

In situ lithiated ALD niobium oxide for improved long term cycling of LiCoO₂ cathodes: A thin-film model study

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Abstract

Protective coatings applied to cathodes help to overcome interface stability issues and extend the cycle life of Li-ion batteries. However, it is difficult to isolate the effect of the coating because of the additives and non-ideal interfaces within 3D cathode composites. In this study we investigate niobium oxide (NbO_x) as the cathode coating in a thin-film model system, which allows assessing the cathode-coating-electrolyte interfaces. The conformal NbO_x coating was applied by atomic layer deposition (ALD) onto thin-film LiCoO₂ cathodes. The cathode/coating stacks were annealed to lithiate and ensure sufficient ionic conductivity. A range of different coating thicknesses were investigated to improve the electrochemical cycling as compared to the uncoated cathodes. At a NbO_x thickness of 30 nm, the cells retained 80% of the initial capacity after 493 cycles at 10 C, more than doubling the cycle life of the uncoated cathode. At the same thickness, a residual initial capacitance of 47% remained even at very high charge-discharge rates of 100 C. Using impedance spectroscopy measurements, we find that the enhanced performance is due to suppressed interfacial resistance growth during cycling. Elemental analysis using TOF-SIMS and XPS further revealed a bulk and

surface contribution of the NbO_x coating. These results show that lithiated ALD NbO_x can significantly improve the performance of layered oxide cathodes by inhibiting the cathode degradation, resulting in prolonged cycle life.

Keywords: ALD NbO_x; Coating; Cathode; Rate performance; Cycle life

1. Introduction

Engineering of artificial solid-electrolyte interfaces in lithium ion batteries are a part of common strategy to improve a wide span of characteristics, including capacity, rate capability and longer cycle life.[1-3] By using an artificial solid-electrolyte interface for layered intercalation cathodes such as LiCoO₂ and LiNi_{1-y-z}Mn_yCo_zO₂, the decay of the electrochemical performance can be decelerated and the power performance enhanced.[4, 5] This is possible due to the suppression of redox-active metal dissolution from the cathode into the electrolyte and prevention of the formation of a resistive native layer at the cathode-electrolyte interface.[6, 7] The employed coatings for this purpose are usually either organic polymers (e.g. polypyrrole[8]) or inorganic materials. Inorganic coatings, such as metal oxides, are thermally and mechanically stable, nonflammable and normally display little to no reactivity with the cathode itself.[9] The function of the coating depends on the type: Li⁺ conducting materials elevate the ionic diffusion coefficient and thus enhance the rate performance, electronic conductors like graphene allow for improved electronic conductivity across the interface and lastly, oxides, fluorides and other inert materials construct a protective layer and reduce interactions between cathode and electrolyte.[10]

The family of niobium oxides (e.g. Nb₂O₅) and their lithiated form (e.g. LiNbO₃) have found several applications in the field of lithium ion batteries. This type of material, which will be denoted as NbO_x in the rest of the manuscript, can function as electrode[11] and electrolyte[12] but its most interesting application is as cathode

55 coating.[13, 14] Lithiated NbO_x processed by atomic layer deposition (ALD) possesses
an ionic conductivity of $10^{-9} \text{ S cm}^{-1}$ [12] and is electrochemically stable in combination
with layered oxide cathode materials, such as LiCoO_2 (LCO)[15, 16]. Deposited on a
cathode material, NbO_x can play a role as protective layer, surface modifier and bulk
dopant. As a protection coating, it can reduce side reaction and improve structural
60 stability. Interdiffusion on the other hand can increase the stability at the interface by
decreasing defects and oxygen loss.[5]

NbO_x is well known in the solid-state battery field where it is commonly used to
prevent electrochemical decomposition of sulfide solid electrolytes or prevent
interdiffusion during high temperature processing of oxide electrolytes.[13, 17] Sol-gel
65 coating of LiNbO_x on commercial LCO powder, followed by high temperature
treatment at 800°C , was previously used to increase cycle ability[18] but it was difficult
to distinctly identify the interface effects. Therefore, to investigate the effects of NbO_x
coating on the cathode-electrolyte interface, a thin-film approach is advantageous as it
offers a simplified and pure model system without the need for binders and additives.
70 Kato et al.[14] demonstrated this approach by coating a thin-film LCO cathode with
 350 nm LiNbO_x by pulsed-laser deposition (PLD) and observed that the coating could
enhance the rate performance, obtaining 20 % remaining capacity at 30 C. However,
since the coating was thicker than the cathode itself, a non-negligible increase in the
interfacial impedance is expected as well as difficulties in deconvoluting the effect of
75 the coating from the active material.

The goal of this work is to further deepen the understanding of NbO_x as a cathode
coating with the goal of overcoming current limitations in rate and power performance.
Atomic layer deposition as a coating method has the distinct advantage of producing
conformal coatings with \AA -level thickness control.[9] This allows the investigation of
80 ultra-thin coatings of NbO_x for cathodes with different thicknesses, ensuring negligible
contribution as active material in the electrochemical investigations. In this work, LCO
was employed as a representative layered oxide cathode material as it is a well-studied
cathode commonly used in Li-ion batteries and in thin-film configuration it possesses
comparable performance to the widely employed bulk cathodes made from
85 powders.[19]

2. Methods

2.1 LCO electrode Preparation

The thin-film half cells were prepared on single-side polished sapphire (0001) wafers
90 (University Wafers). The close thermal expansion coefficient of sapphire and the
deposited films make it more favorable as a substrate than other materials such as Si.
First, the sapphire wafers were coated with a 20 nm Ti adhesion promoter layer and a
300 nm Pt current collector by RF magnetron sputtering. The sputtering deposition was
performed from Ti and Pt targets (Plasmaterials Inc.) in an Orion sputtering system
95 (AJA International Inc.) applying a sputtering power of 3 W cm^{-2} at a pressure of 0.3
Pa and 50 sccm Ar gas flow. In the same system, 300 nm LCO films were deposited
from stoichiometric LiCoO_2 targets (Toshiba Manufacturing Co.) at room temperature
with a power of 5.9 W cm^{-2} and a bias of 70 V applied to the substrate. Details regarding
the LCO thin film preparation are provided by Filippin et al.[20]

100 2.2 NbO_x coating by ALD

The as-deposited LCO films were coated with an amorphous NbO_x layer by ALD at
a substrate temperature of $175 \text{ }^\circ\text{C}$ with argon as carrier gas at a base pressure of 19 Pa
in a Fiji G2 system (Veeco Instruments Inc.). The precursors were niobium(V) ethoxide
(NbOEt_5) (Sigma Aldrich) and H_2O . NbOEt_5 was kept at $160 \text{ }^\circ\text{C}$ while H_2O was
105 unheated. The growth rate was determined by ellipsometry on Si (100) reference
substrates (Fig. S1) and linear growth was observed with a growth rate of $0.42 \text{ \AA cycle}^{-1}$.
¹. X-ray diffractometry (XRD) confirmed that the as-deposited layers were amorphous.
The post-annealing treatment for the NbO_x coated LCO was carried out at $700 \text{ }^\circ\text{C}$ for

one hour with a heating rate of $10\text{ }^{\circ}\text{C min}^{-1}$ in a tube furnace (Carbolite Gero GmbH & Co.) at atmospheric pressure under O_2 flow.

2.3 *Material characterization*

The microstructure of the cathode stack was studied by scanning electron microscopy (SEM) (Hitachi FEG-SEM S-4800). The cross section was prepared by scribing and cleaving the substrate with cleaving pliers. To prevent charging the sample was covered with a 2 nm Pt coating. XRD was performed in grazing-incidence mode using $\text{Cu K}\alpha_1$ radiation at an incident angle of $\omega = 2^{\circ}$ in the range of $2\theta = 10^{\circ} - 80^{\circ}$. Phase identification was done by using Profex.[21] Time-of-flight secondary ion mass spectrometry (ToF-SIMS) (ToF.SIMS⁵, IONTOF) was used to investigate the elemental distribution across the cathode profile. A Cs^+ -ion gun with $V_{\text{ac}} = 2\text{ kV}$ and $I = 110\text{ nA}$ was employed to sputter an area of $300 \times 300\text{ }\mu\text{m}^2$. A Bi^+ ion gun was used as primary ion source ($V_{\text{ac}} = 25\text{ kV}$ and $I = 2\text{ pA}$) for analyzing the chemical species on a $100 \times 100\text{ }\mu\text{m}^2$ within the sputtering crater. A floodgun was used to prevent surface charging. X-ray photoelectron spectroscopy (XPS) measurements were performed using a Quantum2000 photoelectron spectrometer from Physical Electronics with a monochromatic $\text{Al K}\alpha$ source (1486.6 eV) and a base pressure below 8×10^{-9} mbar. Survey spectra were recorded with an energy step size of 0.4 eV and a pass energy of 93.90 eV after 20 s of pre-sputtering in order to clean the surface before spectra acquisition. An ion neutralizer using Ar^+ of $\approx 1\text{ eV}$ was used to minimize the fluctuations of the binding energy values due to possible sample charging. Elemental peaks of the sample surveys were annotated using the Multipak software.

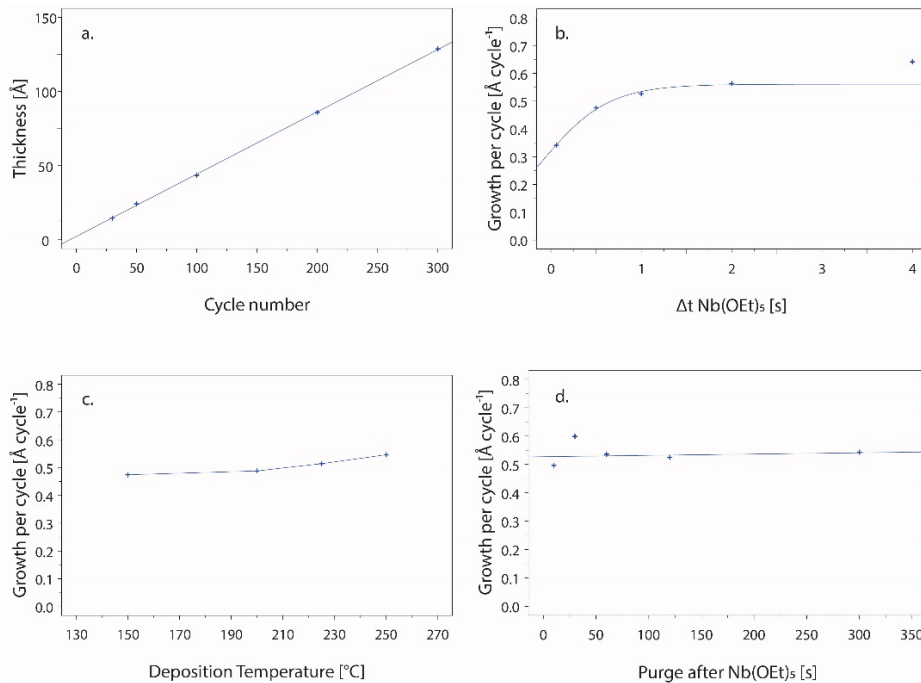
2.4 *Electrochemical Testing*

Electrochemical testing was performed in a three-electrode electrochemical cell with liquid electrolyte. The cell was connected to a Squidstat potentiostat (Admiral Instruments) inside an argon-filled glovebox (Inert Corp.) at $30\text{ }^{\circ}\text{C}$. [22, 23] Lithium foil

135 (Sigma Aldrich) was used as counter and reference electrode. The electrolyte was
propylene carbonate with 1.0 M LiClO₄ (Sigma Aldrich). Cathode loading was
calculated using the target density (4.9 g cm⁻³), the film thickness (300 nm) and the area
of the electrochemical cell (0.9 cm²). The cell setup was clamped on the cathode using
a Viton O-ring for sealing the aperture, thereby defining the active area of the half-cell.
140 After equilibration, electrochemical impedance spectra (EIS) were acquired by
charging the cells to 4 V at 1 C (= 22.0 μA cm⁻²) and then stabilizing the potential until
the current was below 1.1 μA cm⁻². Following, a cyclic voltammetry (CV) scan was
performed between 3.0 V and 4.25 V at a rate of 0.25 mV s⁻¹. The cells were then cycled
by applying constant charge and discharge currents at 1 C, 2 C, 5 C, 10 C, 50 C, 100 C
145 and 1 C for five times respectively in the range of 3 V to 4.25 V. For lifetime
assessment, the cells were cycled at 10 C for 1000 cycles. At the beginning and after
each 100 cycles, EIS was measured as described above.

3. Results and Discussion

150 3.1 Atomic layer deposition of NbO_x

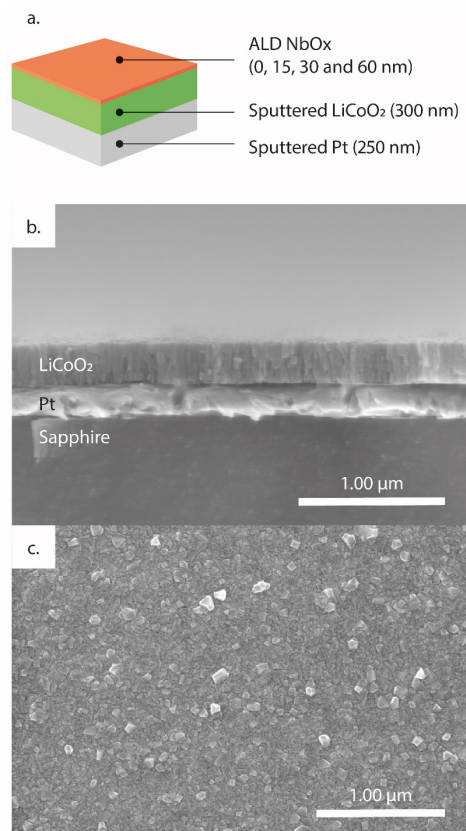


155 **Fig. 1:** (a) Film thickness *versus* number of applied cycles. (b) Precursor saturation studies by variation of the $Nb(OEt)_5$ pulse length at 175 °C on Si(100). (c) Growth per cycle as a function of deposition temperature. (d) Growth per cycle variation with $Nb(OEt)_5$ purge time at 175 °C on Si(100).

160 NbO_x layers were deposited by ALD on amorphous sputtered LCO thin films at a deposition temperature of 175 °C. Fig. 1a shows the saturation curves for the ALD

process. In Fig. 1a the linear growth under optimized conditions (175 °C, 2 s pulse time and 60 s purge time) was confirmed at a rate of 0.42 Å cycle⁻¹ on silicon. Fig. 1b shows the saturation curve for the pulse time of Nb(OEt)₅. Pulse time correlates directly with the amount of Nb(OEt)₅ used and between one and three seconds of pulse time growth rate is not affected by the amount of precursor, whereas below one second and at four second deviation in the growth per cycle is observed. Since linear growth is desired for ALD, 2 s of pulse time were chosen. Similarly, Fig. 1c shows the deposition temperature versus growth rate, from which 175 °C was chosen as an appropriate value. Fig. 1d shows the purge time necessary after the Nb(OEt)₅ pulse, where below 50 s fluctuations in the growth rate were observed, resulting from remaining precursor in the chamber. 60 s purge time for the final process were selected. Three different coatings were prepared: 15 nm, 30 nm and 60 nm, and compared to uncoated LCO. After the ALD deposition the cathodes were annealed at 700 °C. The impedance of the cell decreases from about 40 kΩ cm² to below 200 Ω cm² when the NbO_x coating is annealed together with the LCO film compared to the as-deposited NbO_x on already crystallized LCO films (Fig. S2). This suggests that the NbO_x coating gets lithiated during the annealing, becoming Li-ion conductive in the process. From the EIS measurements an ionic conductivity for the lithiated NbO_x coating in the order of 10⁻⁷ S cm⁻¹ is estimated. XRD (Fig. S3) measurements confirm that as-deposited NbO_x is amorphous and partially crystallizes after annealing at 700 °C.

3.2 NbO_x coated LCO cathodes: morphology, crystal phase and composition



185 **Fig. 2:** (a) Schematic of the investigated thin-film architecture. (b) Cross-section
SEM image of the half-cell stack (LiCoO₂/Pt/Ti/Sapphire) without coating. (c)
190 Surface SEM image of uncoated LiCoO₂ on Pt.

The schematic of the investigated thin-film cathode structure is depicted in Fig. 2a.
190 Fig. 2b. presents an SEM cross-section image of the LCO thin film with the Pt current
collector on sapphire substrate after annealing for 1 h at 700 °C. The thickness is about
300 nm. The characteristic columnar microstructure perpendicular to the substrate of
LCO after heat treatment is observed, as also described in other publications.[24] Fig.

2c depicts a top-view image of the LCO film with the typical crystalline morphology
195 after thermal annealing.

In Fig. 3a, the XRD patterns of 30 nm NbO_x-coated LCO films are compared with
the XRD patterns of an uncoated film. The reflection indicated by a black arrow
represents the Nb₂O₅ phase. The broadness of the reflection is attributed to a poor
200 crystallinity of the annealed film. This agrees with previous reports of surface
modification with niobium compounds[25] and the reflection observed of annealed
ALD NbO_x on Si-wafer (Fig. S3). Fig. 3a exhibits the (003) oriented hexagonal LCO,
with a strong reflection at around 18°.[24] Interestingly, the crystallinity of the coated
LCO seems to be improved compared to the uncoated film, evidenced by a more intense
205 and sharp (003) reflection.

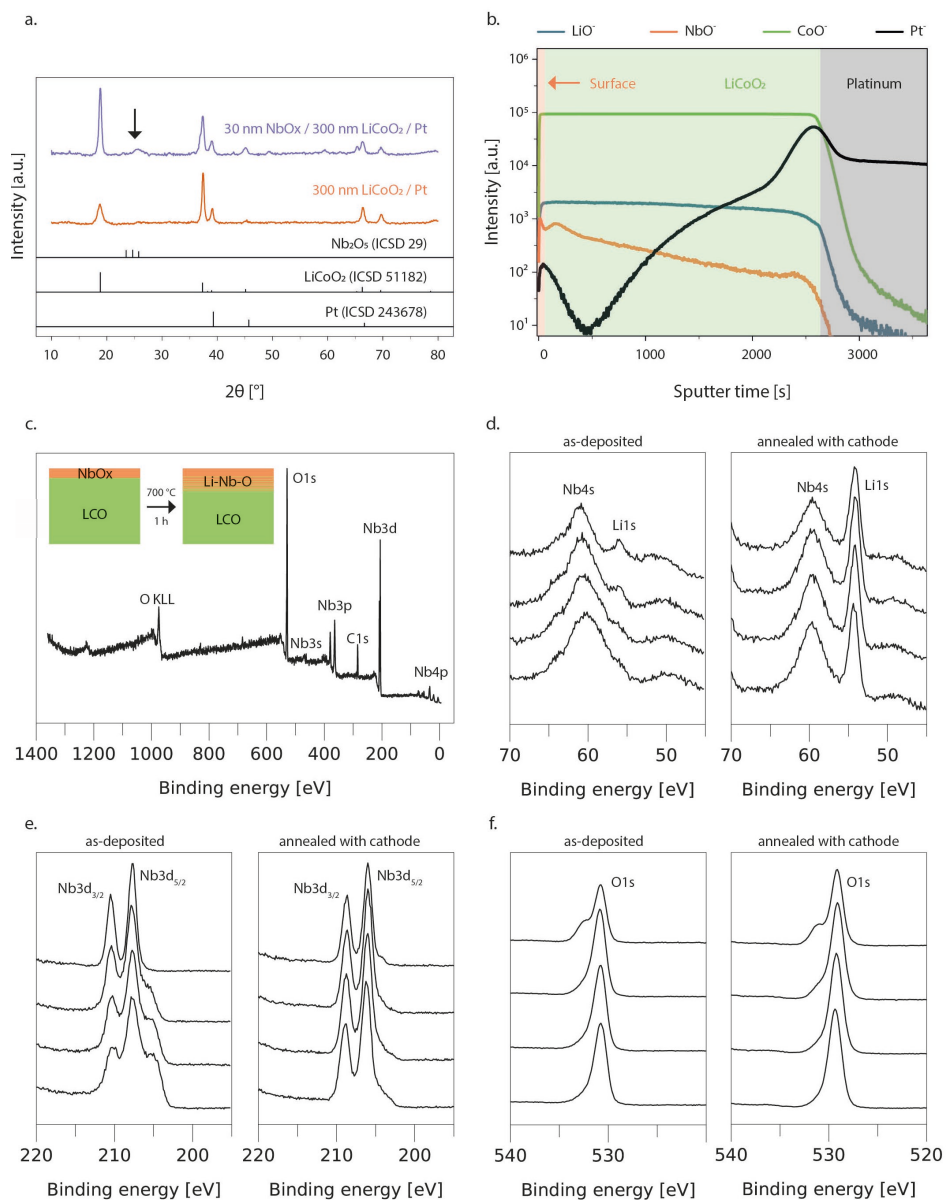


Fig. 3: (a) Grazing-incidence XRD patterns of LiCoO₂ on Pt with and without NbO_x coating and annealed at 700°C for 1 h under oxygen flow. The black arrow indicates the position of the Nb₂O₅ reflection. (b) ToF-SIMS depth profile of the ALD-NbO_x (30 nm) coated LiCoO₂ film after annealing. (c) Survey spectrum shown from 0 to 1400 eV of 30 nm NbO_x coated LiCoO₂ cathode films after annealing; Inset: schematic of the lithiation process during annealing of the coated cathode films. Li 1s

215 and Nb4s (d), Nb3d (e) and O1s (f) spectra of as-deposited NbO_x on LCO (left) and NbO_x annealed together with the LCO cathode film (right) with no sputtering, 0.5 min, 1 min and 1.5 min sputter time from top to bottom.

Fig. 3b. displays the ToF-SIMS depth profile of the main negatively ionized chemical species, namely NbO⁻, LiO⁻, CoO⁻ and Pt⁻. The oxide species were analyzed instead of the pure elements due to a higher sensitivity. A partial diffusion of niobium into the bulk is observed because of the simultaneous annealing of the NbO_x coating and as-deposited LCO layer. On the surface, the higher intensity of the NbO⁻ signal indicates that the coating is present after annealing. The signal increase of the LiO⁻ correlates with the peak of the NbO⁻ signal indicating the lithiation of the NbO_x coating. Overall an interplay of Nb doping into the LCO cathode bulk and a surface effect is therefore expected. Fig. 3c shows the full survey surface spectra from 0 to 1400 eV of 30 nm NbO_x coated LCO cathodes annealed together. Fig. 3d-f. compare narrow scans of as-deposited ALD NbO_x on pre-annealed LCO (left) and LCO cathodes annealed with the NbO_x coating (right). The collected spectra correspond to surface (top), 0.5min, 1 min and 1.5 min (bottom) sputter time respectively. Fig. 3d. shows an increased I(Li1s)/I(Nb4s) intensity for NbO_x samples annealed together with the cathode (right) compared to as-deposited NbO_x (left). A direct comparison of the peak ratio is possible, since in Nb4s and Li1s the electrons originate in both cases from the S shell [26]. The increased ratio indicates a higher lithium content in the case where the NbO_x layer is annealed with the cathode. As discussed earlier, this results in a strong decrease in interface resistance compared to as-deposited NbO_x on annealed LCO (Fig. S2). In Fig. 3e a shift to lower binding energies is observed for the Nb3d doublet, in the case where the coating is annealed together with the cathode. This indicates an overall lower oxidation state in the Nb-O system due to a higher lithium content. The as-deposited NbO_x narrow spectra (Fig. 3e, left) displays an emerging peak with increasing sputter time, i.e. closer distance to the cathode. This peak at lower binding could come from limited Li ion diffusion from the annealed cathode into the lower part of the as-deposited NbO_x layer. Fig. 3f shows at the surface (top) a peak at 532 eV, which

vanishes after sputtering and corresponds to adsorbed oxygen, while the structural oxygen peak 530 eV remains through the whole layer.

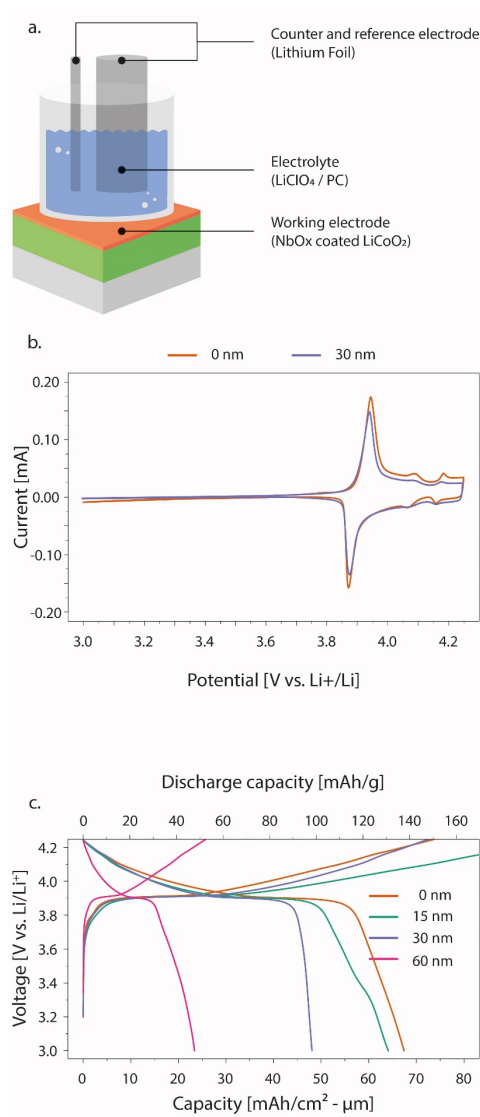


Fig. 4: (a) Schematic illustration of the coated cathode assembled in a three-electrode half-cell configuration (b) Cyclic voltammetry between 3.0 and 4.25 V of

LiCoO₂ (0 nm NbO_x) and LiCoO₂ (30 nm NbO_x). (c) Second cycle charge-discharge curves of bare and NbO_x-coated LiCoO₂ at 1 C.

255 The electrochemical properties were tested in a three electrode half-cell setup as depicted in the schematic in Fig. 4a. This approach allows the investigation of the cathode/electrolyte interface in a well understood and reproducible system. A propylene carbonate (PC) based electrolyte was used due to suitable ionogenic properties and a high dielectric constant. Since metallic lithium was used as counter- and reference electrode, the usually unfavorable passivation of graphite was not an issue.[27]

260 Fig. 4b. displays the cyclic voltammograms of the bare and 30 nm NbO_x coated LCO thin films after cell-assembly at a scan rate of 0.25 mV s⁻¹. The main peak observed around 3.9 V corresponds to the lithium de- and intercalation. The smaller peaks around 4.0 V and 4.2 V correspond to the phase transition between the hexagonal and monoclinic phase.[22] There is no significant difference for the peak position of the coated and uncoated films, however the peak intensity is lower, which can be attributed to an increase in the charge-transfer resistance due to the 30 nm NbO_x film. No additional redox peaks are observed, demonstrating no undesired side reactions in this first cycle. The main-peak splitting of 70 mV indicates a low polarization of the system.

270 Fig. 4c displays the full charge-discharge curves of the second cycle measured at 1 C between 3.00 V and 4.25 V. In agreement with the CV measurements, the charge-discharge curves possess a characteristic plateau at 3.9 V as expected from the lithiation and delithiation of LCO. The discharge capacity decreases with increasing coating thickness. This might be due to lithiation of the film coating taking place in the first cycles, using up more lithium with increasing film thickness. Additionally, it is possible that the coating and surface doping binds lithium and forms non-active phases, which again would lead to a decreased capacity. Simultaneously, decreased columbic efficiency is observed for samples having a thicker NbO_x coating, supporting lithium loss as explanation for the lower discharge capacities (Fig. S4).

3.4 Charge transfer resistance for different NbO_x coating thicknesses

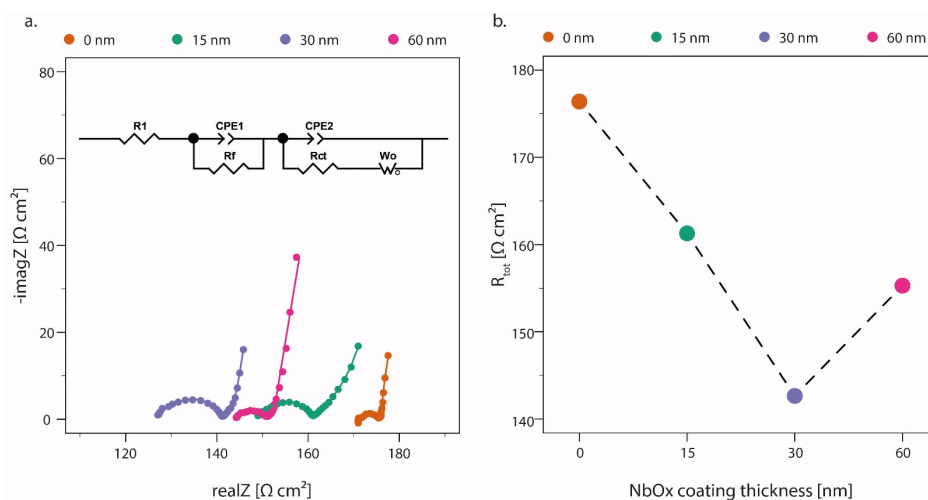
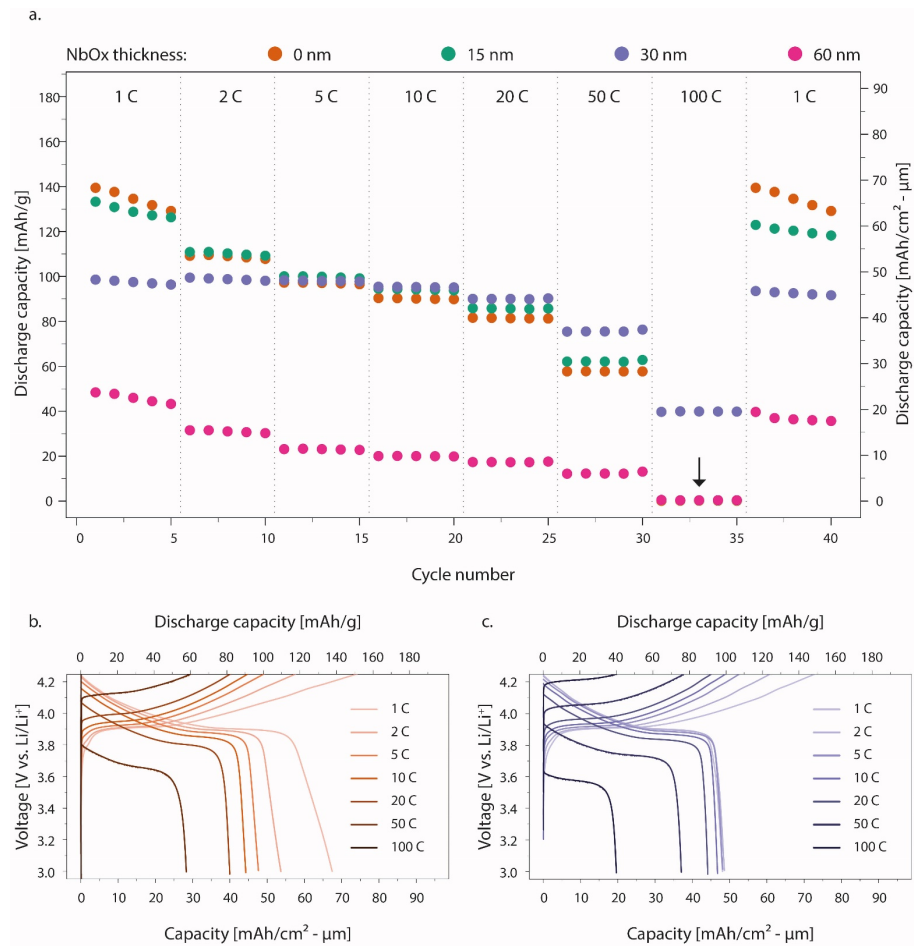


Fig. 5: (a) Nyquist plots of the as-assembled cells with different NbO_x coating thicknesses. Measured data and the equivalent circuit (inset) fit are depicted. (b) Total cell resistance as a function of the coating thickness of the as-assembled cells.

EIS measurements were performed to understand the ion dynamics and extract the charge transfer resistance from the equivalent circuit in the insert of Fig. 5a. by fitting to the measured electrochemical impedance spectra. The employed equivalent circuit is commonly used to model coated LCO cathodes.[28]. Fig. 5a presents the impedance spectra of bare and NbO_x -modified LCO thin film electrodes. In the high and medium frequency range two semicircles can be observed for all the samples. The first semicircle in the high frequency region is attributed to the lithium ion diffusion across the electrode-electrolyte interphase. The second circle is related to the charge transfer region between the surface film and the active material interphase, as discussed in other publications.[29]

Fig. 5b displays the total resistance of the cells. Of note is that the 30 nm coated sample possesses the lowest total resistance, but this is due to the series resistance having the main contribution to R_{tot} . The initial charge-transfer resistance for the 30 nm coated sample is the highest of all the samples, but due to its low absolute value this is not reflected in the total resistance.

3.5 Rate performance of the half-cells



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Fig. 6: (a) Discharge capacity of LiCoO₂ with different NbO_x coating thicknesses at different C rates. The arrow indicates that the experimental points for 0, 15 and 60 nm coating overlap at zero discharge capacity at 100C (b) Charge-discharge curves at 1 C of bare LiCoO₂. (c) Charge-discharge curves at 1 C of 30 nm NbO_x-coated LiCoO₂.

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Fig. 6a presents the discharge capacity for different C rates of the cathode films with different NbO_x coatings. Measurement was performed five times at each C-rate, ranging

from 1 C (= 22.0 $\mu\text{A cm}^{-2}$) and up to an ultra-fast rate of 100 C (= 2203.3 $\mu\text{A cm}^{-2}$). In the end the measurement at 1 C was repeated for each cell, to rule out degradation as
315 cause of the decreased capacity at high currents. Discharge capacities of the early cycles are unstable due to initial lithiation and SEI formation. After measuring at 2 C the discharge capacities tend to stabilize. As expected, discharge capacity decreased with increasing C-rate because of higher polarization, however to a different extend. The uncoated and 15 nm coated sample exhibit similar behavior, whereas for 30 nm and 60
320 nm coated samples the behavior is drastically different. The 30 nm coated sample exhibits an excellent rate performance, surprisingly even at 100 C 47% remaining initial capacity is observed. It is commonly reported that the effect of the cathode coating becomes more pronounced at elevated current rates[30], however the extent of the increase was unexpected to us. No remaining capacity is observed for all other samples
325 at 100 C. After the rate performance measurement, the capacity returns almost to its initial values when cycling the film again at 1 C. This indicates that for all besides the 30 nm NbO_x coated sample, lithium intercalation is not possible under larger currents as a result of high overpotentials. Fig. 6b and c display the charge-discharge curves at different C rates for the uncoated and the 30 nm coated sample. The curves at high and
330 low C-rates are of the same shape indicating that the main lithiation and delithiation process does not change with the applied current.

The initial capacity gap between the uncoated and the 30 nm sample is inverted at higher C rates, and consequently an increase in the Coulombic efficiency observed. For low C rates all samples display the characteristic slope and plateau region from 3.0 to
335 4.25 V. At higher C rates the discharge capacity of the uncoated sample deteriorates fast, whereas the 30 nm sample possesses low capacity degradation up to 10 C.

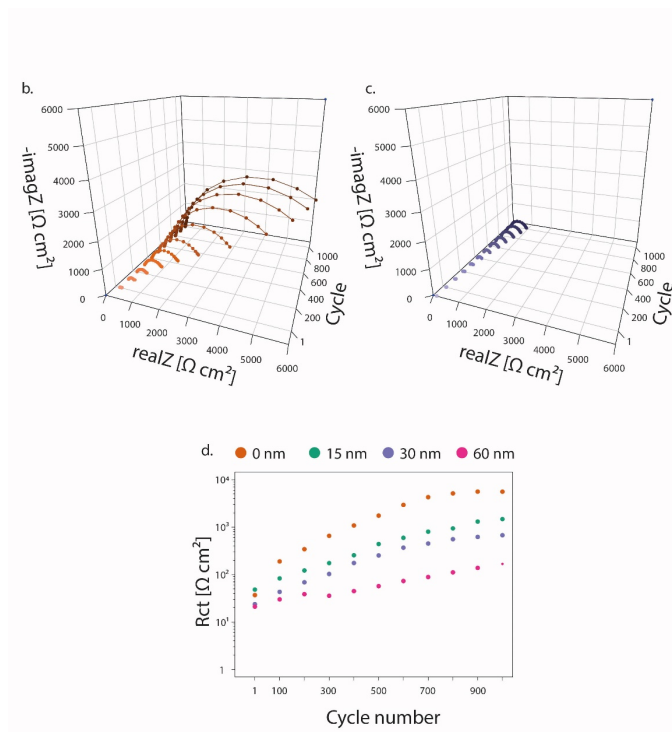
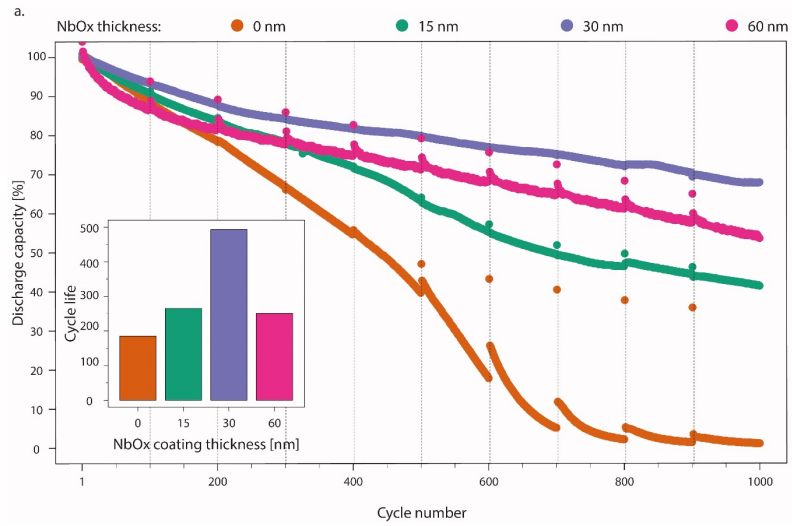


Fig. 7: (a) Normalized discharge capacity at 10 C over 1000 cycles. The grey vertical lines indicate the EIS experiment performed every 100 cycles. The inset depicts cycle

life (at 20 % capacity loss) for different NbO_x coating thicknesses. (b) and (c): Nyquist plot of (b) uncoated NbO_x-coated LCO and (c) NbO_x-coated LCO during cycling. (d)
345 Charge-transfer resistance evolution over 1000 cycles for different NbO_x coating thicknesses.

To study the degradation behavior, all cells were cycled at 10 C for 1000 cycles after the rate-performance measurements. Fig. 7a displays the measured discharge capacity
350 normalized to the initial value. The NbO_x coating has a strong influence on the cycle life, as seen in the cycle life (80 % remaining capacity) plotted in the inset of Fig. 7a. By coating the cathode with 30 nm NbO_x it was possible to increase the cycle life at 10 C from less than 200 cycles for uncoated cathodes to 493 cycles.

355 The Nyquist plots of the impedance spectra measured after each 100 cycles depicted in Fig. 7b and c visualize the effect of the NbO_x coating on mitigating the resistance increase. While the uncoated sample demonstrates impedance growth over two orders of magnitude, the 30 nm NbO_x-coated cathode film presents a significantly slower degradation pace. To quantify the degradation extent, the equivalent circuit parameters
360 (see Fig. 5a) were extracted from the impedance spectra measured every 100 cycles. As depicted in Fig. 7d, the charge transfer resistance decreases with increasing coating thickness. However, one needs to carefully balance the decreased capacity with the gain from charge-transfer reduction. In our measurements 30 nm NbO_x seems to be optimal, whereas 15 nm coated sample displays behavior close to uncoated samples, and 60 nm
365 samples suffer too much from decreased capacity.

3.6 Discussion

Table 1 presents different inorganic coatings on thin-film LCO, with transition-metal
370 oxides being the most common material. The thickness of the coating material differs
widely among different publications, ranging from an order of magnitude thinner (such
as in this work) up to thicknesses above the cathode itself.[14] The employed LCO
thickness of 300 nm is comparable to other publications with exception of the 18 nm
epitaxially grown film[31] and the 4 μm thick cathode employed by Lee et al.[32] The
375 capacity at 1 C of the 30 nm NbO_x coated LCO cathode is average with $45 \mu\text{Ah}/\text{cm}^2\text{-}\mu\text{m}$
and lower than the theoretical capacity of LCO which lies above $60 \mu\text{Ah}/\text{cm}^2\text{-}\mu\text{m}$,
as earlier discussed. However, the observed 47 % remaining capacity at 100 C is
superior to other work except for the epitaxially grown LCO for evident reasons. The
charge transfer resistance of $20 \Omega \text{ cm}^2$ in our work appears to be the lowest reported
380 value. The low initial resistance and the inhibition of the growth thereof results in
superior cycle life of almost 500 cycles at 10 C.

Comparing NbO_x to other inorganic coating materials is not straightforward since
the LCO thickness and initial resistance plays an important role. This is expressed
through the performance of the uncoated LCO employed in this work, which performs
385 close to some of the coated LCO cathodes reported in Table 1. Since the cathode coating
often leads to an increase in charge transfer resistance[5], it is desirable to keep the
coating as thin as possible. The coating should also be conformal to protect the rough
cathode-electrolyte interface, thus favoring the use of ALD as a deposition technique.
A better coverage of the cathode surface by ALD NbO_x in this work can probably
390 explain the superior electro-chemical performance as compared to PLD coatings.

Table 1: Selected thin-film LCO cathode coatings

Coating	Coating thickness [nm]	LCO thickness [nm]	Max. capacity (1 C) [$\mu\text{Ah}/\text{cm}^2\text{-}\mu\text{m}$]	Max. C rate	Remaining capacity at max C [%]	R_{CT} with coating [$\Omega \text{ cm}^2$]	Cycle life [cycles]	Ref
MgO (PLD)	-	-	-	-	-	53	-	[33]
LiTaO ₃ (PLD)	300	300	51	30	0	376	-	[14]
Li-Nb-O (PLD)	350	300	49	30	20	239	-	[14]
Li-W-O (PLD)	300	300	30	30	70	600	~50 (1 C)	[34]
Li-W-O (PLD)	300	300	45	-	-	51	-	[35]
Li ₂ ZrO ₃ (PLD)	0.4	18 (epitaxial)	65	300	65	220	-	[31]
ZrO ₂ (PLD)	120	500	-	-	-	1000	>20	[36]
MgF ₂ (sol.)	-	4000	50	3	71	-	20 (1 C)	[32]
NbO _x (ALD)	30	300	45	100	48	20	493 (10 C)	This work

395 **4. Conclusions**

An ALD process for NbO_x coatings on layered cathode materials was developed and investigated in a thin-film model system, without binder and conductive additives. Annealing the as-deposited sputtered LCO cathode together with the NbO_x coating results in Nb diffusion into the cathode with simultaneous in-situ lithiation of the NbO_x coating. This cross-diffusion improves the ionic conductivity of the coating but reduces the initial capacity of the LCO cathode. The lithiated coating significantly slows down charge-transfer resistance growth at the cathode-electrolyte interface and consequently protects the interface. Both cycle life and rate performance of the coated cathode films showed a strong dependence on the coating thickness, with the optimal balance between the two found for a thickness of 30 nm. These optimized films showed 80% remaining initial capacity after 500 cycles at 10 C, more than double that of the uncoated LCO, while maintaining an initial capacitance of close to 100 mAh/g at 10 C. The conformal nature of binary ALD coatings, together with precise thickness control, is an attractive method for improving cycle life and high rate performance of layered oxide cathode materials.

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