

## Consecutive photocatalysis vs electro-photocatalysis. Excitation of radical anions of naphthalene diimides.

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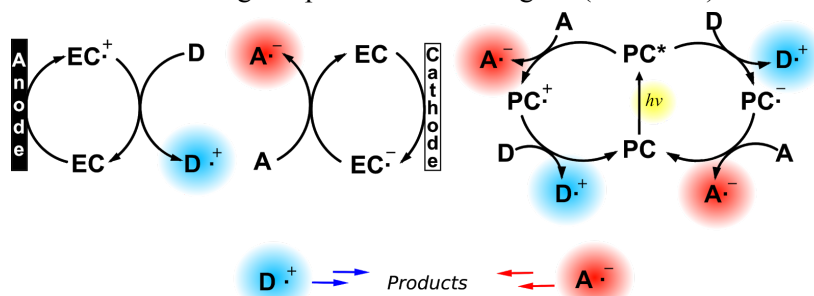
### Abstract

Photo- and electrochemical transformations represent excellent opportunities for the development of methodologies environmentally friendly and combination of both techniques would result in improved methodologies. Recently, photochemical transformations involving the excitation of open-shell species has become a reality. Photostimulated electron transfer (PET) from radical anions of organic dyes have been used in consecutive photoredox catalysis and electro-photocatalysis transformation, allowing the transformation of aryl halides in valuable compounds under mild conditions. The similarities of both techniques raises the question of the convenience of using one or other related and a close evaluation is necessary to drive further development and have been shyly done in previous works. However, an objective comparison of both approaches will require using the same chemical system. We present here a direct comparison of a “pure” photochemical and an electrophotocatalytic transformation studying a model transformation, namely, a C-H substitution in (hetero)aromatic systems with a C-C bond formation through reaction of halogenated substrates. Through a detailed investigation of both mechanisms, we identify a system that could be applied in both approaches with minor (necessaries) changes in the reaction conditions and clearly identify the excitation of radical anion of naphthalene diimides as the key intermediates. Both approaches are comparable in yields and kinetics with slightly better yields for the consecutive PET reaction and better selectivity for the electro-PET process. Our work offers a system that could be used for a more profound comparison of the experimental setups which could include, for instance, the important factor of energy consumption.

**Keywords:** photoredox catalysis, conPET, photo-EC', electro-photocatalysis

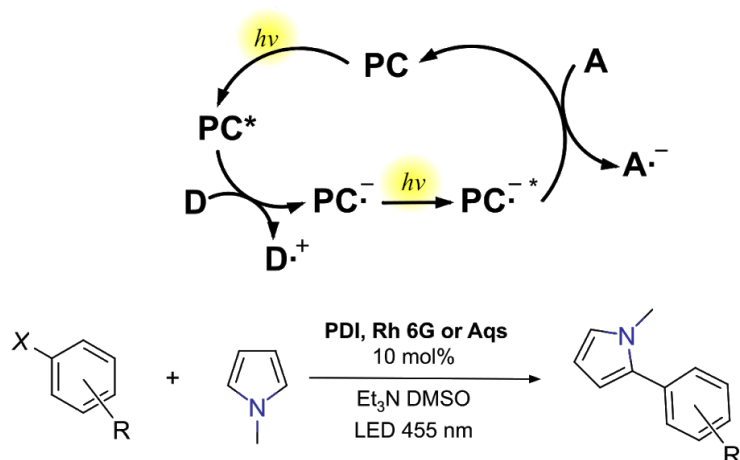
## Introduction

Photo- and electrochemical transformations represent excellent opportunities for the development of methodologies environmentally friendly, light and electrons are clean and cheap reagents without residual waste. In particular, advances in photoredox catalysis with visible light and mediated electrosynthesis have shown the versatility of these approximations in the synthesis of organic compounds.<sup>1-7</sup> A close look at the mechanism of both approaches rapidly highlights shared key intermediates radical ions as a potential point of combination to design improved methodologies (Scheme 1).<sup>8,9</sup>



Scheme 1. Typical mechanism of mediated electrochemistry and photoredox catalysis.  
(EC=electrocatalyst PC=photocatalyst)

Approaches following a photoredox process require an electron transfer (ET) process from the excited state of the photocatalyst. Consequently, in terms of kinetic it is desired this deactivation path to be the main or comparable to others, such as fluorescence or non-radiative deactivation. Quenching of excited states of closed-shell organic molecules have been thoroughly used in photocatalytic synthetic transformations and the factors that dominate the mechanism of deactivation have also received important attention. On the other hand, excitation of open-shell organic species have recently appeared as a real synthetic useful method. In 2014, König et al. proposed the excitation of an organic radical anion specie to promote an interesting metal-free C-H substitution reaction (Scheme 2)<sup>10</sup>, in this case excitation of a perylene diimide (PDI) radical anion, an open-shell specie, makes possible the reduction of Ar-X by visible light and stable organic dyes as catalysts. Although electron transfer from excited radical anions could be tracked to 1970s,<sup>11,12</sup> recent works demonstrated the synthetic potential of the quenching of excited open-shell organic species in promoting challenging transformations under mild conditions.<sup>10,13-16</sup>



Scheme 2. Consecutive photoinduced electron transfer (conPET).

Previously to König's work, it was well established that perylene diimides (PDI) upon photoexcitation generates radical anions ( $\text{PDI}^{\bullet-}$ ) in the presence of suitable sacrificial electron donors such as trialkylamines. These persistent radical anions absorb visible light and could be kept for hours in oxygen free atmospheres. Based on these characteristics, König and co-workers propose two consecutive PET (conPET) to access

synthetic useful aryl radicals from aryl halides, through the strong reducing excited state of PDI•<sup>-</sup> (PDI•<sup>-</sup>\*) generated by two subsequent absorption of visible light photons starting from air-stable PDI (Scheme 2). Biologically relevant pyrrole derivatives were used as trapping of aryl radicals and functionalized pyrroles were synthesized in good to excellent yields by a C-H substitution. An extension of this work used bay-substituted PDIs.<sup>17-19</sup> Additionally, the conPET concept has been also used in ATRA reactions such as iodoperfluoroalkylation of alkenes.<sup>20</sup>

Mechanistic studies performed confirmed photo-stimulated formation of PDI•<sup>-</sup> in the presence of triethylamine through spectroscopy studies (UV-Vis and quenching of fluorescence). The needed for photostimulation of PDI•<sup>-</sup> to promote ET to the substrates was confirmed by dark-light experiments in the presence of substrate of a chemical obtained solution of PDI•<sup>-</sup><sup>10</sup> (similar experiment was performed with supported PDIs.<sup>18</sup> After ET to aryl halides the photo-induced transformation proceed via a radical mechanism, as probed using a radical clock precursor and trapping experiment with 2,2,6,6-tetramethylpiperidinoxyl (TEMPO).<sup>10</sup> A recent picosecond time-resolved transient absorption (TA) spectroscopy of PDI•<sup>-</sup> \* confirmed, based on a direct observation, PET from this excited state to aryl halides, taking any doubt about this step sometimes questioned due to the relative short lifetime reported for radical anions of PDI (139 ps).<sup>21</sup>

The conPET catalytic cycle is not restricted to PDI derivatives and well known Rhodamine 6G dye (Rh 6G) demonstrated to be an excellent photocatalyst for this purpose enabling catalytic transformations of (hetero)aryl-halide bonds in interesting C-H arylation reactions which involve the activity of Rh 6G•<sup>-</sup> \*.<sup>13,22</sup> Another group of compounds known to form persistent radical anions are the quinone family (Figure 1). In particular 9,10-anthraquinones (Aqs) and their derivatives form coloured radical anions upon single-electron reduction. The radical anions of Aqs (Aqs•<sup>-</sup>) possess moderate reduction potentials (-0,8 - -0,5 V vs. SCE) but Lund and co-workers<sup>23</sup> already showed in 1985 that their excited states can transfer electrons to organic substrates such as 1,2-dibromobenzene, an aryl halide substrate with a reduction potential of -1.88 V (vs. SCE). **Aq** and **AqOH** (Figure 1) were able to catalyse the reaction described in Scheme 2 in similar conditions, through a multiple photon catalytic cycle similar to the one described for PDI and Rh 6G. However, in the case of Aqs a more complex picture is operative in which a semiquinone anion (AqsH<sup>-</sup>) formed from the Aqs•<sup>-</sup> (by proton and electron transfer) is responsible for the main transformation.<sup>24</sup>

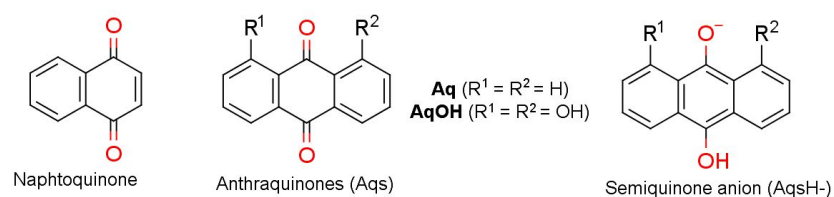


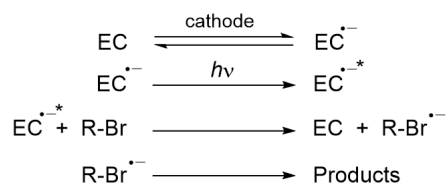
Figure 1. Structure of quinones and semiquinone anions.

Lund and Eriksen studied the photochemical behaviour of a electrochemically generated solution of Aq•<sup>-</sup> and demonstrated a PET to aryl halides from this radical anion unequivocally,<sup>23</sup> the difference in the photocatalytic transformation is the short life time of Aqs•<sup>-</sup> when prepared by ET from trialkylamines, since the ion pair evolve to the semiquinone radical and finally to AqsH<sup>-</sup>.

Further studies of electrochemically prepared radical anions of quinones derivatives (including **AqOH**, Figure 1) were performed by Eggins and Robertson. The author included in these cases aryl halides and carbonyl derivatives such as ketones, aldehydes, and glyoxylic acid as electron acceptors and probed the PET identifying products from the radical anions of these compounds. Results supported a general behaviour for a PET from doublet states quinones radical anions.<sup>25</sup>

PET from open-shell species have been observed in cyclic voltammetry experiments for other radical anions species including the ones from pyrene,<sup>12,26</sup> perylene,<sup>12</sup> anthracene, 9,10-dicyanoanthracene, dimethyl terephthalate<sup>26</sup> and tetrachlorobenzoquinone.<sup>27</sup> The experiments are consistent with a photo-EC' mechanism

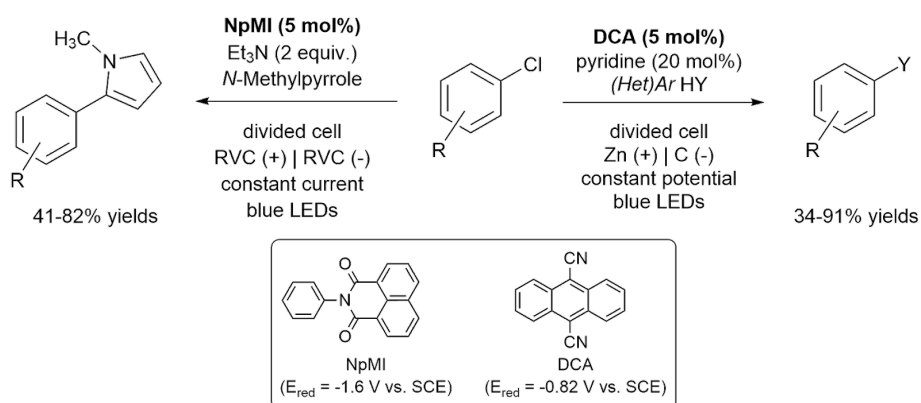
as Scheme 3 shows.<sup>27,28</sup>



Scheme 3. Reductive Photo-EC' mechanism.

A reductive photo-EC' and the conPET mechanisms share a key step involving a radical anion excitation and it could be recognized that an electro-photocatalytic transformation could offer an alternative synthetic approach, based on the success of the conPET approach. An electrochemically generated radical ion implies replacing a stoichiometric amount of a sacrificial donor by a cathode, a situation that could be very advantageous having an easily tunable source of electrons. This was recognized by Lambert's and Wickens' groups between others who recently developed synthetic transformation using radicals anions and radicals cations.<sup>15,16,29</sup>

Using dicyanoanthracene (DCA) as catalyst Lambert and Lin et al<sup>15</sup> were able to modify aryl chlorides bearing electron-withdrawing and more importantly electro-donor substituents (Scheme 4.). Interesting borylation was achieved with broad toleration of functional groups such as ester, ketone, amide, carbamate, thiophene, and *N*-Boc indole. Besides extension to C-H functionalization of (hetero)aromatic was possible but less explored. A photo-EC' mechanism was proposed without detailed studies. Key PET from the excited DCA<sup>•-</sup> to aryl halides generated an aryl radical that react with different trapping agents (B<sub>2</sub>pin<sub>2</sub>, Sn<sub>2</sub>Me<sub>6</sub> and (Het)arene) to give the final product. Almost simultaneously, Wickens and co-worker<sup>16</sup> developed a similar approach using naphthalenemonoimide **NpMI** as catalyst and performed phosphorylation and (hetero)arylation (C-H substitution) of aryl chlorides with moderate to good yields. EWG and EDG were tolerated allowing the reaction of substrates with potential as low as -3.4 V (vs. SCE). The advantage of using a combination of techniques instead of pure electro- or photocatalysis was demonstrated in comparative experiments. These experiments showed the unique performance of the combination especially in substrates with highly negative E°.



Scheme 4. Electrophotocatalytic functionalization of aromatic halides.

Despite these and other important contributions,<sup>9,30,31</sup> approaches focused on the excited state of the open-shell organic molecules (radical or radical ions) are still unexplored in comparison with the "classical" photostimulated approach. Besides electro-photocatalytic works did not cover all the potential advantages of the electro-photocatalytic combination in terms of reducing the stoichiometry additives because they still use sacrificial donors<sup>16</sup> or sacrificial anodes<sup>15</sup> to achieve useful synthetic results.

Inside our continuous efforts to develop new catalytic systems, we identify naphthalene diimides (**NDI**) as potential candidates for demanding transformation inside visible light photocatalysis. Particularly, its use in a

conPET approach and electro-photocatalysis would broaden the applicability of these privileged structures used in other catalysis such as ion- $\pi$  catalysis and applications in supramolecular chemistry, sensors, host-guest complexes for molecular switching devices, ion-channels, intercalations with DNA for medicinal applications, etc.<sup>32</sup> ET from excited **NDI** radical anions have been reported in laser flash photolysis experiments<sup>33</sup> and more recently, a conPET mechanism have been proposed with a **NDI** photocatalyst as part of a complex Metal-Organic Framework heterogeneous catalyst<sup>34</sup> although ET to aryl halides was successful, a constructive approach has not been explored and only dehalogenation was reported. The same material was probed in other catalytic activities and an important reaction of oxiranes with CO<sub>2</sub> was accomplished with good results, showing the potential of this core in the construction of polyfunctional catalyst.

Based on the interesting potential shown in the previously described electro-photocatalytic transformations we wonder how it could be better to evaluate the advantages compared to “pure” photocatalyzed reactions. The differences found in the anthraquinone case comparing a conPET approach and an electrochemical PET, highlights a need for more mechanistic studies of similar systems, in order to recognize the general characteristics responsible for this kind of behavior that appears with open-shell species in similar conditions. Besides, mechanistic analysis in conditions closer to the preparative organic synthesis are increasingly demanding in addition to classical spectroscopies analysis. We believe an integral comparison will be beneficial for the future development of the combination and in doing so using the same chemical system would be favorable.

We show here our contribution to this final goal identifying a system that could be applied in both approaches with minor changes in the reaction mechanism and conditions. We study as a model transformation a C-H substitution in (hetero)aromatic systems with a C-C bond formation through reaction of halogenated substrates. Using naphthalene diimides as photocatalysts, we develop and compare a “pure” photocatalytic and an electro-photocatalytic approach through a detailed investigation of both mechanisms.

## Results and Discussion

### Synthesis and characterization of naphthalene diimides catalysts (NDIs)

As mentioned before, naphthalene diimides cores have been used to design (photo)catalysts as well as many other applications. Synthesis of all NDIs started from commercially available naphthalene-1,4,5,8-tetracarboxylic acid dianhydride (NDA) and the corresponding amine using reported procedure (see SI for details). We decided to work with a family of simple naphthalene diimides with different substitutions (**NDI1-5** Figure 2). It is known that substitution in positions 2-, 3-, 6-, or 7- (“bay” substitution) with heteroatom confer to NDIs a strong absorption in the visible region allowing us to have a family of organic compounds with different spectra (Figure 3. and S1). Selection of cyclohexylamine for “bay” substitution group was done according to the reported improved stability of radical ions of related NDI bearing this group.<sup>35</sup>

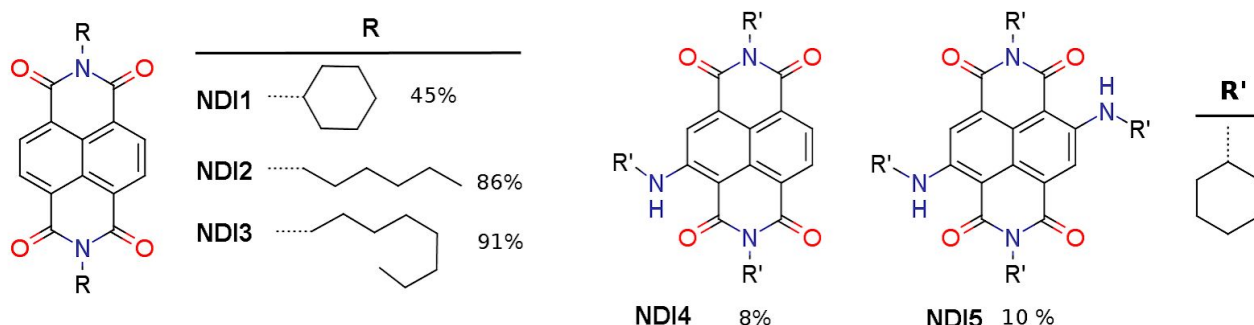
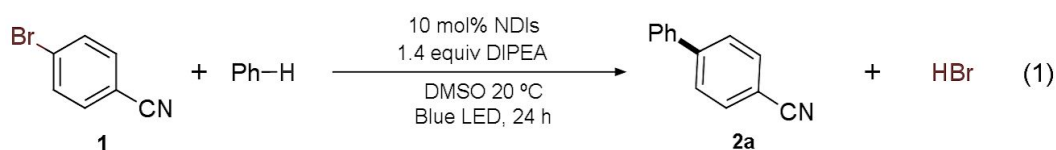


Figure 2. Structures and total yields of naphthalene diimides used in this work.

## Photocatalyzed C-H homolytic aromatic substitution – Consecutive PET (conPET) processes

We began our investigation with a synthetically important C–C bond-formation reaction using an aryl halide as starting material and visible-light irradiation. Typical conditions for a conPET reaction were first analysed using all **NDI1-5** as catalysts. A mixture of 4-Bromobenzonitrile (as radical precursor), benzene (as radical acceptor) and *N,N*-Diisopropylethylamine (DIPEA) (sacrificial electron donor) was used as a model system working in different solvents and a blue light-emitting diode (LED) as source of visible light irradiation (Table 1 and SI).

Table 1. Photostimulated reactions catalysed by **NDI1-5**<sup>a</sup>



Entry	Catalyst	Conv <sup>b</sup>	Products yield (%) <sup>c</sup>		
			2a	PhCN	MB <sup>d</sup>
1	<b>NDI1</b>	36	18	18	100
2	<b>NDI2</b>	62	16	17	71
3	<b>NDI3</b>	60	18	20	78
4	<b>NDI4</b>	82	17	28	63
5	<b>NDI5</b>	66	16	21	71
6 <sup>e</sup>	<b>NDI1</b>	16	-	-	84
7 <sup>f</sup>	<b>NDI1</b>	12	<1	<1	88
8	-	0	<1	<1	100

<sup>a</sup> The photostimulated reaction was carried out under N<sub>2</sub> atmosphere using BrPhCN (0.1 mmol), DIPEA (1.4 equiv), 1.1 mL DMSO ([1] = 0.059 M), benzene (65 equiv, 600  $\mu$ L), and a NDI catalyst. Irradiation was carried out with Blue-LED (3 W). <sup>b</sup> The conversion (conv) was determined by quantification of the recovered substrate. <sup>c</sup> Yields were determined by HPLC (external standard method) and GC (internal standard method). <sup>d</sup> Mass Balance. <sup>e</sup> Reaction in the dark. <sup>f</sup> Reaction without DIPEA.

Results in Table 1 indicate that all NDIs promote the cross-coupling transformation. The efficiency of the catalysts (in terms of product formation) is similar and does not correlate with the overlap of their UV-Vis spectra and the source of irradiation. However, substrate consumption shows different values pointing out to a difference in the extent of side reactions with each catalyst. In all cases, development of highly absorbing by-products could be responsible for the incomplete conversion (“self-filter” effect). Since the main objective of the present study was a controlled comparison of two techniques no further optimization was performed. However, using *N*-methylpyrrole also gives the expected product an acceptable yield (see SI) and synthetic applications of NDIs are under investigation and will be published in a separate work.

Control experiments (Table 1 entries 6-8) confirmed that light, catalyst and electron donor (DIPEA) are all necessary for the reaction to occur. Reactions without trapping agent gave reduced product (benzonitrile, PhCN) and experiment with 1,1-diphenylethylene, a radical scavenger, gave the respective substitution product, supporting the generation of 4-cyanobenzyl radical (see SI). The presence of small amounts of water (100 equiv) didn't give any new product discoragin a cationic pathway. Analysis of the relations of coupling / reduced product are according to the expected reactivity of radicals with aromatic systems.<sup>36</sup>

When **NDI1** is irradiated in the presence of DIPEA a new absorption in the visible region appears



corresponding to the radical anion of **NDI1** (**NDI1**<sup>•−</sup>) as confirmed by spectro-electrochemical studies (see Figure 3) and are in agreement with the reported experiment of related NDIs derivatives.<sup>33,35</sup> Signal for **NDI1**<sup>•−</sup> reach a stationary state under continuous irradiation after 25 min and remain stable at least 60 min under these conditions (Figure 3). When irradiation is stopped (after 60 min.) concentration of **NDI1**<sup>•−</sup> slowly decreases with the concomitant recovery of signals of neutral **NDI1** (see inset Figure 3). This behaviour could be explained by back electron transfer and/or small leaks that allow a small diffusion of oxygen into the system. Being this as it be, these results support a reversible ET to **NDI1** and low amount of degradation reaction between **NDI1**<sup>•−</sup> and the radical cation of DIPEA (or its derivatives). In addition, irradiation of **NDI1** alone or in the presence of **1** did not affect the initial spectra, ruling out any PET between **NDI1** and these compounds (See Figure in SI.); a result that is consistent with control experiments (Table 1 entry 7).

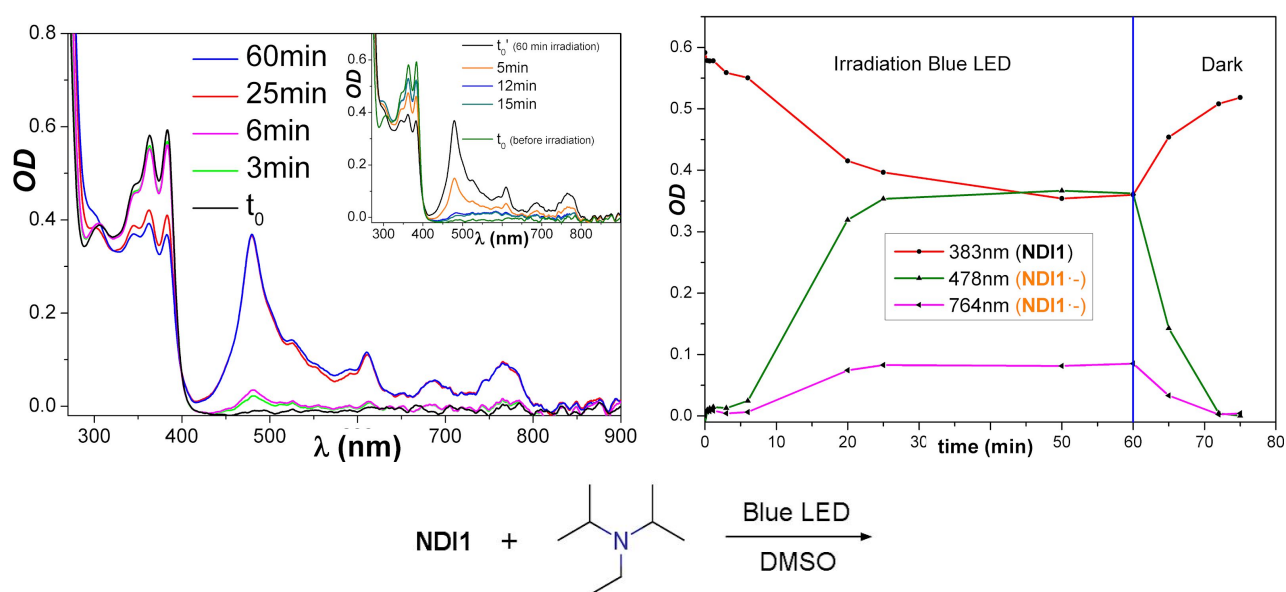


Figure 3. a) Formation of radical anion of **NDI1** in the presence of DIPEA. Inset: decay in the dark. b.) time dependency of absorption at  $\lambda_{\text{max}}$  **NDI1** and its radical anion.

When a mixture of **NDI1**, DIPEA and **1** is irradiated initial formation (5 min.) of **NDI1**<sup>•−</sup> is observed without a noticeable difference compared to the experiment in the absence of the aryl halide (Figure 4a.). However, since 10 min of irradiation a change in the spectra is observed suggesting the formation of new species. The **NDI1**<sup>•−</sup> signal instead of reaching a stationary point, starts to decrease and a noticeable bleaching of the catalyst after 25 min is observed (Figure 4a and SI). However, if irradiation is stopped after 3 min and the resulting mixture is kept in the dark, **NDI1**<sup>•−</sup> behaviour resembles the one obtained in the absence of **1** (Figure 4a inset). Irradiation of a reaction mixture (**NDI1** + DIPEA + **1** + benzene) also results in the appearance of the characteristic absorption of the **NDI1**<sup>•−</sup> although a slower kinetic of formation and degradation are observed (Figure 4b and SI).

It is important to mention that characteristic absorption of dianion of **NDI1** (see below) was never detected in any experiment.

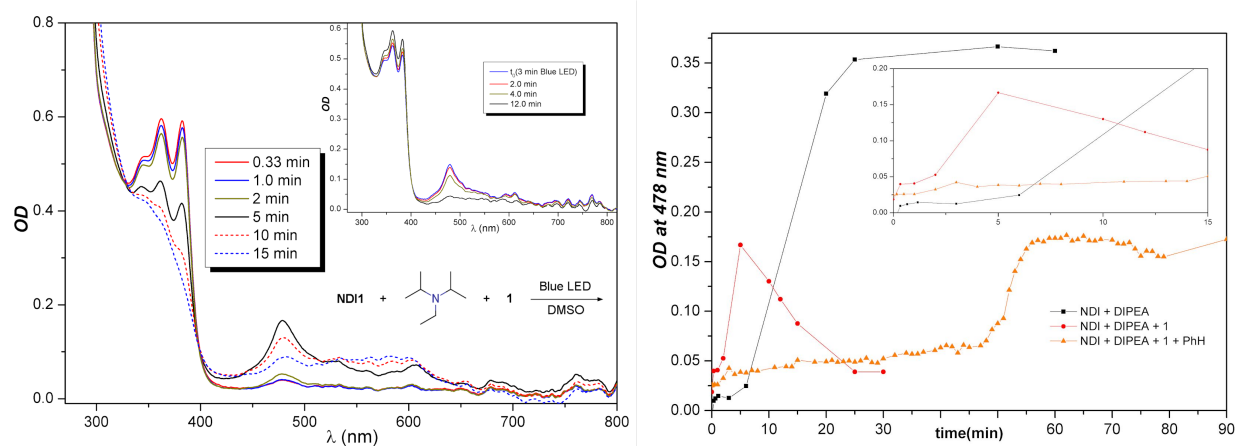


Figure 4. Irradiation of **NDI1** in mixtures with DIPEA, **1** and benzene. a) **NDI1** + DIPEA + **1**. Inset: decay in the dark after 3 min. of irradiation. b) Changes at 478 nm vs. time: **NDI1** + DIPEA (black), **NDI1** + DIPEA + **1** (red) and **NDI1** + DIPEA + benzene (orange).

### Electro-photocatalyzed C-H homolytic aromatic substitution

The transformation indicated in equation 1 was also studied in an electro-photocatalyzed experiment. We anticipated that the key **NDI1** $\bullet^-$  could be generated in the cathode avoiding the need for a sacrificial electron donor such as DIPEA and this radical anion fuel with redox energy could participate in a PET process after irradiation with visible-light (see Scheme 5.).

The electrochemical properties of **NDI1-4** determined by cyclic voltammetric (CV) experiments show two quasi-reversible cathodics peaks associated with the NDI/NDI $\bullet^-$  and NDI $\bullet^-$ /NDI $^{2-}$  processes with the current being slightly smaller during the reduction scan. (Table 2).<sup>37</sup> Thus, the radical anion and dianion formed display good stability on the time scale of the CV measurement. These results are in agreement with reported studies. Spectroelectrochemical analysis give the UV-Vis spectra of NDI $\bullet^-$  and NDI $^{2-}$  showing all studied NDIs strong absorption in the visible region (Figure 5 and Figures in SI).

Table 2. Electrochemical properties of NDIs used in this study (values in V vs. SCE).

PC	$E^\circ$ (NDI/NDI $\bullet^-$ )	$E^\circ$ (NDI $\bullet^-$ /NDI $^{2-}$ )
NDI1	-0.45	-0.91
NDI2	-0.46	-0.85
NDI3	-0.5	-0.91
NDI4	-0.62	-1.02

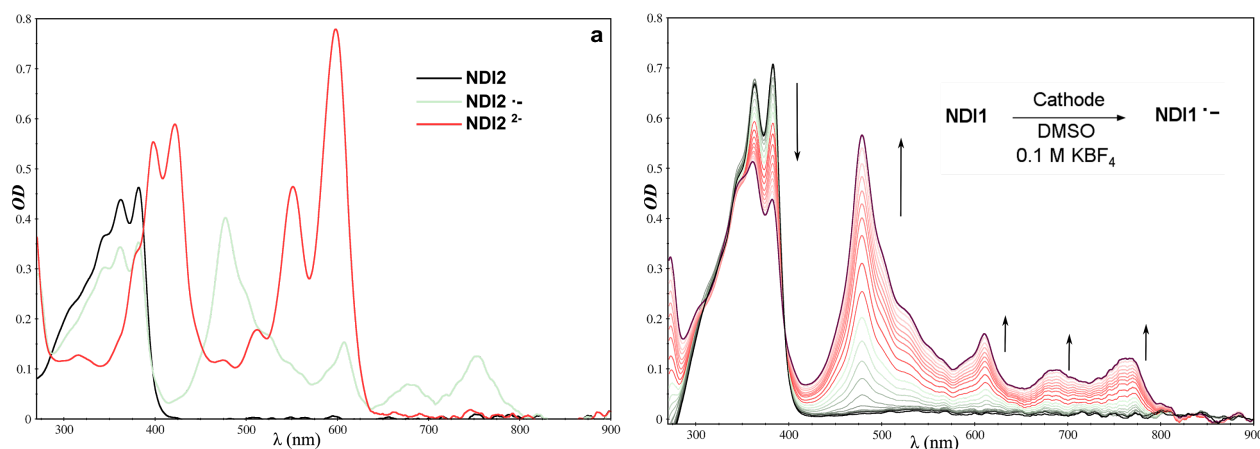
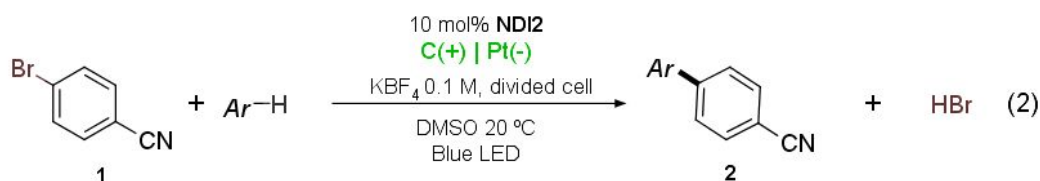




Figure 5. Spectro-electrochemical measurement of NDI. (a) Spectroelectrochemical potential scan experiment (1 mm cuvette) of **NDI2**. (b) UV-Vis spectra at constant current electrolysis of **NDI1** in a 10 mm cuvette.

The electrolysis of a solution of **NDI1-3** give a mixture of **NDI1**/**NDI1•-** working at constant current and the presence of dianion was never detected in this condition (Figure 5b and SI). The evolution was followed by in-line measurement of UV-Vis spectra provided a 10 mm UV cuvette was used as the cathodic cell (see SI). Based on these positive results, we study the electro-photocatalytic C-H substitution reaction of equation 2 in a divided cell photo-reactor (see SI). Simultaneous visible light irradiation (blue LED) and electrolysis of a mixture composed by catalytic **NDI2**, **1** and the aromatic radical-trap (benzene) gave the expected C-H substitution product in several electrochemical conditions (Table 3).

Table 3. Electro-photostimulated reactions catalysed by **NDI2**<sup>a</sup>



Entry	Conditions (vs. SCE)	Faraday s	Conv <sup>b</sup>	Products yield (%) <sup>c</sup>		
				<b>2a</b>	PhCN N	MB <sup>d</sup>
1	CP -0.56 V	-0.68	47	12	8	73
2	CC -56 uA (E° < -1.06 V)	-0.25	39	14	6	81
3	CP -0.56 V Dark	0.03	9	-	-	91
4	CC -56 uA (E° < -1.06 V) Dark	-0.02	22	-	5	83

<sup>a</sup> The electro-photostimulated reaction was carried out under N<sub>2</sub> atmosphere at controlled potential (CP) or constant current (CC) using BrPhCN (0.1 mmol), xx mL 0.1 M KBF<sub>4</sub> in DMSO, benzene (65 equiv, 600 uL), and **NDI2** catalyst. Irradiation was carried out with Blue-LED (3 W). <sup>b</sup> The conversion (conv) was determined by quantification of the recovered substrate. <sup>c</sup> Yields were determined by HPLC (external standard method) and GC (internal standard method). <sup>d</sup> Mass Balance.

Control experiments (Table 2 and SI) confirmed that light, catalyst and electricity are all necessary for the reaction to occur. Reactions without radical-trap gave the reduced product (PhCN).

The use of inorganic supporting electrolyte (KBF<sub>4</sub>) was deliberately chosen in order to avoid ammonium salts which estresults in a real replacement of amines in the present system as compared to the conPET approach.

To gain a deeper understanding of catalytic transformation an electrolytic solution of **NDI1-3•-** was prepared and its evolution followed by UV-Vis under different stimulus in the absence of current (Figure 6-7 and SI); detailed experiments for **NDI3** follows. After electrolysis of a saturated solution of **NDI3** is stopped, a solution of **NDI3•-** is stable showing small changes at dark or under irradiation with a blue LED (Figure 6 and SI).<sup>38</sup> When **1** is added to an electrogenerated solution of **NDI3•-** (under N<sub>2</sub>) all characteristic peaks corresponding to the **NDI3•-** remain for 30 min in the dark, showing a behavior resembling a pure solution

of **NDI3•-** (See Figure 7 and SI). However, irradiation with a blue LED led to the total consumption of the **NDI3•-** within 10 min supporting a PET process from **NDI3•-** to the aryl bromide (Figure 8).<sup>39</sup> Electrolysis of the resulted solution in the absence of irradiation regenerates the **NDI3•-** signals which are again consumed by irradiation (see SI). Repetition of this cycle finally results in the bleaching of the catalyst in a similar way as observed for the photo-generated radical anion in the presence of DIPEA (Figure 4). Experiments with the reaction mixture of eq 2 with benzene show similar behaviour indicating that PET to **1** is not affected by the presence of the radical-trap. However, changes in the initial spectra are clear in the “reaction mixture” in line with its higher complexity, pointing to the formation of charge transfer complexes between **NDI3•-**, **1** and benzene. Another interesting point is the higher persistence of the signal at 475 nm when the trapping agent is present, especially after 5 min of irradiation (compare Figure 7 and 8). This could indicate some recovery of the radical anion which is consistent with a catalytic cycle not possible in the absence of radical-trap.

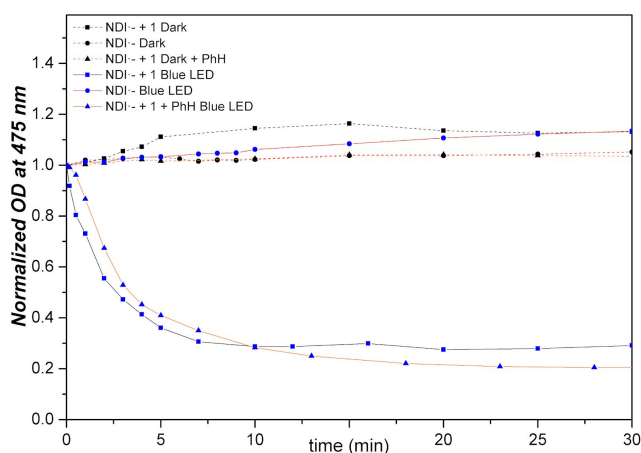


Figure 6. Irradiation of electrochemically prepared **NDI1•-** and mixtures with **1** and benzene. Changes at key  $\lambda$  vs. time.

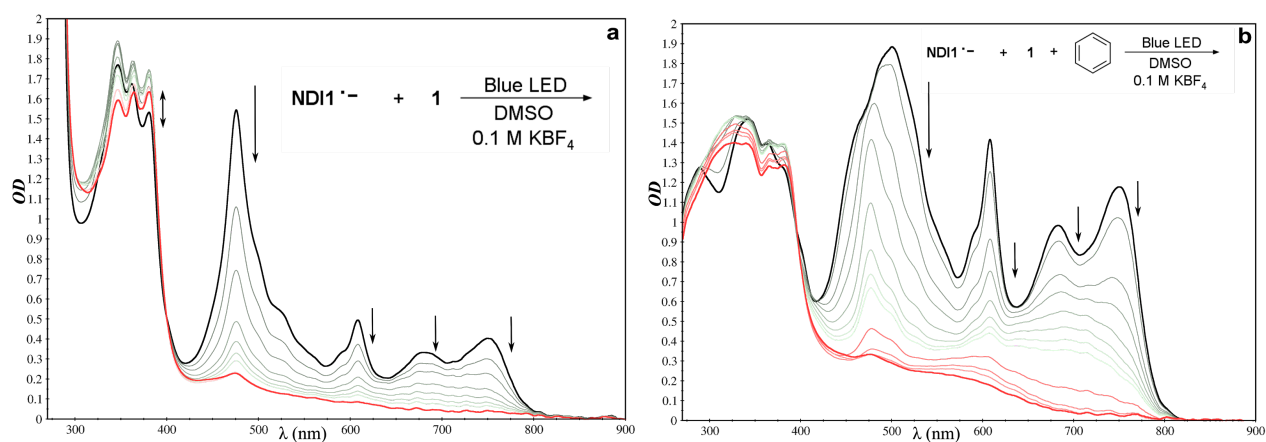


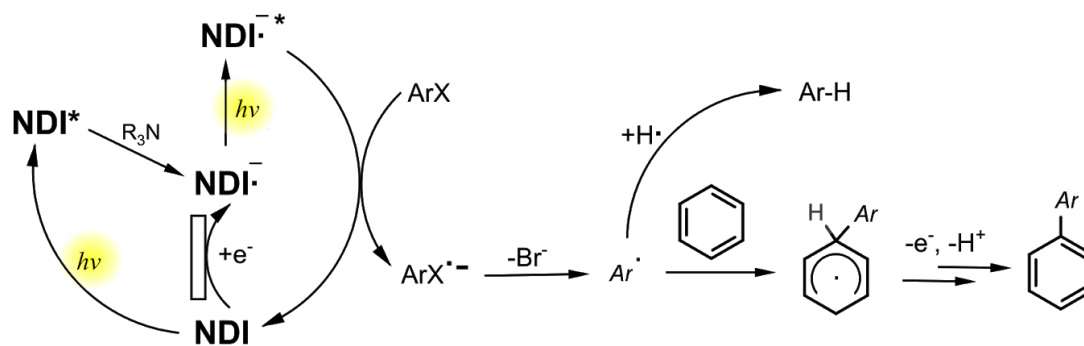
Figure 7. Irradiation of a mixture electrochemically prepared **NDI3•-** with a) **1** and b) **1** + benzene. (From black-gray (0, 10 s, 30 s, 1 min, 2 min, 3 min, 4 min) to red (5 min, 10 min, 15 min, 30 min, 48 min)).

Similar experiments with **NDI1** and **NDI2** demonstrate the generality of the observed behaviour (see SI)

### Mechanism of electro-photocatalysis and conPET catalysis.

Based on the previous experiments, we propose an overall mechanism for both photoinduced transformations

as depicted in Scheme 5.



Scheme 5. Proposed mechanism

Irradiation with blue LED of NDIs in the presence of DIPEA generates the  $\text{NDI}^{\bullet-}$  in a stable and measurable concentration. This fact not only probes a PET from DIPEA to  $\text{NDI}^*$  but also confirms that back ET is slow enough to allow a stable concentration of radical anion, well above typical reactive intermediates, which is a requirement for an efficient excitation. On the other hand, electrochemical generation of  $\text{NDI}^{\bullet-}$  probes the possibility of having an even more stable solution of  $\text{NDI}^{\bullet-}$  (compare decay times in SI) by avoiding back ET from a radical cation (or a derivative) of the electron donor. All together our results show a clear possibility of generating stable solutions (under  $\text{N}_2$ ) of radical anions of naphthalene diimides giving a strong base for the occurrence of photostimulated reactions from these species. Another important point of these set of photochemical and electrochemical experiments is the absence of dianions of NDI, a situation that is expected giving the presence of neutral NDI at all times in these “analytical” experiments as well as in the preparative ones, that will quench any dianion (if formed) to a pair of radical anions.

Following the reactivity of  $\text{NDI}^{\bullet-}$ , it is clear the occurrence of a photoinduced reaction with **1** (and the absence of reaction in the dark). Besides, a PET reaction is confirmed based on the formation of aryl radicals, as probed by the product distributions and the recovery of neutral NDI. However, it is worth to mention that the specific mechanism of this PET could be more complex than a typical dynamic quenching of  $\text{NDI}^{\bullet-}$ , especially in the presence of large amounts of third aromatic species such as benzene.

After key ET to the aryl halide fast fragmentation follows generating the reactive aryl radical. Initial fate of the aryl radical formed is well established in the actual experimental conditions and includes the abstraction of H from the solvent (reduced by-product) and homolytic aromatic substitution (HAS) to obtain the substitution product. Several mechanisms are possible for a HAS reaction with difference in the path of rearomatization of radical I (Scheme 5), including an H abstraction or a two-steps path with ET and proton elimination or vice versa. It has been demonstrated that initial proton elimination requires a strong base such as alkoxide anions and could be discarded in the present scenario. Although H abstraction from radical could not be ruled out, the present system is more consistent with an oxidation-proton elimination sequence with an ET to NDI, based on our spectroelectrochemical studies. This affirmation would imply an electrocatalytic process for the electro-phototransformation, however this could not be confirmed based on the consumption of charge probably due to undesired process. A similar situation is true for the conPET process since the electron donor is not consumed in a stoichiometric amount, however excess of donor is necessary to reach a steady-state in which the concentration of radical anion is suitable for the efficient excitation and a reasonable kinetic.

The two approaches analyzed have close related mechanisms and common problems appear such as catalysts bleaching and slow kinetic for the transformation. Initial comparison of the two approaches slightly favors the photochemical conPET process in terms of product yields and setup simplicity. However, the

electro-photochemical transformation gave a better relation product/reduced-product; the known H donor capabilities of radical anions of amines could explain this observation. In addition, in the electro-PET conditions there are (potentially) more possibilities of control of the concentration of the key specie  $\text{NDI}^{\bullet-}$ , which could influence the kinetic of the reaction and yields.<sup>40</sup> Besides it is worth mentioning that, in contrast to reported works, no sacrificial electrodes or donors have been used in the present work that result in a real replacement of amines as donor by the cathode. The addition of supporting electrolyte represents only 1-2 equiv of a cheap non-toxic additive in comparison with 1.4 equiv. of toxic amines.

## Conclusion

In the present work, we were able to clearly define the mechanism of a consecutive PET and electro PET transformation with the same system, identifying the radical anion of naphthalene diimides as the key intermediates.

Both approaches are comparable in yields and kinetics and although a conPET process shows in principle a simpler setup the electro-PET process improves selectivity and gives more room for optimization. Having a process that could follow a conPET or an electro-PET, our results suggest that a particular analysis is still necessary in order to favour one approach for the synthesis of a target compound.

The present work offers a system that could be used for a more profound comparison in different labs and experimental setups which could include, for instance, the important factor of energy consumption.

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## Supporting Information

General remarks, extra experimental details, UV-Vis spectra, time resolve spectra,  $^1\text{H}$  NMR and  $^{13}\text{C}$  NMR spectra for the products.

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- (36) Faster addition of aryl radicals to pyrrole compared to benzene has been reported.
- (37) **NDI5** could not be measured due to poor solubility of the compound in DMSO.
- (38) In all cases a mixture of RA and neutral compound is obtained. Small changes are detected in the dark and irradiated solution resulting, in all cases studies, in an small increase of the signals

corresponding to the RA without a change on the topology of the spectra.

- (39) It is known that radical anions of aromatic halides suffer a fast ( $10^{9-11} \text{ s}^{-1}$ ) fragmentation generating species with negligible absorptivity in the measured spectra.
- (40) Explorations of these “solution” are under investigation and will be published in a separate work