1	Biomimetic curvature and tension-driven membrane
2	fusion induced by silica nanoparticles
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### 13 Abstract

14 Membrane fusion is a key process to develop new technologies in synthetic biology, where 15 artificial cells function as biomimetic chemical microreactors. Fusion events in living cells are 16 intricate phenomena that require the coordinate action of multicomponent protein complexes. 17 However, simpler synthetic tools to control membrane fusion in artificial cells are highly desirable. 18 Native membrane fusion machinery mediates fusion driving a delicate balance of membrane 19 curvature and tension between two closely apposed membranes. Here we show that silica 20 nanoparticles (SiO<sub>2</sub> NPs) at a size close to the cross-over between tension-driven and curvature-21 driven interaction regimes initiate efficient fusion of biomimetic model membranes. Fusion 22 efficiency and mechanisms are studied by Förster Resonance Energy Transfer (FRET) and 23 confocal fluorescence microscopy. SiO<sub>2</sub> NPs induce a slight increase in lipid packing likely to 24 increase the lateral tension of the membrane. We observe a connection between membrane 25 tension and fusion efficiency. Finally, real-time confocal fluorescence microscopy reveals three 26 distinct mechanistic pathways for membrane fusion. SiO<sub>2</sub> NPs show significant potential for inclusion in the synthetic biology toolkit for membrane remodelling and fusion in artificial cells. 27



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- 29 **Keywords:** Artificial cells, membrane remodelling, bionanotechnology, lipid bilayers, lipid mixing,
- 30 membrane biophysics.

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Membrane fusion is a key communication and transport process in living cells that is highly desirable to replicate in artificial cell systems to control chemical compartmentalisation and trigger targeted chemical processes. The life of cells is largely dependent on membrane fusion processes. Eukaryotic cells require sequential fusion events to transport substances between membrane-bound organelles, to release molecules to the extracellular environment or to incorporate nutrients via endocytosis. <sup>1, 2</sup>

39 Cell-sized giant unilamellar vesicles (GUVs) are common model architectures used in synthetic biology as plasma membrane mimics.<sup>3,4</sup> Due to their ability to reproduce biological 40 41 processes, these minimal protocells are excellent platforms for the study of complex biological processes (*e.g.* membrane fusion) in a simpler context. <sup>5, 6</sup> Furthermore, these artificial systems 42 43 can encapsulate chemical reactions with potential biotechnological applications. <sup>7-10</sup> Therefore, 44 membrane fusion can be exploited to modify the composition of the membrane, the volume, 45 surface area and shape of the vesicle as well as to trigger chemical reactions and complex 46 metabolic cascades by delivering energy sources, enzymes, protein complexes or chemical 47 substrates into the lumen of the artificial cell. <sup>11-13</sup>

48 Mechanistic models for membrane fusion involve a series of sequential intermediate steps. 49 The process begins with the docking of two membranes. This is followed by the destabilisation of 50 the lipids by inducing these membranes to curve towards each other and increasing their local lateral tension. This leads to the hemifusion of the contacting outer leaflets followed by the final 51 formation and expansion of a full fusion pore, which completes the process.<sup>14, 15</sup> In living cells, 52 53 membrane fusion is regulated and catalysed by the coordinated action of protein complexes, among which the SNARE proteins are possibly the best known.<sup>2</sup> However, proteins are not 54 55 essential to trigger membrane fusion of lipid vesicles in vitro. Protein-free membrane fusion can be achieved using other chemical stimuli, including particular membrane compositions, <sup>16, 17</sup> 56 57 membrane-anchored DNA, <sup>18</sup> peptides <sup>19, 20</sup> and multivalent ions <sup>21</sup> or by physical stimuli such as

58 optical tweezers, <sup>22, 23</sup> electric pulses, <sup>24</sup> or local heating by gold nanoparticles. <sup>25</sup> All these fusion 59 strategies (including proteins) share the ability to induce one or more changes in membrane 60 tension, curvature, fluidity, or other biophysical properties of the membrane which can lower the 61 energy barrier to membrane fusion with varying degrees of efficiency.

62 Engineered nanoparticles (NPs) have the ability to interact with lipid membranes. The 63 strength of the NP-membrane interaction is determined by the physicochemical properties of the 64 NPs and the membrane as well as by the properties of the medium where the interaction occurs 65 and, depending on the strength of these interactions, the NPs cause multiple membrane 26 perturbations.<sup>27</sup> For instance, Contini et al. have reported that gold nanoparticles (AuNPs) with a 66 67 diameter equal or below 10 nm undergo cooperative absorption and can form tubular 68 deformations in the membrane while the adsorption and the ability to bend membranes of larger AuNPs is significantly reduced.<sup>28</sup> Another study has shown that gold nanoparticles and silica 69 nanoparticles weakly bound to the membrane can promote the adhesion of GUVs, while stronger 70 binding induces vesicle tubulation and destruction.<sup>29</sup> The ability of NPs to deform membranes 71 72 and facilitate remodelling processes can be exploited in synthetic biology to develop new tools to 73 efficiently trigger and control membrane fusion. An example of a NP-based fusion system has 74 been recently presented by Tahir et al. who designed amphiphilic nanoparticles composed of a 75 gold core functionalized with a mixed monolayer of alkanethiol ligands able to perform calcium-76 triggered membrane fusion <sup>30</sup>.

In this work, we introduce silica nanoparticles  $(SiO_2 NPs)$  as a potential tool to induce fusion of biomimetic lipid membranes. SiO<sub>2</sub> NPs are able to interact with lipid membranes and induce different membrane perturbations depending on their size and surface functionalisation. <sup>31-33</sup> A previous work has shown a cross-over between high tension solidification and rupture of lipid membranes by small SiO<sub>2</sub> NPs and wrapping of larger SiO<sub>2</sub> NPs by the membrane, where the membrane adhesion and curvature elastic energies are calculated to equate for SiO<sub>2</sub> NPs with

diameters in the range of 28 – 40 nm. <sup>31</sup> We hypothesise that SiO<sub>2</sub> NPs in this intermediate size 83 84 range will provide a balance between membrane curvature and membrane tension analogous to 85 the physical membrane perturbations induced by natural membrane fusion complexes. Hence, 86 we investigate the potential for 30 nm diameter SiO<sub>2</sub> NPs as artificial membrane fusion machinery. 87 The efficiency of these SiO<sub>2</sub> NPs in promoting lipid mixing, considered an essential consequence 88 of membrane fusion events, in populations of large unilamellar vesicles (LUVs) is studied using a 89 Förster Resonance Energy Transfer (FRET) assay. However, this method is insufficient to 90 investigate the mechanisms involved in fusion events. For this reason, we perform further 91 confocal microscopy studies of giant unilamellar vesicles (GUVs), which allows time-resolved 92 investigation of the trajectories of fusion events between individual pairs of GUVs. Direct imaging 93 of kinetic pathways of membrane fusion permits identification of intermediate fusion states and 94 quantification of the rate of lipid mixing between fusing GUVs in order to propose a mechanistic 95 interpretation of the process.

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### 97 **RESULTS**

### 98 SiO<sub>2</sub> NPs characterisation

The SiO<sub>2</sub> NPs employed in this investigation are nanospheres of  $30.8 \pm 3.9$  nm diameter as characterised by Transmission Electron Microscopy (Figure S1). A similar size distribution is observed using Dynamic Light Scattering (DLS) (Table S1). DLS measurements also show that the SiO<sub>2</sub> NPs are colloidally stable in the experimental buffer (20 mM HEPES, 150 mM NaCl, at pH 7.4) for at least 48 h (Figure S2), a time much longer than any of the experiments presented below. Thus, these SiO<sub>2</sub> NPs have appropriate colloidal stability to investigate their application as a trigger for membrane fusion. These SiO<sub>2</sub> NPs are negatively charged as indicated by their zeta 106 (ζ) potential (-18.2 ± 1.8 mV) determined using Dynamic Electrophoretic Light Scattering Analysis
107 (DELSA).

### 108 SiO<sub>2</sub> NPs induce intervesicular lipid mixing

The fusogenic activity of SiO<sub>2</sub> NPs is initially evaluated by a lipid mixing assay based on FRET. <sup>34</sup> DOPC LUVs labelled with both NBD-DOPE and Rh-DOPE are mixed with probe-free DOPC LUVs at a 1:5 ratio and exposed to different concentrations of SiO<sub>2</sub> NPs for 30 min. The values for full lipid mixing are obtained from samples containing only LUVs labelled with 0.05 mol% NBD-DOPE and Rh-DOPE, which represent the maximum dilution of the probes in the membrane that can be reached in our experiments.

115 The samples not treated with SiO<sub>2</sub> NPs show a maximum FRET ratio because both 116 fluorophores are closely colocalised in the labelled LUVs. However, the exposure to SiO<sub>2</sub> NPs 117 induce a decrease in FRET ratio, which is indicative of dose-dependent lipid mixing between 118 vesicles (Figure S3). Our results show that nearly 50% lipid mixing is reached when the LUVs are 119 incubated with 30 µg/ml SiO<sub>2</sub> NPs and around 80% lipid mixing happens when LUVs are exposed 120 to 100  $\mu$ g/ml SiO<sub>2</sub> NPs (Figure 1a). The presence of SiO<sub>2</sub> NPs in solution promotes the exchange 121 of lipids between labelled and unlabelled LUVs, hence the distance between the donor and 122 acceptor fluorophores increases as they get diluted into the unlabelled membranes and the FRET 123 signal drops. However, these results must be interpreted carefully since the changes in FRET 124 signal are not exclusively produced by fusion but can result from other processes such as hemifusion <sup>35</sup> and rupture of the vesicles. <sup>36</sup> 125

The complete fusion of liposomes upon interaction with SiO<sub>2</sub> NPs would lead to a larger population of vesicles. Hence, we used DLS to measure changes in the hydrodynamic size of LUVs after exposure to SiO<sub>2</sub> NPs with the aim to assess whether SiO<sub>2</sub> NPs induce complete fusion of LUVs. We observe that the size distribution of LUVs increases after incubation with 30 µg/ml and 100 µg/ml SiO<sub>2</sub> NPs for 30 min from 347.40 ± 14.05 nm to 482.90 ± 52.02 nm and 564.10 ±

131 23.23 nm, respectively, thus a large proportion of the LUVs in the sample has fused into larger 132 vesicles (Figure 1b, Table S1). Assuming that vesicle volumes are conserved during fusion 133 events, these increases in vesicle size distributions are equivalent to, on average, 2.7 vesicles 134 (30 µg/ml) and 4.3 vesicles (100 µg/ml) fusing with one another to form the larger vesicle 135 population. These estimates are consistent with the 50% and 80% lipid mixing values reported by 136 FRET at these NP concentrations when starting from an initial 1:4 mixture of labelled to unlabelled 137 vesicles.



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Figure 1. a) Percentage of lipid mixing induced by SiO<sub>2</sub> NPs obtained by FRET. Lipid mixing is detected as a decrease of FRET ratio in samples containing unlabelled DOPC LUVs and DOPC LUVs labelled with NBD-DOPE and rhodamine-DOPE (inset). The lipid mixing rises as the LUVs population is exposed to increased concentrations of SiO<sub>2</sub> NPs. b) Hydrodynamic size distribution of DOPC LUVs before (0 µg/ml SiO<sub>2</sub> NPs) and after exposure to 30 µg/ml and 100 µg/ml SiO<sub>2</sub> NPs for 30 minutes measured by DLS. After incubation with SiO<sub>2</sub> NPs the size of the LUVs increases.

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146 SiO<sub>2</sub> NPs induce fusion of GUVs

147 Next, we used confocal microscopy to directly observe the ability of SiO<sub>2</sub> NPs to promote 148 fusion of DOPC GUVs. Initially, we recorded the fate of GUVs labelled with 0.5 mol% Rh-DOPE 149 (Rh-GUVs) after exposure to 25  $\mu$ g/ml SiO<sub>2</sub> NPs. Importantly, our observations clearly confirm the 150 ability of SiO<sub>2</sub> NPs to trigger fusion of apposing GUVs, but not all the fusion events occur in the same manner. We observe that various processes, involving distinct morphological changes of
GUVs, can lead to membrane fusion (Figure 2a and 2b, Supplementary movies 1 and 2).

153 Figure 2a shows a sequence of two fusion processes occurring between two apposed 154 GUVs, one of them with a third GUV inside. Initially, one of the GUVs begins to shrink and its 155 membrane is apparently transferred to the neighbour GUV which progressively gets bigger. At 156 the same time, the third GUV, which was inside the growing one, is expelled from the lumen. 157 These two GUVs remain attached to each other and slowly get smaller until eventually their 158 membranes fuse resulting in a single final GUV (Supplementary Movie 1). A different mechanism 159 is observed in Figure 2b, where the fusion occurs after the sudden breakage of the membrane at 160 one end of the GUV contact region. The part of the membrane where the GUVs were in contact 161 gets trapped in the lumen of the new fused GUV and guickly rearranges to form an intraluminal 162 vesicle (Supplementary Movie 2).

163 In Figures 2a and 2b, we observe an increase of the fluorescence intensity at the vertices 164 of the membrane interface which separates the GUVs. This local rise in fluorescence intensity 165 likely denotes that three bilayers are contacting at these points, one bilayer from each GUV and 166 a mixed bilayer formed at the interface, commonly named as hemifusion diaphragm. In these 167 junctions, the membranes are under a high curvature stress and the lipids are condensed and 168 tightly packed showing enhanced fluorescence. The formation of intermediate fusion states and 169 other mechanistic aspects of the fusion process will be discussed in more detail later in the 170 manuscript.

171 In order to observe whether the contents of the DOPC GUVs mix upon vesicle fusion, we 172 carried out additional experiments mixing a population of GUVs encapsulating a sucrose solution 173 with a second GUV population containing a mixture of sucrose and fluorescence TRITC-dextran 174 (70 kDa). By analysing the fluorescence intensity of the GUV cargo during the fusion process we 175 observed that the fusion triggered by SiO<sub>2</sub> lead to a complete mix of the lumens of the GUVs

(Figure 2c, Supplementary movie 3). In Figure 2c, before the GUVs fully fuse, there is a lipid transfer between the GUVs which results in the simultaneous swelling of the fluorescently loaded vesicle and shrinking of the contiguous GUV. This swelling causes a gradual dilution of the TRITC in the lumen and explains the gradual drop of its fluorescent observed before the GUVs fully fuse. Once the GUVs fuse, the lumens of the two GUVs mix completely, consequently the fluorescent dextran molecules get diluted in the final lumen and the fluorescent intensity of the GUV cargo decreases steeply.



184 Figure 2. Confocal microscopy images of fusion processes of GUVs triggered upon exposure to 25 µg/ml SiO<sub>2</sub> NPs. In panels a and b. GUVs are labelled with of Rh-DOPE and its fluorescence is presented as a pseudocolor 185 186 associated to the intensity as indicated in the colour code scale. a) Initially two GUVs are docked (1 and 3) and 187 the first one has a third vesicle inside (2). As time progresses, the GUV 3 gradually merges into the GUV 1 and at the same time GUV 2 is elected. The resulting 1+3 GUV and GUV 2 remain attached and the former start shrinking. 188 189 Eventually the GUVs fuse originating a single final GUV 1+3+2. b) The boundary membrane which separate the 190 two GUVs suddenly breaks at one end and the GUVs fuse. A membrane fragment gets trapped in the lumen of 191 the new GUV and spontaneously adopt a spherical configuration forming an intralumenal vesicle. Red arrows 192 indicate regions of increased fluorescence intensity observed at the edges of the docking regions. c) Micrographs 193 showing the lumen mixing process during fusion. One of the GUVs, labelled with 1 mol% DiO (green) is loaded 194 with a mixture of sucrose and TRITC-dextran 70 kDa (yellow) and the other is labelled with 1 mol% DiD (magenta) and its lumen contains only sucrose (non-fluorescent). The plot shows the fluorescence intensity of TRITC-dextran 195 196 (blue circles, blue y-axis) in the region of the GUV lumen indicated by the blue box in the micrographs as well as 197 the volume of the GUV loaded with TRITC-dextran (black crosses, black y-axis) against time. The drop in 198 fluorescence intensity before fusion corresponds with the swelling of the GUV. After fusion, the lumens of the two 199 GUVs mix inducing a sharp drop of fluorescent intensity in the lumen of the resultant GUV.

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### 201 Influence of lipid packing and membrane tension on fusion processes

In membrane fusion events, lipid packing defects are considered as an initial step required for two adjacent membranes to fuse.  $^{30, 37, 38}$  Since previous studies on NP-membrane interactions have shown that SiO<sub>2</sub> NPs produce perturbations in lipid packing and membrane fluidity,  $^{31, 33, 39}$ we used Laurdan spectral imaging to quantify changes in lipid packing and membrane hydration of DOPC GUVs labelled with 0.5 mol% Laurdan after incubation with 25 µg/ml SiO<sub>2</sub> NPs.

207 Our results show that SiO<sub>2</sub> NPs induce a mild but statistically significant increase in the 208 average generalised polarisation (GP) of the Laurdan molecules embedded in the membrane 209 (Figure 3a). The increase in GP correspond to a less hydrated membrane with the lipids more 210 tightly packed. The adsorption of the SiO<sub>2</sub> NPs onto the GUVs is likely to create local highly curved 211 deformations in the membrane. In addition, the negative surface charge of SiO<sub>2</sub> NPs is likely to 212 alter the tilt angle of the DOPC headgroup dipole leading to a condensation of the lipids and a 213 reduction of the polarity of the membrane which increase the tension of the membrane and reduce its polarity, facilitating the contact between closely localised membranes. <sup>16, 31, 40, 41</sup> The high local 214 215 membrane curvature along with the increased membrane tension can lead to lipid packing defects 216 and unfavourable exposure of hydrophobic lipid tails to the aqueous environment. These packing

defects can be compensated in the contact zone between two membranes as the exposed lipid
tails of the inner monolayer of one membrane can match the exposed hydrophobic region of the
adjacent membrane.

Next, we investigated the effect of the membrane tension in the fusion process. Membrane tension is known to be a crucial biophysical parameter for the progress of membrane fusion events.  $^{41-45}$  Hence, we investigated the effect of the membrane tension in the fusion process triggered by SiO<sub>2</sub> NPs.

224 The first step to assess the influence of membrane tension in the fusion process was to 225 modify the tension of the GUVs after electroformation by incubating them in hypertonic, isotonic 226 or hypotonic buffer overnight to obtain "relaxed", "neutral", or "tense" GUVs, respectively. Then, 227 to quantify the proportion of GUVs undergoing fusion in the sample we mixed equally tense Rh-228 GUVs and DiO-GUVs (DOPC labelled with 1 mol% DiO) in a 1:1 volume ratio before adding the 229 SiO<sub>2</sub> NPs (25 µg/ml). Finally, after incubating the GUVs with the NPs for 30 min we took tile scans 230 and counted the proportion of GUVs with both dyes colocalised in the membrane (lipid mixed 231 GUVs).

232 The images of vesicles incubated in isotonic buffer show an average proportion of lipid 233 mixed and fused GUVs of 12.25 % from the total number of GUVs. The osmotic relaxation of the 234 GUVs reduces the mean proportion of lipid mixed GUVs in the samples to 7.90 %, while in the 235 samples of osmotically tensed GUVs the average percentage of vesicles fusing rises to 15.96 % 236 (Figure 3b). The tile scans were taken from 5 independent samples for each condition. These 237 data denote a clear impact of the membrane tension on the fusion process induced by the SiO<sub>2</sub> 238 NPs. Similar results were obtained for LUVs measured by FRET spectroscopy (Figure S4). Note 239 that from the confocal microscopy images we are only counting the fusion events occurring 240 between oppositely labelled GUVs, but fusions between GUVs labelled with the same dye are 241 also taking place. In our samples, a Rh-GUV has the same probability to fuse with a DiO-GUV

than with another Rh-GUV, and the same applies for a DiO-GUV. Therefore, the proportion of
GUVs undergoing fusion in our samples is, in theory, double than that quantified in the images.
Also note that the proportion of fused GUVs is likely lower than that observed in LUV experiments
due to the much larger GUVs exhibiting limited diffusion compared to LUVs, significantly reducing
the number of collision events between these vesicles, which are required to facilitate membrane
adhesion and fusion.

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250 Figure 3. Effect of SiO<sub>2</sub> NPs on membrane order and impact of membrane tension on fusion efficiency of GUVs. 251 a) Spectral imaging of DOPC GUVs labelled with Laurdan before and after incubation with 25 µg/ml SiO<sub>2</sub> NPs. GP 252 images of a control GUV and a GUV after exposure to SiO<sub>2</sub> NPs. The graph shows that the distribution of average 253 GP of the GUVs analysed increases slightly after incubation with 25 µg/ml SiO<sub>2</sub> NPs. Data are presented as mean 254 ± standard deviation, circles indicate each individual measurement (number of individual datapoints indicated in the plot). b) Percentage of lipid mixed GUVs observed in confocal microscopy images depending on the membrane 255 256 tension after incubation with 25 µg/ml SiO<sub>2</sub> NPs. The plot indicates a clear relationship between membrane tension and the proportion of GUVs undergoing fusion. The bars show the mean and the error bars the standard deviation. 257 The overlayed circles represent the proportion of lipid mixed GUVs in each image analysed (Tense GUVs = 26 258 images: Neutral GUVs = 25 images; Relaxed GUVs = 24 images). The statistical significance in a and b was tested 259 260 using a one-way ANOVA with a post-hoc Bonferroni test.

#### 262 Silica nanoparticles induce fusion of GUVs via three different pathways

With the aim of getting a further mechanistic insight into the processes leading to membrane fusion, we perform additional real-time confocal microscopy experiments to record single fusion events between Rh-GUVs and DiO-GUVs. These experiments provide information about intermediate states as well as the kinetics of the fusion process by detecting the lipid mixing between GUV pairs.

268 First, we localise GUV pairs composed by one Rh-GUV and a DiO-GUV which show only 269 red and green fluorescence, respectively. After SiO<sub>2</sub> NPs are added to the sample, we monitor 270 changes in fluorescence intensity in each channel over time at the interface between vesicles and 271 the more distal regions of each GUV. Our observations show that once SiO<sub>2</sub> NPs interact with a 272 pair of GUVs, the vesicles adopt different intermediate states characterised by the degree of lipid 273 mixing before their eventual fusion. The fusion process begins with a localised merging of the 274 outer leaflets of the apposed GUVs as a result of high local curvature and lipid packing defects 275 induced by the SiO<sub>2</sub> NPs. From this point, depending on the different intermediate states and morphological transitions that GUVs experience during a fusion event, we identify three main 276 277 fusion pathways triggered by SiO<sub>2</sub> NPs.

278 Direct Full Fusion. In the first pathway, no or marginal intervesicular lipid exchange is 279 observed before the GUVs fuse. The membrane breaks at one edge of the interface and the 280 GUVs suddenly fuse. Immediately following fusion to form a new GUV, the lipids from the original 281 vesicles are observed to be not yet mixed, showing two easily distinguishable hemispheres, one 282 green and one red, which then mix rapidly in the new merged membrane (Figure 4, 283 Supplementary Movie 4). The interaction of  $SiO_2$  NPs with the membrane of the GUVs would 284 induce membrane defects which generate a large elastic stress at the rim of the docking region. 285 Such elastic stress is relaxed by the formation of a large pore which leads to full GUV fusion. The 286 membrane fragment formerly situated at the contact zone is trapped inside the new GUV and

reorganises quickly to form an intralumenal vesicle (Figures 4 and 2b). The analysis of the size of the final GUVs compared to the initial GUVs shows that the volume of the final GUV is equivalent to the sum of the volume of the two initial GUVs (Figure S5).



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Figure 4. Fusion of GUVs via the sudden full fusion pathway. Confocal microscopy images show the state of the GUVs at particular stages of the process. DOPC GUVs are labelled with Rh-DOPE (red channel) and DiO (green channel). The bar plots show the fluorescence intensity of each fluorophore measured at the ROIs indicated by the blue boxes. Cartoons are schematic representations of the lipid mixing and topological transformations occurring in at that particular time point. The bar plots indicate that lipid mixing occurs after the GUVs fuse. The membrane boundary gets trapped in the final GUV and reorganise originating an intralumenal vesicle. The line plot displays the evolution of the fluorescence intensity in both channels over time at the ROI C.

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Hemifusion – fusion. In this pathway, a gradual bidirectional exchange of lipids between the contacting GUVs is detected before the eventual fusion of the vesicles (Figure 5, Supplementary Movie 5). This second fusion pathway resembles the classic fusion model in which a hemifusion diaphragm intermediate precedes the formation of the fusion pore <sup>40, 46</sup>. A hemifusion diaphragm is an intermediate state where the outer monolayers of the fusing GUVs are merged and the inner monolayers form a mixed bilayer at the contact region.

306 The hemifusion intermediate is detected by the presence of both dyes in the same GUV. <sup>16,</sup> 307 <sup>47</sup> However, as only the lipids in the outer monolayer are mixed, the fluorescence intensity of the 308 "intruder dye" will be lower in this GUV than in the neighbour one where it is present in both 309 membrane leaflets. The lipids of the outer leaflets mix completely before the GUVs fuse, as 310 observed in Figure 5 (frame t=239.4 s). The enhanced fluorescence intensity displayed by both 311 dyes at the GUV interface is probably due to lipid condensation at the rim of the hemifusion 312 diaphragm. Images at longer times indicate a further level of lipid mixing, suggesting some extent 313 of interleaflet lipid exchange. Eventually, a fusion pore opens and expands quickly through the 314 hemifusion diaphragm and the GUVs fuse completing a classic hemifusion-fusion pathway. The 315 newly formed GUV progressively adopts the spherical shape typical of vesicles and the lipids get 316 homogeneously distributed across the membrane.

The analysis of the GUVs size before and after fusion reveals a volume loss while the GUVs are hemifused (Figure S5). While the hemifusion is taking place, the GUVs slowly shrink and bright dots appear in their lumen, suggesting that small vesicles or lipid aggregates are being removed from the membrane by the  $SiO_2$  NPs (Figure 5, Supplementary Movie 5). This loss of membrane surface area is likely to increase the membrane tension and generate the elastic stress needed for the opening of a fusion pore in the hemifusion diaphragm.

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Figure 5. Fusion of GUVs via hemifusion-fusion pathway. Confocal microscopy images showing intermediate states of the fusion process. DOPC GUVs are labelled with Rh-DOPE (red channel) and DiO (green channel). The bar plots show the fluorescence intensity of each fluorophore measured at the ROIs indicated by the blue boxes. Cartoons are schematic interpretations of the data. The lipid mixing observed before the GUVs fuse indicates that a hemifusion intermediate has formed. Eventually the GUVs fuse. The fluorescence intensity observed in the lumen of the GUVs is likely to proceed from small patches of the membrane removed by the SiO<sub>2</sub> NPs. The line plot displays the evolution of the fluorescence intensity in both channels over time at the ROI A.

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335 Gentle merging. Nevertheless, the fusion process cannot always be completed by the 336 opening of a fusion pore at the hemifusion diaphragm. In these occasions, one of the GUVs is 337 gradually absorbed by the other and their membranes fuse by a process that we have called 338 gentle membrane merging (Figure 6, Supplementary Movie 6). Like in the previous pathway, the 339 fluorescence intensity analysis indicates an initial lipid mixing just in the outer monolayers followed 340 by further lipid mixing in the inner monolayers. However, unlike the hemifusion-fusion pathway, 341 during these events there is a complete lipid mixing in both monolayers while the two GUVs are 342 still separated. We hypothesise that the elastic stress at which the membranes are subjected 343 would not be high enough to drive the opening of a large fusion pore at the hemifusion diaphragm. 344 Instead, the GUVs remain hemifused and one of them slowly shrinks and blends into the other 345 which grows accordingly. The result from these events is then a GUV formed by a mixture of the 346 membranes from the two initial GUVs and whose volume equals the sum of the volumes of the 347 initial vesicles (Figure S5).

348 We hypothesise an explanation to this phenomenon based on Laplace's law. Due to 349 differential pressure inside the GUVs and the formation of transient nanopores at the hemifusion 350 diaphragm, the GUV at higher Laplace pressure is "sucked" into the apposed GUV. Given that 351 the Laplace pressure (P) depends on the membrane tension ( $\sigma$ ) and the radius of the vesicle (r) 352 as  $\Delta P=2\sigma/r$ , if the two GUVs have the same membrane tension, the smaller GUV would be 353 absorbed by the larger one. However, in our experiments, this is not always the case: sometimes 354 the larger GUV is engulfed by the smaller one. Therefore, in order for the pressure in the larger 355 GUV to be higher, its membrane must be significantly tenser than the membrane of the smaller 356 neighbouring vesicle. Hence the pressure differential would drive a flow from the larger and tenser 357 GUV to the smaller and more relaxed GUV.



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359 Figure 6. Fusion of GUVs via gentle merging pathway. Confocal microscopy images show the state of the GUVs 360 at different stages of the process. The DOPC GUVs are labelled with Rh-DOPE (red channel) and DiO (green 361 channel). The bar plots show the fluorescence intensity of each fluorophore measured at the ROIs indicated by the blue boxes. Cartoons are schematic interpretations of lipid mixing membrane configuration state at the stage 362 363 of the process shown in the micrographs. The lipid mixing observed before the GUVs fuse indicates that a hemifusion intermediate has formed. One of the GUVs gradually shrinks and the other GUV grows consequently. 364 365 At the same time their membranes merge gently until forming a single GUV with a mixed membrane. The line plot 366 displays the evolution of the fluorescence intensity in both channels over time at the ROI C.

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369 The rate of lipid mixing is slower in fusion pathways involving hemifusion 370 intermediates

Further analysis of the confocal time series allows quantification of the rate of lipid mixing in the different fusion pathways. For this analysis, we measure the increase in fluorescence intensity over time from the moment when one of the dyes begins to migrate into its neighbouring vesicle.

The results summarised in Figure 7 show a much slower lipid mixing rate when the fusion pathway involves the formation of a hemifusion diaphragm. The lipid mixing rate calculated from the direct full fusion events  $(3.22 \pm 0.60 \ \mu m^2 \ s^{-1})$  is consistent with literature values for the lateral diffusion coefficient of DOPC <sup>31, 48</sup>, therefore in this pathway the lipid mixing is driven solely by the lateral diffusion of lipids once the vesicles have fused.

380 In contrast, the lipid mixing rates estimated for the other two fusion pathways are considerably slower than DOPC lateral diffusion, with values of 0.33  $\pm$  0.36  $\mu$ m<sup>2</sup> s<sup>-1</sup> for the 381 hemifusion-fusion pathway and  $0.48 \pm 0.24 \text{ µm}^2 \text{ s}^{-1}$  for the gentle merging. Similar slow diffusion 382 383 of lipids has been reported previously in protein-free and SNARE-mediated hemifused GUVs. <sup>19,</sup> 384 <sup>47</sup> We observe that full bilayer mixing can be reached while the GUVs are hemifused. This bilayer 385 mixing results from enhanced lipid flip-flop between the membrane leaflets which considerably 386 reduces the rate of lipid mixing compared to lateral diffusion alone. Another potential contribution to bilaver lipid mixing involves the formation of transient nanoscopic pores in the membrane which 387 388 allows short-lifetime pulses of lipid transfer between monolayers.



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Figure 7. Rate of lipid mixing during each fusion pathway. The calculated average lipid mixing rate for the fusion events via direct full fusion is  $3.22 \pm 0.60 \ \mu m^2 s^{-1}$  whereas the hemifusion-fusion pathway and the gentle merging show reduced lipid mixing rates,  $0.33 \pm 0.36 \ \mu m^2 s^{-1}$  and  $0.48 \pm 0.24 \ \mu m^2 s^{-1}$ , respectively (The bar plot show the mean and standard deviation with the individual datapoints overlayed). The inset plot shows the normalised fluorescence intensity of the dye when it begins to colonise a membrane where it was not present initially as a function of time per unit area. The curves of individual events were fitted using an exponential function (see methods) to estimate the rate of lipid diffusion.

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#### 398 **DISCUSSION**

In this work, we introduce a novel, protein-free membrane fusion platform based on the ability of 30 nm SiO<sub>2</sub> NPs to trigger membrane curvature and tension that mimics the physicochemical effects of natural protein complexes for membrane fusion. Initial FRET experiments performed in bulk LUV populations show a significant increase in intervesicular lipid mixing dependent on the concentration of SiO<sub>2</sub> NPs in solution. The fusogenic activity of SiO<sub>2</sub> NPs is confirmed by direct imaging of GUVs using confocal microscopy.

Figure 8 summarises our proposed nanoscale molecular mechanisms that occur as SiO<sub>2</sub> NPs induce the contact and fusion of two membranes. Based on our observations, we propose a scenario where membrane tension is the principal driving force of the fusion events. This view agrees with many studies, including theoretical models, <sup>40, 49</sup> simulations <sup>42, 43, 50</sup> and experimental

investigations. <sup>44, 51</sup> In our system, the fusion process starts when SiO<sub>2</sub> NPs in suspension interact 409 410 with closely localised GUVs. This interaction is likely to favour the close approach between the 411 membranes of two GUVs by inducing local changes in membrane curvature. Molecular 412 simulations have shown that any protein complex located between two opposing membranes 413 generates a local membrane curvature that promotes the close apposition of the opposing leaflets 414 needed to begin the fusion process. <sup>52</sup> In addition, we have seen that the SiO<sub>2</sub> NPs affect the lipid 415 packing within the membrane. The negative surface charge of SiO<sub>2</sub> NPs is predicted to produce 416 a reorientation of the headgroup dipole of DOPC lipids generating an electrostatic condensation 417 of the area per lipid in the outer membrane leaflet, which would generate a considerable increase in membrane tension. 53 418

419 The increase in membrane tension generates elastic stress which, along with high local 420 membrane curvature and lipid packing defects, would result in highly energetically unfavourable 421 exposure of hydrophobic membrane regions to the aqueous environment. The elastic stress is 422 likely released by the reorganisation of the membranes in the boundary between the GUVs so the 423 exposed hydrophobic region of one membrane matches the hydrophobic region of the inner leaflet 424 of the adjacent membrane. <sup>16</sup> The resulting structure would be a membrane stalk where the inner 425 monolayers of the neighbouring membranes form a bilayer in a small region where the GUVs are 426 docked. The outer leaflets of the vesicle membranes thus reorganise themselves into a highly 427 bent monolayer, which would be expected to begin to merge. <sup>54</sup> After the stalk is formed, GUVs 428 can follow three different observed pathways that lead to membrane fusion: i) direct full fusion, ii) 429 hemifusion-fusion and iii) gentle merging.

i). Direct full fusion: a significant increase in membrane tension would lead to full fusion
immediately after the stalk formation. The stalk presumably originates at the edge of the
boundary region but the persistent elastic stress would conceivably compel the membrane
to break, forming a pore which would be anticipated to expand laterally along the perimeter

of the docking zone. Consequently, the membrane region that was separating the individual
GUVs would get trapped inside the new GUV and therefore reorganise to avoid exposure
of hydrophobic lipid tails, forming an intraluminal vesicle. Analysis of lipid mixing rates
shows that once the GUVs have fused, lipids mix in the new bilayer via lateral diffusion.

438 A similar fusion mechanism was reported by Tanaka et al., who observed that trivalent 439 lanthanum ions (La<sup>3+</sup>) induce the fusion of DOPC GUVs. <sup>55</sup> They propose that the outer 440 monolayer of the membranes merge at one edge of the region where membranes are in 441 contact and this destabilises the packing of the lipid tails that causes the breakage of the 442 membrane leading to fused GUVs with an intraluminal vesicle. Moreover, previous studies 443 on vacuole fusion mediated by the SNARE complex have proposed that the formation of an 444 intralumenal vesicle during the fusion occurs when the fusion pore forms at one point on 445 the rim of the stalk and expands laterally along the perimeter entrapping a membrane fragment, which becomes an intraluminal vesicle. <sup>56, 57</sup> In one of these studies, Mattie and 446 447 colleagues showed that the expansion of the stalk into a hemifusion diaphragm inversely 448 correlates with intralumenal fragment formation. 57

ii). Hemifusion-fusion pathway: we propose that if the membrane tension is moderate, then
the elastic stress can be released by the stalk formation and its expansion into a hemifusion
diaphragm. The hemifusion diaphragm remains stable for a relatively long time in which the
lipids of the outer leaflets are observed to fully mix. The lipid mixing rate during hemifusion
is much slower than lateral diffusion. This slower lipid mixing can be explained by a slower
lipid flip-flop within the hemifusion diaphragm. We observe higher levels of lipid mixing
between GUVs, which must involve transfer of lipids between the inner membrane leaflets.

456 The formation of a stable hemifusion diaphragm implies that the surface area of the inner 457 leaflets of the membranes must be larger than that of outer monolayers. This requires the 458 transport of lipids from the outer leaflet to the inner monolayer via flip-flop. The lipid flip-flop

459 would be expected to be particularly enhanced at the rim of the hemifusion diaphragm. In 460 this region, the significant negative curvature of the membrane likely generates a 461 mechanical stress different in each monolaver and the membranes becomes highly 462 unstable. The differential mechanical stress derived from increased membrane curvature 463 as well as local membrane deformations are known to significantly increase the rate of interleaflet lipid transport. <sup>58, 59</sup> Moreover, molecular dynamics simulations have shown that 464 465 lipid flip-flop is a preferential mechanism to reduce the instability at the junction site of three bilayers and maintain a metastable hemifusion diaphragm. 60 466

Local membrane perturbations induced by the SiO<sub>2</sub> NPs and transient nanopores also favour interleaflet lipid transfer. Such interleaflet lipid exchange would relax the stress in the membrane by removing lipids from the compressed outer monolayer and adding them to the expanded regions of the inner leaflets. However, this unidirectional lipid exchange could generate an area mismatch between monolayers. Hence, to prevent this from happening, lipids from the inner leaflet must also be transported to the outer monolayer.

473 Before complete bilayer lipid mixing is achieved, a pore opens and expands through the 474 hemifusion diaphragm, finishing the fusion process. Strobl et al showed that SiO<sub>2</sub> NPs are 475 able to cross DOPC membranes and, during the process, they take small membrane 476 sections with them, inducing the shrinkage of the GUV and a rise in its membrane tension. 477 <sup>61</sup> This is very similar to what we observe in our experiments, hence the opening of the 478 fusion pore would seemingly be driven by a further increase in membrane tension induced 479 by  $SiO_2 NPs$  removing membrane surface area from the GUVs. In this case, the final GUV 480 does not show the single intraluminal vesicle characteristic from the direct full fusion 481 pathway.

482 iii). Gentle membrane merging: Although this pathway results in a single GUV formed by a
483 mixed membrane from the original vesicles, this process is different from the previous

484 pathway, and from other fusion events reported in the literature, because the fusion pore 485 does not expand across the hemifusion diaphragm, instead their membranes merge 486 gradually. During this process, the tension at the hemifusion diaphrage is presumably 487 stably maintained and the elastic stress could be totally relaxed at the rim of the hemifusion 488 diaphragm by enhanced flip-flop rate and potentially by the formation of transient nanosized 489 pores. As the lipid bilayers mix, one of the apposed GUVs gets progressively smaller and 490 its membrane is transferred to the neighbour vesicle which grows consequently, until the 491 shrinking GUV is completely engulfed and only one GUV with a fully mix membrane 492 survives. We propose that this phenomenon is promoted by differential membrane tension 493 between the docked GUVs. Transient pores must also occur in the shrinking vesicle to 494 facilitate its reduction in total volume. A different tension in each GUV would, according to 495 Laplace's law, generate a difference between their internal pressures, which will 496 presumably favour the tenser GUV gently merging into the less tense vesicle of the pair.

497 The fusogenic activity of SiO<sub>2</sub> NPs relies on its capacity to generate increased membrane 498 tension, high enough to overcome the different energy barriers during the fusion process. The 499 fastest but more energetically demanding process is the direct full fusion. Such high energetic 500 cost implies that the membrane tension acquired is not always sufficient to trigger this pathway. 501 However instead of aborting the process, the system finds an alternative route, the hemifusion 502 intermediate, which is slower but requires less energy. Similarly, if the energy needed for the 503 opening and expansion of a fusion pore cannot be overcome, the process is finished via gentle 504 membrane merging. Unfortunately, our experimental approach does not allow to quantify the 505 proportions of fusion events taking place through each different pathway, so different strategies 506 might be considered in the future to overcome this limitation and get more information about 507 biophysical parameters influencing which fusion pathway is going to be followed.



508

509 Figure 8. Schematic representation of membrane transitions occurring at the docking region during fusion events 510 triggered by SiO<sub>2</sub> NPs. The SiO<sub>2</sub> NPs facilitate the initial contact between two membranes and induce high local 511 curvature, increased tension and lipid packing defects. This promotes the formation of a stalk. At this point, a fusion 512 pore can form directly from the stalk leading to a sudden full fusion of the GUVs. After the GUVs fuse the lipids in the membrane mix via lateral diffusion and the membrane previously placed at the boundary is trapped in the 513 514 lumen and forms an intralumenal vesicle (Sudden full fusion pathway). The stalk can also expand into a hemifusion diaphragm stabilised by enhanced lipid flip-flop at its rim. If a fusion pore opens at the hemifusion diaphragm the 515 516 fusion process is completed (Hemifusion-fusion pathway). However, if this does not happen the hemifusion 517 diaphragm persists stabilised by flip-flop and transient nanopores. In this case, one of the GUVs is gradually 518 absorbed by the other one and their membranes gently merge (Gentle membrane merging pathway).

519

521 Our results offer the prospect of using SiO<sub>2</sub> NPs as a new nanotechnological tool in synthetic 522 biology to create more complex model membrane systems, which better mimic the properties of 523 cell membranes. These systems would mix the cargo of two vesicles and trigger chemical 524 reactions. These NPs are inexpensive to produce, can remain colloidally stable in solution for long 525 periods of time and can easily be tuned to boost particular advantageous properties.

526 A current major challenge in the study of membrane remodelling processes is 527 understanding the role that Gaussian curvature plays in them. The experimental investigation of 528 Gaussian curvature is very challenging and requires membrane systems whose topology can be 529 tightly controlled. <sup>52</sup> The fact that the same fusogen can induce membrane fusion via different 530 pathways represents an advantage for the study of membrane fusion mechanisms because it 531 implies that by changing particular conditions (membrane composition, vesicle shape, ionic 532 strength of the medium, presence of macromolecules and divalent cations such as  $Ca^{2+}$ , etc.) the 533 system could be tuned to favour a specific fusion pathway over the others and give information 534 about the influence of specific parameters, such as membrane curvature and tension, in the fusion 535 process. Also, the surface of the SiO<sub>2</sub> NPs can be functionalised to increase or decrease their 536 affinity for the membrane. Therefore, SiO<sub>2</sub> NPs are a promising synthetic biology tool for triggering 537 membrane fusion in a broad range of experimental scenarios.

538

## 539 METHODS

#### 540 Materials

541 DOPC (1,2-dioleoyl-sn-glycero-3-phosphocholine), Rh-DOPE (1,2-dioleoyl-sn-glycero-3-542 phosphoethanolamine-N-(lissamine rhodamine B sulfonyl) (ammonium salt)), and NBD-DOPE 543 (1,2-dioleoyl-sn-glycero-3-phosphoethanolamine-N-(7-nitro-2-1, 3-benzoxadiazol-4-yl) 544 (ammonium salt)) were purchased from Avanti Polar Lipids Inc. (Alabaster, Alabama, USA).

Colloidal SiO<sub>2</sub> NPs LUDOX TM-50 ( 50 wt. % suspension in H<sub>2</sub>O), Tetramethylrhodamine 545 546 isothiocyanate (TRICT)-Dextran 70 kDa, indium tin oxide (ITO) coated glass slides (surface 547 resistivity 8–12 V sq-1), HEPES (4-(2-hydroxyethyl)-1-piperazineethanesulfonic acid), sodium 548 chloride (NaCl), sucrose (C<sub>12</sub>H<sub>22</sub>O<sub>11</sub>), and bovine serum albumin (BSA) were obtained from 549 Sigma-Aldrich Co. (Gillingham, UK). DiO (3,3'-Dioctadecyloxacarbocyanine Perchlorate) and DiD 550 (1,1'-Dioctadecyl-3,3,3',3'-Tetramethylindodicarbocyanine, 4-Chlorobenzenesulfonate Salt) were 551 purchased from ThermoFisher Scientific Ltd. (Loughborough, Leicestershire, UK). Microscope µ-552 slide 8 well glass bottom chambers (Ibidi GmbH) were purchased from Thistle Scientific Ltd 553 (Glasgow, UK).

#### 554 Dynamic Light Scattering

555 The hydrodynamic diameter and colloidal stability of SiO<sub>2</sub> NPs was measured by dynamic 556 light scattering (DLS) using a Malvern Zetasizer Nano ZSP (Malvern Panalytical, Malvern, UK) at 557 a fixed 173° back-scattering angle. SiO<sub>2</sub> NPs were incubated in buffer (20 mM HEPES, 150 mM 558 NaCl, pH 7.4) for 1 hour and then measured three times to obtain the hydrodynamic diameter. 559 The same sample was measured again after 24 h and 48 h to evaluate the colloidal stability of 560 the NPs over time. The same instrument was used to measure the  $\zeta$  potential of the SiO<sub>2</sub> NPs via 561 dynamic electrophoretic light scattering analysis (DELSA). In this case the scattering angle was 562  $17^{\circ}$  and the  $\zeta$  potential was estimated from the measured electrophoretic mobility of the NPs using 563 the Smoluchowski approximation. DLS and DELSA results were processed using the Malvern 564 Zetasizer software.

565 DLS was also employed to determine the hydrodynamic size of DOPC LUVs before and 566 after incubation with SiO<sub>2</sub> NPs. The LUVs were diluted in buffer (20 mM HEPES, 150 mM NaCl, 567 pH 7.4) to a final lipid concentration of 100  $\mu$ M. The LUVs suspension was incubated for 30 568 minutes with 30  $\mu$ g/ml and 100  $\mu$ g/ml of SiO<sub>2</sub> NPs, and a control sample without SiO<sub>2</sub> NPs was 569 used as control.

#### 570 Transmission electron microscopy

571 Transmission electron microscopy (TEM) was conducted on an FEI Tecnai TF20 field 572 emission gun (FEG) TEM operating at 200 kV and fitted with a Gatan Orius SC600A CCD camera. 573 For TEM analysis, a drop of the dispersed sample was placed on a continuous carbon coated 574 copper grid (EM Resolutions, Sheffield, UK). After being left to dry, this was transferred to the 575 TEM. More than 1000 nanoparticles were analysed using Fiji to calculate the size distribution of 576 SiO<sub>2</sub> NPs.

#### 577 Preparation of large unilamellar vesicles

Large unilamellar vesicles (LUVs) were prepared by the extrusion method. The desired lipids were mixed at 25 mM in chloroform to get a final volume of 200 µl. The organic solvent was evaporated under high vacuum overnight to get a dry lipid thin film which was then rehydrated with 500 µl of buffer (20 mM HEPES, 150 mM NaCl, pH 7.4). The resulting suspension was subjected to 10 freeze-thaw cycles and then extruded 11 times by passing through a 400 nm pore size polycarbonate membrane (Whatman International Ltd., Maidstone, UK) using a LiposoFast extruder (Avestin Inc.) to obtain a homogeneous population of LUVs.

#### 585 Lipid mixing assay

586 The intervesicular lipid mixing was determined by measuring the Förster Resonance Energy 587 Transfer (FRET) between NBD and rhodamine (Rh). For these experiments, we prepared non-588 labelled DOPC LUVs and DOPC LUVs labelled with 0.25 mol% NBD-DOPE and 0.25 mol% Rh-589 DOPE. The two sets of LUVs were mixed in a 1:4 ratio ( $100 \,\mu$ M) and incubated during 30 minutes 590 with SiO<sub>2</sub> NPs at 3 µg/ml, 10 µg/ml, 30 µg/ml and 100 µg/ml. In addition, samples of LUVs non-591 exposed to SiO<sub>2</sub> NPs (0 µg/ml) were used as negative control and samples of DOPC LUVs 592 labelled with 0.05 mol% NBD-DOPE and 0.05 mol% Rh-DOPE were used as full lipid mixing 593 control. The fluorescence intensity of the samples was measured between 500 nm and 650 nm

with a FluoroMax-Plus spectrofluorometer (Horiba Scientific), using the excitation wavelength of NBD (460 nm). The maximum fluorescence intensity of NBD ( $I_{NBD}$  at 530 nm) and Rh ( $I_{Rh}$  at 590 nm) were used to calculate the FRET ratio (R) of each sample as  $R = I_{Rh} / I_{NBD}$ . The percentage of lipid mixing was then calculated by normalising the FRET ratios of each sample ( $R_n$ ) between the baseline samples of LUVs untreated with SiO<sub>2</sub> NPs ( $R_0$ ) and the full lipid mixing controls ( $R_{tull}$ ):

599 % Lipid mixing = 
$$\frac{R_n - R_0}{R_{full} - R_0} \times 100$$

Moreover, we also measured the maximum fluorescence intensity at 530 nm of DOPC LUVs labelled only with 0.25 mol% NBD-DOPE. The values of the maximum fluorescence intensity of NBD (donor) when the acceptor Rh is present ( $I_{DA}$ ) and absent ( $I_D$ ) was used to calculate the FRET efficiency (*E*) using:  $E = 1 - (I_{DA}/I_D)$ .

## 604 Electroformation of giant unilamellar vesicles

605 Giant unilamellar vesicles (GUVs) were prepared by the electroformation method from 0.7 606 mM DOPC. Depending on the experiment, the GUVs were labelled with 0.5 mol% Rh-DOPE (Rh-607 GUVs), 1 mol% DiO (DiO-GUVs), 1 mol% DiD or 0.5 mol% Laurdan, by adding the correspondent 608 dye to the DOPC solution in chloroform. For the electroformation, 15 µL of lipid solution were 609 deposited on the conductive side of indium-tin oxide (ITO) coated glass slides and then dried 610 under a nitrogen stream to form a thin film. Then, the electroformation chamber was assembled 611 using two ITO slides, each in contact with a copper tape, separated by a 1.6 mm Teflon spacer. 612 The chamber was filled with 300 mM sucrose solution (300 mOsm/kg) and connected to a function 613 generator to apply an AC field. The frequency was set at 10 Hz and the voltage was gradually 614 increased from 1 V peak-to-peak ( $V_{pp}$ ) to 5  $V_{pp}$  over 15 minutes and maintained at 5  $V_{pp}$  and 10 615 Hz for two hours. Finally, the frequency was gradually reduced to 0.1 Hz over 10 minutes to 616 facilitate the closure and detachment of GUVs from the slide. After electroformation, the GUVs 617 were diluted (1:5) with isotonic buffer (20 mM HEPES, 150 mM NaCl, pH 7.4, 300 mOsm/kg)

618 unless otherwise specified. For experiments where the membrane tension of the GUVs needs to 619 be osmotically modified, the osmolality of the buffer in which the GUVs were diluted after 620 electroformation was reduced or increased by 10 mOsm/kg to obtain tense GUVs or relaxed 621 GUVs, respectively. <sup>48, 62</sup> The osmolality of the buffers was measured with a freezing point 622 depression Advanced Instruments 3320 osmometer.

623 To prepare GUVs loaded with fluorescent dextran, we added 1 mg/ml of TRITC-dextran 70 624 kDa to the sucrose solution used to rehydrate the lipid film in the electroformation chamber. The 625 electroformation was carried out as explained above. After the electroformation, unencapsulated fluorescent dextran was removed from the medium by centrifugation washing protocol. <sup>63</sup> 200 µl 626 627 of GUVs were diluted with 800 µl of buffer (20 mM HEPES, 150 mM NaCl, pH 7.4) and then 628 centrifuged at 100x g for 3 minutes. The supernatant containing free dextran was removed and 629 the sedimented GUV are resuspended with 800 µl of fresh buffer. The process was repeated 2 630 more times and in the final round the GUVs are resuspended to a final volume of 600 µl.

#### 631 Confocal microscopy

632 The GUV-fusion experiments were performed at room temperature on a Zeiss LSM-880 633 inverted laser scanning confocal microscope with a Plan-Apochromat 40x/1.4 Oil DIC M27 634 objective lens (NA = 1.4). The glass surfaces of the 8-well microscope chamber slides were 635 treated with 5% BSA solution in mili-Q water for 10 minutes and then rinsed with mili-Q water and 636 dried under a nitrogen stream to prevent GUVs from adhering and rupturing onto the glass. 200 637 µI of GUVs were deposited into a well of the microscope slide and, once the GUVs were sunk in 638 the bottom of the well, 25 µg/ml SiO<sub>2</sub>NPs were carefully added to the sample. All GUVs observed 639 in this study were between 8 µm and 30 µm (diameter of equatorial plane). DiO and Rh were 640 excited with a 488 nm argon laser and a 561 nm diode pumped solid state (DPSS) laser, 641 respectively. The emission of DiO was recorded between 493 nm and 553 nm and the emission 642 of Rh between 566 nm and 630 nm. The excitation and emission of TRITC dextran was the same

as for Rh. DiD was excited at 633 nm with a HeNe laser and its fluorescence emission wasdetected between 640 nm and 750 nm.

### 645 Laurdan spectral imaging

646 GUVs labelled with 0.5 mol% Laurdan were prepared by electroformation. The spectral 647 imaging was acquired using the lambda mode of the Zeiss LSM880 confocal microscope. Laurdan 648 was excited at 405 nm and the fluorescence detection range was set between 410 nm and 550 649 nm with a spectral step of 8.9 nm per channel. Snapshots of Laurdan labelled GUVs were 650 acquired before and after exposure to 25  $\mu$ g/ml SiO<sub>2</sub> NPs. If the lipid packing within the membrane 651 increases, the maximum fluorescence of Laurdan experience a blue shift from 490 nm  $(I_{490})$  to 440 nm (1<sub>440</sub>). The images were analysed with a Fiji plugin developed by Sezgin et al, <sup>64</sup> setting 652 653 440 nm and 490 nm as maximum emission wavelengths to calculate the GP values using the 654 following equation:

655 
$$GP = \frac{I_{440} - I_{490}}{I_{440} + I_{490}}$$

## 656 Estimation of proportion of lipid mixed GUVs

The proportion of GUVs undergoing fusion in the samples was quantified by taking confocal microscopy tile scans of large sample areas containing a 1:1 (vol:vol) mixture of Rh-GUVs and DiO-GUVs, after incubation with 25  $\mu$ g/ml SiO<sub>2</sub> NPs for 30 minutes. GUVs with both dyes colocalised in the membrane were counted as lipid mixed GUVs. The number of lipid mixed GUVs in each tile image was counted manually and reported as the proportion respect the total number of GUVs. These experiments were repeated for tense GUVs, neutral GUVs (in isotonic buffer) and relaxed GUVs to assess the influence of the membrane tension in the fusion process.

#### 664 Detection of fusion intermediate states and estimation of lipid mixing rate

A mixture of Rh-GUVs and DiO-GUVs (1:1; vol:vol) was exposed to 25 μg/ml SiO<sub>2</sub> NPs.
 Confocal microscopy time series were acquired to follow fusion processes taking place between
 pairs of oppositely labelled GUVs over time. Images were analysed with Fiji to measure the
 fluorescence intensity of each fluorophore in different regions of interest of the GUVs membranes.

For the estimation of the lipid mixing rate, we monitored the fluorescence increase over time of one of the dves when it invades the GUV initially labelled with the other fluorophore. For this analysis, the fluorescence was normalised to the maximum intensity reached after fusion. The data was fitted to an exponential function  $f(x) = A(1 - exp(-t/\tau))$  where, A is the change in fluorescence, t is the time passed since the lipids begin to mix and  $\tau$  is the time constant. The rate of lipid mixing is calculated as the diffusion coefficient (D):  $D=\omega^2/4\tau$ , where  $\omega$  is the radius of a circle with a surface area equivalent to the GUV analysed. The analysis of the images was performed with Fiji and the data was fitted using Origin Pro.

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# 687 Supporting information

688 TEM and DLS characterisation of SiO<sub>2</sub> NPs. Example of FRET spectrum and FRET ratio

and efficiency. Table of DLS data of individual LUVs samples. Influence of membrane tension in

690 fusion efficiency of LUVs measured by FRET. Ratio of volume change of GUVs after fusion though

691 different pathways. Confocal microscopy movies of GUV fusion.

# 692 Data availability

- 693 The datasets and movies that support the findings of this study are available in the White Rose
- 694 repository with the identifier (DOI tbc).

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- 701
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