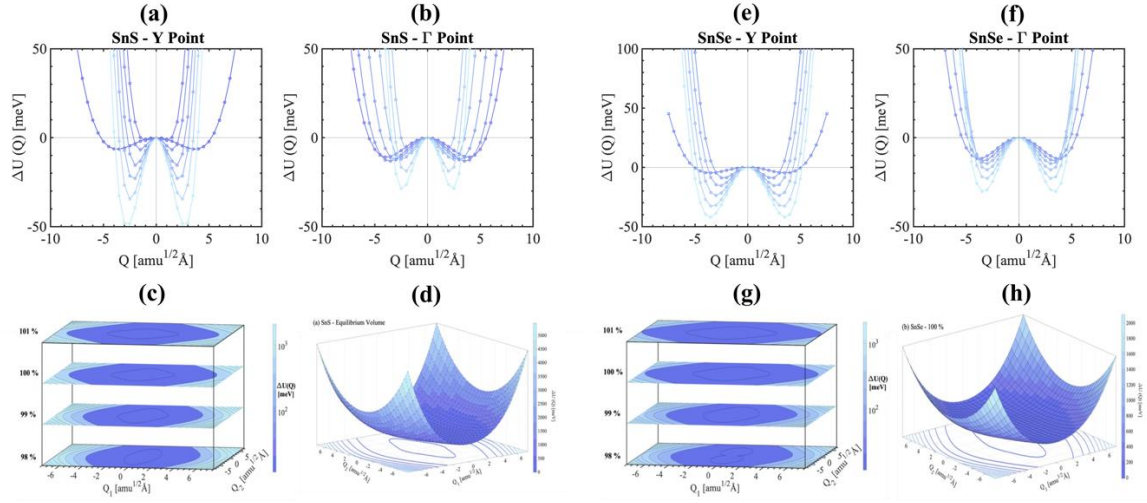


Figure S5. Potential-energy surface (PES) along the two principal imaginary modes at the Y (a)/(e) and Γ wavevectors (b)/(f) of (a)/(b) *Cmcm* SnS and (e)/(f) *Cmcm* SnSe, evaluated for a series of volumes including up to 2 % compressions and 5 % expansions. (c)/(g) Two-dimensional PES spanned by both imaginary modes at four volumes ranging from 2 % compression to 1 % expansion. (d)/(h) Surface plot of the (PES) along the two principal imaginary modes in the equilibrium structures.

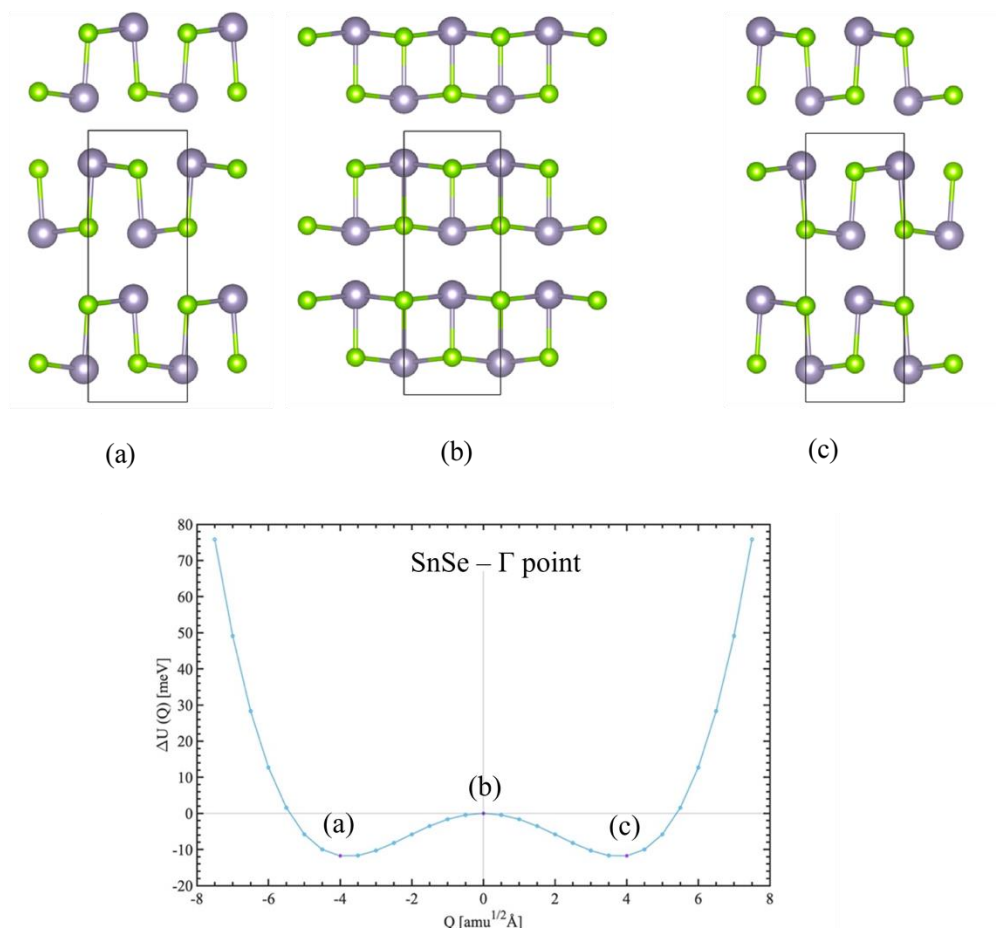


Figure S6. Structures of SnSe associated with the minima in the 1D potential-energy surface (PES) obtained by mapping the Γ -point imaginary mode in the equilibrium structure. (a) Minimum at $Q = -4 \text{ amu}^{1/2} \text{ \AA}$. (b) Maximum at $Q = 0$. (c) Minimum at $Q = +4 \text{ amu}^{1/2} \text{ \AA}$. (a) and (c) correspond to the distorted *Pnma* phase, while (b) corresponds to the *Cmcm* average structure.

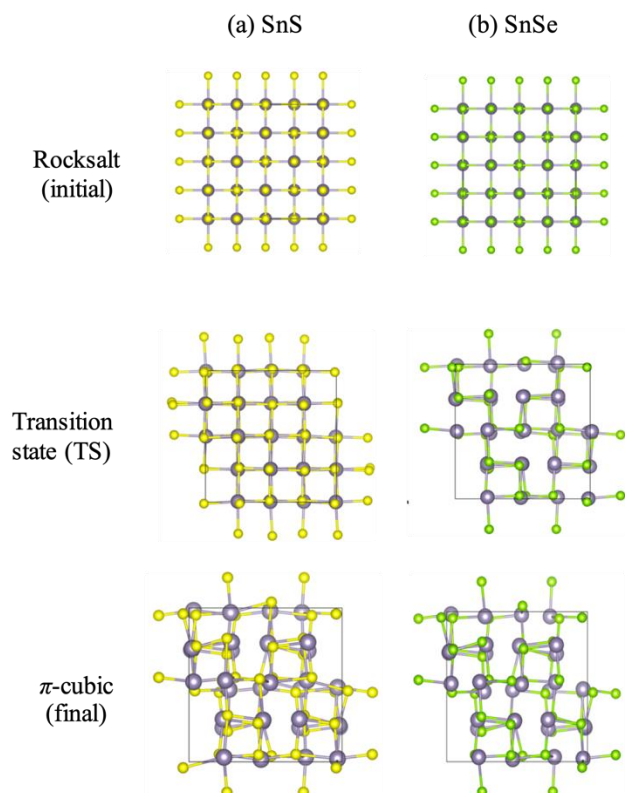


Figure S7. Structures of the initial (rocksalt), transition state (TS), and final (π -cubic) structures of SnS (a) and SnSe (b) obtained from the climbing image nudged elastic band (CI-NEB) calculations. These images were produced by the VESTA software.¹

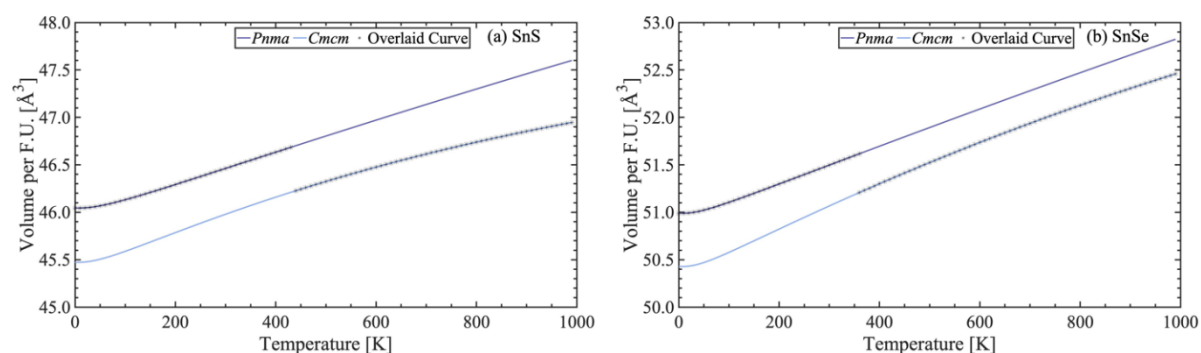


Figure S8. Calculated volume per formula unit as a function of temperature for the *Pnma* (purple) and *Cmcu* (light blue) phases of (a) SnS and (b) SnSe. The black stars track the volumes of the phases with the lowest-energy Gibbs free energy to highlight expected discontinuities in the volume at the phase transition.

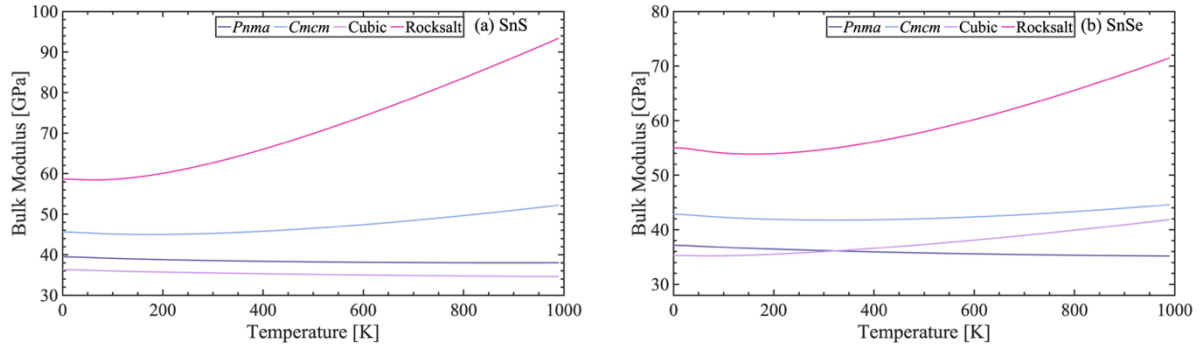


Figure S9. Calculated bulk modulus as a function of temperature for the *Pnma* (purple), *Cmcm* (light blue), π -cubic (purple) and rocksalt (pink) phases (a) SnS and (b) SnSe.

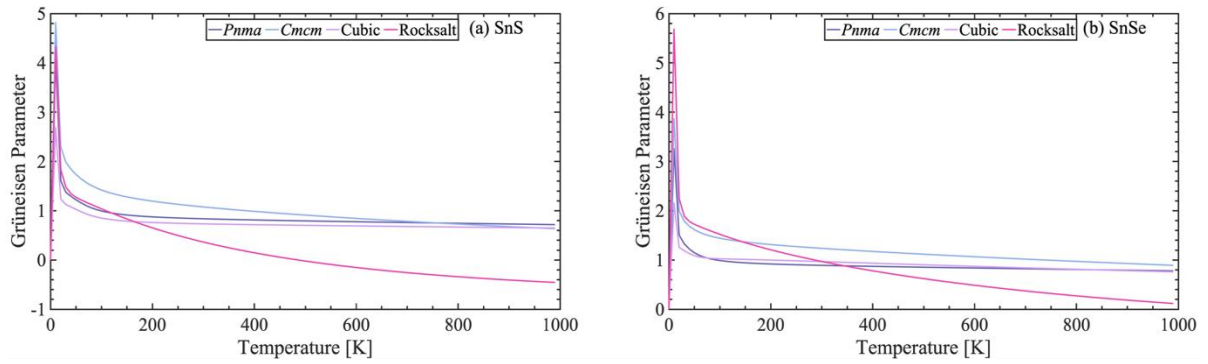


Figure S10. Calculated Grüneisen parameter as a function of temperature for the *Pnma* (purple), *Cmcm* (light blue), π -cubic (purple) and rocksalt (pink) phases (a) SnS and (b) SnSe.

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