

Determining Partial Atomic Charges for Liquid Water: Assessing Electronic Structure and Charge Models

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Abstract

Partial atomic charges provide an intuitive and efficient way to describe the charge distribution and the resulting intermolecular electrostatic interactions in liquid water. Many charge models exist and it is unclear which model provides the best assignment of partial atomic charges in response to the local molecular environment. In this work, we systematically scrutinize various electronic structure methods and charge models (Mulliken, Natural Population Analysis, CHelpG, RESP, Hirshfeld, Iterative Hirshfeld, and Bader) by evaluating their performance in predicting the dipole moments of isolated water, water clusters, and liquid water as well as charge transfer in the water dimer and liquid water. Although none of the seven charge models is capable of fully capturing the dipole moment increase from isolated water (1.85 D) to liquid water (about 2.9 D), the Iterative Hirshfeld method performs best for liquid water, reproducing its experimental average molecular dipole moment, yielding a reasonable amount of intermolecular charge transfer, and showing modest sensitivity to the local water environment. The performance of the charge model is dependent on the

choice of the density functional and the quantum treatment of the environment. The computed molecular dipole moment of water generally increases with the percentage of the exact Hartree-Fock exchange in the functional, whereas the amount of charge transfer between molecules decreases. For liquid water, including two full solvation shells of surrounding water molecules (within about 5.5 Å of the central water) in the quantum-chemical calculation converges the charges of the central water molecule. Our final pragmatic quantum-chemical charge assigning protocol for liquid water is the Iterative Hirshfeld method with M06-HF/aug-cc-pVDZ and a quantum region cutoff radius of 5.5 Å.

1 Introduction

Partial atomic charges are widely used to model electrostatic interactions in molecular simulations. However, the partial atomic charge is not an observable and its assignment is ambiguous. Moreover, the partial atomic charge depends on the local environment of the atom and should vary as the electronic density re-distributes within the molecule and between molecules, sometimes termed polarization and charge transfer, respectively. For example, an ion in aqueous solution may carry a different net charge from its formal charge due to the charge transfer between the ion and water.¹⁻⁴ A plethora of methods have been proposed to assign partial atomic charges and the goal of this work is to devise a quantum-chemical protocol for assigning variable partial atomic charges for condensed phases that is responsive to the local molecular environment, using water as an example.

The strong intermolecular hydrogen bond in water leads to significant charge redistribution, including both polarization and charge transfer. This electronic redistribution is seen by the large increase in dipole moment: the dipole moment of an isolated water molecule is 1.855 D,⁵ whereas the dipole moment in liquid water increases greatly to about 2.9 D,^{6,7} indicating substantial mutual polarization between water molecules. Charge transfer also takes place upon hydrogen bond formation and is believed to be responsible for water surface charging⁸⁻¹⁰ and some peaks in the low-frequency IR¹¹⁻¹⁹ and Raman spectra.²⁰⁻²² Assigning fixed partial atomic charges to water has been a popular practice in modeling water in simulations, and these so-called non-polarizable water models,²³⁻²⁹ often parameterized empirically, have shown great success in reproducing a wide range of experimental properties of water,²⁹ including its sophisticated phase diagram, while failing in scenarios that call for flexible partial atomic charges, such as the low-frequency region of the IR spectrum³⁰⁻³³ and water in heterogeneous environments.³⁴⁻³⁷

Charge models can be grouped into different categories with very different philosophies.³⁸⁻⁴¹ Because we are pursuing a method that can accommodate polarization and charge transfer, we base our protocol on quantum-chemical calculations, and therefore focus on Class

II and Class III charge models following the classification of Cramer in Ref. 38. Charge models in Class II partition the wave function into atomic orbitals and different choices of atomic orbitals lead to different charge models, such as Mulliken⁴² and natural population analysis^{43,44} (NPA). Among other issues, these orbital-based methods are often overly-sensitive to basis set.⁴⁵⁻⁴⁸ NPA is more stable to changes in the basis set than Mulliken,⁴⁷ but NPA also tends to over-estimate the magnitude of the charges.^{47,48} Charge models in Class III determine charges based on analysis of some physical observable from quantum-chemical calculations, such as the electrostatic potential (ESP) or the electron density. ESP-based methods, e.g., CHelpG⁴⁹ (i.e., charges from electrostatic potentials using a grid), aim to find the optimal partial atomic charges to reproduce the ESP at grid points around the molecule. ESP charges are useful in modeling electrostatic interaction in molecular simulations,⁵⁰⁻⁵⁴ but are known to be problematic for flexible molecules and large molecules.^{49,55-57,57,58} The issue with flexible molecules can be addressed with the restrained ESP⁵⁹ (RESP) method, however unphysical charges may be generated for atoms buried inside large molecules even using RESP.^{40,60} Electron-density based methods differ in their ways of spatially partitioning the electron density; for example, Hirshfeld⁶¹ and many of its variants⁶²⁻⁶⁷ (e.g., Iterative Hirshfeld⁶²) make use of the electron densities of constituent atoms to partition the molecular density and Bader charge analysis⁶⁸ divides space according to zero-flux surfaces in the electron density.

The performance of many of the aforementioned charge models have been assessed on isolated water.^{47,69-72} An early study by Åstrand and co-workers found that the Mulliken charges are highly sensitive to the choice of basis set and show no convergence with respect to basis set size for water.⁶⁹ Bickelhaupt and co-workers comprehensively examined the charge predictions from Mulliken, Bader, Hirshfeld, NPA, and Voronoi deformation density (VDD)⁴⁷ charge models on a wide range of small molecules including water, finding that Hirshfeld and VDD models yield similar and physically meaningful charges.⁴⁷ Martin and Zipse focused on the charge prediction for isolated water using six charge models including

Mulliken, NPA, Bader, CHelpG, Merz-Kollman^{58,73} (MK), and RESP.⁷⁰ They found that ESP-based methods (CHelpG, MK, RESP) accurately reproduce the dipole moment of an isolated water molecule, whereas NPA and Bader significantly overestimate it. Despite these benchmark studies on isolated water, it remains largely unknown which charge models are suitable for assigning partial atomic charges to water in the condensed phase.

In this work we determine a quantum-chemical protocol to assign partial atomic charges to water by benchmarking quantum-chemical methods and charge models against the dipole of an isolated water molecule, of small water clusters, and of many configurations of liquid water, and charge transfer in water dimer and liquid water. For the sake of computational efficiency, we consider density functional theory (DFT), comparing with correlated wavefunction methods, namely CCSD and MP2, for smaller clusters. Available experimental data and the CCSD results are used to guide our choices of density functional, basis set, and charge model. The rest of the paper is organized as follows. In Sec. 2, we present the details of the quantum-chemical calculations and molecular dynamics (MD) simulations. In Sec. 3, we assess the performance of different quantum chemistry methods, basis sets, and charge models for an isolated water molecule, water clusters, and liquid water configurations. The effects of non-local Hartree-Fock exchange on the computed dipole moment and charge transfer are also discussed. In Sec. 4, we conclude with our recommended protocol of assigning partial atomic charges for liquid water.

2 Computational methods

Various wave function methods, density functionals, and basis sets are benchmarked against the experimental dipole moment of a single water molecule with a small subset of these methods then used for the water cluster and liquid water calculations. All quantum chemical calculations of electron densities were performed using the Gaussian 16 program⁷⁴ with default integration grids and convergence settings. The wave function methods included

Hartree-Fock (HF), MP2, and CCSD calculations. The density functionals tested were (1) BLYP,^{75,76} a generalized gradient approximation (GGA) functional; (2) B3LYP,^{76,77} a hybrid GGA functional with 20% HF exact exchange; (3) M06-2X,⁷⁸ a hybrid meta-GGA with 54% HF exchange; (4) M06-HF,^{79,80} another hybrid meta-GGA with 100% HF exchange; (5) ω B97X-D:⁸¹ a long-range corrected hybrid functional with dispersion correction and a range-separation parameter of $\omega = 0.2$; (6) LC- ω PBE,⁸² a long-range corrected hybrid functional with $\omega = 0.4$; and (7) B2PLYP,⁸³ a double hybrid functional with 53% HF exchange. The basis sets surveyed are: STO-3G, 3-21G, 6-31G, 6-31G(d), 6-31G(d,p), 6-311G(d,p), 6-311++G(3df,3pd), cc-pVDZ, cc-pVTZ, cc-pVQZ, cc-pV5Z, aug-cc-pVDZ, aug-cc-pVTZ, aug-cc-pVQZ, aug-cc-pV5Z. No attempt was made to extrapolate to the complete basis set limit.

Seven charge models are considered in this work: Mulliken, NPA, CHelpG, RESP (using CHelpG grids), Bader, Hirshfeld, and Iterative Hirshfeld. The Iterative Hirshfeld method treats the atomic density as the iteration variable to converge (fractional) atomic population, whose corresponding atomic density may be represented as the ensemble average of those from neutral and ionic forms of the element.⁶² This iterative treatment eliminates the arbitrariness in the choice of the reference promolecule and provides more reasonable (usually larger) charges than the original Hirshfeld method.⁶² Mulliken, NPA, CHelpG, and Hirshfeld charges were obtained using the Gaussian16 program, whereas Bader, RESP, and Iterative Hirshfeld charges were generated with the Multiwfn program⁸⁴ using Gaussian16 output files. The computational cost of Bader charges becomes significant with the larger water clusters. The Q-Chem program⁸⁵ was used to verify the Iterative Hirshfeld results.

The geometry used in all calculations on a single water molecule is the experimental gas phase geometry⁸⁶ with $r_{OH} = 0.95781$ Å and $a_{HOH} = 104.4776^\circ$. The calculated dipole moments are compared to the experimental value, 1.855 D.⁵ For the calculations on water clusters, we consider the water dimer and cyclic water clusters up to hexamer. We adopt the geometries optimized by Miliordos and Xantheas⁸⁷ at the level of CCSD(T) with aug-

cc-pV5Z for dimer, aug-cc-pVQZ for trimer and tetramer, and aug-cc-pVDZ for pentamer and hexamer. The dimer geometry is in excellent agreement with experiment,⁸⁸ and each water molecule in the cyclic water clusters donates one hydrogen bond and accepts one hydrogen bond to allow the highest possible point-group symmetry for the clusters (i.e., C_1 for trimer and pentamer, S_4 for tetramer, and S_6 for hexamer). To obtain liquid water configurations for quantum chemical calculations, a classical MD simulation of liquid water was performed with the TIP4P/2005²⁷ water model using the GROMACS version 2018.4 package.^{89,90} The rigid TIP4P/2005 model has the experimental gas-phase geometry and reproduces a wide range of experimental properties of liquid water,^{27,29} including liquid densities and radial distribution functions, though it fails to predict the static dielectric constant²⁷ and low-frequency infrared spectrum of liquid water.^{22,91} A cubic simulation box with 2000 water molecules was simulated in the NPT ensemble at 298 K and 1 bar using a Nosé-Hoover thermostat^{92,93} and Parrinello-Rahman barostat⁹⁴ with a coupling constant of 0.5 ps. The velocity Verlet integrator with a time step of 1 fs was used in the MD simulation. A cutoff of 1.0 nm was applied to the Lennard-Jones interactions and the energy and pressure were corrected. The electrostatic interactions were computed using the Particle-Mesh-Ewald method^{95,96} with a Coulomb cut-off of 1.0 nm. The 1000 configurations used for liquid water were randomly selected from a 20-ns trajectory with the configurations saved every 1 ps.

The partial atomic charges were used to compute the dipole moment. For any individual water molecule within clusters and liquid water, very likely the molecule is no longer neutral due to charge transfer, so when computing its molecular dipole moment, the origin is chosen to be the center of its nuclear charges. For liquid water, where we wish to account for the possibility of charge transfer between water molecules, a water molecule was randomly chosen from an MD configuration as the central water molecule. Any water molecules within a cutoff radius of the central water based on oxygen-oxygen (O-O) distance are treated explicitly in the quantum mechanical (QM) calculation, with the remaining water molecules in the MD configuration included as molecular mechanical (MM) point charges, specifically those from

TIP4P/2005 water model. This type of QM/MM calculation was performed to obtain the partial atomic charges and molecular dipole moment of the central water molecule. The cutoff radii of the QM region considered in this work were 3.3 Å, 5.5 Å, 8.0 Å, and 10.0 Å, which on average correspond to 5, 23, 73, and 143 water molecules in the QM region, respectively. The cutoffs of 3.3 Å and 5.5 Å were chosen to roughly match the minima after the first and second peaks, respectively, in the O-O radial distribution function of liquid water at ambient conditions using TIP4P/2005.

3 Results and discussion

Our goal in this work is to determine a practical protocol for assigning partial atomic charges to liquid water structures, allowing for the possibility of intramolecular polarization and intermolecular charge transfer while reproducing the experimental dipole moment. First we examine the accuracy of a variety of electronic structure methods, basis sets, and charge models in predicting the dipole moment of a single water molecule by comparing to the experimental value. Then we assess the trends of density functionals and charge models on water clusters of increasing size (dimer to hexamer). Finally, we investigate the behavior of liquid water by testing how the molecular dipole varies with QM region size, comparing the dipole to the experimental value, and analyzing the distribution of molecular charges and dipole moments.

3.1 Isolated water molecule

For a single water molecule in vacuum, the results of both the electron density-based dipole moment and that computed from partial atomic charges for all the combinations of electronic structure methods, basis sets, and charge models considered in this work are tabulated in the Supporting Information (SI, Tables S1 - S10). Trends in electronic structure methods and basis set are consistent with those found by Hait and Head-Gordon.⁹⁷ To focus on the

moderate to large size basis set results, the percent error of the quantum mechanical electron density-based dipole moment compared to the experimental value (1.85 D) is shown in Fig. 1 for cc-pVDZ, aug-cc-pVDZ, and aug-cc-pV5Z basis sets. For almost all electronic structure methods, the dipole moment is overestimated and the addition of diffuse functions decreases the dipole moment, suggesting the importance of diffuse functions in accurately modeling the molecular dipole. Increasing the basis set size from aug-cc-pVDZ to aug-cc-pV5Z does not substantially improve the accuracy, and for M06-HF the error increases, a trend also noted by Hait and Head-Gordon.⁹⁷ HF performs the worst with a percent error of over 7%, due to the well-known overestimation of dipole moments by HF theory.⁹⁷⁻⁹⁹ The CCSD method gives less than 1% error in dipole moment with augmented basis sets; with both MP2 and CCSD results much improved over the HF results, electron correlation certainly improves the description of the electron density of water.

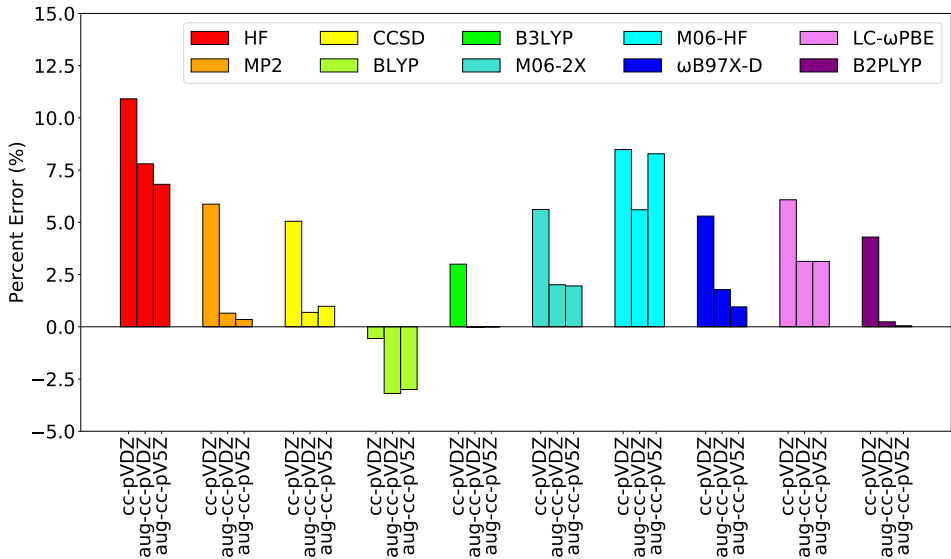


Figure 1: Percent error of the calculated dipole moment of a single water molecule as predicted by the quantum mechanical calculation compared to the experimental value (1.855 D). Experimental geometry of water is used in the calculation.

For the density functionals, we see that the molecular dipole moment increases with the

increasing percentage of exact exchange. The BLYP functional has zero exact exchange and is the only method that underestimates the dipole moment, whereas M06-2X and M06-HF overestimate the dipole moment, and B3LYP reproduces the experimental dipole moment almost perfectly with augmented basis sets. For the long-range corrected functionals, the percent error of ω B97X-D is less than 2% with augmented basis sets, and that of LC- ω PBE is about 3% with augmented basis sets. B2PLYP, a double hybrid functional, provides higher accuracy due to the inclusion of MP2 correlation; however, because of the high computational cost, B2PLYP will be difficult to use in the larger electronic structure calculations of liquid water. Accurately computing the electron density and the resulting dipole moment of a water molecule therefore clearly requires a proper balance of correlation and exact exchange.

The percent error of the dipole moment of a single water molecule calculated from partial atomic charges compared to the experimental value is shown in Fig. 2 for different charge models and selected quantum chemical methods (MP2, CCSD, BLYP, B3LYP, M06-2X, and M06-HF) with the aug-cc-pVDZ basis set. There is substantially more variation in computed dipole moment across charge models than across electronic structure methods. We take CCSD as the standard to assess the performance of the seven charge models because the electron density from CCSD/aug-cc-pVDZ accurately reproduces the experimental dipole of water with a relative error of less than 1% (see Fig. 1). As might be expected, the dipole prediction from the point charges is (much) worse than that computed directly from the CCSD electron density, regardless of the charge model. Among the seven charge models, the ESP based methods, CHelpG and RESP, clearly outperform other methods (only 3.5% relative error) due to deriving partial atomic charges directly from the quantum chemical electrostatic potential. The additional restraints imposed on charges in the RESP method do not affect the results. The Mulliken charges, known to be highly sensitive to the basis set, significantly underestimate the dipole moment of water by 67%, whereas NPA overestimates it by 43%. This finding is consistent with the QCISD results in the study by Martin and Zipse.⁷⁰ Because these orbital-based charge models assign charges to orbitals associated

with atoms, they do not necessarily reflect the spatial distribution of the electron density that governs the molecular dipole. Among the three methods that directly use the electron density, the Bader method almost doubles the experimental value, predicting too much ionic character for the covalent OH bond in water. This is a well-known flaw⁴⁷ of Bader charges due to the use of electron-density zero-flux dividing surfaces, which leads to unphysically large space partitioning for oxygen in water. The Hirshfeld method partitions the electron density based on spherically averaged atomic densities, naturally accounting for the sizes of atoms in the molecule. However, as is often the case with Hirshfeld,¹⁰⁰ it substantially underestimates the dipole, here by over 50%. Iterative Hirshfeld, developed to alleviate this issue by optimizing the atomic densities via an iterative procedure, increases the magnitude of the partial atomic charges and molecular dipole, but in the case of the water monomer it over-corrects, predicting a dipole magnitude about 30% higher than the experimental one.

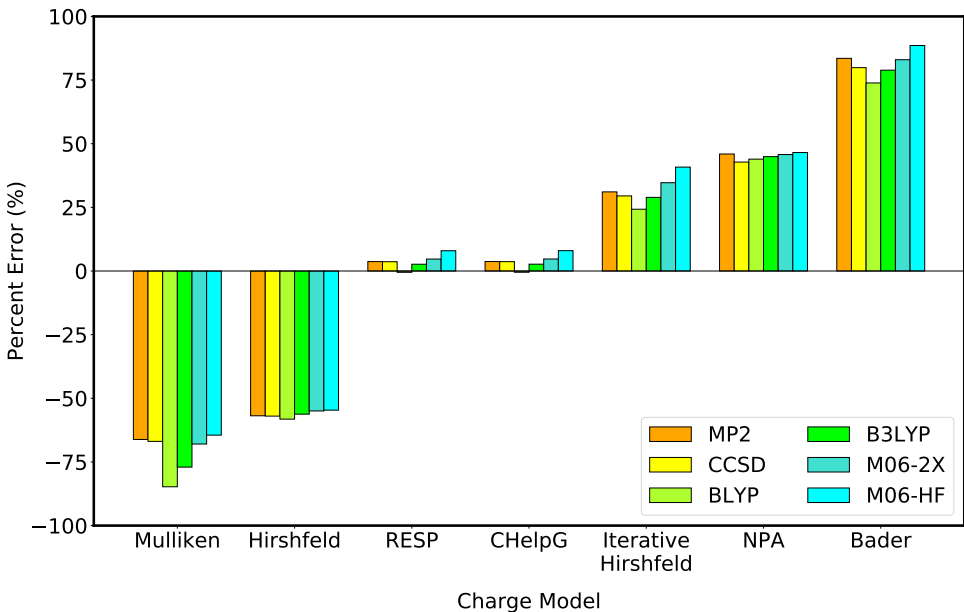


Figure 2: Percent error of the dipole moment of a single water molecule calculated from partial atomic charges compared to the experimental value (1.855 D). The aug-cc-pVDZ basis set is used for all electronic structure calculations on the experimental geometry of water.

The predicted oxygen charges and molecular dipoles of the single water molecule from the charge models with all the electronic structure methods and basis sets considered in this work are summarized in Tables S1-S10 of the SI. Overall, for the isolated water molecule, we find that the error introduced by the charge model dominates the error in electron density from the quantum chemical method. The trends across electronic structure methods from computing the dipole moment from the electron density still hold when computing the dipole moment from partial atomic charges. However, despite the fact that NPA significantly overestimates the water dipole as shown in Fig. 2, this method is fairly insensitive to the amount of HF exchange in the functional as its predicted dipole increases only by 1.9% going from BLYP to M06-HF compared to an increase of 8.9% based on the electron density (see Fig. 1). In contrast, Iterative Hirshfeld is overly sensitive to the amount of the HF exchange, showing an increase of 13.0% in the water dipole, whereas CHelpG and RESP quantitatively reproduce the increase based on the electron density. Overall, CHelpG and RESP with BLYP/aug-cc-pVDZ give the best agreement with experiment (computed 1.85 D, experiment 1.855 D); this good agreement is due to error cancellation from BLYP underestimating the dipole moment by 3.2 % from its electron density and CHelpG and RESP charge models slightly overestimating the molecular dipole moment.

3.2 Water clusters

The dipole moment of the water molecule increases from 1.855 D for the isolated monomer to ~ 2.9 D in liquid water due to the polarization by the environment. In order to systematically study such an effect, we consider the water dimer and cyclic water clusters up to hexamer, where the electronic polarization should increase with cluster size. We study the computed individual molecular dipole moments within the cluster, as well as the total dipole moment and charge transfer for the water dimer.

The total dipole moment of the water dimer computed from various electronic structure methods and charge models using aug-cc-pVDZ is summarized in Tables S11-S21 and Fig.

S2-S3 in the SI, with the trends being very similar to those for isolated water. The experimental dipole moment of the water dimer of 2.643 D⁸⁸ is almost perfectly reproduced by the electron-density based dipole moment from CCSD (2.641 D) and the MP2 dipole moment is also very accurate (2.649 D). The percent errors from density functional methods are all less than 5% (see Table S11 and Fig. S2 in the SI), with the calculated dipole increasing with the amount of HF exact exchange as seen for the isolated water molecule. The double hybrid functional, B2PLYP, produces an accurate dipole (2.667 D), presumably due to a proper balance between exchange and correlation. As is the case with the isolated water, much larger errors are seen with charge models compared to errors from electronic structure methods (see Fig. S3 in the SI). CHelpG and RESP stand out with the smallest relative errors (2.9%-7.1%). The Mulliken and Bader methods significantly under- and over-estimate the dimer dipole by over 50%, respectively, and the Hirshfeld, Iterative Hirshfeld, and NPA charge models have errors of around 25%. These observations of the water dimer again suggest that the electron density predicted by the electronic structure methods considered here, in particular CCSD, is fairly accurate, but the charge model introduces substantial errors in the prediction of the water dipole.

In the water dimer, the hydrogen bond acceptor (i.e., electron donor) transfers some charge to the hydrogen bond donor (i.e., electron acceptor), rendering the hydrogen bond acceptor (donor) positively (negatively) charged. This charge transfer, along with polarization, is responsible for the weakening of the OH bond involved in the hydrogen bond, observed as a spectral red-shift in the OH-stretch vibrational spectroscopy of water.^{101–103} Like the partial atomic charges, the amount of charge transfer is not defined unequivocally and its value varies greatly in the literature (e.g., 0.002-0.080 e)^{44,104–107} depending on the electronic structure method and charge model. Ronca and co-workers¹⁰⁴ advocated the use of charge-displacement analysis to quantify charge transfer, where the electron density difference between the interacting and non-interacting water dimers of the same geometry is examined as a function of the separation between the two water molecules. Their electron

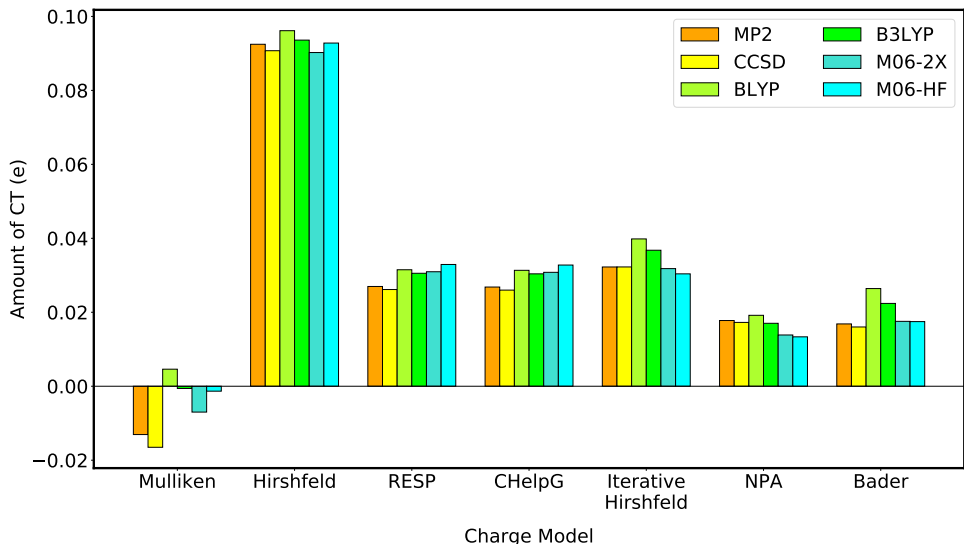


Figure 3: The amount of CT from the hydrogen bond acceptor to donor in the water dimer. The aug-cc-pVDZ basis set is used for all electronic structure calculations.

density based analysis, which does not need a charge model, suggests that the amount of charge transfer in the water dimer is $\sim 0.014e$ with an upper limit of about $0.018-0.020e$. Consistent with their estimates, most of the charge models considered in this work predict the amount of charge transfer for a water dimer in the range of $0.010-0.035e$, as shown in Fig. 3. The outliers are the Mulliken and Hirshfeld methods. Mulliken predicts that the hydrogen bond donor (acceptor) is unphysically positively (negatively) charged with CCSD, MP2, HF, and most density functionals considered here. Hirshfeld gives a charge transfer amount of around $0.090e$, much larger than those from other methods and previous studies.

For the three electron-density based methods (i.e., Hirshfeld, Iterative Hirshfeld, and Bader), the calculated total dipole moment of the dimer, individual water molecular dipole moments, and the magnitudes of the partial atomic charges increase with the amount of HF exchange in the functional (see Figs. S2-S3 in the SI), whereas the amount of charge transfer decreases (see Fig. 3). This trend is due to the well-known delocalization error: local and semi-local functionals tend to over-emphasize electron delocalization, whereas HF and

global hybrid functionals with large fractions of HF exchange tend towards over-localization of the electron density.¹⁰⁸ Stronger electron localization leads to a larger dipole moment and a smaller amount of charge transfer. For the three density-based charge models combined with the density functionals examined here, M06-2X and M06-HF predict an amount of charge transfer between water molecules very close to that from CCSD. Because the total dipole moment of the dimer calculated directly from the electron density increases with the amount of HF exchange (see Fig. S2 in the SI), the charge model should capture this trend. However, Fig. S3 of the SI shows that Hirshfeld and NPA charge models fail to show such a dependence on HF exchange due to their insensitivity to electron density variation, which further manifests in the larger water clusters and liquid water discussed later.

We now proceed to the cyclic water clusters from trimer to hexamer, in which each water molecule donates one hydrogen bond and accepts one hydrogen bond so that all water molecules are polarized in a cooperative manner. The electronic polarization increases with the cluster size, as evidenced by the gradually red-shifted OH-stretch vibrational frequencies observed in both experiment¹⁰⁹ and quantum chemical calculation.¹¹⁰ The water hexamer often serves as the smallest water cluster to understand hydrogen bonding in liquid water and ice, where the cyclic isomer is one of the prominent structural motifs.^{111–114} The cyclic water hexamer OH-stretch frequency (around 3330 cm^{-1})¹⁰⁹ falls well within the OH-stretch frequency range of liquid water (a broad peak centered around 3400 cm^{-1}),^{12,115} indicative of comparable polarization around the OH bond. Therefore, we expect that with increasing polarization, the molecular dipole moment of water should increase with cluster size, approaching that in liquid water with the cyclic hexamer giving the closest value.

All the calculated results for cyclic water clusters with different electronic structure methods and charge models are given in Tables S22-S61 of the SI. Due to the symmetries of the cyclic water clusters, all the water molecules in each cluster should have almost identical oxygen/hydrogen partial atomic charges, as confirmed in Figs. S4-S7 of the SI, although appreciable variations are seen for the trimer and pentamer with CHelpG and RESP. The

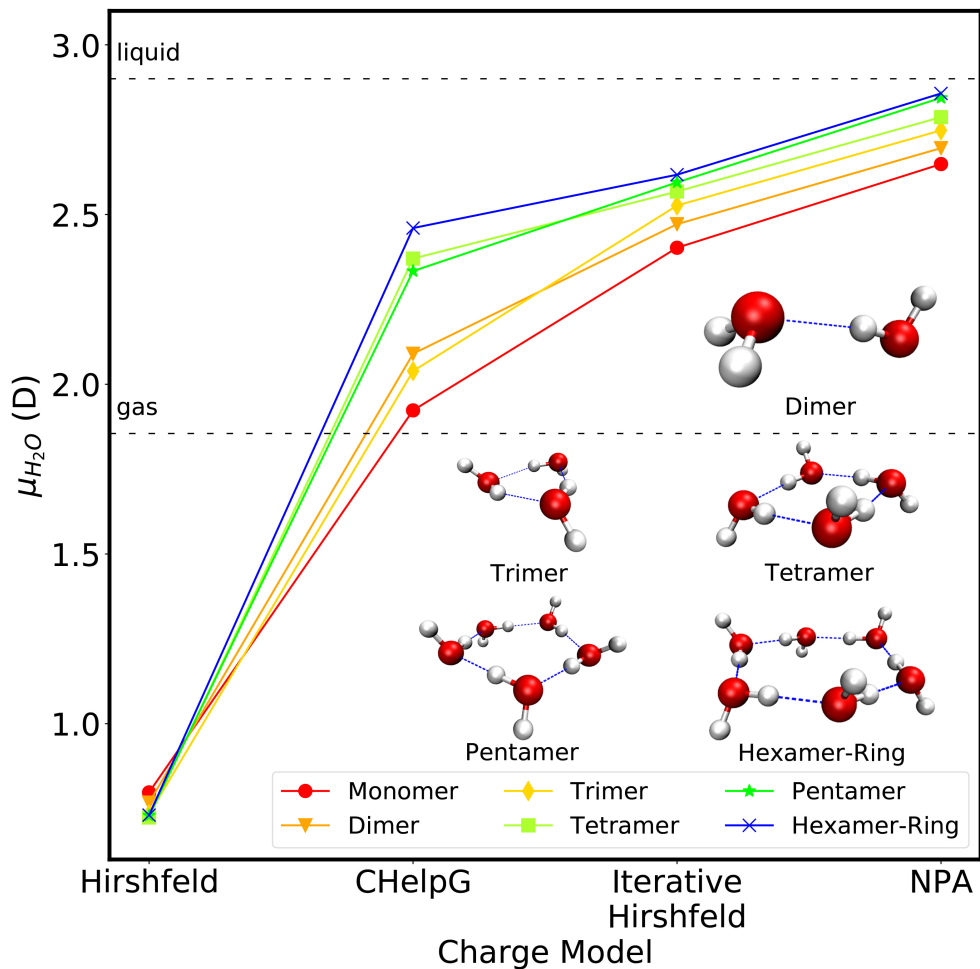


Figure 4: Average water molecular dipole moment in water clusters calculated from partial atomic charges with different charge models using CCSD/aug-cc-pVDZ. The two dashed horizontal lines indicate the experimental dipole moment of the isolated water molecule, and the average water molecular dipole in liquid water estimated from experiment, respectively.

average molecular dipoles from CCSD/aug-cc-pVDZ and four selected charge models are displayed in Fig. 4 (Mulliken and Bader are not shown for the sake of pictorial clarity, along with the RESP results that are almost identical to CHelpG). The molecular dipole computed for the isolated water (i.e., monomer) to cyclic hexamer gradually increases when using the Iterative Hirshfeld and NPA charge models, suggesting that both methods capture the increasing polarization effects of the cooperative cyclic hydrogen bond network. The Hirshfeld molecular dipoles are unphysically small (even smaller than that of an isolated water molecule) and show very little size dependence, suggesting that Hirshfeld does not correctly capture polarization effects. CHelpG (as well as RESP) predicts that the average molecular dipole in the dimer is greater than that in the trimer. As is the case with isolated water and water dimer, Mulliken significantly underestimates the molecular dipole (less than 1.5 D), whereas Bader gives the largest dipole among all the charge models (around 3.5 D, see Figs. S4-S7 of the SI). These observations are consistent for other electronic structure methods, as shown in Figs. S4-S8 of the SI.

In Fig. 4, the experimental dipole moment of the isolated water molecule (1.855 D) and the experimental average molecular dipole in liquid water (about 2.9 D) are shown as two dashed lines. If we assume that the molecular dipole in the hexamer is close to that in liquid water, Fig. 4 suggests that no charge models considered here can faithfully reproduce the experimental dipole increase from gas to liquid phases. Considering the accuracy of CCSD in describing the electron density in the water monomer and dimer, this inaccuracy is not likely to be a failure of the electronic structure methods but more likely is the failure of the charge models to fully capture the increased electronic polarization going from isolated water to water clusters to liquid water. As seen with the monomer and dimer, the magnitude of the molecular dipole from water monomer to hexamer increases with the amount of HF exact exchange in the density functional (see Fig. S8 in the SI), suggesting that the over-polarization provided by increasing the amount of exact exchange might be a practical way to balance the under-polarization of the charge models to improve the description of the water

dipole moment in liquid water. In fact, this strategy has been adopted in parametrizing partial atomic charges in some force fields, e.g., AMBER charges for amino acids were derived from HF calculations to use HF’s over-polarization to roughly account for solvent polarization.^{116,117}

Based on our results on the isolated water molecule and water clusters, we only consider CHelpG, Iterative Hirshfeld, and NPA charge models for the calculations on liquid water. Mulliken and Hirshfeld are excluded due to their significant underestimation of dipole moment and unphysical results for charge transfer in water clusters. Bader is abandoned due to its significant overestimation of dipole moment and high computational cost. The results from RESP are always very similar to those from CHelpG, hence no longer considered.

3.3 Liquid water

We next examine the computed dipole moment of the water molecule in the liquid phase, with the goal of reproducing the average dipole moment of $\sim 2.9\text{D}$ while accommodating intermolecular charge transfer between water molecules. We therefore want the method to be computationally affordable for larger water clusters and to have good convergence behavior with increasing QM region size. For the liquid water configurations studied here, we no longer use CCSD, MP2, or B2PLYP, but instead focus on the more computationally feasible DFT methods.

The convergence of the CHelpG, Iterative Hirshfeld, and NPA molecular dipoles with QM region size are shown in Fig. 5 for the same ten randomly chosen MD configurations using the M06-HF/aug-cc-pVDZ method for the QM region. The molecular dipole moments generated from the CHelpG method do not converge as the radius of the QM region increases from 3.3 Å to 10.0 Å (about 5 to 143 water molecules on average) as shown in Fig. 5(a). The poor convergence for CHelpG stems from the fact that ESP based methods only reproduce the potentials outside of the system, leading to inaccurate charges for atoms buried in the center of the QM region. Compared to the dipole moments from CHelpG, the Iterative

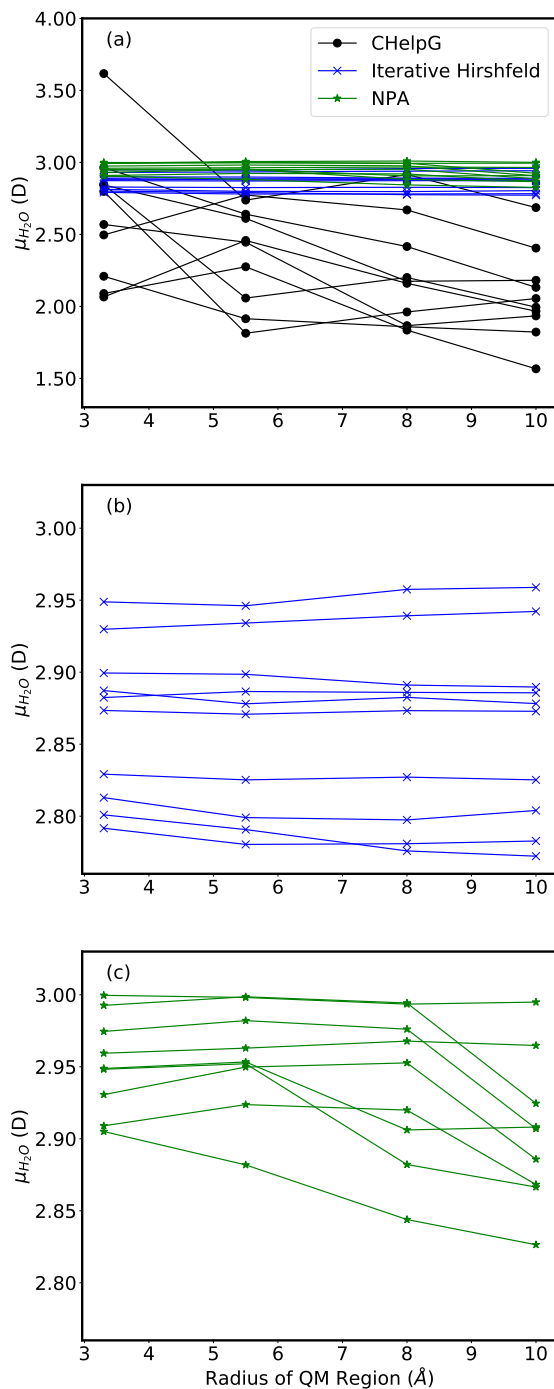


Figure 5: (a) Molecular dipole moment calculated for the same ten MD configurations using the partial atomic charges from CHelpG, Iterative Hirshfeld, and NPA charge models with increasing QM region size. M06-HF/aug-cc-pVDZ is used for the QM region, with TIP4P/2005 MM charges surrounding the QM region. (b) Zoom-in of the Iterative Hirshfeld results. (c) Zoom-in of the NPA results.

Hirshfeld and NPA results show better convergence with the QM region size with Iterative Hirshfeld being the most stable. Iterative Hirshfeld gives consistent molecular dipoles with increasing QM region size, with a maximum deviation of only 0.02 D going from a QM region radius of 5.5 Å to 10.0 Å (Figure 5(b)), whereas the NPA predicted dipole varies by as much as 0.07 D for some configurations. Similar trends are observed for other functionals (BLYP, B3LYP, and M06-2X) as shown in Fig. S13 of the SI.

With the QM region radius of 5.5 Å, which roughly correspond to two full solvation shells of the central water molecule, we computed the average oxygen charge and average molecular dipole moment of water molecules in liquid water over 1000 randomly chosen MD configurations using CHelpG, Iterative Hirshfeld, and NPA charge models with several functionals and the aug-cc-pVDZ basis set. These results are shown in Fig. 6 with the error bars indicating the ranges of the sampled properties. The oxygen charge from CHelpG is not only much smaller in magnitude compared to those from Iterative Hirshfeld and NPA, but also shows abnormally large variations (e.g., with M06-HF, it ranges approximately from -0.2 to -1.2), reminiscent of the incapability of ESP-based models in predicting charges for large systems. The oxygen charges from both Iterative Hirshfeld and NPA fall within the range of -0.8 to -1.2, consistent with those used in most empirical water models,^{23–25,27,28,118} and increase in magnitude with the amount of HF exchange in the functional. Consequently, the average molecular dipole in liquid water from Iterative Hirshfeld and NPA also increases with the percentage of HF exchange. For Iterative Hirshfeld, the M06-HF functional most closely reproduces the experimentally estimated molecular dipole (2.9 D) shown as the dashed line in Fig. 6. As is the case with isolated water and water clusters, the oxygen charges and molecular dipoles from NPA show very weak dependence on HF exchange as well as small variations with respect to liquid water configurations, although the predicted average molecular dipole is always fairly close to the experimental estimate. The low sensitivity of NPA to local molecular environment around water is not desirable as liquid water is known to be locally heterogeneous as evidenced by the very broad OH-stretch band in

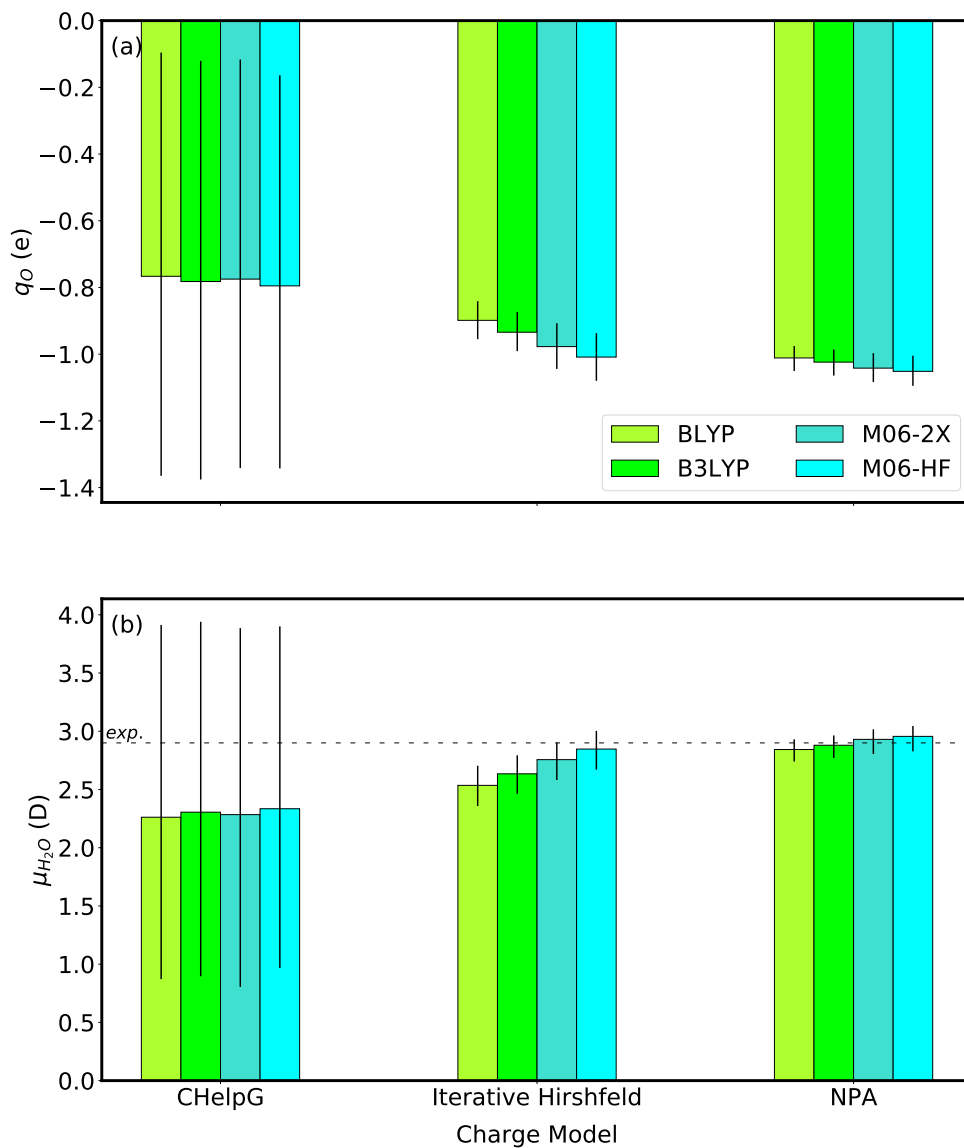


Figure 6: (a) Average oxygen charge and (b) average molecular dipole of water calculated from CHelpG, Iterative Hirshfeld, and NPA charge models using four density functionals (i.e., BLYP, B3LYP, M06-2X and M06-HF) with the aug-cc-pVDZ basis set. The average is obtained over 1000 randomly chosen MD configurations with 5.5 Å as the QM region radius, and the error bar indicates the range of the sampled property. The dashed horizontal line indicates the average water molecular dipole in liquid water estimated from experiment.

the IR spectrum of isotope-diluted liquid water.^{115,119} In summary, the Iterative Hirshfeld charge model combined with the M06-HF/aug-cc-pVDZ electron density seems to offer the best performance in assigning partial atomic charges for liquid water with a relatively low computational cost, with NPA as a close second with the advantage of giving reasonable charges with non-hybrid functionals.

To further assess the Iterative Hirshfeld model, the distributions of molecular charge and molecular dipole moment using M06-HF/aug-cc-pVDZ with Iterative Hirshfeld are shown in Fig. 7. The same distributions with other functionals are shown in Figs. S14-S15 of the SI. As mentioned earlier, several studies found that the amount of charge transfer between the water molecules in the water dimer is about $0.02 e$.^{44,104,106} There are efforts to include charge transfer in empirical water models,^{19,120} and the amount of the charge transfer in these studies ranges within $\pm 0.05 e$, consistent with the results from some ab initio MD studies.^{8,121} Our computed net charge of the water molecule in liquid water also falls within this range, as shown in Fig. 7(a). For BLYP and B3LYP functionals, the distributions of molecular charge are slightly broader than those for M06-2X and M06-HF (Fig. S14 of the SI). The reported average molecular dipole moment in liquid water vary widely in the literature: 2.4-3.1 D, depending on theoretical model.^{32,121-131} Our computed distribution of molecular dipole moment in liquid water using M06-HF/aug-cc-pVDZ and Iterative Hirshfeld is shown in Fig. 7(b), spanning a range from ~ 2.7 D to ~ 3.0 D. The computed average molecular dipole is ~ 2.85 D, slightly lower than the experimental value of 2.9 D, whereas the range of the molecular dipole moment is narrower than some other theoretical studies.^{32,121-128,130,131}

Although the protocol of Iterative Hirshfeld charges with the M06-HF/aug-cc-pVDZ electron density can give reasonable partial atomic charges, charge transfer, and molecular dipole for liquid water, we emphasize that none of the charge models considered here is capable of reproducing molecular dipole moments for water going from its gas phase to liquid water. In Fig. S16 of the SI, the computed average molecular dipole moment of water in liquid water is shown along with that for isolated water molecule using the same

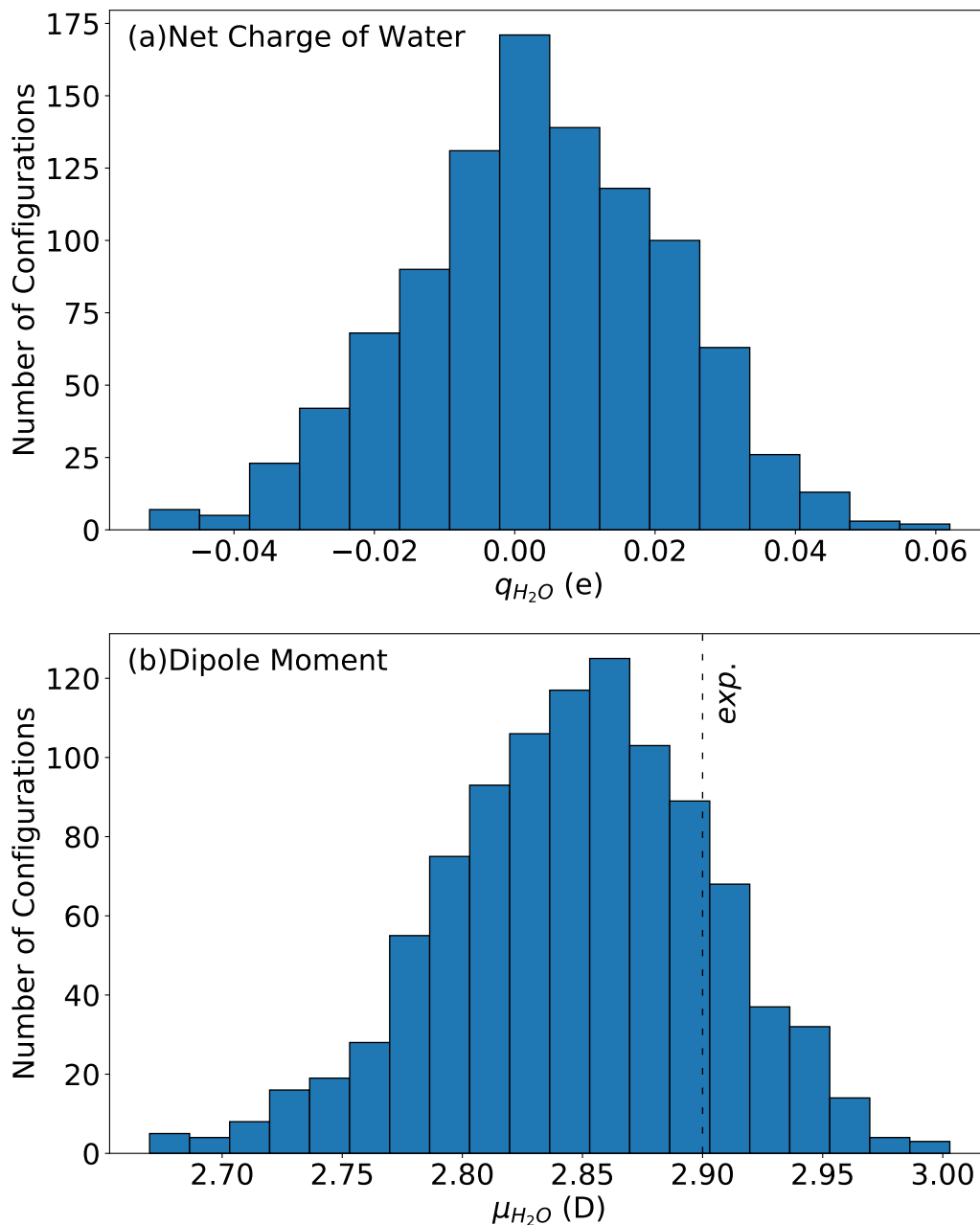


Figure 7: Distribution of net charge and the dipole moment of the central water in liquid phase from the partial atomic charges obtained from Iterative Hirshfeld with M06-HF/aug-cc-pVDZ and a QM region radius of 5.5 Å. 1000 randomly chosen configurations were used to make the histograms. Dashed vertical line in (b) indicates the experimental molecular dipole moment of water in liquid phase.

method for the combinations of four functionals and three charge models (Iterative Hirshfeld, CHelpG, and NPA). Experimental values for the molecular dipole moment of water in its gas (1.855 D) and liquid (2.9 D) phases are shown as the dashed horizontal lines. The significant increase of water dipole moment by about 1.0 D is not reproduced by any method, implying that the polarization in liquid water is only partially captured in our calculations. The insufficient polarization from isolated water to liquid is mostly attributed to the failure of the charge models rather than the functionals as ab initio MD simulation with some of these functionals (e.g., BLYP), sometimes including dispersion correction, can reasonably reproduce many properties of liquid water including its IR spectrum.^{132–135}

4 Conclusion

Characterizing liquid water with partial atomic charges is a common practice due to its conceptual simplicity and computational efficiency. However, given the ambiguity in defining partial atomic charge, no consensus exists on a reliable quantum-chemical charge assigning protocol, in particular for liquid water. In this work, we have systematically assessed various electronic-structure methods and charge models by examining their performance in describing the dipole moments of isolated water, water clusters, and liquid water as well as charge transfer in the water dimer and liquid water.

For the isolated water molecule, most of the electronic structure methods examined here can reasonably reproduce the experimental dipole moment based on the electron density and those methods that have a proper balance of correlation and exchange, such as CCSD, MP2, and B2PLYP, give the best predictions. Charge models introduce substantial errors in the predicted dipole with the ESP-based models (i.e., CHelpG and RESP) being the best performers, consistent with the results of Martin and Zipse.⁷⁰ The same trends are observed for the water dimer, where charge transfer is reasonably captured by most charge models. The charge models with a poor description of charge transfer are Mulliken and Hirshfeld, which also predict unphysically small water molecular dipole moments for cyclic water clusters. The anticipated gradual increase of the molecular dipole in the cyclic water clusters with the cluster size is correctly shown by Iterative Hirshfeld and NPA, but not by the ESP-based methods.

In assigning partial atomic charges to liquid water, we find that two full solvation shells of surrounding water molecules are needed to converge the partial atomic charges of the central water molecule assigned by Iterative Hirshfeld or NPA. The CHelpG charge model is not suitable for assigning charges in liquid water as it shows poor convergence with the QM region size and an unphysically large variation in molecular dipole. Despite reproducing the average molecular dipole in liquid water, NPA charges are relatively insensitive to the heterogeneous local environment of water. Our final charge assignment protocol for liquid

water is Iterative Hirshfeld with M06-HF/aug-cc-pVDZ and a QM region cutoff radius of 5.5 Å; this method predicts a molecular dipole distribution for liquid water over a range of about 2.7-3.0 D with an average of 2.85 D, and a net molecular charge distribution within $\pm 0.05e$, consistent with available experimental estimates and previous calculations.

There are several trends observed over all the water systems considered in this work. The partial atomic charges and dipole moments tend to increase with the percent of exact HF exchange in the functional, whereas the amount of charge transfer decreases. Both Mulliken and Hirshfeld models significantly underestimate the water dipole using the aug-cc-pVDZ basis set with Mulliken (Hirshfeld) being overly sensitive (insensitive) to the employed electronic structure method and basis set. The NPA and Iterative Hirshfeld models, which are improved models over Mulliken and Hirshfeld charge models, respectively, tend to give large charges and overestimate dipole moments. Bader always predicts the largest charges and dipole moments among the charge models considered here. CHelpG and RESP can reproduce the molecular dipoles of the isolate water and water dimer, but generate unphysical charges for liquid water. Clearly, none of the seven charge models considered in this work is versatile enough to properly assign water charges for all the water systems; however, with a good understanding of these trends, one can choose a proper combination of quantum chemical method and charge model to find an affordable protocol to assign charges for a specific system. This is the pragmatic approach adopted in this work, which has also been used in some force field parameterizations.

Our final charge assigning protocol for liquid water, Iterative Hirshfeld with M06-HF/aug-cc-pVDZ and a QM region cutoff radius of 5.5 Å, allows charge variation in response to the local environment of water. The application of this protocol can potentially improve the description of the dipole moment surface of liquid water, thereby improving some predicted dielectric properties, such as the low-frequency IR spectrum of liquid water. This protocol is based on the liquid water configurations from TIP4P/2005, arguably the best rigid non-polarizable water model today. We expect that our protocol can be directly transferred to

any non-polarizable water model, with slight changes expected in the average dipole and degree of charge transfer due to differences in water configurations. More importantly, the strategy employed in this work for assigning charges will be applicable to other condensed-phase systems.

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Supporting Information Available

Structures of water clusters; calculated partial atomic charges, molecular dipoles, and net charges if there is charge transfer, for isolated water, water clusters and liquid water using various quantum chemical methods and charge models; and additional figures that compare these results.

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Graphical TOC Entry

