

Electrostatically Tuning the Photodissociation of the Irgacure 2959 Photoinitiator in the Gas Phase by Cation Binding

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ABSTRACT: The low-lying electronic states of Irgacure 2959, a Norrish-type I photoinitiator, complexed with a single metal cation are investigated in the gas-phase by photodissociation action spectroscopy. Analysis of the band-shifts using quantum chemical calculations (TD-DFT and SCS-CC2) reveals the underlying influence of the charge on the key electronic energy levels. Since the cations (H^+ , Li^+ , Na^+ , K^+ , Zn^{2+} , Ca^{2+} and Mg^{2+}) bind at varying distances, the magnitude of the electric field at the center of the chromophore due to the cation is altered and this shifts the electronic states by different amounts. Photodissociation action spectra of cation-Irg complexes show that absorption transitions to the first $^1\pi\pi^*$ state are red shifted with a magnitude proportional to the electric field strength (with red shifts > 1 eV) and in most cases the cation is essentially acting as a point-charge. Calculations show that a neighboring $^3n\pi^*$ state, a key state for the α -cleavage pathway, is destabilized (blue shifted) by the orientated electric field. As such, if the $^1\pi\pi^* \rightarrow ^3n\pi^*$ energy gap is reduced, increased inter-system crossing rates are expected resulting in higher yields of the desired radical photoproducts and this is controlled by the orientated electric field arising from the cation.

Introduction:

Theoretical and experimental investigations—particularly in combination—are providing new insights into the control of chemical reactivity using oriented electric field (OEFs).¹⁻⁵ Recent experiments have shown OEFs can enhance ground state reactivity in a variety of contexts including homogenous catalysts in solution,⁶ single-molecules at junctions⁷ and charged groups interacting with radicals in the gas phase.⁸

Recently, Coote and co-workers used computational predictions to rationalize how OEFs can be used to tune the photodissociation properties of radical photoinitiators by shifting the key excited electronic quantum levels implicated in photodissociation.^{9, 10} This requires the coordinated shifting of the absorption transition with the other states implicated in the dissociation to the desired products.

Following absorption of a photon, it is often the interplay between the spectroscopically prepared excited state and the neighboring “dark” excited state(s) that mediate the photodissociation efficiency.⁹⁻¹² As a result, the photon energy corresponding to the maximum absorption cross-section does not necessarily correspond to the maximum photodissociation efficiency.¹²⁻¹⁴ As red-shifting the active wavelengths of photoinitiators is often sought after, since lower energy photons are generally less expensive and are less damaging to other co-located molecules, it is vital that *both* the absorption transition and the other key states shift in accord to maintain high photodissociation yields. Predicting the impact of chemical modification, or application of OEFs, on photoactivity is not straightforward as different excited states have different polarities and polarizabilities and thus respond differently.^{9, 10, 15} This in turn has complex follow-on effects on intersystem crossing rates, triplet lifetimes and other factors affecting photodissociation. To study these processes, experiments that probe both excitation and dissociation as a function of photon energy are required and gas-phase photodissociation action spectroscopy—combining laser photodissociation with *m/z*-selected ions—is well suited to investigate the fundamental processes of photoinitiator systems.

Gas-phase photodissociation (PD) action spectroscopy provides information on the structural characterization of ions including the position of metal cations complexed to chromophores¹⁶⁻²² and the location of protonation sites.²³⁻³¹ PD action spectroscopy of ions complements other experimental strategies examining the effect of electric fields on molecular reactivity.^{8, 32-38}

This paper focuses on the photodissociation of Irgacure 2959 (Irg), 2-hydroxy-4-(2-hydroxyethoxy)-2-methylpropiophenone, a well-known photoinitiator based on acetophenone with Norrish type-I photo-chemistry^{9, 10} and popular for use in bio-printing.³⁹⁻⁴¹ Irg absorbs in the UV region with an absorption maximum at $\lambda = 273$ nm.⁴² Following absorption, the desired α -cleavage photodissociation mechanism of Irg is summarized as a $^1\pi\pi^* \rightarrow ^1n\pi^* \rightarrow ^3\pi\pi^* \rightarrow ^3n\pi^* \rightarrow \alpha$ -cleavage.^{9-11, 43} Because neutral Irg has an S_1 state with $^1n\pi^*$ character, the $S_1 (^1n\pi^*) \rightarrow T_n (^3\pi\pi^*)$ ISC pathway—the second step—should be favorable (El Sayed Rules).⁴⁴ Ultimately, dissociation from the $^3n\pi^*$ state correlates to $^*C_9H_9O_3 + ^*C_3H_6OH$ α -hydroxyalkyl radical.⁴³ The quantum yield of α -cleavage from Irg is $\phi_\alpha = 0.29$ in acetonitrile.⁴² Irg has a strong $\pi \rightarrow \pi^*$ transition ($\epsilon = 16200$ M⁻¹ cm⁻¹ in acetonitrile),⁴⁵ which is excited, for example, in the cross linking of hydrogels.⁴⁶ Alternatively, to reduce damage in bio-applications, lower energy photons ($\lambda > 320$ nm) can be used and this directly accesses the weaker $n \rightarrow \pi^*$ transition ($\epsilon = 4$ M⁻¹ cm⁻¹ for $\lambda = 365$ nm in ethanol)^{39-41, 47} and thus requires longer irradiation times.^{46, 48} This trade-off highlights the need to balance the efficiency of the absorbing transition, which often coincides with higher energy photons, with yields of α -cleavage and other photo-initiated chemistry.

Hill *et al.* recently outlined that oriented electric fields can be used to (a) decrease the excitation energy of Irg and (b) increase the efficiency of α -cleavage pathway.¹⁰ Their study targeted that fact that the transition dipoles for the $^1\pi\pi^*$ and $^3n\pi^*$ states are anti-aligned (as depicted schematically in Figure 1) and thus are likely perturbed by OEFs in opposite directions. In addition, the $\pi\pi^*$ states are highly polarizable, which

greatly enhances their stabilization (> 1 eV) in the presence of an appropriately aligned field. Thus, suitable modification with a charged group or metal cation can lower the $^1\pi\pi^*$ state to become the S_1 and then, in the absence of any lower lying $^1n\pi^*$, the $S_1 (^1\pi\pi^*) \rightarrow T_n (^3n\pi^*)$ ISC pathway can dominate and enhance α -cleavage.⁹⁻¹¹ The efficiency of this pathway will be influenced by the energy gap between the $^1\pi\pi^*$ and $^3n\pi^*$ states and a smaller energy gap is expected to increase the ISC rate and increase α -cleavage yields.⁹⁻¹¹

Motivated by these predictions, the present study targets Irg bound to metal cations in the gas phase. Using PD action spectroscopy together with mass spectrometry allows for investigation of the energy shift of the $^1\pi\pi^*$ states of Irgacure in the presence of different cations (M^{Q+}) along with detection of the photoproduct ions. It is observed that the energies of the electronic quantum states are shifted by the presence of the M^{Q+} ions which altered the (a) the absorption profile and (b) subsequent photodissociation.

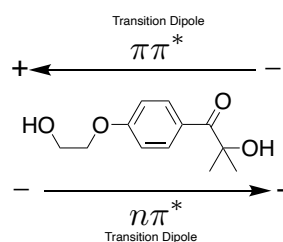


Figure 1. Alignment of transition dipoles for the $\pi\pi^*$ and $n\pi^*$ transitions for Irgacure as described by Hill *et al.*¹⁰

Results and Discussion:

Structures:

In order to characterize the effect of oriented electric fields (OEFs) arising from the presence of M^{Q+} charged atoms on the electronic quantum states of Irg, it is first necessary to determine the preferred location of the charged atom. Based on the *m/z* values of the ions, the M^+ cations gave rise to complexes of one Irg molecule and one M^+ , whereas the M^{2+} cations gave rise to complexes comprising two Irg molecules clustered one M^{2+} , with essentially no reproducible signal detected for single Irg- M^{2+} clusters. Figure 2 shows structure of M^+ ($M^+ = H^+, Li^+, Na^+, K^+$) ions complexed with one Irgacure 2959 molecule, which are labelled Irg- M^{Q+} in general. Figure 2 also shows structures for $M^{2+} = Zn^{2+}, Mg^{2+}, Ca^{2+}$ clusters and these are labelled as Irg- M^{Q+} -Irg. The relative energies of other calculated Irg- M^{Q+} structures are shown in Figure S2 in Supporting Information. In all cases, Structure A (M^{Q+} binding to the ketone and hydroxide oxygens), as shown in Figure 2, is the lowest energy structure.

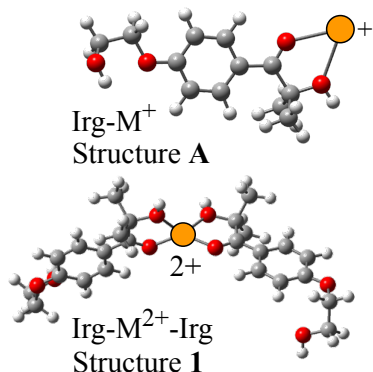


Figure 2. Lowest energy structures for Irg-M⁺ and Irg-M²⁺-Irg complexes as calculated using M06-2X.

It is known that thermodynamically less-favored gas-phase structures can survive ESI since they are kinetically trapped.⁴⁹⁻⁵³ However it is determined that structures other than the minimum-energy structure A can be excluded from the ensuing analysis based on DFT energies and based on their predicted electronic spectra residing above the 3.0 eV to 5.0 eV range. Also, structures could be excluded based on low isomerization barriers to form Structure A. For additional details relating to the structure assignment see Supporting Information Section SI.2.

The lowest energy structure of Irg-M²⁺-Irg (labelled Structure 1) has the hydroxy and ketone oxygens bound to the M^{Q+} ion. This Irg-M²⁺-Irg structure has some underlying C₂-like symmetry for the chromophore but not for the flexible hydroxyethane tails. Based on the relative energies of Irg-M²⁺-Irg structures as calculated with M06-2X (Figure S5 of Supporting Information), the following analysis assumes the results are related to Structure 1.

Action Spectroscopy

Figure 3 contains the photodissociation spectra (black line) of Irg-M⁺ and Irg-M²⁺-Irg ions alongside SCS-CC2 calculated vertical transitions below 5.0 eV (green bars). The PD action spectra are plotted as the sum of all photoproduct intensities. Representative product mass spectra are shown Figure S6 of the Supporting Information. The Na⁺ and K⁺ complexes form α -cleavage pathway products as a dominant photoproduct pathway, the Li⁺ system shows small amounts and the H⁺ and the M²⁺ cases exhibit a range of photoproducts.

The lowest energy peaks in experimental action spectra are fitted with a Gaussian function (blue line in Figure 3), which is used to assign the position of maximum PD efficiency. Additional Gaussian functions are fitted to include the higher energy peaks and are shown in Figure S7 of Supporting Information. The center of this blue Gaussian fit (labelled E_{exp}) will be used and compared with calculated vertical transition energies, although it is worth noting that experimental assignment of the vertical transition energy is subjective.^{23, 54, 55}

The E_{exp} values are listed in Table 1 along with TD-DFT and SCS-CC2 vertical transition energies (E_{vert}) for Structure A of Irg-M⁺ and Structure 1 of Irg-M²⁺-Irg. The E_{vert} values calculated using SCS-CC2 and TD-DFT generally agree with E_{exp}, assuming the peak maximum corresponds to the vertical transition energy, but with a systematic overestimation of *ca.* 0.3 eV for SCS-CC2 and *ca.* 0.5 eV for TD-DFT, which is

consistent with other reports using these methods.^{23, 27, 54, 56} Two features are observed for Irg-M^{Q+}-Irg complexes in the PD action spectra and these are predicted by the SCS-CC2 calculations. Using these calculations, the experimental PD spectra are assigned to the $\pi \rightarrow \pi^*$ transitions of either Structure A for Irg-M^{Q+} or Structure 1 for Irg-M^{Q+}-Irg, for each cation.

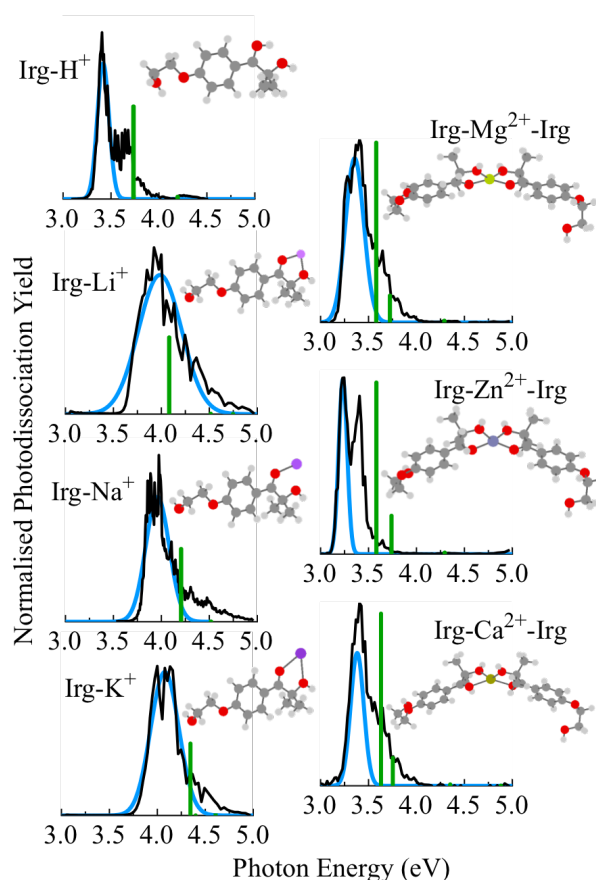


Figure 3. Photodissociation action spectra of Irgacure 2959 M^{Q+} complexes (M^{Q+} = H⁺, Li⁺, Na⁺, K⁺, Mg²⁺, Zn²⁺, Ca²⁺). Single Gaussian functions (blue) are fit to the lowest energy transition. Additional Gaussian functions used for fitting are shown in Figure S7 of Supporting Information. Green sticks are the calculated vertical transition energies (E_{vert}) for each lowest energy structure using SCS-CC2 with bar heights equal to the oscillator strengths for each respective transition.

¹ $\pi\pi^*$ State Shift by M⁺ and M²⁺ cations.

Neutral Irg has an S₁ state with ¹ $\pi\pi^*$ character and an S₂ state with ¹ $\pi\pi^*$ character. Figure 4 shows the dominant contributing orbitals to these S₁ and S₂ transitions. The highest occupied π and lowest unoccupied π^* orbitals are primarily localized across the phenyl ring (C₁₋₆) in addition to on the ether oxygen and the ketone C₇O₁ groups (for atom labelling see Figure S8(A)) and these groups comprise the chromophore of Irg. The ¹ $\pi\pi^*$ transition shown in Figure 4 involves a redistribution of the electron density around aromatic ring as well as an increase around the ketone moiety. In contrast, the ¹ $n\pi^*$ transition shifts electron density from the C=O lone pair into the broader π system. The important result of this is that the corresponding transition dipoles for these states are oppositely aligned, as shown in Figure 1.

Table 1. Centre (E_{exp}) and half width half maximum (HWHM) of Gaussian fit to experimental data. Vertical excitation (E_{vert}) energies and oscillator strengths (f) to the first ${}^1\pi\pi^*$ state for each ion as calculated using SCS-CC2 and TD-DFT. DNC denotes that the TD-DFT calculation did not converge. "SCS-CC2 calculations employed the def2-TZVP basis set for Irg- M^+ ions and the def2-SVP basis set for Irg- M^{2+} -Irg ions.

	E_{exp} (eV)	Exp. HWHM (eV)	E_{vert} SCS-CC2 ^a (eV)	Oscillator Strength SCS-CC2 (f)	E_{vert} TD-DFT (eV)	Oscillator Strength TD-DFT (f)
Irg- H^+	3.42	0.07	3.73	0.76	4.11	0.66
Irg- Li^+	3.97	0.24	4.08	0.63	4.36	0.56
Irg- Na^+	3.96	0.14	4.21	0.60	4.47	0.53
Irg- K^+	4.08	0.17	4.35	0.59	4.55	0.52
Irg- Mg^{2+} -Irg	3.36	0.11	3.58	1.52	3.90	1.34
Irg- Zn^{2+} -Irg	3.23	0.05	3.58	1.45	3.86	1.24
Irg- Ca^{2+} -Irg	3.38	0.08	3.63	1.46	DNC	DNC

As described by other groups, the transition-dipole axis can be thought of as a reaction-axis for OEF control over chemical reactivity.¹⁻³ As such, if an electric field was oriented from a positive point-charge at the position as M^{Q+} , near the ketone and OH groups as shown in both cases in Figure 2, then the electric field arising from the cation will stabilize (red-shift) the ${}^1\pi\pi^*$ state and destabilize (blue-shift) the ${}^1n\pi^*$ state. That is, the field from the cation works with the $\pi \rightarrow \pi^*$ transition but works against the $n \rightarrow \pi^*$ transition.⁹⁻¹¹ Ultimately, the stabilization of the $\pi\pi^*$ state is in large part due to its polarizability, which leads to significant stabilization despite its almost negligible dipole in the absence of the cation.⁹⁻¹¹

Experimentally, it is known that the absorption maximum of neutral Irg in acetonitrile is $\lambda_{\text{max}} = 273 \text{ nm}$ (4.54 eV),^{42, 43} which corresponds to the $\pi \rightarrow \pi^*$ transition. The gas-phase λ_{max} for neutral Irg is not reported, so in the absence of this value the experimental red shifts (Figure 3) are reported here as shifts relative to this reported acetonitrile λ_{max} value. The experimental shifts, assigned as transitions to the ${}^1\pi\pi^*$ state of each system, are listed in Table 2 along with the TD-DFT calculated shift. Figure S8 shows the distances between the center of mass (COM) of the chromophore and the charged atom and are listed in Table 2. From the results, the mono-cations give rise to red shifts up to 1.18 eV measured for Irg- H^+ . It is apparent that as the effective radius of mono-cationic M^+ atom increases, its binding distance from the chromophore increases (see Figure S8A and Table 2). For the di-cationic Irg- M^{2+} -Irg systems shifts are over 1 eV, relative to neutral Irg are measured. Shifting of electronic^{19, 20} and vibrational⁵⁷ transitions due to bound M^{Q+} cations has been reported in gas-phase action spectra by other groups. Electrostatic shifts of electronic states have also been induced using metal chlorides in solution.¹¹ Overall, the Irg- M^{Q+} action spectra reveal that the amount of red-shift for the ${}^1\pi\pi^*$ transition increases as the charge increases from +1 to +2. Also, the trend is for the red-shift to increase as the M^{Q+} positions closer to the chromophore.

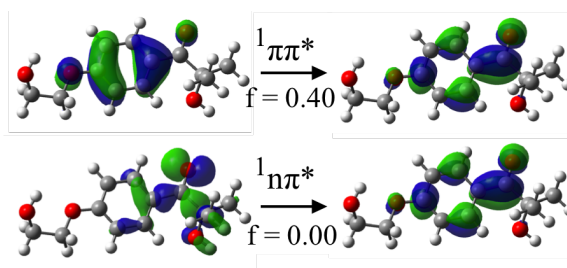


Figure 4. Dominant orbital transitions for the first $\pi \rightarrow \pi^*$ transition and $n \rightarrow \pi^*$ transition of neutral Irgacure 2959 (M06-2X/def2-TZVP) with predicted oscillator strengths (f).

Figure 5A shows a plot of the experimental red shift for the transition to the ${}^1\pi\pi^*$ state compared to the calculated TD-DFT red-shift, where there is a good correlation. These calculations thus provide good predictions for the shift of the absorption transitions. To assess if the M^{Q+} cations are acting effectively as point charges—and hence if the underlying cause of the shift is primarily electrostatic³³—TD DFT excitation energies were recalculated with the geometry fixed but with M^{Q+} atoms replaced with point charges P^{Q+} ($Q = +1e$ or $Q = +2e$). Figure 5B shows the calculated ${}^1\pi\pi^*$ shifts plotted against the shifts calculated for point charges. The mono-cationic shifts are nearly identically to P^{1+} point charge values. The di-cationic systems, on the other hand, have a reduced red shift compared to the P^{2+} point-charge values—falling off the linear trend. This may be due to some bonding interaction between the cation and the Irg molecules, thus reducing the effective charge of the M^{2+} cation. For the Irg- M^+ clusters, the correlation between the shift and the point charge values with the M^{Q+} cations supports the notion that the shifts are an electrostatic effect.³³

Table 2: Calculated distances between chromophore center of mass (COM) and charged atom, electric field strength (V/M) calculated using Coulomb’s law, transition energy (E_{exp}) shift determined from the PD action spectrum, TD-DFT vertical transition energy shifts (E_{vert}) and E_{vert} calculated by replacing M^{Q+} with a point charge.

	Cation-Irg Distance (Å)	Electric Field Strength (V/m) $\times 10^9$	E_{exp} Shift	E_{vert} Shift of Ion (TD-DFT)	E_{vert} Shift with Point Charge (TD-DFT)
Irg- H^+	4.07	8.69	-1.12	-0.990	-0.982
Irg- Li^+	4.95	5.89	-0.57	-0.738	-0.743
Irg- Na^+	5.33	5.07	-0.58	-0.629	-0.621
Irg- K^+	5.71	4.42	-0.46	-0.549	-0.510
Irg- Mg^{2+} -Irg	5.07	11.2	-1.19	-1.206	-1.343
Irg- Zn^{2+} -Irg	5.07	11.2	-1.31	-1.238	-1.364
Irg- Ca^{2+} -Irg	5.41	9.86	-1.16	DNC	-1.336

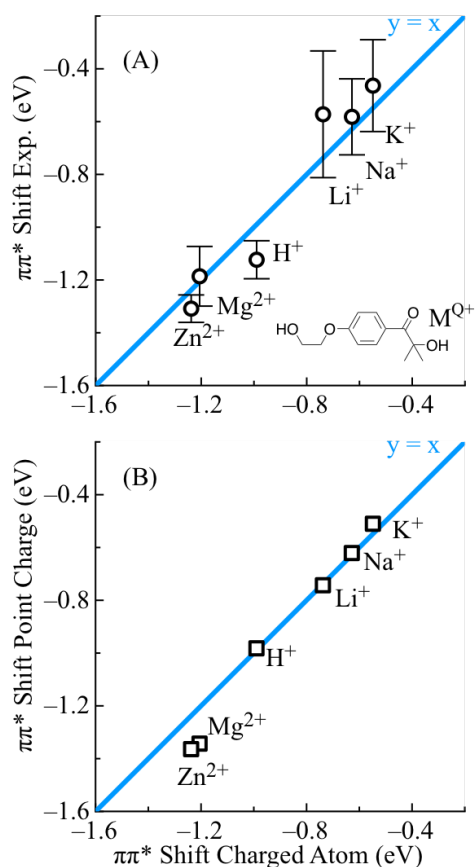


Figure 5. Plot of ${}^1\pi\pi^*$ shift of Irg- M^{Q+} relative to neutral Irg calculated by TD-DFT versus (A) the experimental value from the photodissociation action spectra and (B) the shift as modelled by a point charge.

To further explore the underlying cause of the band shift—and the influence of the metal cation—the electric field strength (E) is calculated using Coulomb’s law⁴⁴ (as described in Section SI.6 of Supporting Information). We note that this is a somewhat simplified approximation of the electric field strength, as it is simply the value of the electric field strength at a single distance to a point on the Irg chromophore and the

charge Q_M (either $+1e$ or $+2e$). Values for each system are shown in Table 2. Figure 6A shows the plot of the experimental redshift (E_{exp} shift) of the $\pi \rightarrow \pi^*$ transition for each ion complex (relative to neutral Irg) against the electric field strength (E) calculated to arise from M^{Q+} (with error bars from the HWHM from the experimental band, *vide supra*). A fitted linear function (black line), shows that the experimental band shift of the transition correlates with the electric field strength at the chromophore COM ($R^2 = 0.95$ and y-intercept of -0.06 ± 0.3 eV). Importantly, the fit passes through origin in accord with 0 V/m electric field affecting zero red shift. In this case of Irg- M^{Q+} ions, and for other Norrish type-I photoinitiators,^{9, 10} the charge-induced dipole alignment—moderated by the polarizability—provides a clear rationalization of the excited-state energy shift.

For the calculations, the vertical excitation energies to the ${}^1\pi\pi^*$ state of Irg- M^+ and Irg- M^{2+} -Irg ions relative to neutral Irg values are plotted in Figure 6B (triangles). The green trace is the vertical ${}^1\pi\pi^*$ excitation energy for neutral Irg in the presence of an increasing static electric field (oriented along the axis of neutral Irg as shown in Figure S1 of Supporting Information). It was found that at higher electric field values, the ${}^1\pi\pi^*$ state of interest interacted with a higher lying ${}^1\pi\sigma^*$ state (shown as red trace) and this state is dramatically stabilized by the electric field. Although these states interact well-above the E field values for the mono cations, they become mixed around 9×10^9 V/m and invert at electric field strengths above 10×10^9 V/m (These ${}^1\pi\pi^*$ and ${}^1\pi\sigma^*$ orbitals are shown in Figure S9 of Supporting Information). State-mixing and orbital-mixing is a common feature of molecular species in OEFs.³ It is not suspected that the ${}^1\pi\sigma^*$ state is involved in the dissociation of the high-field (or any) complexes here. As mentioned above the dissociation products for the Irg- Na^+ and Irg- K^+ complexes cleanly photodissociate to α -cleavage pathway products, Irg- Li^+ does in small yields, but the Irg- H^+ complex and the Irg- M^{2+} -Irg systems do not. The α -pathway mechanisms are discussed in the next section.

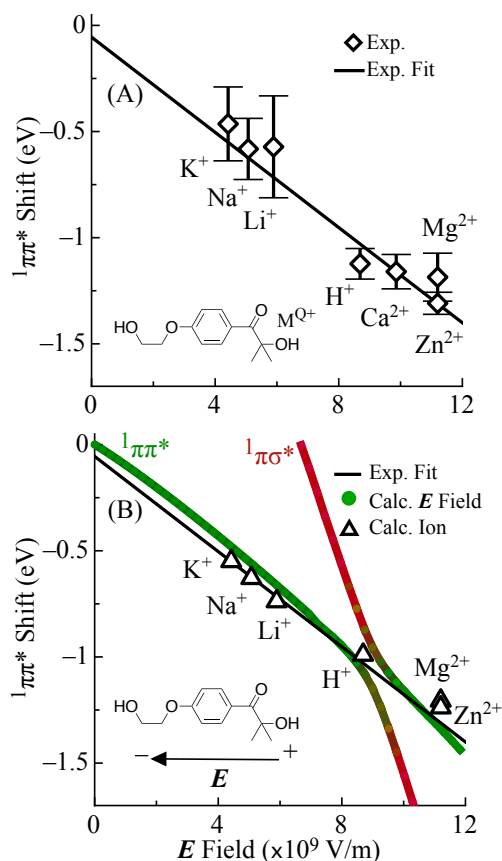


Figure 6. Energy shift of the transition to the first ${}^1\pi\pi^*$ state for Irg- M^{Q+} as a function of the electric field strength E . (A) Diamonds are the experimental peak position; error bars are the \pm HWHM of the fitted Gaussian. Black line is a linear regression fit to experimental data. (B) Triangles are the vertical excitation energies of the transition to the ${}^1\pi\pi^*$ state (M06-2X/def2-TZVP). The green trace is the ${}^1\pi\pi^*$ transition energy (M06-2X/def2-TZVP) under static electric fields of different strengths and the red trace follows a ${}^1\pi\sigma^*$ state which interacts with the ${}^1\pi\pi^*$ state at higher E values (see text).

Photodissociation Pathways

We have shown that the proximity of the cation affects the amount of red-shift for the key absorbing transition to the ${}^1\pi\pi^*$ state of Irg- M^{Q+} ions. This achieves the goal of decreasing the photon energy required for excitation. The next required step is efficient formation of radical products *via* α -cleavage. Two pathways resulting in α -cleavage are shown in Figure 7, these are canonical acetophenone-type pathway^{9,10} (Figure 7A) and the more direct ISC pathway (Figure 7B). It is theorized that the efficiency of α -cleavage is enhanced when the canonical acetophenone-type photo-dissociation pathway (${}^1\pi\pi^* \rightarrow {}^1n\pi^* \rightarrow {}^3\pi\pi^* \rightarrow {}^3n\pi^* \rightarrow \alpha$ -cleavage) is negated by lowering the ${}^1\pi\pi^*$ state below the ${}^1n\pi^*$ state.^{10,11} Once achieved, the S_1 state is ${}^1\pi\pi^*$, and this opens up the more direct ${}^1\pi\pi^* \rightarrow {}^3n\pi^* \rightarrow \alpha$ -cleavage pathway.⁹⁻¹¹ This direct ISC from the S_1 (${}^1\pi\pi^*$) to the T_n (${}^3n\pi^*$) state must still compete with radiative decay and other non-radiative decay pathways but overall enhancement of ISC is afforded by the decreasing energy gap between the S_1 ${}^1\pi\pi^*$ state and the T_n ${}^3n\pi^*$ state.¹⁰ Dissociation from

${}^3\pi\pi^*$ states is predicted to be slow^{11,58} while ISC to the triplet manifold and α -cleavage from the ${}^3n\pi^*$ state is ultra-fast.⁴³

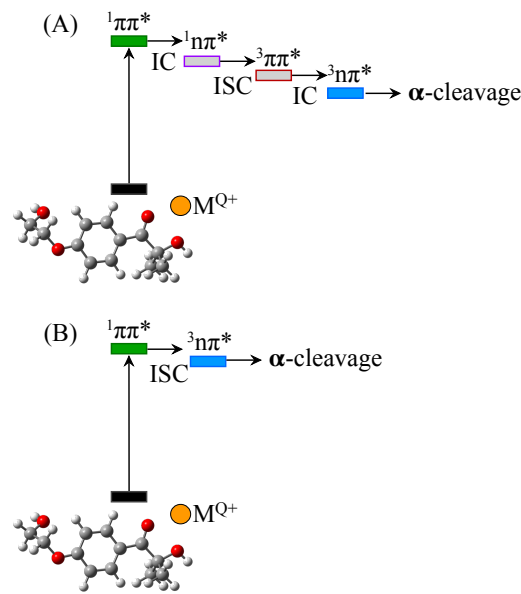


Figure 7. Two α -cleavage pathways where (A) the S_1 state is ${}^1n\pi^*$ character and follows a multistep mechanism and the simpler case (B) where the S_1 state is ${}^1\pi\pi^*$ character pathway.

Using the framework shown in Figure 7, Figure 8 shows the vertical transition energies to the first ${}^1\pi\pi^*$, ${}^1n\pi^*$, ${}^3\pi\pi^*$ and ${}^3n\pi^*$ states of each Irg- M^{Q+} . The Irg- M^{Q+} -Irg complexes are excluded from this analysis because of their more complex dissociation mass spectra, which presumably arise from other mechanisms. To accurately characterize different spin states, the SCS-CC2 method was used to calculate vertical excitation of these various spin state. CC2 is a robust method for calculating the excitation energies of medium sized molecules and the SCS correction improves results for open shell systems such as the triplet states investigated here.⁵⁹ Calculated ${}^1\pi\pi^*$ energies (green rectangles in Figure 8) are compared with experimental energies of the first ${}^1\pi\pi^*$ state (black diamonds in Figure 8) and agree well. Therefore, SCS-CC2 better reproduces absolute vertical transition energies, whereas TD-DFT performed well for relative energy shifts but consistently over-estimated absolute transition energies by ~ 0.5 eV.

The encroachment of the M^+ cation to the chromophore (from Irg- K^+ to Irg- H^+) red shifts the transition to the $\pi\pi^*$ states (green and red rectangles in Figure 8) while raising the transition energy to the $n\pi^*$ states (blue and purple rectangles in Figure 8). It is worth noting that in the case of Irg- H^+ the $n\pi^*$ state is affected differently because the proton interacts with the n orbital in a way that cannot be described solely by electrostatic effects. For all Irg- M^{Q+} ions, the OEF arising from the presence of the cation stabilizes the ${}^1\pi\pi^*$ state such that it shifts *below* the energy of the ${}^1n\pi^*$ state thus it favors the ISC pathway from S_1 (${}^1\pi\pi^*$) to the T_n (${}^3n\pi^*$) state (in accordance with the El Sayed rules). Because ISC can occur from the ${}^1\pi\pi^*$ state to the dissociative ${}^3n\pi^*$ state, the more

direct ${}^1\pi\pi^* \rightarrow {}^3n\pi^* \rightarrow \alpha$ -cleavage pathway (Figure 7B) can dominate.

In order to maximize α -cleavage yield, one can drive ISC to the desired dissociative ${}^3n\pi^*$ state by minimizing the energy gap between ${}^1\pi\pi^*$ and ${}^3n\pi^*$ states. This gap is calculated using SCS-CC2/def2-TZVP. The gas-phase PD experiments follow the formation of photoproduct ions and, as such, the PD yield of α -cleavage product ions can be reported as a fraction of the total product yield and also as a fraction of the total precursor ion depletion.

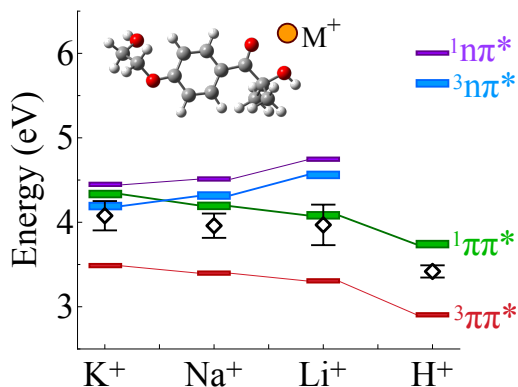


Figure 8. Vertical transition energies to the first ${}^1\pi\pi^*$ state (green rectangles), the first ${}^3n\pi^*$ state (blue rectangles), the first ${}^3\pi\pi^*$ state (red rectangles) and the first ${}^3n\pi^*$ state (purple rectangles) calculated using SCS-CC2/def2-TZVP. Values are compared to the lowest energy feature observed in the action spectra (black diamonds).

Table 3 shows the experimental ratio between the α -cleavage photoproduct ion yield $\phi_{\alpha\text{-cleavage}}$ and total PD photoproduct yield ϕ_{products} (or total depletion of the precursor ion $\phi_{\text{depletion}}$) for each Irg- M^{Q+} ion plotted against the energy gap between the first ${}^1\pi\pi^*$ and ${}^3n\pi^*$ states as calculated (SCS-CC2). The Irg- Na^+ and Irg- K^+ ions have the smallest energy gap between the first ${}^1\pi\pi^*$ and ${}^3n\pi^*$ states and dissociate with a yield of $\phi_{\alpha\text{-cleavage}} / \phi_{\text{products}} = 0.86$ (Irg- Na^+) and $\phi_{\alpha\text{-cleavage}} / \phi_{\text{products}} = 0.97$ (Irg- K^+). The higher α -cleavage yield of Irg- K^+ can be rationalized as the ${}^3n\pi^*$ state being slightly lower in energy than ${}^1\pi\pi^*$ state; the corresponding energy difference for Irg- Na^+ value is slightly positive but within the uncertainty of these values. With an unfavorably large energy gap of 0.5 eV, the Irg- Li^+ complex dissociated with a small yield of $\phi_{\alpha\text{-cleavage}} / \phi_{\text{products}} = 0.0008$. Therefore, under low pressure conditions in the gas-phase, other dissociation pathways can dominate for the Irg- Li^+ system.

The ratios of $\phi_{\alpha\text{-cleavage}} / \phi_{\text{depletion}}$ are lower than for $\phi_{\alpha\text{-cleavage}} / \phi_{\text{products}}$ because we suspect photoproducts are formed below the m/z threshold for the experiment. It is quite possible that α -cleavage resulting in $\text{C}_9\text{H}_9\text{O}_3 + \text{C}_3\text{H}_6\text{OH} + M^{Q+}$ is occurring and this would go undetected (and not contribute to the $\phi_{\alpha\text{-cleavage}}$ value). If this channel was taken into account, then the $\phi_{\alpha\text{-cleavage}} / \phi_{\text{depletion}}$ ratio would be expected to more closely match the $\phi_{\alpha\text{-cleavage}} / \phi_{\text{products}}$ value. Nevertheless, the $\phi_{\alpha\text{-cleavage}} / \phi_{\text{depletion}}$ values follow a similar trend to the $\phi_{\alpha\text{-cleavage}} / \phi_{\text{products}}$ values. Irg- K^+ has a yield of $\phi_{\alpha\text{-cleavage}} / \phi_{\text{depletion}} =$

0.36, while Irg- Li^+ has a yield of $\phi_{\alpha\text{-cleavage}} / \phi_{\text{depletion}} = 0.00041$. By tuning the energy gap between ${}^1\pi\pi^*$ and ${}^3n\pi^*$ quantum states the photochemistry of Irg is altered. This manifests in a yield of α -cleavage from Irg- K^+ is $\sim 10^4$ times larger than for Irg- Li^+ .

Table 3. Energy gap between the (vertical) ${}^1\pi\pi^*$ and ${}^3n\pi^*$ states as calculated using SCS-CC2/def2-TZVP. Experimental ratio between photo-dissociation leading to α -cleavage ($\phi_{\alpha\text{-cleavage}}$) and total observed photo-dissociation yield (ϕ_{products}). Experimental ratio between photo-dissociation leading to α -cleavage ($\phi_{\alpha\text{-cleavage}}$) and total observed depletion of the precursor ion yield ($\phi_{\text{depletion}}$).

	SCS-CC2 Energy Gap ${}^3n\pi^* - {}^1\pi\pi^*$	Exp. $\phi_{\alpha\text{-cleavage}} / \phi_{\text{products}}$	Exp. $\phi_{\alpha\text{-cleavage}} / \phi_{\text{depletion}}$	PD Wavelength (nm)
Irg- K^+	-0.15	0.97	0.36	300
Irg- Na^+	0.11	0.86	0.0096	296
Irg- Li^+	0.48	0.0008	0.00041	310

Conclusion:

Using ion trap mass spectrometry, M^{Q+} cations (H^+ , Li^+ , Na^+ , K^+ , Zn^{2+} , Ca^{2+} , Mg^{2+}) bound to Irg molecules—either one Irg molecule per M^+ monocationic atom or two Irg molecules per M^{2+} dicationic atom—resulting in electric fields of varying strengths that were oriented internally for each Irg molecule. Gas-phase UV PD spectroscopy, combined with TD-DFT calculations, showed that the first ${}^1\pi\pi^*$ transition was red-shifted proportionally to the strength of the electric field arising from the M^{Q+} cation. Red shifts of the transitions to the ${}^1\pi\pi^*$ state are observed from the UVC to the UVB or UVA region (depending on the charged atom), in accordance with the predictions by Hill and Coote (2019) that transition energies can be favorably controlled using OEFs.

The radical photoproduct yield of 97% following α -cleavage were measured for Irg- K^+ , which was the system with the smallest energy gap between the first ${}^1\pi\pi^*$ and ${}^3n\pi^*$ states (as calculated using SCS-CC2). In contrast, Irg- Li^+ ions with a ${}^1\pi\pi^*$ and ${}^3n\pi^*$ gap of 0.5 eV dissociated with 0.08% α -cleavage. Therefore, with the tuning the ${}^1\pi\pi^* - {}^3n\pi^*$ energy gap with OEFs, photodissociation can be drastically altered. This study provides combined experimental and theoretical evidence that oriented electric fields can shift photochemical reactivity by tuning the energies of electronic quantum states to affect absorption profiles and photodissociation pathways.

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ASSOCIATED CONTENT

Supporting Information

See Supporting Information – as referenced in the text - for experimental details, mass spectra, orientation of static electric field used in calculations, additional structure energies and assignments, additional Gaussian fits for PD action spectra and coordinates for optimized geometries.

The Supporting Information is available free of charge on the ACS Publications website.

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Notes

The authors declare no competing financial interests.

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SYNOPSIS TOC: Electrostatic control of excited electronic quantum states are harnessed to tune the photochemistry of a photoinitiator

