Phosphorene–Fullerene nanostructures:

A first-principles study

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Abstract. Hybrid materials formed by carbon fullerenes and layered materials have emerged due to their advantages for several technological applications, and phosphorene arises as a promising two-dimensional semiconductor for C_{60} adsorption. However, the properties of phosphorene-fullerene hybrids remain mainly unexplored. In this work, we employed density functional theory to obtain structures, adsorption energies, electronic/optical properties, binding (AIM, NBO), and energy decomposition analyses (ALMO-EDA) of nanostructures formed by phosphorene and fullerenes (C_{24} to C_{70}). We find fullerenes form covalent and non-covalent complexes with phosphorene depending on the molecular size, showing remarkable stability even in solution. Two classes of covalent complexes arise by cycloaddition-like reactions: the first class, where short-range effects (charge-transfer and polarization) determines the stability; and the second one, where short-range effects decay to avoid steric repulsion, and balanced long-range forces (electrostatics and dispersion) favors the stability. Otherwise, high-size fullerenes (C_{50} to C_{70}) only form non-covalent complexes due to strong repulsion at shorter intermolecular distances and lack of dissociation barriers. In terms of electronic properties, fullerenes act as mild *p*-dopants for phosphorene, increasing its polar character and ability to acquire induced dipole moments (polarizability). Also, small energy-bandgap fullerenes (<0.8 eV) largely increase the phosphorene metallic character. We also note fullerenes retain their donor/acceptor properties upon adsorption, acting as active sites for orbital-controlled interactions and maximizing the phosphorene light absorbance at the UV-Vis region. Finally, we strongly believe our study will inspire future experimental/theoretical studies focused on phosphorene-fullerene uses for storage, anode materials, sensing, phosphorene bandgap engineering, and optoelectronics.

Keywords: Phosphorene; DFT calculations; nanotechnology; composites; nanostructures; surface science.

1. Introduction

Among low-dimensional carbon allotropes (such as graphene and carbon nanotubes), the buckminsterfullerene molecule (C_{60}) is a class of 0-dimensional (0-D) organic compounds of spherical molecular shape and high surface area, displaying remarkable physical and chemical properties due to surface/interfacial effects and quantum confinement[1]. In particular, C_{60} displays a remarkable electron acceptor character with a semiconductor bandgap. Also, C_{60} is merely composed of sp^2-hybridized carbon atoms, which confer it an electron-deficient polyalkene nature, and thus, it is chemically reactive[1]. Likewise, small fullerenes have been synthesized and characterized (e.g., from high-resolution transmission electron microscopy), which have associated an increased reactivity with attaching to substrates because of containing paired or adjacent pentagons[2-3], and their electronic properties are significantly influenced by the shape and size [4]. The unique properties of fullerenes turn into useful building blocks for constructing supramolecular assemblies and micro/nanofunctional materials[1]. Consequently, non-covalent and covalent nanostructures have been fulfilled by depositing fullerene onto emerging low dimensional substrates such as graphene, perovskites, graphite-like carbon nitride, transition-metal disulfides, and hexagonal boron nitride[1, 5]. These hybrid nanostructures show potential applications in different technologies such as catalysis, nanoelectronics, optoelectronics, storage, batteries, solar cells, and spintronics, among others[1, 5].

Phosphorene emerged as a new generation of nanomaterials with an anisotropic 2-dimensional (2-D) structure in a puckered honeycomb shape with sp^3-orbital hybridization[6]. Relevant properties of phosphorene are high electrical conductivity ($2 \cdot 10^5$ cm^2 V^{-1} s^{-1}), high carrier mobility (≈ 1000 cm^2 V^{-1} s^{-1}), high thermal conductivity (4840–5300 W m^{-1} K^{-1}), and high tensile strength up to 130 GPa[6-8]. Phosphorene also displays a remarkable ability to bind

organic/inorganic molecules through covalent or non-covalent interactions, mainly favored by electrostatic attraction[9-12]; thus, phosphorene emerges as an ideal template for the formation of well-ordered structures for assembly of fullerenes and related phosphorene/organic nanostructures. Furthermore, surface coordination of phosphorene has been shown to enhance its stability under air/water dispersion, which is a major challenge in practical applications[13-15]. Despite widespread works on hybrids of fullerenes with other 2D nanomaterials, studies on Phosphorene-Fullerene hybrids have infrequently been reported and mainly focused on C_{60} adsorption. Experimentalists and theoreticians have synthesized/proposed Phosphorene$-C_{60}$ nanostructures with relevant properties for technological applications such as high specific capacity battery electrode materials[16], solar energy conversion[17-18], new molecular doped crystalline superlattices for semiconductor industry[19], and heterojunction photodetectors[20]. In this way, properties of phosphorene and fullerenes are compensated synergistically; for example, it is found in photovoltaic research that fullerenes act as excellent electron acceptors in polymer solar cells, while phosphorene improves the energy alignment in the devices, which lead to improved power conversion efficiency by favoring the charge transfer and exciton dissociation[18].

Additionally, the packing of fullerenes onto substrates must be influenced by the shape and size, which would play a key role in the properties and stability of Phosphorene–Fullerene hybrids; then the physical/chemical phenomena that dominate the interaction strength is essential to be clarified. Mechanochemical reactions in a high energy mechanical milling process have been used as a strategy to form phosphorus-carbon (P$-$C) bonds between phosphorene and carbon materials, including C_{60}, graphite, and graphite oxide[16]; in this way, the content of the P$-$C bond in the phosphorene-C_{60} hybrids is only 0.8%, denoting C_{60} is not preferably bonded to phosphorene *via* covalent interactions until breaking the sp^2 C=C/C$-$C bonds to form defects[16]. In addition, low-

78 temperature scanning tunneling microscopy, X-ray, ultraviolet photoelectron, and scanning

79 tunneling spectroscopy measurements also show that C_{60} molecules are mainly physisorbed at

80 room temperature on the honeycomb lattice of blue and black phosphorene synthesized by

81 epitaxial growth, where an interfacial charge transfer is evidenced upon interaction with C_{60}[21-22].

82 The Phosphorene-C_{60} non-covalent interaction has also been confirmed by the disappearance of

83 the C_{60} absorption band at 340 nm in phosphorene Langmuir–Blodgett films after a simple toluene

84 wash[20]. In this regard, density functional theory (DFT) computations supported a simple physical

85 interaction between C_{60} and phosphorene characterized by strong electron density rearrangements

86 (charge transfer) and adsorption energies of ∼1 eV[22-23].

87 The background mentioned above indicates phosphorene could be implemented as a

88 remarkable substrate for the assembly of novel hybrid nanostructures with carbon fullerenes.

89 Nevertheless, it is still not well understood yet the influence of fullerenes size on Phosphorene-

90 Fullerene hybrids' properties, such as the stability and preferred binding mechanism (covalent/non-

91 covalent), electronic/optical properties after bonding, and the contribution of intermolecular forces

92 determining the adsorption stability. To answer these questions, we employed a dispersion-

93 corrected DFT scheme to obtain adsorption energies and conformations, electronic/optical

94 properties, electron density-based analyses, and energy decomposition analyses of

95 Phosphorene–Fullerene nanostructures, which provide deep insights into the stability, structure,

96 adsorption mechanism, and useful potential properties for technological applications. We consider

97 either the covalent or non-covalent binding of fullerenes in different sizes and symmetries as

98 representative classes, i.e., C_{24} (D_{6h}), C_{26} (D_{3h}), C_{34} (C_2), C_{36} (D_{2h}), C_{40} (D_2), C_{44} (D_2), C_{50} (D_3),

99 C_{60} (l_h), and C_{70} (D_{5h}); in this way, it is provided a wide family of adsorbates resulting in different

100 assemblies for characterization.

2. Computational Details

We used the PBE functional with the all-electron def2-SVP basis sets for all the DFT calculations in the ORCA4.1[24-26]. The PBE functional has been used to describe the interactions of phosphorene with a wide range of adsorbates. The DFT-D3(BJ) procedure included dispersion corrections into the PBE functional for energies and gradients[27]. Molecular structures were optimized without geometrical constraints and verified through frequency analyses, where positive vibrations were associated with all vibrational modes. Convergence tolerance values of $1 \cdot 10^{-8}$ and $1 \cdot 10^{-6}$ Ha were used for SCF and geometry optimizations; the geometries converged with tolerance values in gradients and coordinate displacements of $3 \cdot 10^{-4}$ Ha/Bohr and $4 \cdot 10^{-3}$ Bohr, respectively. Continuum solvent effects were included by the universal continuum solvation model (SMD) based on the quantum mechanical charge density of a solute molecule interacting with a continuum description of the solvent[28]. Excited states were obtained with the simplified time-dependent density functional theory (sTD-DFT) approach combined with the meta-hybrid TPSSh functional (10% Hartree-Fock exchange)[29-30]; configuration state functions were included up to an energy threshold of 6.2 eV. Phosphorene nanoflakes ($P_{126}H_{30}$) were used for adsorption studies and with a surface area of at least ~1585 $\mathring{A}^2$ considering its electron density, which is relatively larger to obtain well-converged adsorption energies concerning the fullerenes surface area (up to ~456 $\mathring{A}^2$). Adsorption energies (E_{ads}) were computed as:

$$E_{ads}=E_{Phos}+E_{Fullerene}-E_{Phos-Fullerene}+\Delta ZPE \tag{1}$$

where E_{Phos}, $E_{Fullerene}$, and $E_{Phos-Fullerene}$ are the total energies of the free phosphorene, free fullerenes, and the complex, respectively; ΔZPE stands for the zero-point energy correction. Thus, the more positive the E_{ads} values, the more stable the complex is. The counterpoise correction was

123 used to avoid BSSE in the E_{ads} values[31]. Adsorption energies were further decomposed by the

124 second-generation energy decomposition analysis based on absolutely localized molecular orbitals

125 (ALMO-EDA) of the Q-Chem5.2 program at the PBE-D3/def2-TZVP level[32]. Hence, the

126 adsorption energy for one AB complex expresses as[33-34]:

$$-E_{ads}=\Delta E_{CT}+\Delta E_{POL}+\Delta E_{ELEC}+\Delta E_{DISP}+\Delta E_{PAULI}+\Delta E_{PREP} \qquad (2)$$

128 where ΔE_{CT}, ΔE_{POL}, ΔE_{ELEC}, and ΔE_{DISP} stand for the energy lowering due to charge-transfer (inter

129 and intramolecular charge flow between fragments), polarization (induced electrostatics),

130 Coulombic attractions (classical intermolecular electrostatics), and dispersion forces (van der

131 Waals interactions), respectively. ΔE_{DISP} is obtained with the dispersion-free revPBE functional.

132 ΔE_{PAULI} is the energy destabilization due to Pauli repulsion when two fragments are close enough,

133 i.e., electrons cannot have the same position (Pauli principle). ΔE_{PREP} is the preparation energy

134 penalty due to the geometric distortion of fragments to reach the complex geometry.

135   Intermolecular interactions were also revealed by the Atoms-in-Molecules (AIM)

136 method[35], where the electron density (ρ_i) of the bond critical points (BCPs) connecting fragments

137 through intermolecular bond paths serve as a measure of the interaction strength. In this way,

138 covalent bonds, closed-shell interactions, and weak electrostatic interactions are characterized by

139 ρ_i values of $\rho_i \geq 0.10$, $\rho_i \approx 0.10–0.04$, and $\rho_i \leq 0.01$ e/Bohr^3, respectively [35]. Furthermore, the signature

140 of weak interactions were examined by the Independent Gradient Model (IGM)[36], which introduce

141 the δg^{inter} descriptor that uniquely defines intermolecular interaction regions:

142 $\delta g^{inter}=|\nabla \rho^{IGM,inter}|-|\nabla \rho|$, where $\nabla \rho$ stands for the electron density gradient and $\nabla \rho^{IGM,inter}$ is an

143 upper limit to $\nabla \rho$ as defined by Lefebvre and co-workers[36]. AIM, IGM (based on the Hirshfeld

144 partition), CM5 charges, and wavefunction analyses were performed in Multiwfn3.7[37].

3. Results and Discussions

3.1. Structure and stability.

We place fullerenes initially ~5 Å away from the phosphorene surface; at least ten orientations for each molecule were considered according to its symmetry. The **Phos−Fullerene** systems form two groups of stable complexes depending on the fullerene size (Fig. 1a): i) covalent and ii) non-covalent complexes. C_{24} to C_{44} fullerenes form covalent complexes with phosphorene *via* chemisorption, showing intermolecular distances of $d_{inter} \approx 2.0–2.2$ Å; while C_{50} to C_{70} fullerenes form non-covalent complexes *via* physisorption, showing intermolecular distances of $d_{inter} \approx 2.6–2.8$ Å (Fig. 1b).
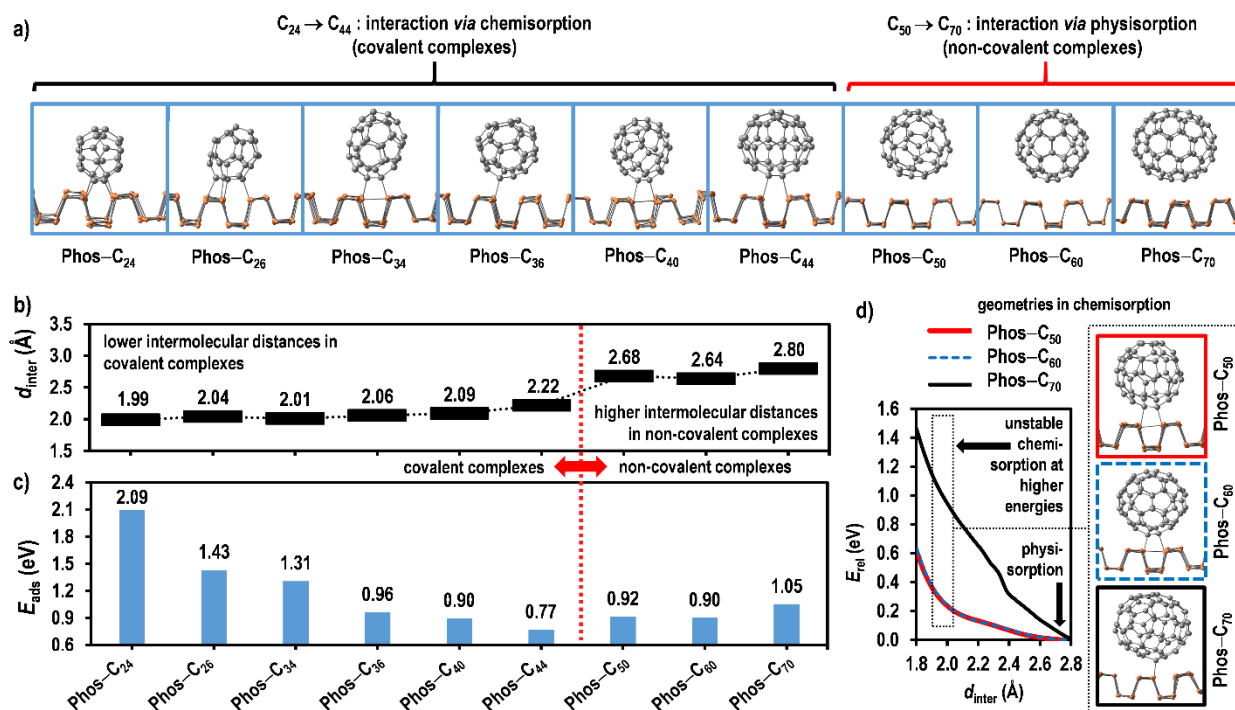

Fig. 1. Properties of **Phos−Fullerene** complexes: a) Ground state structures. b) Average intermolecular distances (d_{inter}, in Å). c) Adsorption energies of ground states (E_{ads}, in eV). d) Potential energy surface for the formation of covalent complexes at shorter intermolecular distances; the energy of the physisorbed state is set to zero.

159   In the case of the covalent complexes, the adsorption stability decreases as the size of

160   fullerenes increases. C_{24}, C_{26}, and C_{34} form highly stable complexes, reaching positive adsorption

161   energies of ~2.1, 1.4, and 1.3 eV, respectively (favorable adsorption, Fig. 1c). Interestingly,

162   **Phos−C$_{24}$** and **Phos−C$_{26}$** complexes form a [4+4]-cycloaddition bonding, which compares to

163   prototype graphene–fullerene hybrids called graphene nanobuds, where covalently bonded

164   fullerenes or fusing fragmented C_{60} are designed onto a graphene monolayer *via* cycloaddition

165   reactions[38-39]. Otherwise, C_{36}, C_{40}, and C_{44} reach relative medium stability upon adsorption, with

166   positive adsorption energies of up to 0.9 eV (favorable adsorption). Structurally, C_{34}, C_{36}, C_{40}, and

167   C_{44} fuse to phosphorene through cycloaddition of up [2+2] order, and adsorption energy decreases

168   up to 67% compared to **Phos−C$_{24}$** and **Phos−C$_{26}$**.

169   On the other side, the C_{50}, C_{60}, and C_{70} fullerenes are physisorbed onto phosphorene with

170   positive adsorption energies of up to 1.0 eV, denoting stable adsorption that compares to the

171   stability reached by C_{36} and C_{40} *via* chemisorption (Fig. 1c). Note also adsorbed fullerenes could

172   be further stabilized by neighboring molecules onto phosphorene through strong molecule-

173   molecule interactions, arising two-dimensional self-assembly in the physisorption regime as

174   reported for C_{60} adsorption[19]. Compared to our results, periodic vdW-KBM calculations and

175   molecular dynamics simulations have reported non-covalent **Phos−C$_{60}$** complexes with E_{ads} values

176   of ~0.7 and ~−1.0 eV, respectively[19, 23]; then, our results agree with previous reports. Additionally,

177   UV-VIS-NIR absorbance, temperature scanning tunneling microscopy, and scanning tunneling

178   spectroscopy measurements indicate that C60 molecules physically adsorb on phosphorene, where

179   desorption occurs with annealing 400 K[20-21]. As can be seen, E_{ads}=~0.9 eV for **Phos−C$_{60}$**

180   complexes agrees with the experimental favorable non-covalent adsorption of fullerenes on

181   phosphorene-based materials. Furthermore, the C_{60} adsorption stability increases at least 30%

182   compared to related 2D materials such as graphene, where $E_{ads} \approx 0.7$ eV is reported for

183   Graphene−C_{60} non-covalent complexes[40-44]. Therefore, phosphorene serves as excellent support

184   for fullerenes to form new hybrid nanostructures, ensuring a stable interaction.

185   We also compute the potential energy surface (PES) of non-covalent complexes to search

186   for possible states where chemisorption occurs (Fig. 1d). PES begins at intermolecular distances

187   of $d_{inter} \approx 2.8$ Å, where the physisorption state is set to zero. The non-covalent complexes turn into

188   covalent complexes at $d_{inter} \approx 2.0$ Å, reaching [2+2]-cycloaddition configurations. Nevertheless,

189   covalently bonded states do not reach saddle points in the PES, even without energy barriers. The

190   latter indicates that PES is repulsive for $d_{inter} < 2.8$ Å, denoting chemisorption is unstable for

191   **Phos−C₅₀**, **Phos−C₆₀**, and **Phos−C₇₀** complexes. In other words, covalently bonded metastable

192   states will have a short lifetime and poor stability due to the lack of dissociation barrier to reach

193   the non-covalent states. This behavior is different from graphene and carbon nanotubes, where C_{60}

194   fullerenes reach highly stable chemisorbed states at room temperature due to the relatively high

195   dissociation barrier to reach the physisorbed states ($\Delta E^{\neq} > 0.7$ eV)[39, 45]. The absence of an energy

196   barrier to reach chemisorbed states is a consequence of phosphorene nonplanarity due to its shape

197   of structural ridges; in contrast, the chemical Graphene−C_{60} attachment requires a high energy

198   barrier due to the lack of local puckering in the graphene structure[39, 41].

199   Regarding stability in solvent media, almost all **Phos−Fullerene** complexes) show high

200   stability in different solvents, independent of the solvent polarity (Fig. 2). In this way, solvation

201   energies cause a slight penalty in the adsorption energies (~30%). The exception is the **Phos−C₄₄**

202   and **Phos−C₇₀** complexes, whose stability is decreased up to 96% in high/medium polar solvents
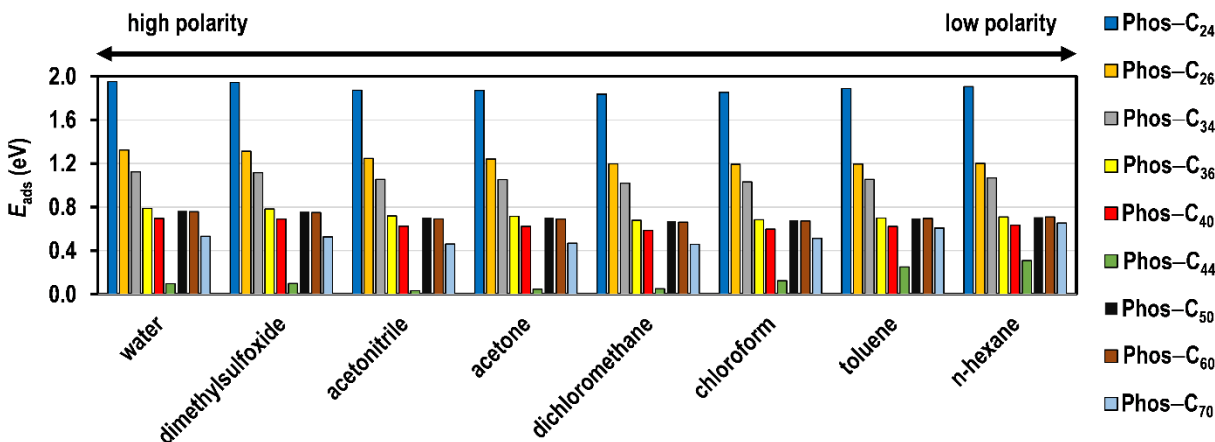
Fig. 2. Adsorption energies of the **Phos−Fullerene** complexes in solvents (E_{ads}, in eV).

203   due to differences in solute-solvent polarity with respect to the free fragments. Consequently,

204   solvents with low dielectric constants would be adequate for **Phos−C$_{44}$** and **Phos−C$_{70}$** dilution,

205   e.g., toluene and n-hexane. Another key point to emphasize, phosphorene can be sensitive and

206   oxidized underwater and oxygen conditions[46]. However, phosphorene oxidation does not imply

207   that fullerene adsorption will be hindered, and new synthetic techniques are developed to improve

208   the stability of phosphorene layered materials for several applications[47-48]. Despite the latter, recent

209   reports highlight the physisorption of C$_{60}$ during an assembly at the air-water interface contribute

210   to protecting phosphorene thin films from oxidation and inhibiting the overlapping stacking or

211   agglomeration of phosphorene nanosheets in solvents[20].

212   **3.2. Electronic properties.**

213   Relevant electronic properties of the **Phos−Fullerene** complexes are displayed in Table 1.

214   In terms of charge distribution, all systems show electron transfer in the Phos→Fullerene direction.

215   The negative charge on fullerenes after interaction (Q_{full}) is of the order of ∼−0.1 to −0.3|e| for

216   covalently bonded fullerenes (C$_{24}$−C$_{44}$), while physisorbed fullerenes gain up to ∼0.1|e| (C$_{50}$−C$_{70}$).

217   Consequently, carbon fullerenes act as mild *p*-dopants for phosphorene, introducing up to ∼0.3

10

218   holes/molecule on the substrate. Moreover, carbon, boron, boron nitride, and silicon carbide

219   fullerenes have also behaved as *p*-dopants upon adsorption on related 2D materials such as pristine

220   graphene and metal-doped graphene[40, 42, 49-50].

221   The electron transfer in the Phos→Fullerene direction for all the complexes is consistent with

222   the chemical potential (μ) of the fragments (Table 1): μ characterizes the response of the system

223   energy with respect to changes in the electron number, thus related to the electronegativity χ

224   through $\mu=-\chi$[51]. Hence, electrons move from the system with high chemical potential

225   (phosphorene, μ=-4.4 eV) to the one with low chemical potential (fullerenes, μ=-4.7 to -5.3 eV)

226   for establishing new electronic equilibrium. Further, we found the low energy of the HOMO level

227   of fullerenes avoids the electrons to flow from the HOMO of fullerenes to the phosphorene

228   conduction band. In the same fashion, Wang and co-workers found *via* photoelectron spectra

229   measurements that electrons are transferred from phosphorene to C_{60}, where it is experimentally

Table 1. Electronic properties of the Phos−Fullerene systems: CM5 charge of fullerenes (Q_{Full}, in |*e*|); Chemical potential (μ, in eV); Molecular dipole moment (μ_D, in Debye); Isotropic first electric dipole polarizabilities (α, in $1\cdot10^{-22}$ esu); HOMO and LUMO energies, and HOMO-LUMO energy difference (ε_{HOMO}, ε_{LUMO}, and Δ_{HL}, respectively, in eV); Percentage of change of Δ_{HL} with respect to free phosphorene (%$\Delta\Delta_{HL}$, in %).

Systems	Q_{full}	μ	μ_D	α	Δ_{HL}	%$\Delta\Delta_{HL}$
Phos−C$_{24}$	-0.08	-5.0[a]	0.73	6.47 (0.30)[c]	0.49 (0.42)[d]	61
Phos−C$_{26}$	-0.28	-5.1[a]	4.34	6.60 (0.33)[c]	0.28 (0.40)[d]	78
Phos−C$_{34}$	-0.10	-5.0[a]	1.88	6.62 (0.43)[c]	0.35 (0.47)[d]	73
Phos−C$_{36}$	-0.21	-4.7[a]	3.16	6.66 (0.45)[c]	0.31 (0.41)[d]	75
Phos−C$_{40}$	-0.12	-5.1[a]	1.17	6.65 (0.49)[c]	0.57 (0.85)[d]	56
Phos−C$_{44}$	-0.14	-5.3[a]	1.48	6.69 (0.55)[c]	0.45 (0.75)[d]	64
Phos−C$_{50}$	-0.07	-5.2[a]	1.16	6.81 (0.66)[c]	0.76 (1.32)[d]	40
Phos−C$_{60}$	-0.06	-5.0[a]	0.86	6.88 (0.76)[c]	1.06 (1.65)[d]	17
Phos−C$_{70}$	-0.03	-5.0[a]	0.47	7.01 (0.95)[c]	1.10 (1.70)[d]	14
Phos	-	-4.4[b]	-	6.17	1.27	

[a]μ values of free fullerenes. [b]μ value of free phosphorene. μ is obtained as $\mu=(\varepsilon_{HOMO}+\varepsilon_{LUMO})/2$, where ε_{HOMO} and ε_{LUMO} are the eigenvalues of the HOMO and LUMO, respectively. [c]Polarizability of free fullerenes. [d]Δ_{HL} of free fullerenes.

230   noted that work function of C_{60} (*WF*≈5.7 eV) is considerably higher than the ionization potential

231   of phosphorene (*IP*≈4.2 eV). Note that in the Koopmans' Theorem scheme, *WF*≈*IP*≈−ε_{HOMO}; thus,

232   the HOMO level of C_{60} must be lower in energy concerning the Fermi level of phosphorene[22], in

233   agreement with our results.

234   As well the sites accumulating electrons after interaction were studied by the electron

235   density difference [$\Delta\rho(r)$], where yellow and red regions denote accumulation and depletion of

236   electron density, respectively (Fig. 3a-c). For the covalent complexes (e.g., **Phos−C₂₄** and

237   **Phos−C₄₀**, Fig. 3a-b), there is a strong electron accumulation at the bonded carbon atoms of

238   fullerenes, which is also accumulated in their surrounding C–C bonds. While electron depletion

239   mainly comes from the interacting $3p$ lone-pair orbitals of P atoms in the substrate. These results

240   suggest the holes remain localized in specific sites of the phosphorene after electron transfer and

241   in the absence of external bias potential. The non-covalent complexes (e.g., **Phos−C₆₀**, Fig. 3c)
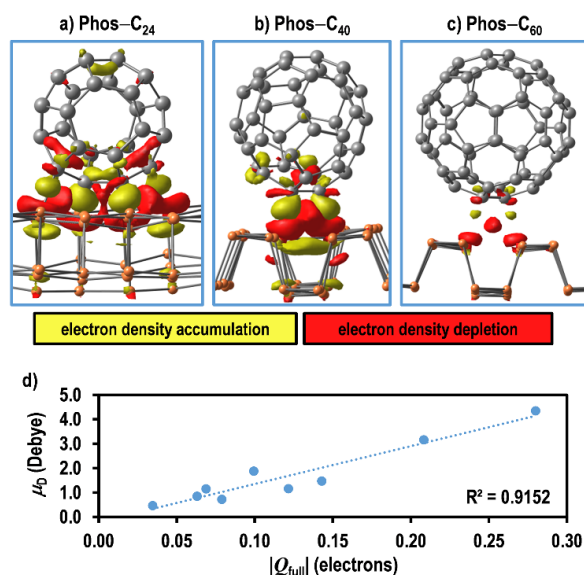

Fig. 3. a-c) Electron density difference [$\Delta\rho(r)$] of representative **Phos−Fullerene** complexes. Yellow and red densities stand for accumulation or depletion of electron density after the interaction, respectively. d) Dipole moment (μ_D) vs magnitude of fullerene charge ($|Q_{Full}|$) of all the **Phos−Fullerene** complexes.

242 displays an analogous $\Delta\rho(r)$ pattern but with a low magnitude due to the weak electron-transfer.

243 The electron density rearrangements also induce dipole moments in the range of ~0.5–4.3 Debye

244 (μ_D, Table 1); note all free systems are non-polarized in their free states ($\mu_D \approx 0$). The induced dipole

245 moments show a directly proportional correlation to the charge transfer magnitude (Fig. 3d). Also,

246 dipole polarizability α of the complexes increases compared to free phosphorene ($\alpha > 6.47 \cdot 10^{-22}$

247 esu vs. $6.17 \cdot 10^{-22}$ esu, Table 1), denoting an improving ability to acquire an electric dipole moment

248 in proportion to external perturbations such as an electric field. Likewise, the polarizability of

249 complexes increases as the polarizability of free fullerenes increases, which is directly proportional

250 to the electron density volume of fullerenes.

251   Considering that electron transfer could be responsible for changes in the substrate energy

252 levels because of the charge doping, we analyze the bandgap of the systems (Table 1). The HOMO-

253 LUMO energy difference (Δ_{HL}) of intrinsic phosphorene is ~1.3 eV, denoting its semiconductor

254 character as reported from previous first-principles calculations (~1.4–1.5 eV)[52-53]. Conversely,

255 carbon fullerenes show Δ_{HL} values in the range of 0.4 to 1.7 eV, which increase as their size

256 increases (see parenthesis in Table 1). Upon interaction, Δ_{HL} values of **Phos−Fullerene**

257 nanostructures decrease up to ~1.0 eV (78%) with respect to intrinsic phosphorene, this is

258 increasing the metallic character. The covalent adsorption of fullerenes causes a larger decrease in

259 the bandgap (56–78%). Nevertheless, we can establish a more general relation:

260 ▪ Small energy-bandgap fullerenes ($\Delta_{HL} < 0.8$ eV, typified by C_{24} to C_{50}) largely increase the

261   phosphorene metallic character.

262 ▪ Medium energy bandgap fullerenes ($\Delta_{HL} > 0.8$ eV, typified by C_{50} to C_{70}, and possibly the

263   bigger ones) slightly increase the phosphorene metallic character.

264   In the final analysis, deposition of small fullerenes could be an efficient strategy for

265   modulation of phosphorene bandgap *via* molecular doping, i.e., increasing its metallic character.

266   The bandgap modulation mechanism is mainly due to LUMO stabilization and HOMO

267   destabilization for **Phos−C$_{24}$** to **Phos−C$_{40}$** complexes because of the high charge transfer (Fig. 4).

268   In the case of complexes **Phos−C$_{44}$** to **Phos−C$_{70}$**, the bandgap decreasing emerges due to

269   stabilization of the LUMO level of phosphorene. Considering related 2D materials such as

270   graphene, the fullerene adsorption induces bandgap opening of ~0.3-0.4 eV[54-55], which is due to

271   the stress/strain externally exerted by fullerenes on the substrate structure[56]. Therefore, we can

272   conclude bandgap modulation in phosphorene could result from charge transfer and/or stress-strain

273   effects exerted upon fullerene attachment. About the reliability of these results, periodic DFT

274   calculations have predicted bandgap values of ~1.0 eV for **Phos−C$_{60}$** complexes[23], which agrees

275   with this work (Δ_{HL}=1.1 eV for **Phos−C$_{60}$**). Moreover, we compute bandgap values of ~1.7 eV for

276   C$_{60}$ and C$_{70}$, which agree with those reported via photothermal deflection spectra and temperature-

277   dependent microwave conductivity measurements[57-58]: 1.6-1.8 eV (C$_{60}$) and 1.6 eV (C$_{70}$). The

278   latter ensures the reliability of the employed theoretical methodology.
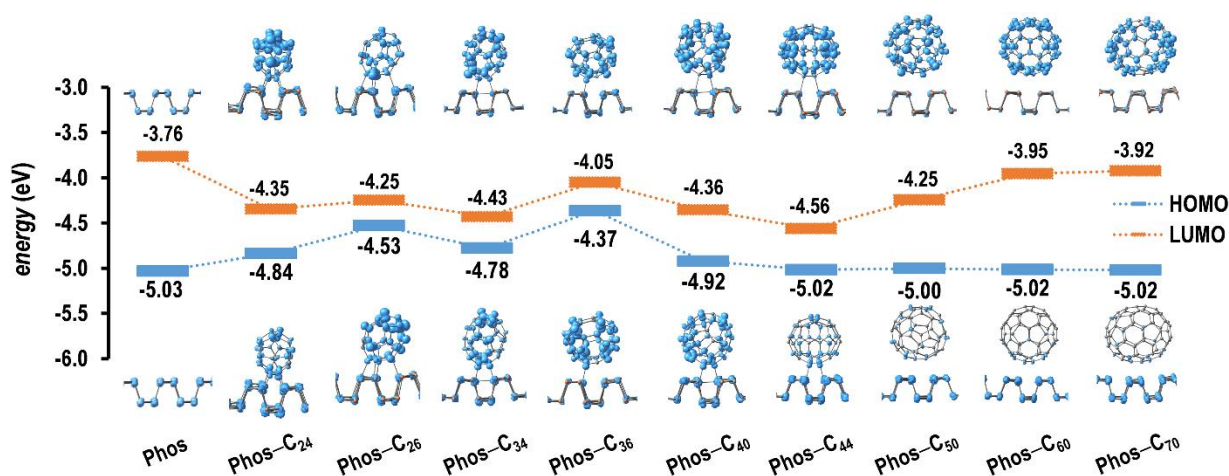

Fig. 4. HOMO and LUMO energies of Phos−Fullerene complexes. HOMO and LUMO densities are displayed below and above, respectively; isosurface value of 0.003 a.u.

279   Regarding the topology of frontier molecular orbitals in the complexes (Fig. 4), covalent

280   complexes show the HOMO located in both phosphorene and fullerene moieties due to the orbital

281   interactions. However, the fullerene contribution to the HOMO decreases as the chemical bonding

282   character decreases until it almost disappears in non-covalent complexes. Conversely, LUMO is

283   almost entirely located in fullerene for all the complexes. Thus, fullerenes act as active sites for

284   orbital-controlled interactions, mainly retaining their donor/acceptor properties when chemisorbed

285   on phosphorene. While fullerene mainly will act as acceptor sites when physisorbed on

286   phosphorene.

3.3. Interaction mechanism.

288   We provide a quantitative and readily physical interpretation of the adsorption mechanism

289   by examining the specific role of the stabilizing effects into the adsorption energies and employing

290   the EDA method. The attention is focused on the stabilizing contributions of Eq. (2) (ΔE_{CT}, ΔE_{POL},

291   ΔE_{ELEC}, ΔE_{DISP}) because destabilizing terms were up to 95% only due to Pauli repulsion (ΔE_{PAULI}).

292   For a standardized interpretation among systems, relative single percentage contributions of EDA

293   terms are discussed (%ΔE_i, Fig. 5a).

294   First, the stability of covalent complexes (**Phos$-$C$_{24}$** to **Phos$-$C$_{44}$**) emerges from the

295   charge-transfer term (ΔE_{CT}) because of the strong orbital interactions with a contribution of ~38-

296   48% to the stabilizing energy (Fig. 5a), agreeing with the high magnitude of electron transfer in

297   the covalent complexes (Table 1). The second highest contribution to the stability emerges from

298   polarization effects as expected in short-range orbital interactions (ΔE_{POL}, up to 32%). The latter

299   denotes strong intramolecular charge rearrangements due to the on-fragment relaxation of each

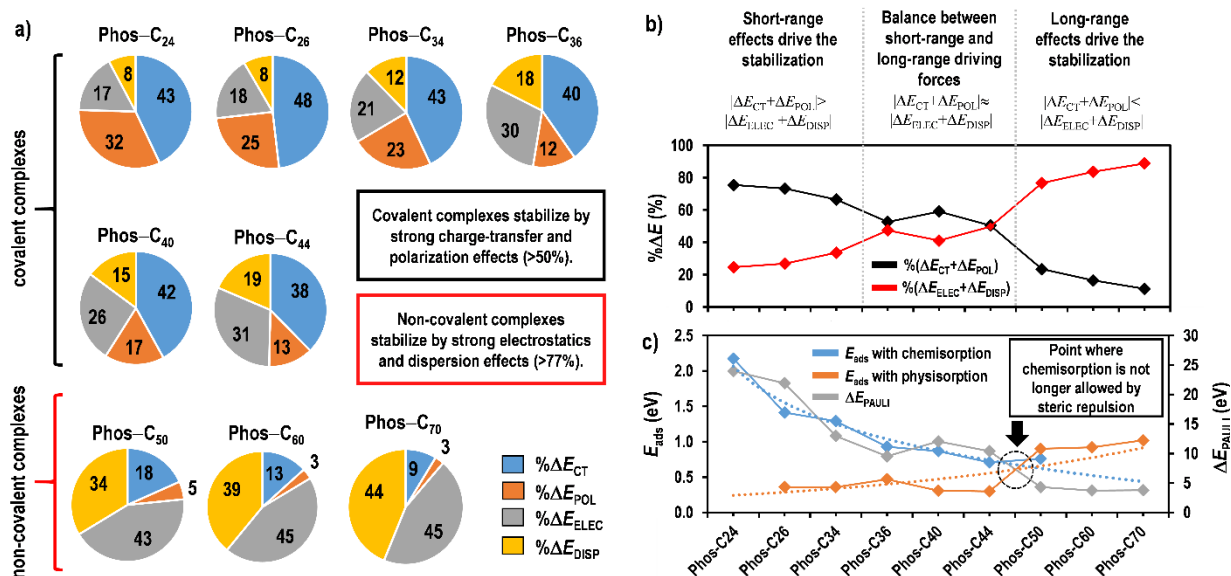300   species to the presence of the nuclei and electrons of all other fragments. Then, covalent bonding

15

Fig. 5. Energy decomposition analyses: **a)** Relative single percentage contributions ($\%\Delta E_i$, in %) of stabilizing terms. **b)** Comparison between combined percentual contributions of short-range ($\Delta E_{CT}+\Delta E_{POL}$) and long-range ($\Delta E_{ELEC}+\Delta E_{DISP}$) terms. **c)** Adsorption energies (E_{ads}, in eV) upon chemisorption and physisorption vs. steric repulsion energy (ΔE_{PAULI}, in eV); a physisorption configuration cannot be obtained for the **Phos−C$_{24}$** complex; while **Phos−C$_{50}$, Phos−C$_{60}$,** and **Phos−C$_{70}$** only shows stable physisorption configurations.

301   is demonstrated from the physical viewpoint. It is worth mentioning that an obvious correlation

302   between the number of transferred electrons and ΔE_{CT} magnitude cannot be established because

303   ΔE_{CT} term also accounts for energy lowering associate with intramolecular electron flow, allowing

304   to delocalize electrons over the complex. The latter explains why the ΔE_{CT} contribution is high in

305   **Phos−C$_{24}$** (43%) in spite that the whole intermolecular charge transfer is low ($\sim 0.08|e|$, Table 1),

306   which could be caused by strong intrafragment electron density rearrangements and bond

307   polarization in the [4+4]-cycloaddition as noted from the high ΔE_{POL} contribution (32%).

308   The AIM analysis allows assessing the binding mechanism from the values of electron

309   density (ρ_I) at intermolecular bond critical points [BCPs, points in space at which the first

310   derivatives of the electron density vanish $\nabla\rho(r)=0$, Fig. 6]. Accordingly, the covalent complexes
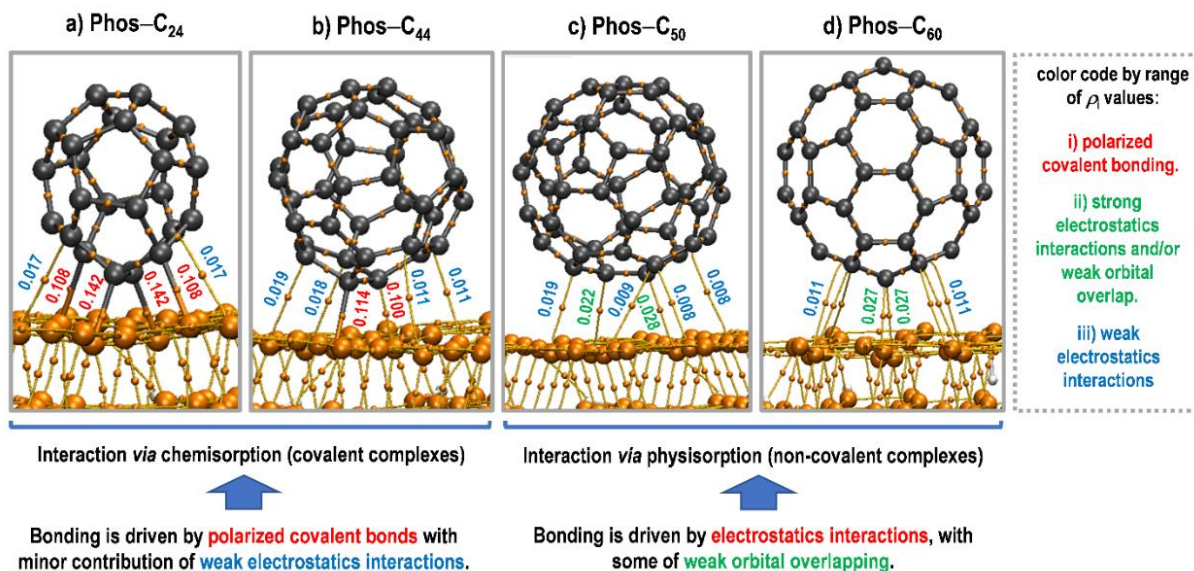
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Fig. 6. Electron density at the bond critical points of intermolecular interactions (ρ_I, in e/Bohr^3) for representative Phos−Fullerene complexes.

reveal short-range intermolecular C−P bonding with ρ_I values of $\rho_I \approx 0.10-0.14$ e/Bohr^3, which associate to polarized covalent bonding by sharing electrons (red numbers in Fig. 6a-b). Natural bond orbital analyses (NBO) reveal at least 60% of the C−P bond density is polarized to fullerene carbon atoms due to their strong acceptor character. Therefore, the polarized covalent bonding in covalent complexes agrees with the high ΔE_{CT} and ΔE_{POL} contributions. In the molecular orbital picture, the bonding occurs when interacting carbon atoms of fullerenes hybridize from $sp^2 \rightarrow sp^3$, forming σC−P polarized covalent bonds with the low-occupied lone-pair $3p$ orbitals of phosphorus atoms in the substrate. Additionally, BCPs appear at long-range C−P interactions ($\rho_I \leq 0.02$ e/Bohr^3), which are associated with weak electrostatic interactions (blue numbers in Fig. 6a-b). In this regard, EDA shows the electrostatic term (ΔE_{ELEC}) reaches the third largest contribution to the stability (17-31%). The electrostatic contribution emerges from the electron-sufficient carbon atoms of fullerenes, which acquire a negative charge that electrostatically attracts the electron-

323   deficient phosphorous atoms of phosphorene as noted from electron density rearrangements [see

324   the $\Delta\rho(r)$ surface, Fig. 3a-c].

325   It is necessary to note ΔE_{CT} and ΔE_{POL} contributions mainly decay as the intermolecular

326   distances increase due to the connection to orbital overlap, becoming ΔE_{CT} and ΔE_{POL} mostly

327   short-range terms. In that case, the combined contribution of short-range terms defines two classes

328   of covalent complexes (Fig. 5b):

329   i.   Members of the first class (typified by **Phos−C$_{24}$, Phos−C$_{26}$, Phos−C$_{34}$**) have short-range

330   terms influencing the magnitude of adsorption energies, which highly contribute compared

331   to long-range terms (electrostatics and dispersion): $\Delta E_{CT}+\Delta E_{POL}>\Delta E_{ELEC}+\Delta E_{DISP}$.

332   ii.   The second class of covalent complexes is represented by **Phos−C$_{36}$, Phos−C$_{40}$,** and

333   **Phos−C$_{44}$**, where a balanced contribution of long-range and short-range driving forces

334   determine the magnitude of adsorption energies: $\Delta E_{CT}+\Delta E_{POL}\approx\Delta E_{ELEC}+\Delta E_{DISP}$.

335   In the framework of steric effects, we can establish that a relatively larger volume of

336   fullerenes (C$_{36}$, C$_{40}$, C$_{44}$) would cause a larger steric repulsion at shorter intermolecular distances

337   in the second class of complexes. In this manner, intermolecular distances slightly increase

338   ($d_{inter}\approx2.1–2.2$ Å) to compensate for the volume exclusion effects when fragments are brought into

339   close interaction. As a result, the strong stabilization gained by short-range contributions decay

340   and weak long-range E_{ELEC} and ΔE_{DISP} effects compensate the steric destabilization; consequently,

341   lower adsorption energies are reached compared to the first class of covalent complexes (Fig. 1b).

342   Focusing now on non-covalent complexes (**Phos−C$_{50}$, Phos−C$_{60}$,** and **Phos−C$_{70}$**), the

343   stabilizing part of the adsorption energy mainly arises from permanent Coulombic electrostatic

344   and dispersion driving forces ($\Delta E_{ELEC}+\Delta E_{DISP}$, up to 90%, Fig. 5a). In this regard, the minimization

345   of dispersion and electrostatic interactions also plays a key role in forming organic molecular

346   crystals, phthalocyanine self-assemblies, and DNA nucleobase patterns onto phosphorene-based

347   substrates, standing for ~85% of the stability[19, 59-61]. It can be pointed out although phosphorene is

348   not a π-electron system, it behaves with a similar attractive ability than graphene or carbon

349   nanotubes to bind aromatic molecules, where fullerenes adsorb by π-π stacking[39-45]. In this regard,

350   the 3D δg^{inter} isosurface representation of weak intermolecular interactions is visually displayed

351   for **Phos−C$_{60}$** complex as a representative case and compared against **Graphene−C$_{60}$** (Fig. 7a).

352   The weak interaction pattern shows a similar shape in both **Phos−C$_{60}$** and **Graphene−C$_{60}$**, so

353   dispersion forces (green regions) play a key role in both systems. This ability arises because of the

354   larger amount of $3p$ lone pair electrons in the phosphorene upper lattice, which can correlate with

355   the π-electrons of aromatic molecules and cause transitory induced dipoles responsible for

356   dispersion forces[61].

357   Additionally, δg^{inter} pattern shows that polar interactions (blue regions) have a higher

358   intensity on phosphorene compared to graphene, denoting the major role of electrostatic driving
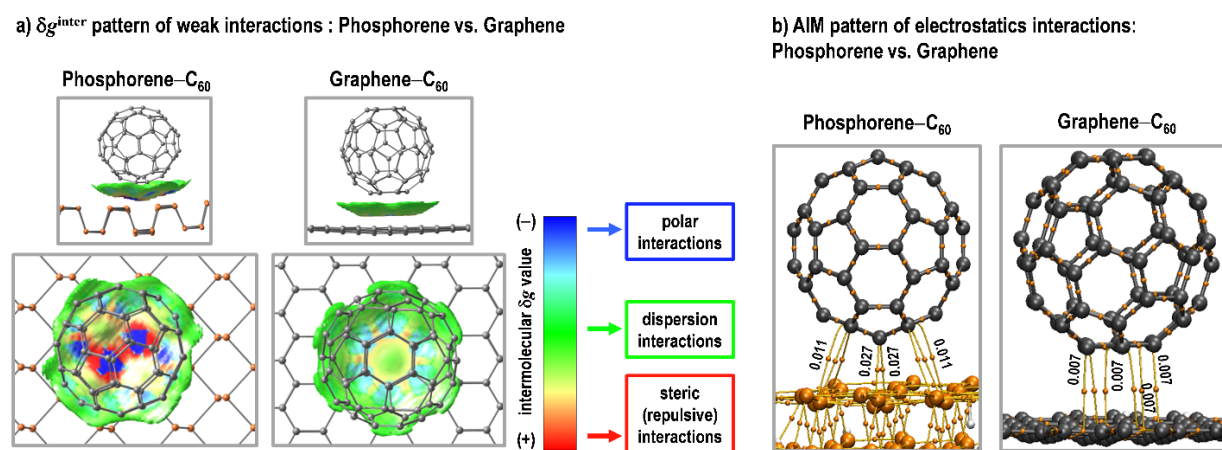

Fig. 7. Properties of **Phosphorene−C$_{60}$** vs. **Graphene−C$_{60}$** nanostructures: a) δg^{inter} isosurface of weak interactions; b) Electron density at the bond critical points (ρ_i, in e/Bohr^3).

19

359   forces for C_{60} stabilization onto phosphorene (Fig. 7a). According to AIM analyses, non-covalent

360   complexes displays two type of electrostatic interactions (Fig. 6c-d): **i)** weak electrostatics

361   interactions at longer distances, typified by $\rho_i \leq 0.02$ e/Bohr^3 (blue numbers); **ii)** strong electrostatic

362   interactions, typified by $\rho_i \approx 0.02$-0.03 e/Bohr^3 (green numbers). The NBO analysis also reveals

363   strong electrostatics interactions involve a weak orbital overlapping due to electron donation from

364   phosphorene $3p$ lone pairs towards low-occupied π*C–C orbitals of fullerenes, while fullerenes

365   show back-donation from single-occupied $2p$ orbitals towards unoccupied σ*P–P bonds of the

366   substrate. When compared against the **Graphene−C$_{60}$** complex, AIM analyses also show

367   electrostatics interactions are favored in the **Phos−C$_{60}$** case with lower electron density values for

368   the former ($\rho_i \leq 0.01$ e/Bohr^3, Fig. 7b). These results clarify how electrostatics driving forces favor

369   the adsorption onto phosphorene with respect to related 2D materials. For instance, the **Phos−C$_{60}$**

370   system shows ΔE_{ELEC} and ΔE_{DISP} contributions of 45 and 39%, respectively (major contribution

371   of electrostatics, Fig. 5a); while the **Graphene−C$_{60}$** system is reported with ΔE_{ELEC} and ΔE_{DISP}

372   contributions of 33 and 67%, respectively (major contribution of dispersion)[40].

373   Otherwise, ΔE_{CT} and ΔE_{POL} terms show low stabilizing contributions in the non-covalent

374   complexes because they are mainly short-range terms. Specifically, ΔE_{CT} shows a slightly larger

375   contribution than ΔE_{POL}, with a contribution of up to 18% (**Phos−C$_{50}$**). ΔE_{CT} contribution in these

376   cases relates to the donor-acceptor orbital interactions as noted above: donation

377    $3p$(**Phos**)$\rightarrow$$\pi$*C–C(**Fullerene**), and back-donation $2p$(**Fullerene**)$\rightarrow$$\sigma$*P–P(**Phos**). In this way,

378   ΔE_{CT} contributions decrease as the number of transferred electrons decreases.

379   Additionally, the choice of either covalent or non-covalent configurations can also be

380   elucidated based on steric effects. Fig. 5c displays the adsorption energies and considering

381   chemisorption and physisorption adsorption configurations when possible. First, complexes

382   **Phos−C$_{24}$**   to   **Phos−C$_{40}$**   prefer   to   bind   by   covalent   bonding,   i.e.,

383   E_{ads}(chemisorption)>E_{ads}(physisorption); consequently, although covalent complexes can reach a

384   stable physisorption state, the chemisorption will be thermodynamically preferred. Also, Fig. 4c

385   displays the Pauli repulsion energy (ΔE_{PAULI}) in the most stable adsorption configurations.

386   Accordingly, covalent complexes show high ΔE_{PAULI} values, but the charge-transfer and

387   polarization effects overcompensate the Pauli repulsion ($\Delta E_{CT}+\Delta E_{POL}>\Delta E_{PAULI}$), leading to stable

388   adsorption. Note that as the fullerene size increases, the intermolecular distance increases to

389   compensate for the repulsion energy. The energy penalty emerges as kinetic energy pressure

390   exerted by electrons when atoms are brought into close interaction[34]. As a result, the ΔE_{PAULI} term

391   decreases as the adsorption energy decreases until the **Phos−C$_{44}$** complex. In other words, we

392   found at this point the fullerene size at which chemisorption is no longer allowed, which is set

393   between C$_{44}$ and C$_{50}$ (Fig. 5c). This limit arises because the intermolecular separations must

394   increase to decrease the repulsion energy; consequently, physisorption is a stable state at longer

395   intermolecular distances for bigger fullerenes (C$_{50}$, C$_{60}$, and C$_{70}$). Therefore, ΔE_{PAULI} is the primary

396   driving force that compensates all the stabilizing effects to establish the energetically optimal

397   intermolecular separation between phosphorene and fullerenes.

398   Finally, destabilizing terms mainly arise from Pauli repulsion; however, the covalent

399   complexes involve geometrical preparation energies ΔE_{PREP} due to the required orbital

400   hybridization $sp^2 \rightarrow sp^3$ of fullerenes and rearrangements of phosphorene sublattices, which equals

401   to contributions of 10−16% to the destabilizing energy. The root-mean-square deviation RMSD of

402   the fullerene geometries in the complex ranges from 0.04 to 0.94 Å with respect to the free

403 molecules, which increase with the order of cycloaddition reaction. Although there is an energy

404 penalty due to weak structural changes to reach the covalent bonding, the low RMSD values show

405 that the electronic/structural rearrangements are low-scale and are not prohibited.

406 **3.4 Outlook of Phos−Fullerene nanostructures.**

407   This work provides a first pivotal stepping-stone to exploring the immense possibilities of

408 the proposed nanostructures in future theoretical/experimental studies. All analyses considered, in

409 addition to comparisons with related hybrid structures, show some interesting potential uses for

410 **Phos−Fullerene** nanostructures. For instance, covalent **Phos−Fullerene** complexes display a

411 graphene nanobud-like structure and strong electron transfer in the Phos→Fullerene direction. In

412 this regard, graphene nanobuds have shown potential uses in energy and gas storage devices with

413 high stability at room temperature, new spintronics, position sensing, and graphene band structure

414 engineering[38-39, 54, 62]. Furthermore, Li adsorption was enhanced for graphene–C_{60} nanobuds by the

415 high electron affinity of C_{60} and the charge transfer from graphene to C_{60}[55, 63]. Accordingly, the

416 strong charge transfer in the Phos→Fullerene direction turns covalent complexes into remarkable

417 candidates to be studied as novel storage and anode materials in Li/Na-ion battery applications.

418   Additionally, orbital analyses show that fullerenes act as active sites for orbital-controlled

419 interactions, mainly retaining their donor/acceptor properties when chemisorbed on phosphorene.

420 While fullerene mainly will act as acceptor sites when physisorbed on phosphorene. Also,

421 fullerenes increase the metallic character of phosphorene. The latter suggest fullerenes could act

422 as signal amplifiers for specific redox applications considering that phosphorene-based materials

423 have shown interesting electrochemical performance as electrode materials. Deposited

424 mesoporous and organic molecules have caused effective signal amplification on phosphorene-

425 based sensors to determine prostate-specific antigen, hemoglobin, clenbuterol, and polychlorinated

426 biphenyls, reaching an excellent specificity, chemical stability, low detection limits, and

427 reproducibility[64-66]. The electrochemical amplification mechanism emerges from the good charge

428 transfer in the hybrid material, an enhanced electrical conductivity of the electrode, and the

429 excellent electrocatalytic activity of the deposited molecules upon adsorption[65]. Therefore, our

430 results open avenues for the study of **Phos−Fullerene** hybrids in electrochemical biosensing.

431   On the other hand, charge-doping and bandgap changes reveal phosphorene conductance

432 can be tailored by deposition of fullerenes. Since the bandgap value is directly proportional to the

433 conductance ($\sigma \propto \Delta_{HL}/kT$, where k is the Boltzmann constant and T the temperature), our results

434 define a framework to explore the charge-transport and optoelectronic response of **Phos-Fullerene**

435 nanostructures. As an illustration, the increased charge transport of graphene due to C_{60}

436 deposition[54-55], and the strong electron-accepting characteristics of C_{60} causing a hole-doped nature

437 in graphene, lead to hybrid structures allowing photogenerated carriers for high-performance

438 photodetector devices[50]. It is also noticed that a larger electron transfer in the Phos→Fullerene

439 direction is responsible for lower bandgap values, resulting in a higher conductance. In this way,

440 functionalized fullerenes with strong acceptor functional groups could be implemented to

441 maximize the electron transfer and charge transport.

442   Furthermore, small bandgaps would enable UV-Vis radiation absorption by

443 Phos−Fullerene nanostructures as required for optoelectronic applications. In fullerene-based

444 polymer solar cells, phosphorene has enabled a strong absorption between 300-800 nm and better

445 energy alignment in the device layers, which is significantly beneficial to the charge transfer,

446 exciton dissociation, and reduce charge recombination, consequently enhancing the power

447 conversion efficiency[18]. In addition, C_{60} allowed absorption in the visible range for mm-scale

448 heterojunction phosphorene-based photodetectors, where synergistic effects enable high

449 photoresponse from the visible to near-infrared spectrum[20]. Accordingly, synergistic properties of

450 **Phos−Fullerene** complexes could inspire experimental/theoretical studies on its uses for future

451 generation solar energy harvesters or as active layers within photodetector devices. To support

452 these points, we verified the UV-Vis spectrum of the proposed nanostructures in n-hexane as a

453 solvent commonly implemented to study the fullerene absorbance (Fig. 8). Intrinsic phosphorene

454 shows a wide absorbance from the infrared, which increases in intensity below 500 nm as recorded

455 from UV–vis spectrophotometry[67]. In comparison, most free fullerenes absorb high molar

456 absorption coefficients at the UV region below $\lambda_{abs}<400$ nm (Fig. 5a). **Phos−Fullerene**

457 nanostructures almost resemble the absorption spectra of free phosphorene (Fig. 5b), but a

458 remarkably improved absorption at higher frequencies is reached ($\lambda_{abs}\approx400$-500 nm). Then,

459 fullerenes maximize the phosphorene absorbance at the UV-Vis region, denoting the emerging

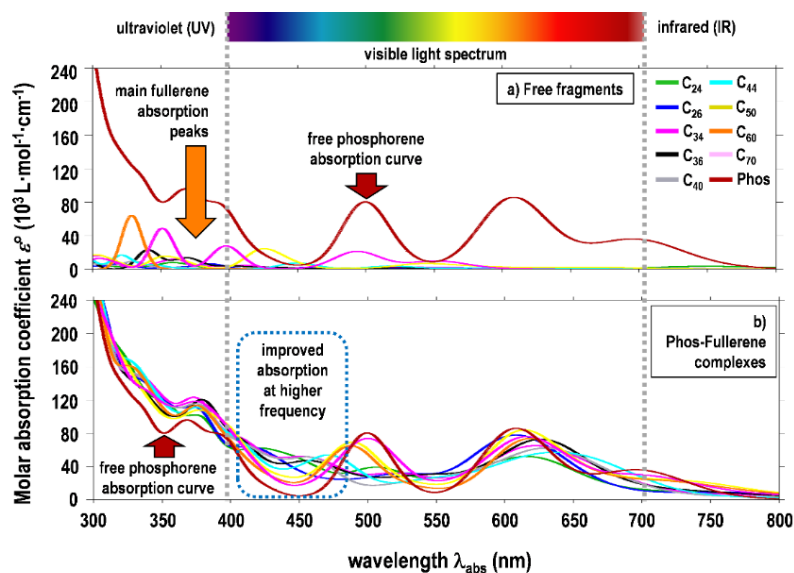460 synergistic effects for optoelectronics and photodetectors.

461

462 **Fig. 8.** Absorption spectra of **a)** free phosphorene and fullerenes; **b)** Phos−Fullerene complexes.

4. Conclusions

We have computationally elucidated the structure, stability, and interaction mechanisms of phosphorene-fullerene nanostructures, which form covalent and non-covalent complexes depending on the fullerene size and outstanding stability compared to related 2D materials, even in solution. Two classes of covalent complexes arise by cycloaddition-like reactions of up to [4+4] order: the first class (C_{24}–C_{34}), where short-range stabilizing effects dominate; and the second one (C_{36}–C_{44}), where short-range effects decay to avoid steric repulsion, and balanced long-range forces favor the stability. High-size fullerenes (C_{50}–C_{70}) form non-covalent complexes due to strong repulsion at shorter intermolecular distances and lack of dissociation barriers. Furthermore, fullerenes act as mild *p*-dopants for phosphorene, increasing its polar character and ability to acquire induced dipole moments (polarizability). Also, small energy-bandgap fullerenes (<0.8 eV) largely increase the phosphorene metallic character. We also note fullerenes retain their donor/acceptor properties upon adsorption, acting as active sites for orbital-controlled interactions and maximizing the phosphorene absorbance at the UV-Vis region. Future work should focus on relevant applications of the proposed nanostructures for storage, anode materials in Li/Na-ion batteries, electrochemical sensing, bandgap engineering, and/or optoelectronics.

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