## 1 Cooperative Light-Induced Breathing of Soft Porous Crystals via Azobenzene Buckling

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13 Abstract: Although light is a prominent stimulus for smart materials, the application of photoswitches as light-14 responsive triggers for phase transitions of porous materials remains poorly explored. Here we incorporate an 15 azobenzene photoswitch in the backbone of a metal-organic framework producing light-induced structural 16 contraction of the porous network in parallel to gas adsorption. Light-stimulation enables non-invasive spatiotemporal control over the mechanical properties of the framework, which ultimately leads to pore contraction 17 18 and subsequent guest release via negative gas adsorption. The complex mechanism of light-gated breathing is 19 established by a series of *in situ* diffraction and spectroscopic experiments, supported by quantum mechanical and 20 molecular dynamic simulations. Unexpectedly, this study identifies a novel light-induced deformation mechanism 21 of constrained azobenzene photoswitches relevant to the future design of light-responsive materials.

### 22 Introduction:

23 The design of responsive porous materials, in which the porosity can be modulated externally and non-invasively 24 by light to control adsorption, transport and release properties offers fascinating opportunities. Azobenzene (AB) 25 molecular photoswitches<sup>1</sup> (PS) undergo light-activated E-Z isomerization and are frequently applied in light-26 responsive actuators<sup>2</sup> membranes<sup>3</sup>, smart materials<sup>4</sup>, and single-molecule optical memories<sup>5</sup>. The design of 27 responsive porous materials, in which the porosity can be modulated externally and non-invasively by light to 28 control adsorption, transport and release properties offers fascinating opportunities. Pendent AB-switches grafted 29 onto the backbone of porous metal-organic frameworks (MOFs) were demonstrated to reversibly control the 30 separation and release of guest molecules by manipulating the porosity and host-guest interactions via 31 photoswitching<sup>6-8</sup>. However, pendent AB switches occupy pore space, which could be used for guest inclusion, 32 and lack cooperativity that would be highly beneficial for the efficiency and selectivity of adsorption processes.<sup>9,10</sup> 33 Soft porous crystals<sup>11</sup> (SPCs) exhibit cooperative framework deformation dictated by the crystal structure. As a 34 result, SPCs show adsorption phenomena such as gate-opening (pore expansion)<sup>12</sup>, breathing (pore contraction)<sup>13</sup> 35 and negative gas adsorption (NGA, gas release upon pore contraction)<sup>14</sup> that show potential for improved 36 diffusion<sup>15,16</sup>, storage<sup>17</sup> and separation <sup>15</sup> of gases and gas mixtures. Currently the contraction and expansion of the porous network of SPCs are primarily guest-induced and energetically driven via adsorption<sup>18</sup>. To date, the 37 38 chemical modification of building blocks and framework topology are the dominant strategy to alter the guestresponsive behavior of SPCs<sup>19,20</sup>. The use of diarylethene PS in the framework backbone is a promising strategy 39 to manipulate cooperative framework transitions<sup>21-24</sup>. However, the observed effects are very small, compared to 40 41 the response due to guest-induced deformations of SPCs, and the initiation of massive framework deformations in 42 SPCs by the application of both light- and guest-interactions is unprecedented. The large geometric change upon 43 E-Z isomerization of AB is expected to result in a much stronger framework deformation when incorporated in the 44 framework backbone. Until now, photoswitching is observed to be either suppressed due to framework 45 constraints<sup>25,26</sup> or causes irreversible bond-breaking and degradation of the extended framework<sup>27-30</sup>. The 46 fundamental challenges of how to accommodate the large geometric change of AB upon E-Z isomerization and establish photoinduced cooperative transitions, in the absence of framework disintegration, requires 47 48 uncompromised/robust photoswitching, sufficient mechanical softness, enhanced porosity, and long-range order. 49 Furthermore, it remains unexplored whether geometric constrains of framework-embedded PS might result in 50 alternative photoswitching pathways, unknown for unconstrained molecular PS.

### 1 Results and discussion

### 2 Modeling of molecular photoswitch and framework

3 We selected the 49<sup>th</sup> MOF material discovered at the University of Technology Dresden (DUT-49)<sup>31</sup> as a blueprint

4 for our new photoresponsive SPC design because of its ability to accommodate large changes in ligand

5 configuration and framework structure without disintegration following substantial framework contraction<sup>14</sup>. The

- 6 three dimensional (3D) framework of DUT-49 is based on the linkage of tetra-connective carbazole-based ligands
- 7 to copper(II) dimers. By using (E)-9,9'-(diazene-1,2-diylbis(4,1-phenylene))bis(9*H*-carbazole-3,6-dicarboxylic 8 acid ((*E*)-H<sub>4</sub>dacdc) we are able to establish the structurally related framework of DUT-163 which contains an AB
- 9 functionality in the backbone (Figure 1).



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11 Figure 1. Energy landscapes of DUT-163. a,b Single ligand energetics as a function of  $\delta_{\text{CNNC}}$  (a) and  $d_{\text{N-N}}$  (a) for framework 12 constrained dacdc (red, blue) and unconstrained Me4dacdc (grey open symbols. c-d Framework contraction via E-Z 13 isomerization (red squares) and ligand buckling (lblue diamonds) as a function of  $d_{N-N}(\mathbf{c})$ ,  $\delta_{CNNC}(\mathbf{d})$  and energy (e), unit cell 14 volumes of (Z)-DUT-163cp (blue), DUT-163op (purple), and (E)-DUT-163cp (orange) are given as dashed vertical lines. 3D 15 energy landscape of DUT-163 (f) as a function of unit cell volume ( $V_{UC}$ ),  $\delta_{CNNC}$  with energy normalized to the *op* state including 16 structures of (Z)-DUT-163cp (c), DUT-163op (d), and (E)-DUT-163cp (e) including the corresponding ligand structures and 17 diaza-conformation in dashed circles. Schematics of E-Z isomerization and ligand buckling including definition of d<sub>N-N</sub> and 18  $\delta_{\text{CNNC}}$  (**g,h**).

- 19 We conducted density functional theory (DFT) simulations of (E)-H<sub>4</sub>dacdc and its methylester ((E)-Me<sub>4</sub>dacdc), to
- 20 probe the energetics upon buckling<sup>32</sup> and *E*-*Z*-isomerization as a function of the distance between two AB-bridged
- 21 carbazole-nitrogen atoms ( $d_{\text{N-N}}$ ) and the dihedral angle of the azo-unit ( $\delta_{\text{CNNC}}$ ) (Figure 1 A,B). Regardless of the
- 22 E-Z isomerization mechanism chosen (*i.e.* rotation or inversion<sup>33,34</sup>), the energy barrier of isomerization at the

1 ground state is over five times larger than the barrier of buckling (E)-Me<sub>4</sub>dacdc. This result is to be expected since 2 buckling is a conformational change while E-Z isomerization involves the breaking of the azo  $\pi$ -bond in the ligand 3 backbone. To investigate how the constraints imposed by the incorporation in a framework impact the energetics 4 of E-Z isomerization and buckling, we computed the contraction mechanism of DUT-163 as a function of unit cell 5 volume ( $V_{\rm UC}$ ) for buckling and E-Z isomerization of the ligand by molecular dynamics (MD) simulations. Similar 6 to the analysis of the unconstrained Me<sub>4</sub>dacdc ligand, E-Z isomerization of dacdc in DUT-163 exhibits a much 7 larger energy barrier compared to the buckling transition (Figure 1 C-E). However, the associated contraction 8 mechanism of the DUT-163 framework exhibit two very different trajectories. The energy landscape of DUT-163 9 as a function of  $V_{\rm UC}$  exhibits the global minimum at  $V_{\rm UC} = 120 \text{ nm}^3$  corresponding to the open pore (op) state 10 (DUT-163*op*) (Figure 1 F). A metastable state with buckled ligand in *E* conformation at  $V_{UC} = 54 \text{ nm}^3$  is observed 11 which is assigned to a contracted pore (cp) state, further denoted as (E)-DUT-163cp (See supplementary video 12 1,2). To probe the framework energetics upon E-Z isomerization of dacdc, we investigated the evolution of  $V_{\rm UC}$ 13 and the framework geometry as a function of  $\varphi_{\text{CNNC}}$  (See supplementary video 3,4). Interestingly, this energy 14 landscape presents a local minimum at  $V_{\rm UC} = 74$  nm<sup>3</sup>, which is assigned to a contracted framework with dacdc in 15 Z-configuration, (Z)-DUT-163cp. The energy barrier for contraction  $(E_{op-cp}^{\dagger})$  per unit cell (UC) between DUT-16 163*op* and (*E*)-DUT-163*cp* ( $E_{pp-(E)cp}^{\ddagger}$ = 1250 kJ mol<sub>UC</sub><sup>-1</sup>) is ca. three times smaller compared to the barrier between 17 DUT-163*op* and (Z)-DUT-163*cp* ( $E_{op-(Z)cp}^{+}$ = 3900 kJ moluc<sup>-1</sup>) (Figure 1C,F). Based on this data it can be concluded 18 that DUT-163 is theoretically able to undergo contraction via buckling or E-Z isomerization, with buckling being 19 the energetically more favorable mechanism at the ground state.

### 20 Photoswitching of the molecular ligand

21 (*E*)-H<sub>4</sub>dacdc was synthesized using an established strategy (see Supplementary Information for details)<sup>35</sup>. Upon

22 irradiation at 365 nm (295-298 K) we observed changes in the UV-Vis absorption, Raman (Figure 2) and <sup>1</sup>H

23 nuclear magnetic resonance spectra (Supplementary Figure 7-10) of (E)-H<sub>4</sub>dacdc and the corresponding *n*-butyl

ester ((*E*)- $nBu_4$ dacdc), typical for light-induced *E*-*Z* isomerization<sup>33,36</sup>.



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Figure 2. *In situ* irradiation of ligand and MOF. a UV-Vis absorption spectra upon 365 nm irradiation of *n*Bu<sub>4</sub>dacdc in CHCl<sub>3</sub> (red to blue) and DRUV-Vis absorption spectra of DUT-163 (purple to light blue), b Raman spectra of *n*Bu<sub>4</sub>dacdc in CHCl<sub>3</sub> (red to blue) and solid DUT-163 (purple to light blue) upon 365 nm irradiation, c DRUV-Vis absorption spectra of DUT-163 (purple) upon loading with *n*-butane (orange), 365 nm irradiation (light blue) and irradiation at 365 nm in the presence of *n*-butane (green), d and Raman spectra upon loading with 2-methylpropane and irradiation at 365 nm.

1 For both molecules, we observed a photostationary state (PSS) composed of a ca. 1:1 *E-Z* mixture at 293 K. Upon

2 irradiation at 455 nm the Z-isomer was partially reverted to a PSS comprising of ca. 75% of the Z-isomer. Thermal

3 Z-E isomerization was observed by heating above 338 K for over 5 h and the system showed excellent

4 photochemical and thermal reversibility in solution.

# 5 Synthesis of DUT-163

6 The solvothermal reaction of H<sub>4</sub>dacdc with Cu(NO<sub>3</sub>)<sub>2</sub> 3H<sub>2</sub>O in DMF at 80 °C yields DUT-163 as a brown 7 microcrystalline powder with a mean crystal size of 2.6 µm (Supplementary Figure 28). The single crystal structure 8 of DUT-163 with cubic  $Fm\overline{3}m$  symmetry and cell dimensions of a = 49.240(6) Å and a unit cell volume of  $V_{\rm UC} = 119386(41)$  Å<sup>3</sup> was determined by synchrotron-based single crystal X-ray diffraction (Supplementary Table 9 10 8), in line with the *in silico* optimized op structure. In DUT-163op, dacdc exhibits a linear (E)-configuration in 11 which the AB-unit is disordered due to symmetrical restrictions (Supplementary Figure 24). The porous framework 12 is characterized by a geometrical surface area, pore volume and pore diameters of GSA=5112 m<sup>2</sup> g<sup>-1</sup>,  $V_{\rm p}(\rm sim)=3.2 \ \rm cm^3 \ g^{-1}$ , and  $d_{\rm p}=0.9-2.7 \ \rm nm$ , respectively which were simulated from the single crystal structure 13 14 (Supplementary Figure 87). Desolvation of DUT-163 was achieved using supercritical carbon dioxide, a protocol 15 previously described for DUT-49<sup>35</sup>. Permanent porosity was investigated by N<sub>2</sub>-adsorption experiments at 77 K from which a  $V_p$  of 2.84 cm<sup>3</sup> g<sup>-1</sup> (at  $p/p_0 = 0.98$ ) was determined. The reduction in pore volume compared to the 16 17 computed values might be based on crystal size effects previously observed for DUT-49<sup>37</sup>.

### 18 Spectroscopic analysis of structural contraction

19 The light-responsiveness of DUT-163 was investigated by in situ PXRD, diffuse reflectance infrared Fourier 20 transform spectroscopy (DRIFTS), solid state diffuse reflectance UV-Vis (DRUV-Vis) spectroscopy, and Raman 21 spectroscopy experiments under dry nitrogen atmosphere with 365 nm irradiation at 293 K. These conditions were 22 previously found to promote E-Z isomerization in solutions of both  $nBu_4$ dacdc and H<sub>4</sub>dacdc. Interestingly, we 23 observed no significant changes in the Raman and DRIFT spectra as well as PXRD patterns of DUT-163 upon 24 elongated 365 nm irradiation (Figure 2), indicating the absence of E-Z isomerization of the ligand and structural 25 contraction of the framework. This is further supported by nitrogen adsorption experiments at 77 K, which showed 26 no change in porosity after 365 nm irradiation (Supplementary Figure 29). However, upon 365 nm irradiation, we 27 observed a bathochromic shift of the absorption in the DRUV-Vis spectrum of DUT-163 corresponding to the AB-28 functionality, and decrease of the signal assigned to the absorption of the  $Cu^{2+}$ -dimer at (Figure 2 A). These 29 changes were found to be irreversible upon irradiation at 455 nm (Supplementary Figure 75). Rather than E-Z 30 isomerization which would cause pronounced changes in the PXRD patterns as well as Raman and DRIFT spectra, we propose a photoinduced charge transfer from the AB-functionality to the Cu<sup>2+</sup> site, which is reported in other 31 metal-AB-complexes<sup>38</sup>. The absence of changes upon irradiation in the DRUV-Vis spectrum of DUT-49 32 33 (Supplementary Figure 71), which does not contain an oxidizable AB-unit, supports such mechanism. 34 Furthermore, spin-flip DFT calculations on Cu<sub>2</sub>dacdc indicate that the HOMO is located on the AB backbone while the LUMO is localized on the Cu<sup>2+</sup>-dimer, which would support the feasibility of photoinduced charge 35 36 transfer (Supplementary Figure 94).

### 37 Adsorption-induced structural contraction

38 Methane (111 K) and n-butane (298 K) adsorption isotherms of DUT-163, in contrast to nitrogen (77 K), exhibit 39 hysteresis and NGA steps (Supplementary Figure 30), evidence for adsorption-induced contraction well studied in 40 DUT-49 and related frameworks<sup>39-42</sup>. Similar behavior is observed upon adsorption of 2-methylpropane (MP) in 41 the temperature range of 262 - 297 K with no contraction in the range of 299 – 307 K (Supplementary Figure 31-42 Supplementary Figure 39). This observation is in agreement with previous reports of DUT-49, where NGA and 43 contraction was only observed in a narrow range of temperature and pressure for a given gas<sup>43</sup>. In situ PXRD in 44 parallel to adsorption of *n*-butane at 298 K (Supplementary Figure 41) shows that DUT-163op undergoes a phase 45 transition to (E)-DUT-163cp. Upon adsorption of MP at 262 K (Supplementary Figure 40) the op-cp transition 46 occurs at a relative pressure of 0.14 and reopening is observed at a relative pressure beyond 0.6. The phase transition is accompanied by a strong reduction in diffraction intensity which is again increased at higher relative 47 pressure upon reopening, in line with previous studies on related solids<sup>14,40,41</sup>. The crystal structure of (E)-DUT-48 49 163*cp* could be determined by Rietveld refinement using PXRD data recorded at 262 K and  $p/p_0 = 0.25$  of MP 50 (Supplementary Figure 69). The experimental structure is in good agreement with the previously described

51 simulation of (*E*)-DUT-163*cp* (Figure 1) demonstrating the validity of the MD simulations of this system. To

further analyze the adsorption behavior of DUT-163 we simulated MP isotherms for (*E*)-DUT-163*cp* and DUT-163*op* at 261 K, 296 K, 300 K and 330 K by grand canonical Monte Carlo (GCMC) methods. This approach allows the characterization of thermodynamic conditions for adsorption-induced contraction upon MP adsorption using the grand canonical ensemble<sup>43</sup>. Interestingly, adsorption-induced contraction becomes thermodynamically unfeasible at increasing temperature (Supplementary Figure 89) due to decreasing adsorption interactions. This explains the experimental upper temperature limit around 299 K for contraction upon adsorption of MP in DUT-163.

### 8 Adsorption- and photo-induced structural contraction

9 Although light-driven contraction via E-Z isomerization was not observed in guest-free DUT-163, we reasoned 10 that additional adsorption interactions might help to stabilize a contracted (Z)-DUT-163cp state and trigger a 11 structural response by parallel application of light and gas adsorption. In initial experiments we recorded MP 12 physisorption isotherms of two individual samples of DUT-163 in the temperature range of 307-295 K for which 13 one sample was irradiated at 365 nm throughout the whole experiment, while the other was kept under light 14 exclusion. Still, no differences between the adsorption isotherms of irradiated and non-irradiated DUT-163 15 samples could be detected, neither in the temperature range above nor below 299 K ((Figure 3 A-C, Supplementary 16 Figure 31-Supplementary Figure 39) indicating the absence of light-induced contraction of the porous material in

17 this experimental setup.



18

19 Figure 3. In situ diffraction analysis. a-c MP adsorption isotherms at 299 K (a), 299 K upon irradiation with 365 nm (b) and 20 295 K (c) in comparison to simulated isotherms for DUT-163op (purple line) and (E)-DUT-163cp (orange line) at 300 K (a,b) 21 and 296 K (c). d-g Contour plots of in situ PXRD data recorded in parallel to adsorption of MP at 300 K (grey) (d), at 300 K 22 with 365 nm irradiation (blue) (e) and at 296 K (red) (f) and corresponding evolution of peak intensity of the (111) reflection 23 of DUT-163op (filled squares) and (E)-DUT-163cp (open triangles) at 3.1 ° and 4.02 °, respectively (g). h,i Selected PXRD 24 patterns and magnified wide angle region as inset before (middle bottom) and after (middle top) structural contraction at 299 K 25 upon irradiation with 365 nm (h) and at 295 K without irradiation (i) in comparison to simulated PXRD patterns and peak 26 positions for DUT-163op (purple) and (E)-DUT-163cp (orange).

However, the large sample amount (>10 mg) required for accurate gas adsorption experiments can cause undersaturation of the whole bulk solid with light. In addition, adsorption experiments only provide little information on structural transitions that potentially occur only for a small part of the bulk sample. In order to explore in more detail, the light-responsive structural behavior of DUT-163 in parallel to the adsorption of MP we designed an *in situ* experimental setup that allows exposing small sample amounts (<0.2 mg) to defined gas pressure while irradiating with light of defined wavelengths under isothermal conditions and tracking structural transitions by synchrotron-based PXRD. In a series of experiments, we probed the adsorption-induced structural

34 transition upon MP adsorption at 296 K and 300 K (Figure 3f).

1 At 296 K and relative pressure of 0.15-0.16, we observed an *op-cp* transition (Figure 3F), demonstrating the ability 2 to generate, observe, and identify the nature of adsorption-induced structural changes in DUT-163 with the new 3 setup. In a second experiment we raised the temperature to 300 K, beyond the upper temperature limit for 4 adsorption-induced contraction. As expected, no structural contraction is observed (Figure 3D) in line with the gas 5 adsorption experiments at 299 K (Figure 3a). In a third experiment, we used the same conditions (300 K) on the 6 same sample but this time irradiated only parts of the sample, throughout the whole adsorption process, with 7 365 nm light. Interestingly, at a relative pressure of 0.17-0.18 we observed a strong decrease in diffraction intensity 8 at 3.09 ° 2 $\theta$  and appearance of new peaks at 4.07 ° and 6.66 ° 2 $\theta$  (Figure 3 E,H), which we can assign to the 9 formation of (E)-DUT-163cp. Reversible reopening of the structure was not observed in the investigated pressure 10 range but is expected to occur at increasing relative pressures similar to the experiment conducted at 262 K. 11 Repetition of the experiments at 300 K on three individual samples confirmed the initial observations and the light-12 responsive behavior (Supplementary Figure 48-Supplementary Figure 52). In all experiments, the temperature 13 recorded in close proximity to the sample was stable at 300 K, with fluctuations below ±0.2 K. We observed no 14 change in the diffraction patterns of (E)-DUT-163cp upon irradiation with 365 nm and 455 nm light 15 (Supplementary Figure 45), reflecting the absence of light-induced cp-op transition by potential Z-E 16 photoisomerization. In one experiment, 365 nm irradiation was applied only in the relative pressure range of 0.16-0.28, 1 min before the op-cp transition occurred, demonstrating that prolonged irradiation is not essential and the 17 18 light application allows for temporal control of the process.

### 19 Spatial control over light-induced contraction

20 Because only a 6 mm length section of the sample-filled capillary was irradiated in the experiments described

above, we performed an axial PXRD scan along the capillary to determine the spatial phase composition (Figure4).





Figure 4. Analysis of local photo-induced transition. a-e Axial PXRD (a) and Raman scan (b) of a capillary with a diameter
of 0.7 mm, image and illustration of the investigated capillary (c), selected PXRD patterns at 1 mm (bottom), 6 mm (middle),
and 13 mm (top) (d), Raman spectra at selected positions (e), f-h PXRD patterns of non-irradiated and irradiated areas for
capillaries with diameters of 0.3 mm (f), 0.7 mm (g), and 1 mm (h). Irradiated regions are marked in orange, non-irradiated
areas in purple, inset enlarged patterns in f-h of 2Theta range 3.8-9 ° represent 20 times magnification.

Only DUT-163 powder in the irradiated area exhibited structural contraction, supporting that light is indeed the trigger for the transition and demonstrating the spatial applicability of light-initiated contraction in DUT-163 (Figure 4 A,D). However, in all irradiation experiments, the residual *op* phase detected by PXRD indicates that only part of the sample undergoes a contraction. As the dense packing and high absorptivity of DUT-163 in the range of 200-600 nm can filter the light stimulus, we tested the light penetration depth by analyzing DUT-163filled quartz capillaries with diameters of 0.3 mm, 0.7 mm and 1 mm (wall thickness 0.01 mm) (Figure 4 F-H). We observed that 88% (0.3 mm), 79% (0.7 mm), and 26% (1 mm) of the bulk sample underwent contraction, 1 estimated from the change in intensity of the (111) reflection of DUT-163op at  $2\theta = 3.09^{\circ}$ . Thus, we evaluated 2 that the penetration depth of the applied LED light is in the range of 0.1-0.15 mm for a non-compressed sample 3 bed of DUT-163 powder. Although a more powerful light source might initiate contraction in a denser or thicker 4 sample bed, the applied low power 15 mW LED used for irradiation in these experiments is sufficient to trigger 5 structural contraction in microscopic or nanoscopic single crystals or thin films.

#### 6 In situ Adsorption-Light Spectroscopic experiments

7 Although the presence of diffraction peaks of (E)-DUT-163cp clearly shows that the MP adsorption-induced 8 structural transition at low temperature (262 K, 296 K) is comparable to the light-gated transition at higher 9 temperature (300 K), the strong loss in crystallinity upon contraction might conceal the formation of states such 10 as (Z)-DUT-163cp. We thus complemented PXRD experiments by in situ DRUV-Vis, Raman, and DRIFT 11 spectroscopy upon adsorption of n-butane at 295 K with and without irradiation (Figure 2). In contrast to 12 irradiation of guest free DUT-163, adsorption-induced contraction altered the spectra in all experiments strongly 13 (Supplementary Figure 73) similar to experiments carried out on DUT-49 (Supplementary Figure 70). DUT-163 14 samples exhibited the same contraction behavior with and without 365 nm irradiation (Supplementary Figure 75), 15 supporting the crystallographic findings: DUT-163op transforms into (E)-DUT-163cp via ligand buckling in 16 response to adsorption-induced structural contraction, that can be promoted by 365 nm irradiation. Notably, this 17 occurs at higher adsorption temperature at which adsorption stress alone is insufficient to trigger a contraction. 18 Light-induced E-Z isomerization of dacdc and the formation of (Z)-DUT-163cp is based on our experimental

19 observations excluded as the underlying mechanism for the light-responsive framework contraction.

#### 20 Modeling of photoexcited state

21 To postulate a mechanism of how irradiation can promote contraction via buckling we computed the 22 photoexcitation process of framework-constrained dacdc. Ligand geometries upon buckling were extracted from 23 the MD simulations of the DUT-163 contraction (Figure 1F). The energy landscapes of the ground state  $S_0$  and 24 excited states  $S_1$  and  $S_2$  for H<sub>4</sub>dacdc were determined by TD-DFT calculations as a function of  $d_{N-N}$  distances and 25  $\alpha_{\rm CNN}$  angles.<sup>44</sup> (Figure 5).



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28 Figure 5. Energy landscape of dacdc and DUT-163. a Energy landscape in 3 dimensions (a) and a 2D projection (b) of 29 groundstate  $S_0$  and excited state  $S_1$  and  $S_2$  of H<sub>4</sub>dacdc as a function of  $d_{N-N}$ . Crossing points and potential energy trajectories 30 are marked as cones and dashed lines, respectively. Ligand conformations are given for the op and cp state. c-e Energy

moluc

(kJ

Free energy

- 1 landscape at different loadings of MP at 300 K as contour plots of DUT-163 (c) and DUT-163\* (d) as a function of unit cell
- 2 3 volume normalized to the energy of the op state; e 2D energy diagram for selected loadings of the op and cp state for DUT-163

and DUT-163\* including transition barriers. Trajectories in D for contraction without and with NGA transition is indicated as

4 black and white lines, respectively.

5 Interestingly, the energy landscape of framework-constrained dacdc exhibits a trajectory that promotes the 6 formation of a metastable buckled state in *E*-conformation with reduced  $d_{\text{N-N}}$  and  $\varphi_{\text{CNNC}} \approx 175^{\circ}$  (Figure 5 A) which represents a novel photochemical deformation so far unexplored in AB. This channel resembles the one promoting 7 8 the nonproductive deactivation of the AB excited state, after population of  $S_2^{33,45}$ . Although this analysis shows 9 that both buckling or E-Z isomerization provide potential mechanism for light-induced contraction, the majority 10 of individual ligands in the lattice of a single crystal would need to be instantaneously excited and transformed to 11 drive cooperative structural contraction by light. The potential lack of collective excitation, supposedly short 12 lifetimes of the excited states of individual ligands, and undersaturation of the bulk sample by the applied light 13 source thus prevent observable photo-initiated contraction of guest-free DUT-163. However, upon adsorption of 14 MP the energy landscape of DUT-163 changes. MD simulations of DUT-163 at 300 K at different loadings of MP 15 per UC show that the energy difference between DUT-163*op* and (*E*)-DUT-163*cp* as well as the contraction barrier 16 is reduced with increasing loading of MP. The contraction barrier is decreased by 50% at a loading of 200 17 molecules of MP per UC, at which the pores of (E)-DUT-163 $cp \supset 200i$ -C<sub>4</sub>H<sub>10</sub> are saturated. Following increasing 18 loading, (E)-DUT-163cp is severely destabilized due to the lower  $V_p$  that cannot accommodate enhanced amounts 19 of MP. Although (*E*)-DUT-163*cp* $\supset$ 200*i*-C<sub>4</sub>H<sub>10</sub> still represents a metastable state at the highest level of adsorption-20 induced stabilization, the reduction in contraction-barrier can make this state more prone to light-induced 21 contraction.

22 To probe the response of DUT-163 upon irradiation we modeled an excited state of DUT-163 (DUT-163\*) using 23 established classical potentials that resemble the mechanics of dacdc in a biradical or zwitterionic state (further 24 denoted to as dacdc\*). This state is also a good mechanical representation of the previously described 25 photooxidized state upon charge transfer between AB and Cu<sup>2+</sup>. We investigated the free energy landscape of guest 26 free DUT-163\* upon loading with MP using the same MD method applied in the analysis of the DUT-163 ground 27 state. Interestingly, guest free DUT-163\* is found to exhibit a much lower barrier for contraction compared to 28 DUT-163. The breakage of  $\pi$ -conjugation in the ligand backbone of DUT-163\* is the origin for this softening, 29 which is also found to occur in chemically modified DUT-49-type frameworks<sup>42</sup>. It is well reflected by the 30 simulated bulk modulus of 4.8 GPa for guest free DUT-163 and 4.1 GPa for guest free DUT-163\*, respectively. 31 Because DUT-163\* is mechanically softer compared to DUT-163 it is more susceptible to adsorption stress. In 32 fact, at a loading of 200 molecules MP per UC, (E)-DUT-163\*cp⊃200i-C<sub>4</sub>H<sub>10</sub> was found to be the 33 thermodynamically stable state with a reduction in contraction barrier of 42% compared to DUT-163 $\supseteq$ 200*i*-C<sub>4</sub>H<sub>10</sub> 34 under the same conditions. Although in the model of DUT-163\* all ligands are simultaneously in the excited state, 35 which might not occur in a real crystal, even partial photoexcitation or -oxidation is expected to soften the 36 framework of DUT-163 significantly. The nature of the mechanism triggering the softening of the structure can 37 hence be hypothesized to be buckling of the chromophore either via an excited state pathway, as described in Figure 5 A, a photooxidation of the azo group by the  $Cu^{2+}$  clusters (Supplementary Figure 94), or a combination 38 39 of both. Additional adsorption interactions lower the barrier for contraction and initiating a light/adsorption-40 induced cooperative contraction of the crystal.

41 This dual-stimulus approach provides several advantages over purely light- or adsorption-induced transitions: 42 photoexcitation allows the framework to respond to lower adsorption-induced stress levels and even drive 43 contraction of a metastable state beyond the upper temperature limit of adsorption-induced contraction. The 44 observed contraction results in gas release by NGA in an extended temperature range with a potentially increased 45 magnitude. In addition, it allows to trigger NGA by a physical stimulus that specifically interacts with the 46 framework. Thus, this process can be applied orthogonally to other non-radiative processes and other chemical or 47 physical stimuli. Finally, it provides the possibility to spatially and temporarily control the release of gas via NGA 48 using light as a physical trigger.

#### 49 Conclusion

- 50 In conclusion, we show for the first time a cooperative structural transition of a SPC by combined application of
- 51 light and adsorption-stress. Although DUT-163 was initially designed for contraction via E-Z isomerization of the
- 52 AB-backbone, this process was ruled out by a combination of *in situ* experiments and extensive computation.
- 53 Instead, the contraction mechanism is based on a buckling process, previously unknown for molecular ABs, and

1 highlights the impact of framework-constraint on the behavior of photo-switches. In DUT-163 photoexcitation 2 causes framework softening, allowing to drive structural contraction at reduced adsorption stress levels. The effect 3 is reproducible under different conditions and allows for spatial and temporal control over the framework 4 contraction by light. As such, light-responsive gas release by NGA can be locally and temporarily activated in 5 DUT-163 for the use in nanoscopic pneumatic systems and gas-releasing devices. The postulated mechanism not 6 only demonstrates a novel switching transition in AB and an unexplored way of initiating structural transitions in 7 SPCs, it provides a novel strategy to physically alter the mechanical properties of extended molecular frameworks 8 without the application of chemical functionalization, potentially allowing such frameworks to respond to other 9 forms of stimuli such as electric or magnetic fields, temperature, or mechanical pressure which would result in a 10 novel class of mechanical nanoscopic actuators. Furthermore, we believe the findings of this study go beyond the 11 discovery of a novel mechanism of a light-induced cooperative transition in a SPC. Over the past years, many ABdoped materials were shown to exhibit light-induced changes of their properties upon irradiation<sup>23,46</sup>. In the vast 12 13 majority of cases E-Z-photoisomerization was postulated as the primary origin for the observed behavior. The 14 present study clearly illustrates that framework- or matrix-constrained photoswitches can exhibit properties and 15 states very different to the unrestricted single molecular analogue. We conclude that photochemical properties of 16 self-assembled systems are also governed by the structure and nature of the assembly beyond the properties of the 17 molecular building blocks. In-depth analysis of these effects will lead to new design principles and novel properties

- 18 of smart materials which may give rise to unexpected responsive behavior.
- 19

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## 35 Author contributions

S. Krause designed and coordinated the project and synthesized the ligands and MOF materials. S. Krause, VB,
DMT, DW, and NG built the in situ PXRD setup. MW and VB performed single crystal analysis and structure
refinement. S. Krause and VB performed in situ PXRD experiments and structure refinement from PXRD data.
SE performed SEM Analysis. S. Krause and VB performed adsorption experiments. S. Krause and FW performed
in situ DRIFT and UV-Vis experiments. S. Krause and WD performed in situ characterization of AB photo switch.
JDE performed MD and GCMC simulations. SC performed DFT simulations. WD and WB performed Raman
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43 have read and contributed to the manuscript and supporting information.

## 44 Data and materials availability:

45 Crystal structure of DUT-163*op* and (*E*)-DUT-163-cp are available at the CCDC database under CCDC-2040810

and CCDC-2040811, respectively. Additional experimental studies to support the findings of this manuscript are

47 displayed in the supplementary information or attached as additional supplementary information files. Raw data

48 is either provided in the supplementary information can be obtained upon request from the corresponding authors.

## 49 **Conflict of interests:**

50 There are no conflicts to declare.

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