

Synthesis and characterization of the fluorescent self-assembled structures formed by Benzothiazolone conjugates and applications in cellular imaging

VivekshinhKshtriya^[a], Bharti Koshti^[a], AnkitGangrade^[b], Ashadul Haque^[b], Ramesh Singh

Chilwal, KB Joshi, Dhiraj Bhatia,^{[b]*} Nidhi Gour^{[a]*}

[a] Department of Chemistry, Indrashil University, Kadi, Mehsana, Gujarat, India E-mail:

nidhigour@gmail.com

[b] Biological Engineering Discipline and Center for Biomedical Research, Indian Institute of

Technology Gandhinagar, Palaj 382355, Gandhinagar, India E-mail: dhiraj.bhatia@iitgn.ac.in

[c] Department of Chemistry, Dr. Hari Singh Gour, Sagar University, Madhya Pradesh, India

Abstract: We report the synthesis and characterization of self-assembled structures formed by 4-Chloro-2(3H)-benzothiazolone (**VK**) to panchromatic fibers and its application as cell imaging tool. The aggregation properties of the synthesized compounds have been studied extensively under different solvent and concentration and their morphologies examined at supramolecular level was observed by microscopic techniques like optical microscopy, fluorescence microscopy, and atomic force microscopy (AFM). Interestingly, the self-assembled structures formed by **VK** reveal panchromatic emission properties and show blue, green and red fluorescence under different excitation filters. The intensity of the fluorescence observed was blue > green > red and the dye interestingly do not show any fluorescence quenching, on the other hand reveal photoactive properties under green channel. The mechanisms of formation of the self-assemblies were studied through different techniques like concentration dependent NMR and, UV visible spectroscopy and fluorescence microscopic studies. Finally, the utility of **VK** for cell imaging applications is

demonstrated and it can be noted that **VK** can be efficiently up taken by mammalian cells and the stained cells reveal panchromatic emission under blue, green and red channel.

Introduction:

Molecular self-assembly is considered as a very fast-growing field of research considering its crucial significance in the field of material science,¹⁻⁴ chemistry^{3,5,6} and biological science^{3,7,8} for diverse applications. The study of molecular assembly of any compound is important since their organization at supramolecular level plays a decisive role for ascertaining their potential applications as in drug delivery and sensing.⁹⁻¹⁰

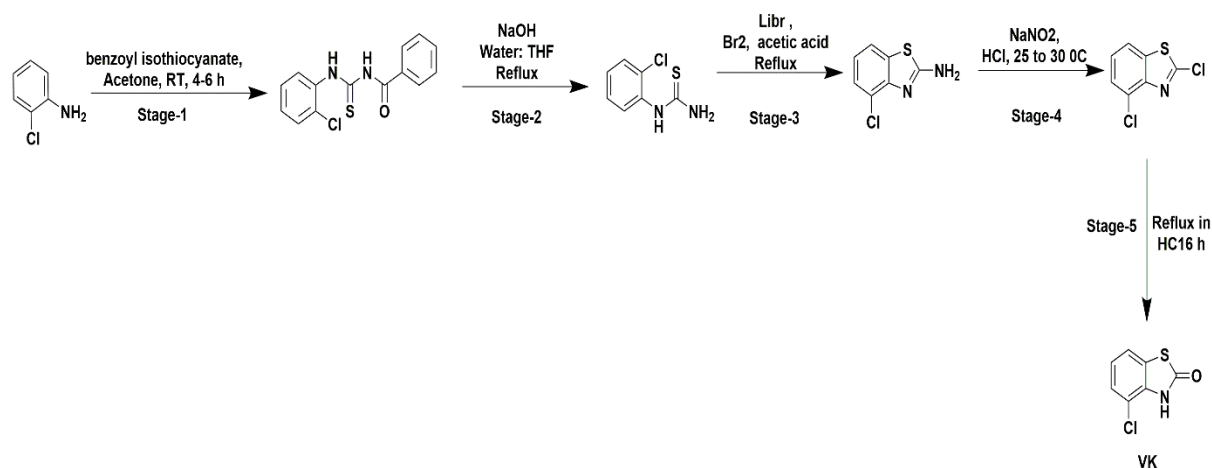
The main forces responsible for the formation of self-assembled structures are the non-covalent interactions like van der Waals, hydrogen bonding and pi-pi stacking which results in formation of well-defined morphologies through bottom-up approach.⁸⁻¹⁰ Moreover, the self-assembling properties of the compound also influence its photophysical characteristics,¹¹⁻¹³ which include its conductivity,¹⁴⁻¹⁶ optoelectronic properties^{17, 18} and its dispersion capability,^{19, 20} as well as the lattice packing of the molecules.^{21, 22} The self-assembling properties of the material are also affected by various parameters such as concentration,^{23, 24} temperature, pH,^{25, 26} solvent,^{27, 28} and lattice arrangement.²⁹⁻³¹ The importance of the self-assembly/aggregation on the photophysical properties of the material can be broadly understood by two terminologies: aggregation induced emission³⁴ and aggregation caused quenching³⁵.

We have earlier demonstrated synthesis and characterization of biomolecular self-assemblies and its applications as sensing and drug delivery tools.³⁶⁻⁴¹ Recently, our group reported a new pyridothiazole based AIEE probe for sensitive detection of amyloids.⁴² Herein, we report the self-assembly of 4-Choro-2(3H)-benzothiazolone (**VK**) to fibers exhibiting panchromatic

emission. The self-assembly studies are done under varying solvent and concentration and the mechanism of its formation assessed by NMR and UV visible spectroscopy, Fluorescence spectroscopy. Notably, VK is an intermediate which is widely used for the preparation of benazolin-ethyl, a well-known herbicide used in agriculture. It has immense applications in pharmaceutical and agrochemical industries, agrochemical some of the noted products based on VK scaffold being Chlobenthiazole, Benazolin-ethyl, (±)-Mevashuntin.³² to name a few. Hence, the study pertaining to aggregation and photophysical characteristic of this benzothiazolone conjugate is particularly significant for assessing its implications in drug delivery and sensing.

Results and discussions

VK was synthesised in five steps through already reported synthetic methodologies.³² In the first step condensation reaction is carried out between 2-chloraniline and benzoyl isothiocyanate. The step is followed by alkaline hydrolysis which yields 2-chlorophenyl thiourea. Further, oxidative cyclization reaction is carried out using Br₂ to yield 4-chlorobenzo[d]thiazol-2-amine. In the next step, diazotization reaction was carried out to yield 2,4-dichlorobenzo[d]thiazole. Finally, refluxing with concentrated HCl is pursued to yield VK in good quantitative as well as qualitative yield via Scheme 1.



Scheme 1 Chemical structure and synthesis of 4-Choro-2(3H)-benzothiazolone.

The synthesized VK was characterized by ^1H and ^{13}C NMR spectroscopy and LCMS mass analysis. The purity of VK was ascertained by HPLC. After achieving sufficient characterization and purity, the self-assembling properties of VK were analysed in water at various concentrations.

The self-assembly studies of VK were done by dissolving VK in DMSO to make a stock solution of 50mM. The solution was subsequently diluted to 1mM by dissolving it in deionized water. The self-assembling behaviour of VK (1mM) was studied by atomic force microscopy (AFM). AFM analysis reveals VK assemble to fibers which also show branching (Figure 1). The fibers were having diameters in microns range and their length ranged to several micrometers. The fibers were soft in nature as can be assessed by the AFM tip force when recorded in tapping mode.

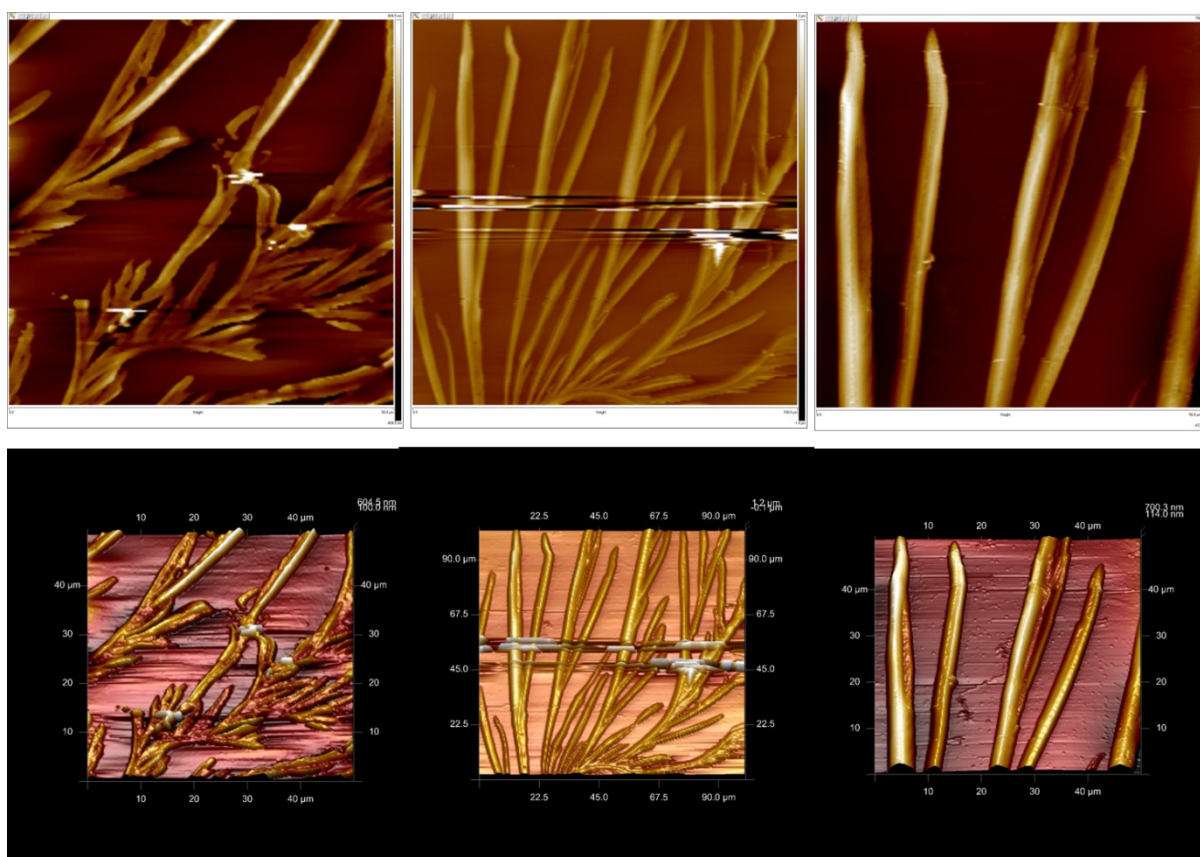


Figure 2 Atomic ForceMicroscopy (AFM) images of self-assembled structure **VK**(1mM) in 2% DMSO water solution under different magnifications.

The fibres of VK could also be observed by simple optical microscopy since their dimensions were large. A concentration dependent analysis of fiber morphology was done using optical and fluorescence microscopy at 1mM, 3mM, 5mM and 7mM concentrations. As the concentration is increased fibers appear to become more thick and form tape like structure at high concentrations. Interestingly, under green filter these fibers also reveal intense fluorescence without the use of any dye in fluorescence microscopy. Hence, it may be inferred that VK is fluorescent in nature and hence assemble to fluorescent fibers. Interestingly, when the fibers were observed in red filter a slight fluorescence with relatively less intensity than green channel could be observed which suggested VK might exhibit panchromatic fluorescence.

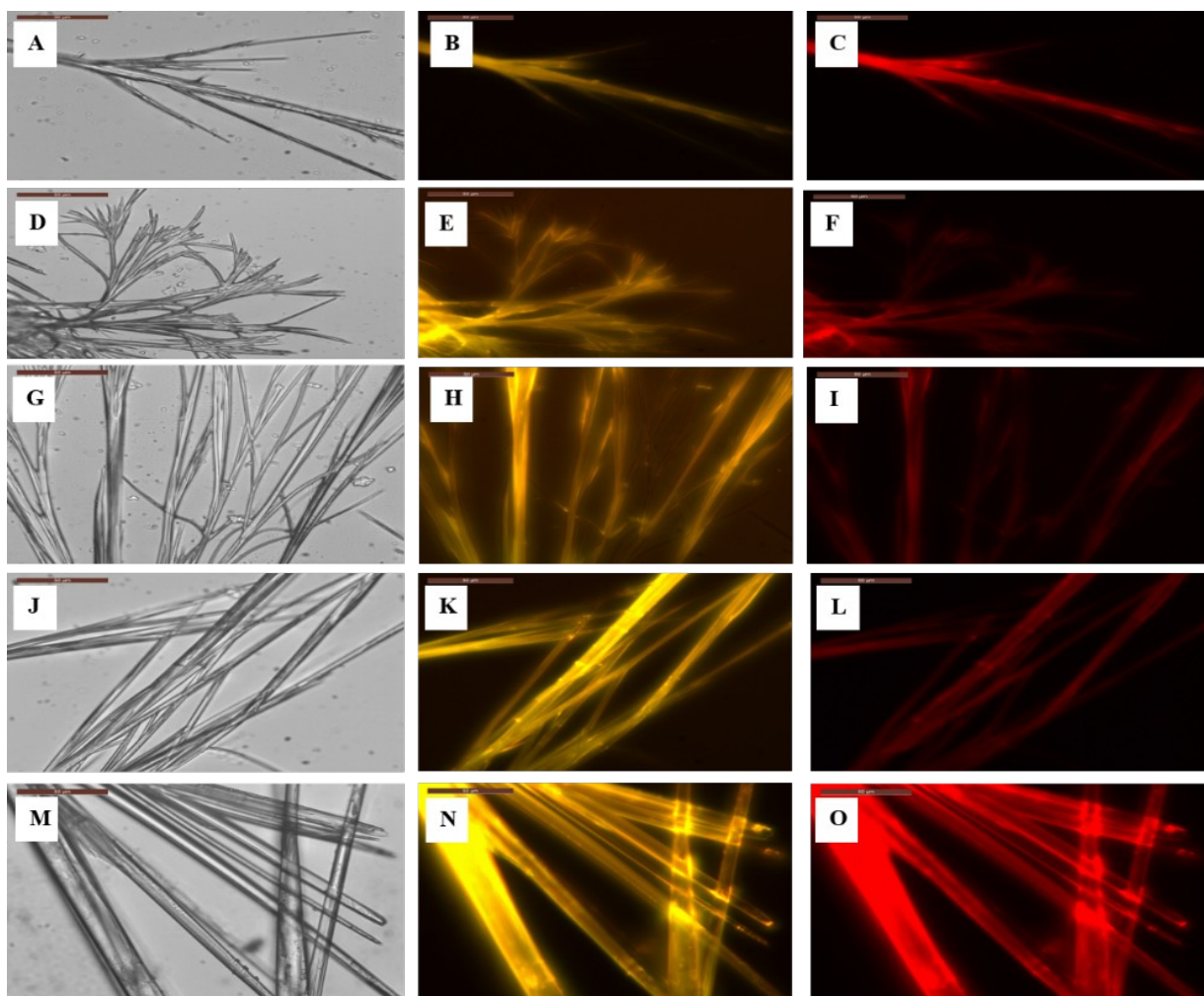


Figure 2. Microscopy images of self-assembled structure **VK** in 2% DMSO: Water.; A) 1mM concentration under bright field; B) 1 mM concentration under green filter; C) 1mM concentration under red filter; D) 3mM concentration under bright field; E) 3mM concentration under green filter; F) 3mM concentration under red filter; G) 5mM concentration under bright field; H) 5mM concentration under green filter; I) 5mM concentration under red filter; J) 7mM concentration under bright field; K) 5mM concentration under green filter; L) 7mM concentration under in red filter; M) 9 mM concentration under bright field; N) 9 mM concentration under green filter; O) 9mM concentration under red filter.

Hence, the panchromatic emission properties of VK were also examined in detail by fluorescence spectroscopy under various excitation wavelengths. From the fluorescence spectra it may be inferred that VK reveals best fluorescence properties in excitation wavelength @360 nm, since the emission spectra appears to be an mirror image of the excitation plot. (Figure 3a, 3b). The emission properties range from 370-610 covering most region of VIBGYPR spectrum which justifies the panchromatic emission of VK.

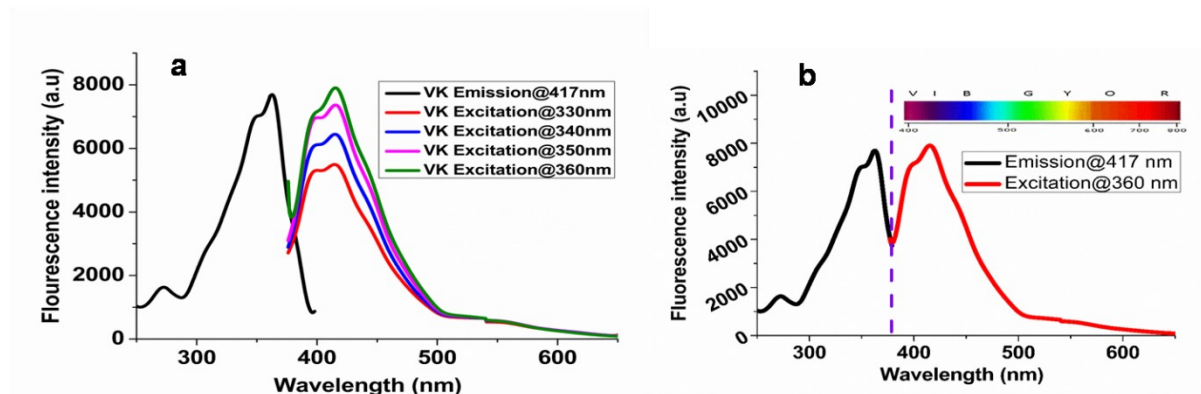


Figure 3. Fluorescence spectra of VK 1mM in 2% DMSO: water.

Further, to understand the mechanism through which VK assemble to panchromatic fibers we resorted to concentration dependent NMR studies. These studies were done to understand the role of pi-pi stacking. The study revealed that as we increased the concentration of VK, there was an upfield peak shift in the aromatic region between 7 to 9 ppm which could be observed by the concentration dependent NMR spectra (Figure 4b-4d).

As the concentration increases. the intensity of peak is also increased and there is a simultaneous aromatic peak shift up field, indicating increase shielding of the protons due to pi-pi stacking which causes increase in the electron density around aromatic nuclei. As the concentration of the VK solution is increased there is enhanced pi-pi stacking and molecules are stacked more and more close causing upfield shift.^{33,24, 34, 35}

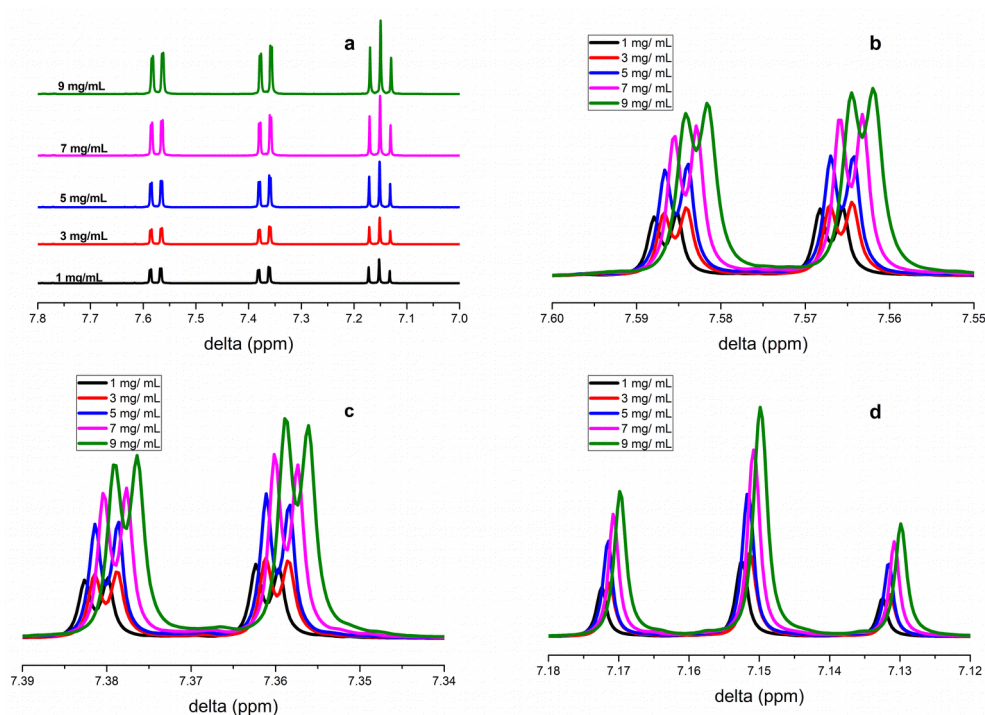


Figure 4: Concentration dependent NMR spectra of **VK** at 1, 3, 5, 7, 9 mg/mL in DMSO-d₆. Offset graph of **VK** 1,3,5,7,9 mg/mL; b) 7.55-7.60 ppm expansion; c) 7.34-7.39 expansion; d) 7.12-7.18 expansion.

Further, concentration dependent UV studies were carried out in the concentration range of 5 to 200 μ M by using 50mM stock solution of VK in DMSO and diluting it appropriately by deionized water. The study revealed **VK** show three peaks at 285, 294 and 319 nm respectively (**Figure 6a-6b**) Further, **VK** obey the Lambert Beer's law, at wavelength 285 and 319 nm **which is exhibited by a straight-line graph of increased absorbance with increasing concentration.** (**Figure 6c, 6d**).. It was observed that as the concentration of VK was increased

from 0 μM to 100 μM there was a slight red shift, Hence. we performed the concentration dependent study of **VK** to decipher the role of pi-pi stacking and hydrogen bonding in the self-assembled aggregated formation. **Hence, we** recorded the UV spectra of VK under different concentration 0.15 mM, 0.20 mM, 0.25 mM, 0.5 mM and 0.75 mM(**Figure 7a-7c**) in different solvents like dimethyl sulphoxide, methanol and water.^{24, 33} It was surmised that as the polarity of solvents will change there will be a shift in spectra which can reveal the crucial role of hydrogen bonding in the self-assembly process.

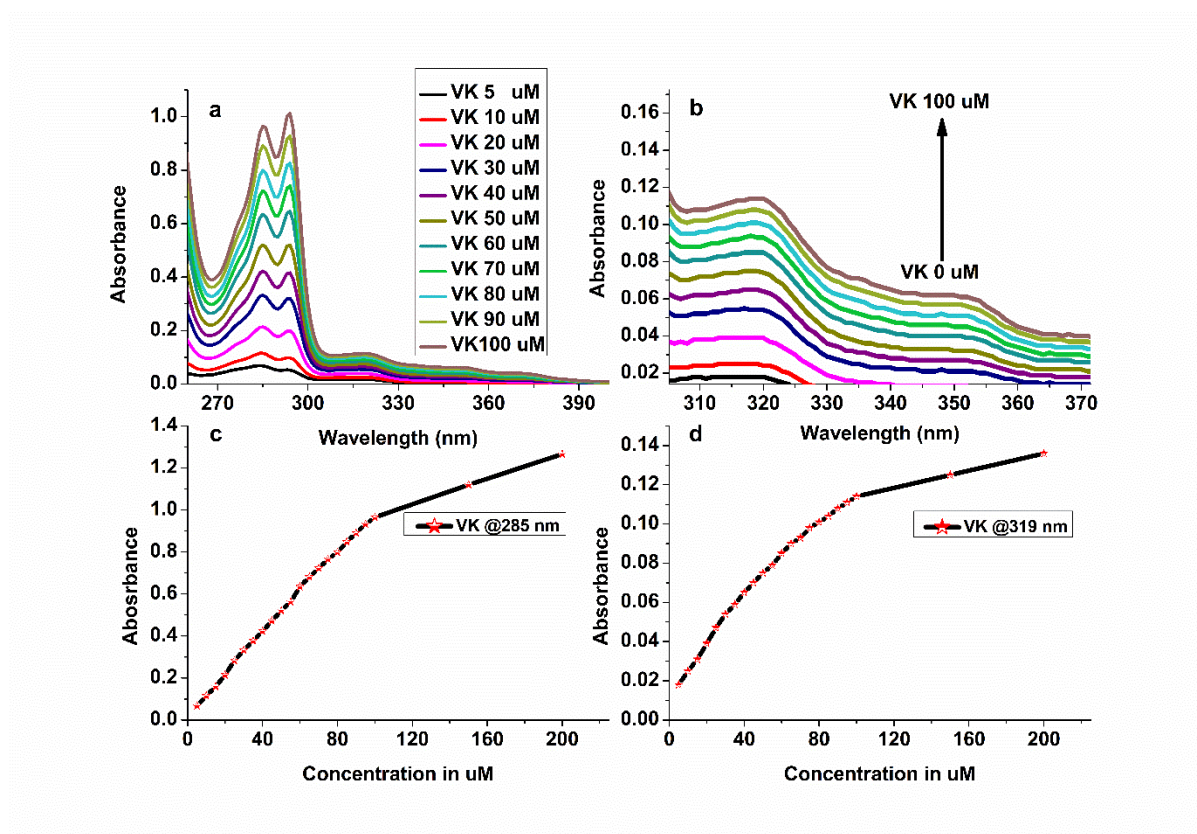


Figure 5: UV spectra of **VK** a) UV spectra from 5 to 100 μM ; b) UV spectra expansion between 310 to 370 nm; c) straight line graph @285 nm; d) straight line graph @319 nm

The UV visible study of **VK** revealed as the polarity of solvent increases their was peak broadening. The UV graph of **VK**, was studied in water, methanol and DMSO.

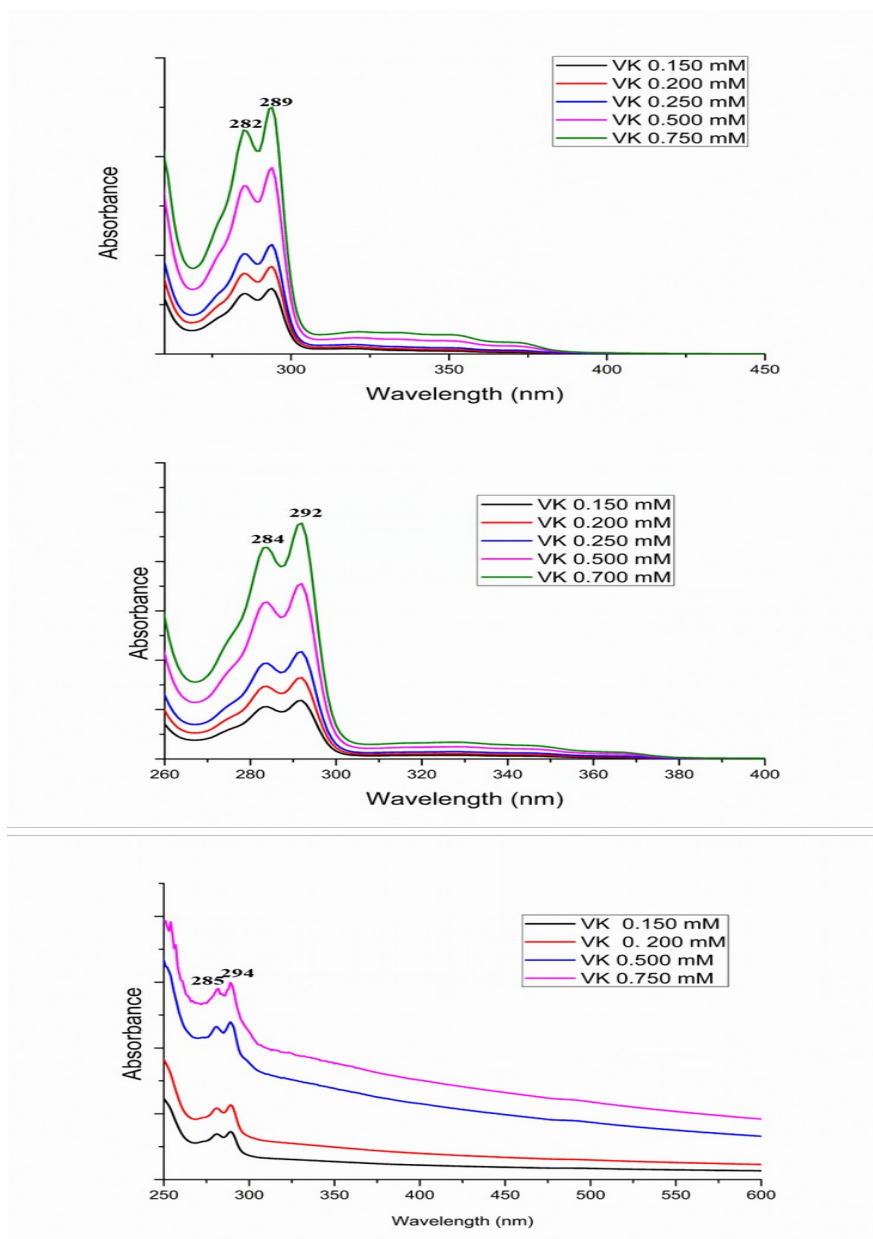


Figure 6. UV

visible spectra of VK in DMSO (top); methanol (middle) and water (bottom) indicating red shift and peak broadening as the polarity is increased.

Water has maximum polarity due to its high dielectric constant followed by methanol, DMSO, As we record the UV spectra of **VK** in three different solvent we observed that the UV graph display two peak in between interval of 10 nm, UV study in DMSO reveal two peaks at 282

and 289 nm, with a broad hump which might pointed to the presence of aggregates formed due to pi-pi stacking. When the UV spectra of VK was recorded in methanol, the peak at 282 nm observed in the case of DMSO shifts to 284 nm and peak at 289 shifts towards 292 nm. Further when UV spectra of VK were recorded in water there was maximum peak shift to 285 nm and 295 nm respectively, indicating as the solvent polarity is increased there is a red shift and also also more peak broadening indicating increased aggregation due to pi-pi stacking and hydrogen bonding. Further, concentration dependent microscopy studies presente in Figure 3 supports UV visible and NMR observation. More importantly, as we use non polar solvent like THF the assemblies are disrupted. This study further indicates crucial role of hydrogen bonding in the self-assembly of VK.

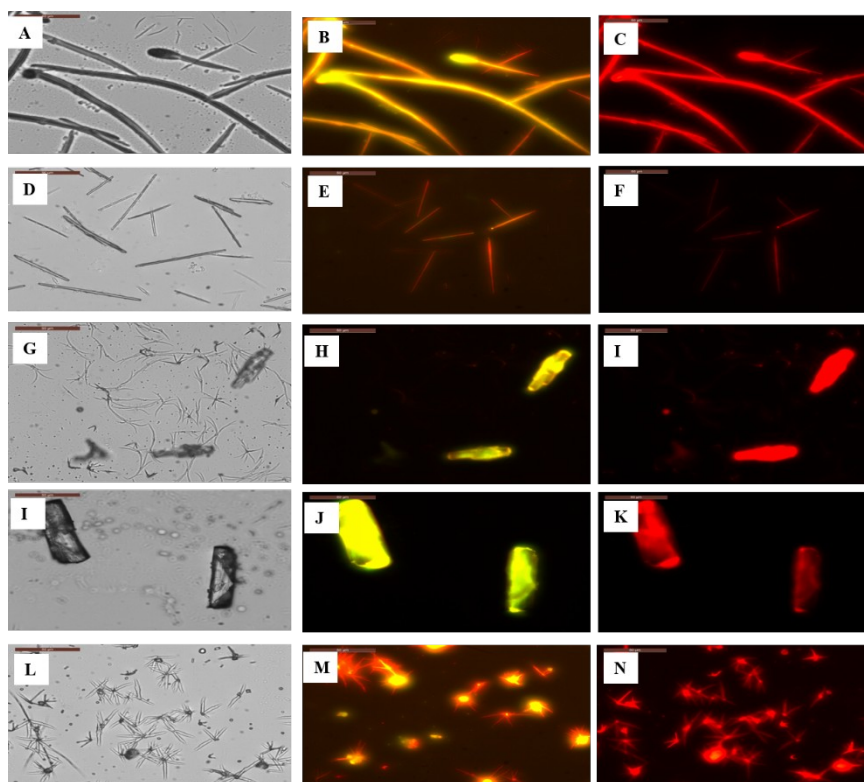


Figure 7. Microscopy images of self-assembled structure **VK** in THF prepared from 50mM stock in DMSO; A) 1 mM concentration in bright field; B) 1 mM concentration in green filter; C) 1 mM concentration in red filter; D) 3 mM concentration in bright field; E) 3 mM concentration in green filter; F) 3 mM concentration in red filter; G) 5 mM concentration in bright field; H) 5 mM concentration in green filter; I) 5 mM concentration in red filter; J) 7

mM concentration in bright field; K) 5 mM concentration in green filter; L) 7 mM concentration in red filter; M) 9 mM concentration in bright field; N) 9 mM concentration in green filter; O) 9 mM concentration in red filter.

Hence, the mechanism of self-assembly of VK can be explained by pi-pi stacking and hydrogen bonding and can be represented graphically as in Figure 8.

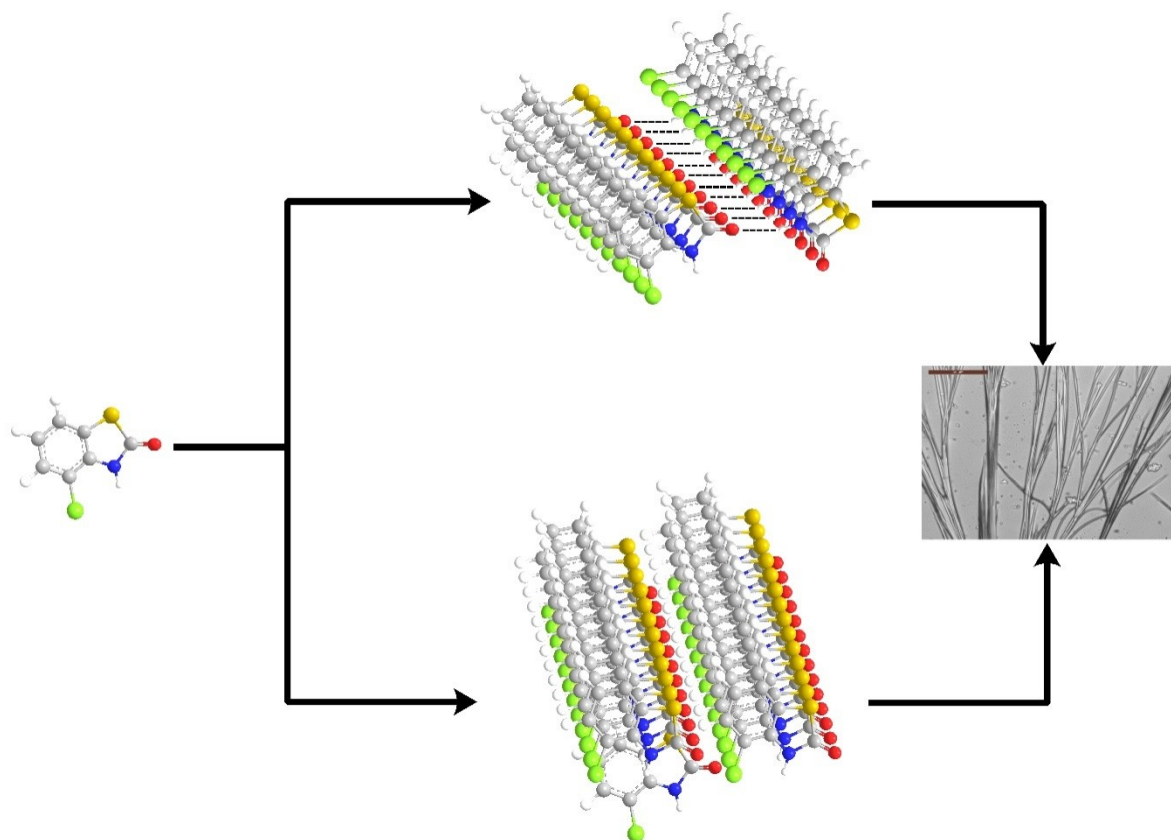


Figure 8: Graphical representation of **VK** self-assembled structure through the pi-pi stacking and hydrogen bonding

Hence once the mechanism of VK self-assembly was deciphered, our aim was to assess the application of VK. The panchromatic emission properties observed for VK self-assembled structures indicate their potential application as dye for bio-imaging. Hence, cellular uptake of VK was studied and the cells were imaged to identify its applications.

The fluorescence of the **VK** compound was concentration-dependent (Figure 9). **VK** showed significantly high fluorescence on excitation with 488 nm laser and almost negligible fluorescence on excitation with 633 nm laser. However, **VK's** fluorescence intensity on

excitation with 405 nm laser was significantly increased by increasing the concentration from 500 μ M to 1 mM. This may be explained, since for bio imaging application confocal laser is fixed at Ex of 405 nm, while VK real Ex is 360 nm as discussed in Figure 3. Hence a relatively less blue fluorescence is visible since during bio-imaging the optimal excitation wavelength is changed so that there are no harm to cells due to high energy radiations, Under green filter optimal fluorescence is observed due to Ex of 488 nm which is used in fluorescence microscopy analysis of fibers too. The fluorescence in red region was also low since here again the excitation was 633 nm which is different from 540-560 nm used in the fluorescence microscopy. Hence from the cellular imaging data it may be inferred that VK can be potentially used as bio-imaging dye in future.

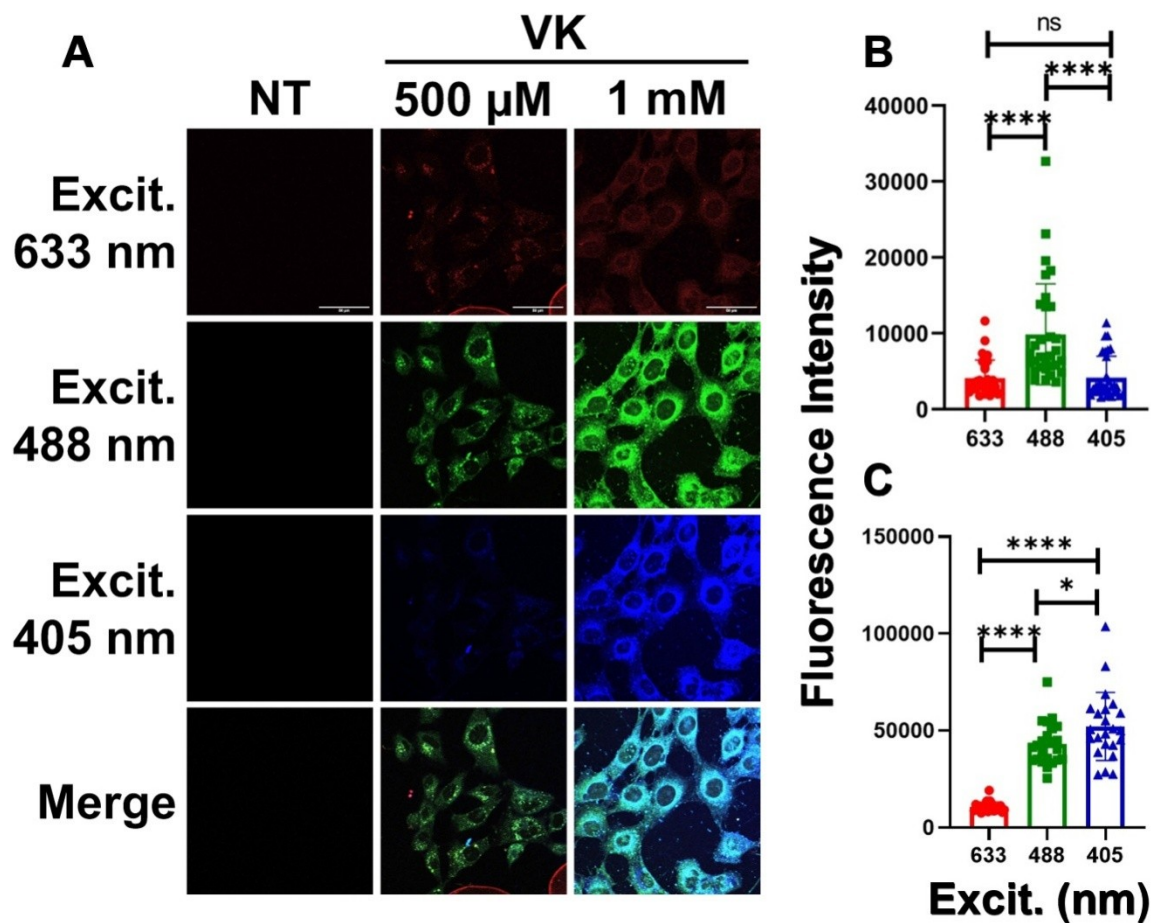


Figure 8. Cellular uptake and imaging of VK dye. Representative (A) confocal microscopy images of human breast cancer cell line (MDA-MB-231) treated with 500 μ M and 1 mM

synthesized **VK** compound. The **VK** treated cells were excited with three lasers and corresponding emission was collected as follow. (excitation 633 nm; emission 643 to 796 nm, excitation 488 nm; emission 500 to 600 nm, excitation 405 nm; emission 415 to 500 nm. Approximately 30 cells were selected from three different images and their fluorescence intensity was quantified. Fluorescence quantification plot at (B) 500 μ M and (C) 1 mM concentration **VK** treated cell at all three excitation wavelengths. **** Indicates significance level at $P < 0.0001$, * indicate significance level at $P < 0.0329$ and ns indicates no significance between the intensities.

Conclusions

In conclusion we have reported facile synthesis and characterization of self-assembled structures formed by 4-Choro-2(3H)-benzothiazolone (**VK**) to panchromatic fibers and its application as cell imaging tool. **VK** is a commonly used intermediate used as agrochemical herbicide. The aggregation properties were studied extensively by different microscopy and spectroscopic techniques. The mechanism of self-assembly was deciphered by concentration dependent NMR and UV visible studies. Further solvent dependent studies revealed crucial affect of polarity on the self-assembled structures. Finally, the utility of **VK** for cell imaging applications was demonstrated and it can be observed that **VK** can be efficiently up taken by the mammalian cells and the stained cells reveal panchromatic emission under blue, green and red channel..

Experimental

2-Chloro aniline, Sodium thiocyanate, concentrated sulphuric acid, concentrated hydrochloric acid were purchased from merk (India), sodium bromide, acetone, sodium nitrate, purchased from spectrochem (India), all the solvent is used during experiment prior to used distilled and dry.

Synthesis and characterization of VK

VK-Stage-4 crude(7.0 g, 37 mM, 1.0 eq.) was dissolved in 36 % concentrated HCl and heat at temperature 110 to 115 $^{\circ}$ C for 10 to 12 hours on oil bath with water condenser, The

reaction monitor shows that the formation of small particle after hydrolysis and product formation, during the progress there was the loss of HCl, so need to be added more 36 % HCl, monitor the TLC shows in 50% ethyl acetate: Hexane showed that the starting material consumed, stop the heating and allow to cool the reaction mixture at room temperature again cooled the reaction mixture at 0 to 5 °C temperature and filtered the reaction mixture in the cooling then after dry the wet cake in rotavapor under reduced pressure which gives 6.5 gm crude material which was further purified by crystallization process in acetone by dissolving the crude material in acetone and reflux for 30 to 45 minutes and filtered the reaction mass at 60 °C, dry the wet cake in rota vapour in reduced pressure which gives the 5 gm VK final product, the purity of VK has been confirmed by the HPLC method purification. M.P. 174 °C; Rf 0.38, ¹H NMR (400 MHz, DMSO-d₆, 25°C, TMS)δ(ppm) = 12.20 (s, 1H), 7.57 (dd, J = 8.0 Hz, 2H), 7.36 (d, J = 8.0 Hz, 2H), 7.16-7.12 (t, 3H). LC-Mass for C₇H₉N₃O₅ was calculated 185.19 and found [M+H] +=186.19

using 50mM stock of VK. prepared in DMSO, 3 The self-assembling properties of **VK** were assessed first by optical microscopy. In different solvents namely, Milli-Q water, THF, Methanol, Isopropanol, Ethanol, Ethyl acetate, DMF, acetone, dichloromethane, Acetonitrile, chloroform, dimethyl sulphoxide, Hexane, out of this we get fibre like assemblies in the water, DMSO, Methanol, and Ethanol and acetone further we study the self-assembly properties of **VK** in the range of 1 to 10 mM (ESI 1) concentration and observed the assembly, in this paper we mainly focus on the self-assembly **VK** in water.

Aggregation study of VK

The aggregation study of **VK** has been study in DMSO: water mixture which deciphered that **VK** is itself fluorescence active in solution form in DMSO, When the fraction of water has been increased from 10 to 100 %, at beginning the fluorescence has been increased the till 50

% water fraction while after 50 % fraction fluorescence gradually decreased and at 90 % water fraction fluorescence complete quenching observed which clearly reveal that the aggregation caused quenching phenomenon. Which also supported that the aggregation caused quenching take place due to the formation excimers in the solution, the main cause of formation of excimers due to pi-pi stacking³⁶ which hindered the radiative emission of **VK** when it comes from S1 to S0 state as per Jablonski diagram.

In vitro bioimaging assessment

Human breast cancer (MDA-MB-231) cells were grown in DMEM cell culture media supplemented with 10% FBS and 1% antibiotic in a 5% CO₂ incubator. First, the cells were seeded onto a glass coverslip and fixed with 4% paraformaldehyde. Later the fixed cells were treated with 500 μ M and 1 mM **VK** for 15 min at room temperature. The coverslips were then mounted on a glass slide, and images were captured in Leica confocal microscope.

Concentration NMR

NMR spectra have been recorded at 1, 3, 5, 7, 9 mg/mL in DMSO-d₆, for **VK**. The study was carried out in the Avance neo 400 MHz NMR Instrument. which has been performed to the check effects of concentration on pi-pi stacking of **VK**.^{24, 33-35}

UV Visible

Fluorescence spectroscopy

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