Design Considerations for Oligo(p-phenyleneethynylene) Organic Radicals in Molecular Junctions

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Abstract

Spin polarization in the electron transmission of radicals is important for understanding single-molecule conductance experiments focusing on shot noise, Kondo properties or magnetoresistance. We study how stable radical substituents can affect such spin polarization when attached to oligo(p-phenyleneethynylene) (OPE) backbones. We find that it is not straightforward to translate the spin density on a stable radical substituent into spin-dependent transmission for the para-connected wires under study here, owing to increased steric interactions compared with meta-connected wires, and a resulting twisting of the radical substituent and OPE π systems. The most promising example is a *t*-butyl nitroxide substituent, which, despite little pronounced spin delocalization onto the backbone, yields a spin-dependent transmission feature which one might be able to shift towards the Fermi energy by additional substituents. We also find that for bulkier substituents, dispersion interactions with the substituent can lead to twisting of one of the outer OPE rings, reducing the overall conductance. As a further potential design consideration, attaching radicals via linkers might increase the possibilities for spin-dependent intermolecular and molecule-electrode interactions.

1 Introduction

Electron transport through molecules is relevant for a variety of scientific fields, such as nanotechnology, biochemistry, catalysis, and materials science [1–3]. An important model system for understanding such transport processes are single-molecule junctions [4–10], which are often constructed via mechanical or electromigrated break-junction techniques (see Figure 1).

Figure 1: Illustration of a molecular bridge between two gold electrodes, forming a molecular junction. An OPE backbone with a TEMPO radical substituent is shown, as was studied experimentally with respect to its single-molecule magnetoresistance properties [11].

Beyond serving as a means to understand molecular function with certain applications in mind, single-molecule junctions also provide a highly appealing view into how molecules behave under unusual conditions, i.e., in nonequilibrium and possibly exposed to additional stimuli such as light, (static) electrical or magnetic fields, or mechanical control [12–19]. Molecules display particularly rich behavior when they feature unpaired spins, as present in many transition metal complexes and in organic radicals [20–30]. In the past few years, such molecules have been more and more in the focus of single-molecule break junction experiments with nonmagnetic electrodes, and in particular their response to magnetic fields [11,31], Kondo properties [32–35] and shot noise resulting from spin correlations [36,37] have been studied. Radicals adsorbed on graphene were also found to enhance the conductance and the Seebeck coefficient of graphene nanoconstrictions based on first-principles simulations [38].

Magnetoresistance in organic molecules has been studied in crystals, thin films and related structures, and depending on the type of molecule and experimental setup, different mechanisms are discussed [39]. For single-molecule junctions, it is much less clear which physical mechanisms are responsible for the observed magnetoresistance behavior. Similarly, the link between Kondo signatures and chemical structures is not fully understood [40], while shot-noise measurements on spin-polarized sys-

tems can be related to the difference between majority- and minority-spin transmission [36,37,41,42]. First principles simulations as provided by density functional theory (DFT) have proven valuable for understanding magneto-structural correlations in magnetic molecules [43,44], and are a promising means of gaining insight into structure–property relations for the above-mentioned experiments.

Interestingly, also molecules without any intrinsic magnetic moment but with a helical structure can display spin-dependent electron transport behavior, a phenomenon which has been named chiral induced spin selectivity [45–60] and which is commonly attributed to Rashba-type spin–orbit coupling. This, along with other observations such as anisotropic magnetoresistance [31,61,62] and electrode- and metal-center-dependent magnetoresistance [63,64] suggests that spin–orbit coupling, possibly resulting from interactions with the electrodes, may play a role in understanding single-molecule magnetoresistance. Given that spin–orbit coupling at metal–molecule interfaces is far from being understood, in particular from a first-principles perspective, we will focus here on those aspects of possible relevance for the above-mentioned experiment which can be described well by present-day first-principles methods, namely molecular structures, spin density distributions, and spin polarization of electron transmission.

Magnetoresistance has also been observed at room temperature in self-assembled monolayers which, at least formally, do not feature any unpaired spins [65,66]. This has been attributed to a (co-operative) interface effect. Since also in single-molecule conductance measurements, the molecule bridging between the electrodes is typically surrounded by other molecules of the same type due to the preparation process, intermolecular interactions and cooperative effects could also play a role in (formally) single-molecule experiments. Interface-related cooperative effects leading to spin polarization are not well understood yet, which is why we focus in a first step on the relevant properties of single molecules. We will study how attaching radical substituents to conjugated backbones can affect overall transmission and spin polarization, and how steric effects, dispersion interactions and structural flexibility play a role here. We focus on a monosubstituted oligo(p-phenyleneethynylene) (OPE) backbone, inspired by previous experimental and theoretical work on TEMPO–OPE [11].

Figure 2: Lewis structures of the radical wires under study. A DTDA (a), a *tert*-butyl nitroxide (b), a nitronyl nitroxide (c), and a verdazyl (d) radical were attached to an OPE-backbone with thiolate linkers.

The conductance properties of substituted OPE backbones have been studied experimentally and theoretically before, in particular in the context of negative differential conductance [67, 68] and modulating destructive quantum interference via controlling resonance structures [69, 70]. The effect of radical substituents on OPE transmission has not been studied in detail yet. We choose four such substituents, 1,2,3,5-dithiadiazolyl (DTDA), nitronyl nitroxide (NNO), *tert*-butyl nitroxide (*t*-NO) and verdazyl radicals [71] (see Figure 2). They were chosen because they are relatively stable, leading to considerable potential as components of molecular materials with technologically relevant properties [72], e.g., DTDA is an important building block for solid-state organic conductors and magnets [73, 74]. We have studied the *t*-NO substituent previously with respect to its potential for increasing exchange interactions between spin centers linked by an organic bridge [75]. Our DFT data suggested that the effect of *t*-NO is substantial, being half as large as for (unstable) idealized radical substituents such as $-\text{O}^{\bullet}$. Given common trends between spin coupling and conductance through molecular bridges [76, 77, 77–84], this could suggest that *t*-NO (and the other stable radical substituents studied here) could have a considerable effect on spin polarization in electron transport through OPE wires. We again compare with an artificial (unstable) radical substituent, and also discuss the potential importance of structural flexibility of the “original” TEMPO–OPE system as an outlook.

2 How much spin polarization can we achieve by attaching stable radical substituents directly to OPE backbones?

We are evaluating single-molecule conductance assuming coherent tunneling as the dominant transport mechanism (Landauer regime). While this assumption can break down for long wires, high temperatures and in electronic resonance [7, 85], it is reasonable for a (substituted) OPE backbone with three phenyl units [11, 86]. The zero-bias conductance g can be estimated in this regime from the transmission T at the Fermi energy E_F ,

$$g = \frac{2e}{h}T(E_F), \quad (1)$$

where e is the unit charge and h Planck's constant. T can be written as a sum over spin-up / majority-spin transmission and spin-down / minority-spin transmission,

$$T = T^\uparrow + T^\downarrow. \quad (2)$$

For spin-polarized systems such as radicals, $T^\uparrow$ and $T^\downarrow$ can be different, which is referred to as spin polarization. We model $T^\uparrow$ and $T^\downarrow$ via a Green's function approach combined with density functional theory calculations for zero-bias electronic structures in the wide-band limit, as described, e.g., in Refs. [87–90] and as detailed in the Supporting Information.

Spin flips, which may play a role in tunneling processes [91–94], are neglected here. This appears justified by the good agreement between shot noise measurements and spin-flip-free theoretical descriptions for spin-polarized systems [41]. It is also supported by validation calculations on simple radicals, in which a two-component description allowing, in principle, for spin flips, did not lead to substantial changes of transmission functions for collinear spin arrangements, even when including spin-orbit coupling (see Supporting Information).

Figure 3: Optimized molecular structures of the isolated OPE derivatives functionalized with organic radical substituents (DTDA=1,2,3,5-dithiadiazolyl, *t*-NO=*tert*-butyl nitroxide, NNO=nitronyl nitroxide). While for the DTDA and the *t*-NO radical the OPE backbone remains (nearly) planar, the presence of the NNO and verdazyl radicals leads to twisting of one of the phenyl rings.

As far as the molecular structures are concerned, depending on the organic radical, one of the outer OPE phenyl rings can be twisted (see Figure 3 and Table 1). This is in line with previous theoretical work suggesting that these rings can be easily rotated [95]. For the small organic residues (DTDA, *t*-NO), only minor distortions occur and the OPE backbone remains fully or nearly planar, respectively. For the more bulky radicals (NNO, verdazyl), the outer ring closest to the radical rotates (up to 63

degrees for verdazyl), partially breaking π conjugation in the conducting backbone. For the verdazyl radical, this structural change is mainly induced by dispersion interactions, as indicated by structure optimizations without empirical dispersion corrections showing much less twisting (see Figure 3). For the other radicals, the inclusion of dispersion corrections does not influence the OPE backbone significantly. A stronger twisted ring translates into a lower overall minimum of transmission (see Figure 4).

Table 1: Dihedral angles for the optimized structures shown in Figure 3 (in degrees). Upper line of each entry: dihedral angles defining twisting between radical substituent and OPE backbone; lower line: dihedral angles defining twisting between central ring and twisted ring of the OPE backbone.

	with dispersion correction	no dispersion correction
DTDA	33.1 / 34.9 0 / 0	32.6 / 34.5 0 / 0
<i>t</i> -NO	69.0 18.3 / 21.4	65.1 15.5 / 16.5
NNO	47.0 / 51.0 51.7 / 54.0	47.5 / 52.8 46.3 / 48.4
verdazyl	31.8 / 35.8 52.1 / 61.0	25.7 / 32.9 18.8 / 22.1
me-NO	0.4 0 / 0	0.3 0.1
TEMPO <i>cis</i>	n.a. 53.9 / 62.7	n.a. 24.0 / 25.1
TEMPO <i>trans</i>	n.a. 17.2 / 17.6	n.a. 18.3 / 18.8

Figure 4: Calculated transmission functions for the OPE-based radicals under investigation. The energy was shifted w.r.t. the estimated Fermi energy (-5 eV).

Dihedral angles defining the twist between radical substituents and OPE backbone vary between 26 and around 69 degrees, with *t*-NO-OPE being the most twisted and NNO-OPE coming second. For the *t*-NO radical, the preferred conformation also changes when omitting dispersion corrections, otherwise dispersion corrections play a minor role. For *t*-NO-OPE, the difference to a related compound discussed in Ref. [75] is striking: In that compound, the *t*-NO is attached to a backbone with meta- rather than para-connection, leading to reduced steric interactions and much smaller dihedral angles ($9.8^\circ/12.7^\circ$ ¹), and accordingly to a substantial influence on spin-dependent electronic communication [75]. In contrast, for all four radicals studied here, as a consequence of the substituent twisting in the OPE wires the conjugation between the radical and the backbone π systems is not enough for inducing a substantial spin delocalization onto the backbone (see Supporting Information)

¹The comparison is not strictly valid, as the angles in Ref. [75] were obtained with the BP86 exchange-correlation functional rather than B3LYP as in the present study. Yet, the typical variation between exchange-correlation functionals is much smaller than the difference discussed here.

nor a significant difference in $T^\uparrow$ and $T^\downarrow$ over a broad energy range (see Figure 4). In the coherent tunneling regime, the electron going through regions of high unpaired spin density was shown to correlate with large differences between majority- (up) and minority- (down) spin electron transmission based on first-principles theoretical studies [89,90,96]. The small spin polarization found here is consistent with the local transmissions, which describe the conduction pathway of the electrons at certain energies, suggesting that, similar to TEMPO-OPE [11], the electrons at E_F go through the OPE backbone and do not interact strongly with the spin-polarized radical part of the molecule (see Figure 5). For the verdazyl radical, a considerable difference for both spin channels occurs at around -0.7 eV below E_F , and for t -NO, a smaller but non-negligible difference around -0.5 eV. In both cases, it might be possible to shift these features close to E_F employing further chemical substituents [89].

Figure 5: Calculated local transmissions for the four OPE-based radicals at E_F .

3 An artificial radical–OPE molecule with large spin polarization

Based on the conclusions drawn above, one can suggest a structure for an organic radical with an OPE backbone which exhibits more strongly spin-dependent transport properties, e.g., an OPE molecule to which a methyl-nitroxide radical attached (see Figure 6). Since the methyl residue is small, the N-O radical can be in plane with the OPE backbone, leading to good conjugation of radical and

backbone π systems. To fully enforce a completely planar structure, the results for this molecule are based on an optimized structure without taking into account dispersion interactions (however, even dispersion interactions do not lead to out-of plane rotation of the radical part here). This radical is rather artificial (most stable radicals have bulky residues or more delocalized spin densities to protect them from reactions such as oxidation, reduction or dimerization). Still, it is interesting to see how strong spin polarization can be for OPE wires with such an “ideal” substituent. As the calculated transmission functions for $|\uparrow\rangle$ and $|\downarrow\rangle$ electrons (see Figure 7) show, this strong conjugation of the methyl nitroxide with the OPE backbone leads to a significant difference between the transmissions for both spin channels over a broad energy range, as well as to a larger spin delocalization onto the backbone than for the *tert*-butyl-nitroxide–OPE (see Figure 8).

Figure 6: Lewis structure and optimized molecular structure (B3LYP/def2-TZVP) for the methyl-nitroxide–OPE molecule. Due to the small methyl residue, the complete structure of the molecule remains planar.

Figure 7: Calculated transmission function for the methyl-nitroxide-OPE molecule. The energy is shifted against the estimated E_F of -5 eV. A pronounced feature of the SOMO can be observed at about -0.3 eV and $|\uparrow\rangle$ and $|\downarrow\rangle$ electrons differ significantly over a wide range of energy.

Figure 8: Optimized molecular structures and spin densities (isosurface value: 0.001) for the methyl nitroxide and *tert*-butyl nitroxide radicals. The spin density of the OPE-methyl-nitroxide expands onto the OPE backbone, while for the *tert*-butyl-nitroxide-OPE molecule, it is mainly localized on the radical.

4 A mechanically flexible radical ligand: TEMPO-OPE

In the “original” OPE radical, which inspired this study, a TEMPO radical is attached to the OPE backbone via an amide linker [11]. This results in a certain structural flexibility. Two isomers were identified to be stable and close in energy; the *cis*- and *trans* configuration with respect to the amide double bond. For the *cis* configuration, rotation of one of the OPE rings induced a significant decrease of the calculated transmission compared to the *trans* configuration. In both

cases, DFT calculations indicate that the TEMPO radical does not induce a difference in the $|\uparrow\rangle/|\downarrow\rangle$ transmission in the Landauer regime (see Figure 9 and Ref. [11]). This leaves the open question how the large magnetoresistance of the molecule (as opposed to bare OPE) is caused. The structural flexibility of the radical substituent might open up additional possibilities of interactions, both with neighboring molecules and with the electrode surfaces, which might be related to the mechanism underlying magnetoresistance. Radicals close to metal surfaces can keep or lose their spin based on factors such as the environment (vacuum vs. solution) [97] (also see Ref. [98] for a review), which might lead to magnetic-field dependent properties if such interactions are present. Therefore, it is interesting to ask what the energy barrier is for transitions between the *cis* and *trans* configurations. A potential energy scan for a set of structures interpolating between the two, twisted stepwise around the amide bond with that bond dihedral fixed and all other degrees of freedom relaxed, followed by an optimization of the transition state, yields a barrier of 84.9 kJ/mol (about 0.880 eV, see Figure 10). This suggests that such transitions would be rather unlikely at the temperature of about 4K at which the magnetoresistance measurements in Ref. [11] were done. To understand better whether one or the other is dominant in the experiment, or whether both are present, more detailed studies including intermolecular and molecule–electrode interactions will be needed. Furthermore, it has been shown that the presence of the metal surfaces can affect such energetic barriers substantially [99]. Such further studies will help understand whether structural flexibility, in addition to electronic considerations, can serve as a design principle for spin-dependent transport properties.

Figure 9: Calculated transmission functions of the TEMPO-OPE molecules (*trans*- and *cis*), as already discussed in Ref. [11]. The $|\uparrow\rangle/|\downarrow\rangle$ transmission do not differ close to the estimated E_F of -5.0 eV.

Figure 10: Calculated potential-energy surface (PES) for the transition from *trans*-TEMPO-OPE to *cis*-TEMPO-OPE. The energy is plotted against the dihedral angle formed by the nitrogen and carbon atoms of the amide bond and the nearest-neighbor carbon atoms of the OPE-backbone and the TEMPO radical. The blue dots represent the energy calculated from a PES scan, where the dihedral angle was changed successively and kept fixed during the following structure optimization. The green dots represent the optimized structures (*cis*, *trans*) and the structure of the transition state (Bergy) calculated with the “Bergy Algorithm”. The *cis* and *trans* structure only differ by about 0.04 eV. However, a rather high rotation barrier of 0.88 eV makes a rotation unlikely under the experimental conditions ($T = 4\text{K}$).

5 Conclusion

We have studied the potential of different radical substituents to induce spin polarization in electron transmission through OPE wires by means of first-principles DFT calculations in the coherent tun-

neling regime. This is potentially relevant for measurements of shot noise, magnetoresistance, and Kondo properties.

All four stable substituents have their π system twisted with respect to the OPE backbone, such that spin delocalization onto the OPE backbone, through which the electron is tunneling at small bias, is negligible. This results in negligible spin polarization of the transmission around the Fermi energy. For OPE-methyl-nitroxide (*t*-NO), featuring the only substituent with spin density on the atom bonded to the backbone, non-negligible spin polarization at the Fermi energy might be achieved by shifting MO energies and thus transmission to higher energies by half an eV, e.g. via further substituents. For verdazyl, this might also be possible if a shift of 0.7 eV could be made. To achieve spin polarization over a broad energy range, however, it is necessary to have the substituent's π system in plane with the OPE backbone's, e.g. by (in our case artificially) reducing steric interactions. A different approach for achieving larger spin polarization and possibly also more pronounced Kondo features would be to focus on meta-connected backbones, where it is easier to attach substituents with less steric interactions and thus with less twisting and better spin delocalization on the backbone (albeit at lower overall conductance).

The substituents' effects on overall transmission are minor when it comes to shifting up or down the energy scale. The main effect is a lowering of the overall transmission minimum, which is, at least for verdazyl, caused by dispersion interactions between the substituents and the backbone, twisting one of the outer phenyl rings. This is more pronounced the more "bulky" the substituent, i.e., in the order verdazyl < NNO < *t*-NO < DTDTA (where no such twisting is present). This is not unique to the substituent being a radical and could be considered as a general design tool for such compounds (after a careful assessment of conformational dynamics, given the easiness of rotation of the OPE rings [95]).

This twisting is also pronounced in TEMPO-OPE [11], yet here an additional property comes into play: The radical substituent is attached via an amide linker to the backbone, which opens up additional possibilities for interactions with other molecules or with the electrodes. We could show here that the ability of this ligand to change between the (nearly isoenergetic) cis and trans conformations should be restricted under the experimental conditions, at least for the isolated molecule. Given that TEMPO-OPE shows considerable single-molecule-magnetoresistance, such potential for interactions and flexibility, and how it could be affected by the environment [99] could be an additional interesting consideration for designing molecules with magnetism-dependent electron transport properties.

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7 Methodology

All Kohn–Sham DFT calculations were performed with the program package GAUSSIAN09 [100]. The structures of the isolated molecules were optimized using the B3LYP [101–103] functional in combination with the def2-TZVP [104,105] basis set. Dispersion interactions were taken into account by using the DFT-D3 [106] method in combination with Becke–Johnson [107] dampening (if not mentioned otherwise). Convergence criteria were 10^{-6} a.u. for the energy in the self-consistent-field algorithm and 10^{-3} a.u. for the gradient in the molecular structure optimizations.

The molecular junctions were constructed by placing the optimized isolated dithiol molecules between two nine-atomic gold clusters, having removed the hydrogen atoms of the thiol groups, mimicking hollow site adsorption on Au(111) surfaces, with sulfur–gold distances of $d_{\text{Au-S}} = 1.84$ Å from a previous DFT study [108]. The transmission functions were calculated with ARTAIOS [109], using the Fock and overlap matrix of a single-point calculation for the molecular junctions using the B3LYP functional in combination with the LANL2DZ [110–113] basis set.

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