# The under-explored possibilities of ground state carbonyl photochemistry

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#### Abstract

Carbonyls are among the most abundant volatile organic compounds in the atmosphere, and their C=O chromophores allow them to photolyse. However, carbonyl photolysis reactions are not restricted to the excited state: the C=O chromophore allows relaxation to, and reaction on, the ground state, following photon absorption.

In this paper, the energetic thresholds for eight ground state reactions across twenty representative carbonyl species are calculated using doublehybrid density functional theory. Most reactions are found to be energetically accessible within the maximum photon energy available in the troposphere, but are absent in contemporary atmospheric chemistry models.

Structure–activity relationships are then elucidated so that the significance of each reaction pathway for particular carbonyl species can be predicted based upon their class. The calculations here demonstrate that ground state photolysis pathways are ubiquitous in carbonyls and should not be ignored in the analysis of carbonyl photochemistry.

# Introduction

### The importance of the ground state in carbonyl photochemistry

Physical chemistry research in past couple of decades has lead to the discovery of ground state photolysis channels in carbonyls driven by photon absorption: from more unorthodox reaction pathways like the class of 'roaming' reactions, <sup>1-5</sup> to conventional  $S_0$  transition state (TS) reactions. <sup>6-9</sup> Despite the growing evidence for the significance of ground state photochemistry across a range of carbonyls, at photolysis energies accessible from the absorption of actinic tropospheric photons ( $\lambda > 300$  nm), this ground state photochemistry is almost entirely absent from the reaction schemes of contemporary atmospheric models. <sup>10-13</sup>

Actinic UV photon absorption electronically excites the carbonyl C=O chromophore, and so tropospheric carbonyl reactions are commonly associated with the excited state. However, the orbital structure of the C=O chromophore provides a relaxation route to the electronic ground state on a timescale competitive with reactive dissociation.

While selection rules dictate that photon absorption will excite the carbonyl to the first excited singlet state  $(S_1)$ , reaction on  $S_1$  requires high energies and so the  $S_1$  state is typically bound in tropospheric contexts.<sup>14</sup> Intersystem crossing (ISC) from  $S_1$  to the excited triplet state  $(T_1)$  should be formally spin-forbidden, however carbonyl  $S_1$  and  $T_1$  minimum energy geometries are almost identical and the energetic separation between them is small, allowing fast  $S_1 \to T_1$  ISC rates.

The  $T_1$  state minimum energy geometry is both energetically separated and geometrically dissimilar from the ground state  $(S_0)$  minimum the RCO group is distorted to be pyramidalised on the  $S_1$  and  $T_1$  states, but is planar on  $S_0$ .<sup>15</sup> However, the non-bonding ground-state n orbital of the C=O chromophore is approximately orthogonal to antibonding  $\pi^*$  excited state orbital. This means the change in electron spin angular momentum in a carbonyl  $T_1 \rightarrow S_0$  relaxation is accompanied by an orbital angular momentum change as the electron transitions between the  $\pi^*$  and *n* orbitals, resulting in overall conservation of angular momentum.<sup>16</sup> This results in relatively high  $T_1 \rightarrow S_0$  ISC rates as dictated by El-Sayed's rule.<sup>17</sup> As a consequence, reaction on  $S_0$  can be of far greater importance to carbonyl photochemistry than might be naïvely expected.

Carbonyl relaxation to  $S_0$  is non-radiative, <sup>18,19</sup> and so a vibrationally 'hot' ground state molecule is generated that retains the absorbed UV photon energy (up to ~400 kJ/mol in the troposphere). These photoexcited  $S_0$  carbonyls are conformationally flexible and have far higher internal energies than is typically accessed in thermal distributions in the troposphere (~15°C at sea level, down to  $-55^{\circ}$ C at the tropopause).<sup>20</sup> This makes the number of possible photodissociation and photoisomerisation pathways to consider for each carbonyl quite large, particularly in consideration of the vast diversity carbonyl species in the atmosphere.<sup>21</sup>

Furthermore, the molecular structure of certain classes of carbonyls cause them to have mostly inaccessible excited state photolysis thresholds, and in these species photochemistry on  $S_0$  will be not only important, but dominant.

Quantum chemistry calculations on carbonyls that have an  $\alpha$ ,  $\beta$ -unsaturated double bond predict that excited state  $\alpha$ -bond cleavage reactions are inaccessible at tropospheric energies for these species,<sup>14</sup> and ground state photoisomerisation will be their dominant photochemical fate in the atmosphere.<sup>6</sup> This is supported by FT-IR experiments at actinic wavelengths on these  $\alpha$ ,  $\beta$ unsaturated carbonyls (specifically, acrolein and methacrolein) where ground state photoproducts are the major contributors to quantum yields (QYs).<sup>22</sup> Photolysis on  $S_0$  is therefore significant for carbonyls where excited state reactions are inhibited, and these pathways have received little attention in atmospheric modelling. Atmospheric models would benefit from the inclusion of  $S_0$  reactions in their photolysis schemes to remedy discrepancies between modelling and field observations in cases where  $S_0$ photolysis is important.

The omission of ground state photochemistry could have significant implications: carbonyls are ubiquitous volatile organic compounds (VOCs) in the troposphere<sup>23,24</sup> with high relative abundance (in the pptV–ppbV range),<sup>25</sup> so carbonyl reactions with QYs of only a few percent could cumulatively have a large impact on tropospheric chemistry if the reactions are accessible across many carbonyl classes.

To make sense of the expanded reaction possibilities presented by photochemistry on the ground state, we wish here to derive structureactivity relationships (SARs) for  $S_0$  carbonyl photolysis that allow an *a priori* estimate, from a molecular structure alone, of how important it is to include particular ground state photolysis reactions in the atmospheric modelling of carbonyl species.

### The known ground state photochemistry of acetaldehyde

One of the simplest aldehydes, acetaldehyde  $(CH_3CHO)$ , serves as an example for the diversity of observed ground state pathways in carbonyls. Known photolysis pathways for acetaldehyde are listed in Scheme 1 below.

Reactions 1 and 2 correspond to Norrish Type I homolytic cleavage of either carbonyl  $\alpha$ -bond. The excited state versions of these reactions account for the majority of photolysis QYs in small carbonyls.<sup>26,27</sup> Reaction 1 forms the larger alkyl radical, which is generally more stable due to hyperconjugation, while reaction 2 forms the smaller alkyl radical. Reactions 1 and 2

$$CH_{3}CHO + h\nu \longrightarrow CH_{3}^{\bullet} + HCO^{\bullet}$$
(1)  

$$\longrightarrow CH_{3}CO^{\bullet} + H^{\bullet}$$
(2)

- $\longrightarrow$  CH<sub>4</sub> + CO (*decarbonylation*) (3)
- $\longrightarrow$  CH<sub>4</sub> + CO (roaming) (4)
- $\longrightarrow$  H<sub>2</sub>C=CH(OH) (5)

$$\longrightarrow$$
 H<sub>2</sub> + H<sub>2</sub>C=C=O (6)

**Scheme 1:** Experimentally observed photolysis pathways in acetaldehyde.

have been designated the NTI*a* and NTI*b* reactions, respectively, in previous work by the authors<sup>14</sup> where the photolysis thresholds for reactions 1 and 2 were calculated on  $S_1$ ,  $T_1$ . The NTI reactions are barrierless on  $S_0$  and so are characterised by their asymptotic dissociation energies.<sup>14,28</sup>

It should be noted that, at high energies (482 kJ/mol / 248 nm), the generation of the hydrogen radical in reaction 2 has been argued to arise from a step-wise process triple fragmentation process (CH<sub>3</sub>CO  $\longrightarrow$  HCO<sup>•</sup> + CH<sub>3</sub><sup>•</sup>  $\longrightarrow$  H<sup>•</sup> + CO + CH<sub>3</sub><sup>•</sup>),<sup>29</sup> rather than direct dissociation to CH<sub>3</sub>CO<sup>•</sup> + H<sup>•</sup>.<sup>30</sup>

Reactions 3 and 4 generate the same photolysis products,  $CH_4 + CO$ , but *via* different mechanisms and consequently have distinct internal energy distributions. Reaction 3 occurs *via* a canonical TS decarbonylation pathway,<sup>31,32</sup> while reaction 4 occurs *via* a 'roaming' pathway where partly dissociated fragments become trapped in each other's attractive van der Waals well and ultimately recombine to generate closedshell molecular products.<sup>33</sup> In fact, roaming is the dominant pathway to forming  $CH_4 + CO$ in acetaldehyde photolysis,<sup>34</sup> and should not be neglected when considering  $S_0$  photolysis products.

The mechanism of roaming reactions are inherently tied to their dynamics and do not follow a minimum energy pathway over a TS,<sup>2</sup> and so roaming reactions are not able to be characterised with the potential energy surface calculations of the type contained in this current paper. It is therefore unlikely that SARs for roaming reactions could be developed from calculated molecular structures and threshold energies alone. The roaming mechanism is, however, intimately linked with barrierless  $S_0$  NTI dissociation.<sup>35–38</sup> More sophisticated methods to understand the roaming process can be found in other work.<sup>35,36,39</sup>

Reaction 5 does not involve dissociation, but instead the molecule phototautomerises to an enol through an intramolecular hydrogen transfer to the C=O oxygen. It was only in the past decade that a rigorous prediction of this ketoenol phototautomerisation mechanism was provided,<sup>8</sup> and was subsequently followed by direct observation of the reaction in Fourier-transform infrared (FT-IR) spectroscopy experiments.<sup>40</sup> Recently, keto-enol phototautomerisation was shown to be an important route to formation of atmospheric formic acid from acetaldehyde, that was missing from atmospheric models.<sup>41</sup> While phototautomerisation to other isomers of acetaldehyde (oxirane, methylhydroxycarbene) are hypothetically available at tropospheric photon energies, the barriers to reversal are small for these isomers and so are unlikely to be collisionally stabilised before reversion to the parent carbonyl occurs.<sup>42</sup> Furthermore, no experimental evidence so far has clearly indicated the participation of any of these other isomers in tropospheric photolysis, and so these isomers are excluded from further consideration within this paper.

Finally, reaction 6 is photodissociation to  $H_2$ and a ketene (ethenone). Despite this ketene dissociation pathway having one of the lowest reaction barriers according to quantum chemical calculations, direct observation of this reaction was only reported in 2019.<sup>43</sup> This demonstrates that the QYs of these  $S_0$  reactions depend not only on energetic thresholds, but also on kinetic factors. Interestingly, the observed product state distributions of this ketene dissociation pathway do not match those from trajectory simulations of the canonical TS pathway,<sup>43</sup> indicating the reaction dynamics of this mechanism needs to be investigated further.

From this one example of the acetaldehyde molecule, it can be seen that there are many

photolysis pathways available to  $S_0$  carbonyls, beyond the standard NTI excited state  $\alpha$ -bond cleavage reaction. Most of these reaction channels are treated inconsistently, or are entirely absent, in contemporary atmospheric chemistry models.

The Master Chemical Mechanism (MCM)<sup>10</sup> includes only reaction 1, the NTI*a* photolysis channel. The justification given for excluding the other photolysis pathways is that reaction 2, NTI*b* photolysis, has a QY of <0.06 across the actinic range, while the production of CO — reactions 3 & 4 — only becomes appreciable above the actinic energy range (<290 nm).<sup>26,44,45</sup> GEOS-Chem,<sup>12</sup> however, does include dissociation to CH<sub>4</sub> + CO as well as the NTI*a* reaction,<sup>12,46</sup> but does not include any mechanistic information and so can not distinguish between TS decarbonylation or roaming contributions.<sup>34</sup>

So, not only do contemporary atmospheric models disagree on which photolysis pathways are important for inclusion, they also lack the detailed physical chemistry insight to determine the mechanism observed photoproducts arise from. While empirical reaction rate data<sup>47</sup> can be used to model the atmospheric chemistry of individual species, predictive modelling for species that have not been subject to experimental studies requires mechanistic and energetic insight.

Accordingly, this paper uses quantum chemistry calculations to predict carbonyl ground state photolysis thresholds on a dataset of 20 species. This dataset includes all species that have explicit photolysis reactions in the MCM,<sup>10,48</sup> and encompasses a variety of representative structural features so that any trends in calculated  $S_0$  photolysis energies can be extended to predictive SARs for other carbonyl species.

### Dataset of $S_0$ reactions investigated

The 20 carbonyl species studied in this work are shown in Scheme 2, and highlight the diversity of carbonyl classes surveyed. They are the same set of molecules for which excited state NTI photolysis thresholds have already been calculated.<sup>14</sup> Reaction threshold energies throughout



**Scheme 2:** The 20 carbonyl species studied here, organised and colour-coded according to carbonyl class.

this paper will be colour-coded according to the carbonyl classes labelled in Scheme 2.

Eight types of ground state reactions are calculated for the carbonyls above:

- Decarbonylation (CO–loss).
- Concerted triple fragmentation (TF).
- Norrish Type III  $\beta$ -H transfer (NTIII).
- H<sub>2</sub>-loss from hydrogens at the formyl and α positions (formyl+α).
- H<sub>2</sub>-loss from hydrogens at the α and β positions (α+β).
- H<sub>2</sub>-loss from hydrogens at the β and γ positions (β+γ).
- Keto–enol tautomerisation.
- Enal-ketene tautomerisation.

The list above summarises the reactions relevant to tropospheric photolysis energies. It is not exhaustive of all hypothetical carbonyl ground state reactions, and excludes: several isomerisation pathways and some  $S_0$  dissociation pathways that are above the actinic photon energies, step-wise triple fragmentation reactions, 'roaming' reactions, and barrierless  $S_0$  homolytic bond cleavages.

Many of these additional  $S_0$  pathways have been calculated for butanal in the PhD work of Shaw,<sup>49</sup> and only the  $S_0$  reactions that were predicted to be energetically and conformationally favourable for butanal actinic photon energies are studied here for other carbonyl species. Several additional potential isomerisation pathways are also not considered in this paper, as they are reversible and are generally seen experimentally to be minor pathways,<sup>50</sup> since the parent carbonyl is the most thermodynamically stable isomer in all cases here.

Hypothetically, homolytic bond cleavage can occur across any bond of a 'hot'  $S_0$  molecule with high internal energy. 19 such homolytic cleavage reactions in butanal have been calculated in the work of Shaw.<sup>49</sup> In butanal, the  $S_0$  asymptotic energy of cleaving the  $\alpha, \beta$ -bond to form  $CH_3CH_2^{\bullet} + {}^{\bullet}CH_2CHO$  was predicted by M06-2X quantum chemistry calculations to be 336 kJ/mol, which was lower than the  $S_0$  NTIa asymptotic energy of 341 kJ/mol. However, only the  $S_0$  NTIa reaction was found to be important in predicting QYs from master equation simulations.<sup>49</sup> Therefore, only the asymptotic energies for  $S_0$  NTIa dissociation are important for carbonyl photochemistry, and they have been calculated in earlier work.<sup>14</sup>

Butanal also provides a good illustration of possible ground state photochemistry since all the  $S_0$  reactions listed above, bar a phototautomerisation that converts enals to ketenes, are hypothetically available in the photolysis of butanal. Calculated threshold energies of these  $S_0$ reactions are shown in Figure 1 below to give an example of the relative energetics of these pathways. In the following figures, a solid purple line at 400 kJ/mol is used to indicate the approximate upper limit of photon energy available in the troposphere.



Figure 1: Calculated  $S_0$  reaction threshold energies in butanal. Seven of the eight  $S_0$  reaction types studied in this paper are illustrated.

The quantum chemical method to calculate these reaction energies, B2GP-PLYP/def2-TZVP, has been found to give errors of less than 8 kJ/mol across the entire range of benchmark data,<sup>51</sup> and has been validated against experimental data for carbonyls specifically in an earlier publication.<sup>14</sup> This B2GP-PLYP/def2-TZVP method is used for all energies reported herein. Details of the theoretical methods used to perform the quantum chemical calculations reported here are provided at the end of the paper.

# Results

### Calculated $S_0$ photolysis thresholds

Calculated photolysis thresholds for the eight  $S_0$  reaction types across the 20 species considered here are reported in Table 1. Note that TF refers to concerted, rather than step-wise, triple fragmentation reactions. For glycolalde-hyde, several reactions involve the OH hydrogen atom, including TF and the two possible H<sub>2</sub>-loss mechanisms.

With the exception of some H<sub>2</sub>-loss reaction types, and a few NTIII thresholds, all the  $S_0$ thresholds are calculated to be below the 400 kJ/mol upper limit for solar radiation in the troposphere. Note that the intensity of the solar radiation increases with decreasing photon energy below 400 kJ/mol, while the absorption spectra of saturated carbonyls extends to photon energies of ~360 kJ/mol ( $\lambda$  ~330 nm), and to even lower energies for unsaturated species.<sup>52</sup> This means almost all of the calculated ground state photolysis reactions in Table 1 theoretically available to photoexcited atmospheric carbonyls, provided they can cross to  $S_0$  before excited state reaction occurs.

Each ground state photolysis pathway in Table 1 will be discussed in separate sections below. Chemically rationalised SARs will be drawn from the calculated photolysis thresholds energies, and comparison made to theoretical and experimental data where available. The three  $H_2$ -loss channels will be discussed together in one section.

				$H_2$ -loss ( <i>H</i> positions)		Tautomerisation		
	CO-loss	$\mathrm{TF}^{a}$	NTIII	$(formyl+\alpha)$	$(\alpha+\beta)$	$(\beta + \gamma)$	$\mathrm{Keto-enol}^{b}$	Enal-ketene
Aldehydes								
Formaldehyde	352							
Acetaldehyde	352			337			281	
Propanal	348	295	332	314	427	—	278	
Butanal	351	299	311	320	410	516	282	
Pentanal	350	294	310	317	409	499	281	
2-Methylpropanal	345	304	330	310	421	—	296	
Pivaldehyde	342	306	323					
Ketones:								
Acetone							275	
Butanone			354		447		274	
Pentan-2-one			316		416	513	270	
Pentan-3-one			350		442		276	
$\alpha, \beta$ -Unsaturated:								
Acrolein	360	343	394	373			291	297
Crotonaldehyde	375	351	387	386			294	299
Methacrolein	362	338	379					291
MVK			417				270	
MIPK			395					
Dicarbonyls:								
Glyoxal	321	$247^{c}$						
Methylglyoxal	333	330	400					
Diacetyl			415					
Carbohydrates:								
$Glycolaldehyde^d$	345	$229^{e}$		292	$384^{f}$		272	

Table 1: Ground state photolysis thresholds for all molecules considered here. All values are zero-point corrected and calculated at the B2GP-PLYP/def2-TZVP level of theory. (kJ/mol)

<sup>*a*</sup> Concerted triple fragmentation reactions. Step-wise triple fragmentations (*e.g.*  $H_2CO \longrightarrow HCO^{\bullet} + H^{\bullet}$ ;  $HCO^{\bullet} \longrightarrow H^{\bullet} + CO$ ) are not included here.

<sup>b</sup> Lowest energy enol isomer reported here. See Section S5 of the supporting information for more details.

<sup>c</sup> This concerted triple fragmentation transition state for glyoxal differs qualitatively from other species. In glyoxal it involves a 4-centred transition state involving two formyl-Hs, whereas in other carbonyls a formyl-H and a  $\beta$ -H is involved.

<sup>d</sup> Several reactions listed here are not directly analogous to those in other carbonyls, as the transition state involves the hydrogen from the OH moiety.

<sup>e</sup> The  $\beta$ -H for the triple fragmentation transition state in glycolaldehyde comes from the OH moiety.

<sup>f</sup> The  $\beta$ -H for this H<sub>2</sub>-loss transition state in glycolaldehyde combines with the OH hydrogen.

# Discussion

### Decarbonylation (CO-loss)

Decarbonylation reactions involve CO–loss from the parent molecule. In aldehydes, the decarbonylation reaction is a transfer of the formyl hydrogen to the main alkyl chain, forming CO and an alkane:  $R-(C=O)-H \longrightarrow CO + RH$ . Decarbonylation has been established in photolysis experiments on specific aldehydes as an  $S_0$ process.<sup>27,53,54</sup> Decarbonylation is uncommon in solvent-phase ketones, requiring catalysts or special reaction conditions,<sup>55</sup> since transfer of an alkyl group involves greater steric strain, and activation of C–C bonds is more difficult than for C–H bonds. The gas-phase photolytic formation of CO and a hydrocarbon *via* a single-step decarbonylation reaction has not been observed in ketones, but is commonly observed in aldehydes.<sup>27,54,56</sup> As such, decarbonylation pathways are only calculated here for the aldehydes, and the thresholds energies are shown in Figure 2.



Figure 2: Decabonylation  $S_0$  photolysis thresholds, computed at the B2GP-PLYP/def2-TZVP level of theory.

One trend apparent in Figure 2 is that extension of the main alkyl chain has little effect on the decarbonylation threshold, with formaldehyde through to pentanal all predicted to have  $\sim 350 \text{ kJ/mol}$  thresholds. The predicted decrease in threshold upon branching at the  $\alpha$ position is  $\sim 5 \text{ kJ/mol}$ ; far less than was predicted for NTI reactions on  $S_1$ ,  $T_1$ , and  $S_0$  using the same level of theory.<sup>14</sup> This small decrease is reflected in the decarbonylation thresholds predicted for 2-methylpropanal (345 kJ/mol) and pivaldehyde (342 kJ/mol), and is at the  $\pm 4$ kJ/mol 'chemical accuracy' limit of the quantum chemical calculations.<sup>57</sup>

The  $\alpha$ ,  $\beta$ -unsaturated enals are predicted to have higher decarbonylation thresholds than the saturated aldehydes, as this process involves breaking the delocalised  $\alpha$ -bond from the main alkyl chain to the carbonyl moiety. For acrolein and methacrolein this increase is predicted to be on the order of 10 kJ/mol. Further delocalisation of the  $\pi$  system is predicted to increase the threshold higher still, at 375 kJ/mol for crotonaldehyde.

The dicarbonyls are predicted to have the lowest decarbonylation thresholds. This is consistent with the two electron-withdrawing oxygen substituents reducing the electron density between the carbonyl moieties, weakening the  $\alpha$ bond. The 321 kJ/mol threshold in glyoxal is increased to 333 kJ/mol in methylglyoxal. This increase likely originates from an increase in the central C-C bond strength with addition of the CH<sub>3</sub> group, and possibly some small degree of steric penalty. Geometrically labelled TS structures for these reactions are displayed in Figure S1 in the supporting information.

All decarbonylation thresholds for the aldehydes, saturated or otherwise, are predicted to be accessible at the maximum tropospheric photon energy of 400 kJ/mol. However, decarbonylation QYs are low for large carbonyls, measured to be ~0.01 for butanal<sup>54</sup> and not detected in propanal<sup>50</sup> — though in small carbonyls the decarbonylation QYs are higher, ~0.02 in acetaldehyde<sup>58</sup> (where the majority of CO is from 'roaming'),<sup>34</sup> and ~0.5 in formaldehyde<sup>59</sup> (a molecule with a high NTI*a* photolysis threshold).

While the  $\alpha$ -dicarbonyls glyoxal and methylglyoxal and predicted to have the lowest decarbonylation thresholds within the "small" carbonyl dataset, they also had the lowest NTI photolysis thresholds,<sup>14</sup> resulting in competition between NTI dissociation and decarbonylation in the actinic energy range.<sup>45,60</sup> Indeed, photolysis of methylglyoxal is dominated by the NTI reaction in the actinic energy range.<sup>45,61</sup> For glyoxal, following photolysis, FT-IR experiments cannot directly determine the mechanism of production of CO as it can arise from both primary decarbonylation and the TF reaction:  $H(C=O)-(C=O)H \longrightarrow H_2 + 2CO$ , as well as from secondary radical-radical reactions arising from NTI, e.q.  $HCO^{\bullet} + HCO^{\bullet} \longrightarrow H_2CO + CO$ . In the actinic energy range, however, it is known that the photoproducts of glyoxal are dominated by either NTI or decarbonylation reactions, with TF significant only at higher energy.<sup>45,62</sup>

### Triple fragmentation (TF)

For the purposes of this paper, the TF reaction is defined as a concerted  $S_0$  reaction where all three photoproducts are formed simultaneously *via* a single TS. More specifically, this section focuses on TF reactions that form H<sub>2</sub>. Thus, for saturated aldehydes, the TF reaction considered is: RH-CH<sub>2</sub>-(C=O)H  $\longrightarrow$  R=CH<sub>2</sub> + H<sub>2</sub> + CO.

For the enals, the hydrocarbon product will be an alkyne. For the dicarbonyls, TF will form  $H_2$ , CO, and another carbonyl. Glycolaldehyde is an atypical carbonyl, and so the TF reaction for glycolal dehyde has been calculated in this work to be  $\rm H_2$  formation via combination of the formyl hydrogen and the OH hydrogen. This alternative TF pathway has not been previously proposed in the photolysis of glycolal dehyde.  $^{45,63-66}$ 

Predicted  $S_0$  TF thresholds are shown in Figure 3 below. In all cases but one, TF involves a sterically favourable 5-centre concerted TS involving H-loss from a  $\beta$ -hydrogen. Glyoxal is the exception, where TF occurs *via* a 4-centre TS involving the two formyl hydrogens.



Figure 3: Concerted  $S_0$  triple fragmentation photolysis thresholds, computed at the B2GP-PLYP/def2-TZVP level of theory.

The  $S_0$  TF photolysis thresholds for the saturated aldehydes are not predicted to be significantly affected by chain extension, with thresholds of: 295, 299, and 294 kJ/mol for propanal, butanal, and pentanal, respectively.

The predicted effect of alkyl branching at the  $\alpha$ -position is inconsistent and small. Branching at the  $\alpha$ -position is predicted to raise the thresholds to 304 kJ/mol for 2-methylpropanal and 306 kJ/mol for pivaldehyde, but is predicted to lower the threshold for methacrolein (338 kJ/mol) compared to acrolein (343 kJ/mol).

A more clear-cut SAR for TF can be derived from the bond order near the site of bond cleavage, rather that the degree of substitution. The TF thresholds of enals are predicted to be significantly higher than for saturated aldehydes, at 343, 338, and 351 kJ/mol for acrolein, methacrolein, and crotonaldehyde, respectively. This reaction threshold bond increase of  $\sim 40$ 

kJ/mol compared to saturated species is beyond the ~10 kJ/mol increase seen in the decarbonylation reaction for breaking a delocalised C=C bond on the  $S_0$  state. In the  $\alpha,\beta$  unsaturated species, the 5-centre TF TS is strained, with the  $\angle$ C-C-C backbone angles deviating significantly from their equilibrium values. The resulting steric penalty, which is increased relative to saturated species because of bond delocalisation, leads to increased TF thresholds for these  $\alpha, \beta$ unsaturated species. The structures of these TF TSs are displayed in Figure S2 in the supporting information.

The predicted  $S_0$  TF photolysis thresholds of the two  $\alpha$ -dicarbonyls, glyoxal and methylglyoxal, differ markedly by 83 kJ/mol. This is because their TF TS structures, and reaction products, are qualitatively different. Methylglyoxal has a 5-centre TS that involves strain across the O=C-C=O "backbone" and also forms a relatively high energy ketene photoproduct. Glyoxal has a 4-centre TF TS with less overall strain and yields H<sub>2</sub> and two CO molecules, resulting in an atypically low  $S_0$  TF threshold.

The lowest TF threshold of all the carbonyls in the dataset, 229 kJ/mol, was calculated for glycolaldehyde, which has a qualitatively different TS in that the H<sub>2</sub>-loss channel arises from combination of the formyl-hydrogen and the OH-hydrogen, rather than involving a hydrogen on the alkyl backbone. The OH-hydrogen is more labile than a hydrogen in a C-H bond, and the  $\angle$ C-OH moiety angle involves minimal ring strain (see Figure S2k in the supporting information).

# Norrish Type III $\beta$ -H transfer (NTIII)

Alongside the Norrish Type I and II reactions, the lesser known 'Norrish Type III' (NTIII) reaction was proposed in 1965 by Zahra and Noyes to explain the observation of acetaldehyde and propene as photoproducts of 3-methylbutan-2-one.<sup>67</sup> The NTIII reaction involves a 4-centre TS, with  $\beta$ -hydrogen transfer from the backbone to the carbonyl moiety leading to formation of an aldehyde and an alkene:  $R-CH_2-CH_2-(C=O)R' \longrightarrow R-CH=CH_2 + H(C=O)R'.$ 

This NTIII reaction was found to be a minor channel, and has received less attention than excited state Norrish reactions, or the  $S_0$  TF and decarbonylation reactions. However, since the alkene photoproduct from NTIII can be the same molecule as from other  $S_0$  reactions (TF, H<sub>2</sub>-loss), the photolysis thresholds of the NTIII need to be well understood to disambiguate any uncertainty when interpreting which mechanism a photoproduct arises from in an photolysis experiment. Moreover, the aldehyde formed through the NTIII reaction is photoactive and so could undergo further photolysis reactions, again complicating the interpretation of photolysis experiments.

Shaw was able to conclude, through wavelength-dependent data and computational modelling, that observed NTIII photoproducts likely arise from a concerted  $S_0$  reaction and are not the result of excited state or radical disproportionation reactions.<sup>49</sup> Following on from this evidence that NTIII is a distinct and significant reaction mechanism, in Figure 4 we show the NTIII thresholds for the carbonyls calculated here.



Figure 4: Norrish Type III  $S_0$  photolysis thresholds, computed at the B2GP-PLYP/def2-TZVP level of theory.

The calculated  $S_0$  NTIII thresholds shown in Figure 4 vary across a large energy range, from 310–417 kJ/mol, in contrast to the other  $S_0$  reactions examined in this paper. In certain unsaturated species (glyoxal, methylglyoxal, and methyl vinyl ketone) the calculated NTIII thresholds are at or above the 400 kJ/mol upper range of the actinic energies. The NTIII thresholds of the other  $\alpha, \beta$ -unsaturated carbonyls lie in the ~380–400 kJ/mol range. These predictions of  $S_0$  NTIII barriers above or only slightly below available tropospheric photon energies indicate that, for the majority of the  $\alpha, \beta$ unsaturated carbonyls in the troposphere,  $S_0$ NTIII reactions will not occur even if the  $S_0$ state were populated.

Focussing on the saturated species in Figure 4, their NTIII thresholds lie in a broad energy range from  $\sim 310-355$  kJ/mol. One discernible trend is that NTIII thresholds decrease when the main alkyl chain is lengthened past the  $\beta$ -position. This is shown by a 38 kJ/mol lowering of the NTIII threshold going from butanone to pentan-2-one, and a 21 kJ/mol lowering going from propanal to butanal. The effect appears to be smaller in  $\alpha$ ,  $\beta$ -unsaturated carbonyls, with a 7 kJ/mol decrease in the NTIII threshold from acrolein to crotonaldehyde.

The NTIII threshold from but anal to pentanal, however, is virtually unchanged (from 311 to 310 kJ/mol), suggesting further chain lengthening past the  $\gamma$ -position will not result in any change to the reaction threshold. This is supported by the 4 kJ/mol difference in predicted NTIII barrier heights of butanone and pentan-3-one, which is again at the 'chemical accuracy' limit of quantum chemical calculations. Indeed, it is likely that the experimental NTIII thresholds for butanone and pentan-3-one are similar, as the second ketone alkyl substituent on the other side of the molecule does not interact with the reactive  $\beta$ -H transfer region. Thus a simple SAR for the NTIII reaction is that alkyl chains more than one carbon removed from the reactive  $\beta$ -position will not alter the NTIII photolysis threshold energy.

Branching at the  $\alpha$ -position is predicted to decrease NTIII thresholds, though to a lesser degree than addition of a  $\gamma$ -carbon. The decreases are: 2 kJ/mol from propanal to 2-methylpropanal, 7 kJ/mol from 2methylpropanal to pivaldehyde, 15 kJ/mol from acrolein to methacrolein, and 23 kJ/mol from methyl vinyl ketone to methyl isopropenyl ketone. These decreases to NTIII thresholds upon  $\alpha$ -branching are large enough (except between propanal and 2-methylpropanal) that they should be outside any inherent error of the B2GP-PLYP calculations. However, the difference in these magnitudes in TS stabilisation make it difficult to propose a speciesindependent quantitative SAR for how the NTIII threshold decreases with  $\alpha$ -branching.

### Concerted 4-centre $H_2$ -loss

Another set of mechanisms for  $H_2$  formation on  $S_0$  by unimolecular dissociation are 4-centre TSs where adjacent hydrogen atoms form an H-H bond and dissociate as  $H_2$ , leaving behind a point of unsaturation in the parent carbonyl. These adjacent hydrogens can be the formyl hydrogen and  $\alpha$  hydrogen, but as the main alkyl chain lengthens the possibilities for adjacent combinations increases to include the:  $\alpha$  and  $\beta$ ,  $\beta$  and  $\gamma$ , etc., positions. There are thus several possible mechanisms for  $H_2$ -loss to consider in larger carbonyl species, which can be distinguished by co-photoproducts that differ in the location of the point of unsaturation.

The  $S_0$  reaction thresholds for these H<sub>2</sub>-loss channels are given in Table 1 and selected values are shown in Figure 5, where: solid lines denote  $H_2$ -loss from the formyl and  $\alpha$  positions, dashed lines denote  $H_2$ -loss from the  $\alpha$  and  $\beta$  positions, and dot-dashed lines denote  $H_2$ -loss from the  $\beta$ and  $\gamma$  positions. A particular carbonyl may appear multiple times in Figure 5 if it has multiple possible sites where loss of adjacent hydrogens can occur. The y-axis is broken into low, middle, and high energy sections in Figure 5 to indicate the energetic separation between H<sub>2</sub>-loss mechanisms at different positions on the main alkyl chain. Thresholds for H<sub>2</sub>–loss in acrolein and crotonaldehyde fall between the low and middle energy ranges and are not shown in Figure 5.

The H<sub>2</sub>-loss thresholds shown in Figure 5 reinforces, for multiple carbonyl species, that only H<sub>2</sub>-loss from the formyl and  $\alpha$  positions is energetically accessible in the actinic range. Natu-



**Figure 5:** H<sub>2</sub> formation  $S_0$  photolysis thresholds, computed at the B2GP-PLYP/def2-TZVP level of theory. Solid lines: formyl-H +  $\alpha$ -H, dashed lines:  $\alpha$ -H +  $\beta$ -H, dot-dashed lines:  $\beta$ -H +  $\gamma$ -H.

rally, H<sub>2</sub>-loss does not need to be considered for tropospheric ketones, since they lack a formyl hydrogen. This also rules out the need to include a concerted 4-centre H<sub>2</sub>-loss mechanism for the atmospheric photolysis of carbonyls which lack an  $\alpha$ -hydrogen, *e.g.* 2,2-dimethylbutanal and methacrolein. The possibilities for H<sub>2</sub>-loss mechanisms in carbonyls with main alkyl chains longer than two carbons can also be greatly simplified — again, only a 4-centre TS involving the formyl and  $\alpha$  hydrogen need be considered for photolysis in a tropospheric context.

Having simplified the consideration of H<sub>2</sub>–loss channels, the task turns to determining what, if any, structural effects alter thresholds for H<sub>2</sub>– loss from the formyl and  $\alpha$  positions. The threshold for H<sub>2</sub>–loss from the formyl-H and  $\alpha$ -H positions lie in a small (314–319 kJ/mol) range for the linear aldehydes: propanal, butanal, and pentanal. However the threshold for the same TS in acetaldehyde is ~20 kJ/mol higher, at 337 kJ/mol. It is here that the calculations on the thresholds of H<sub>2</sub>–loss from other positions on the main alkyl chain are informative in forming a SAR.

It is clear from the threshold energy separations indicated by the broken y-axis in Figure 5 that H<sub>2</sub>-loss from the  $\alpha$  and  $\beta$  position is higher than the favoured formyl and  $\alpha$  positions. All H<sub>2</sub>-loss thresholds from the  $\beta$  and  $\gamma$  positions are higher still. Upon examining these trends, it can be seen that the highest thresholds are predicted when H<sub>2</sub>-loss involves removal of a hydrogen from the terminal carbon position of a molecule. The H<sub>2</sub>-loss threshold decreases when the main alkyl chain extends past the position of hydrogen loss. This decrease is approximately 20 kJ/mol when chain extension past the site of H<sub>2</sub>-loss first occurs, with no decrease on further chain lengthening.

For example, for H<sub>2</sub>-loss from the formyl and  $\alpha$  hydrogens, there is a ~20 kJ/mol decrease in thresholds from acetaldehyde to propanal in the low energy region of Figure 5. For H<sub>2</sub>-loss from the  $\alpha$  and  $\beta$  positions a ~20-30 kJ/mol drop occurs (*cf.* propanal and butanal, butanone and pentan-2-one, in the middle energy region). This trend is also repeated for H<sub>2</sub>-loss from the  $\beta$  and  $\gamma$  position where a ~20 kJ/mol drop occurs (*cf.* butanal and pentanal in the high energy region).

In contrast, minimal changes in  $H_2$ -loss thresholds are seen upon  $\alpha$ -branching (*cf.* propanal and 2-methylpropanal in the low energy region). Similarly, no significant threshold reducing is predicted upon further extension of the main alkyl chain once the reacting hydrogens are no longer in the terminal position (*cf.* propanal and pentanal in the low energy region, butanal and pentanal in the middle energy region).

Two straightforward SARs describing the concerted 4-centre H<sub>2</sub>–loss reactions in carbonyls can therefore be formulated. Firstly: only loss of adjacent hydrogen atoms from the formyl and  $\alpha$  positions is important at actinic energies. Secondly: H<sub>2</sub>–loss thresholds involving a hydrogen on a terminal carbon are ~20 kJ/mol higher than those when the hydrogens are on non-terminal carbons.

Glycolaldehyde was calculated to have the lowest H<sub>2</sub>–loss threshold, 292 kJ/mol, for loss of the formyl and  $\alpha$  hydrogens. The low formyl and  $\alpha$  H<sub>2</sub>–loss threshold in glycolaldehyde can be ra-

tionalised in terms of the electron withdrawing nature of the OH stabilising the 4-centre TS. Despite the increased lability of hydrogen from the OH group, H<sub>2</sub>–loss in glycolaldehyde involving the OH hydrogen to form H<sub>2</sub> and glyoxal was predicted to be ~90 kJ/mol higher in energy than formyl and  $\alpha$  hydrogen loss. Nevertheless this threshold was lower than H<sub>2</sub>–loss from the  $\alpha$  and  $\beta$  positions in the other carbonyl species.

### Keto-enol tautomerisation

Many carbonyls exist in a dynamic equilibrium of two tautomeric forms: a keto form (encompassing, in this terminology, both ketones and aldehydes), and an enol form where the  $\alpha$ -H has transferred to the carbonyl oxygen to form an OH substituent while leaving behind a point of  $\alpha, \beta$ -unsaturation. This keto-enol tautomerisation is known to occur in  $S_0$  carbonyls in aqueous solution at room temperature, though the keto tautomer is the thermodynamically favoured product.<sup>68</sup> This keto–enol tautomerisation has previously been speculated to explain why many carbonyls display total photodissociation and emission QYs less than unity,<sup>67</sup> and has recently been observed in gas-phase photolysis experiments on butanal.<sup>8,41,69</sup>

However, it is possible that keto-enol phototautomerisation is available in many other As Shaw *et al.* note in carbonyl species. their work modelling the formation of formic acid via phototautomerisation: "Although phototautomerization of acetaldehyde does provide a source of formic acid, it alone cannot account for the factor of two or more discrepancy seen between experimental and modeled formic acid concentrations worldwide. However, the role of photo-tautomerization of other carbonyls has not been explored. For example, the known photochemistry of propanal and acetone is broadly similar to acetaldehyde, with similar tautomerization barrier heights. We might therefore expect photo-tautomerization efficiencies to be broadly similar in these carbonyls under atmospheric conditions."<sup>41</sup>

The calculated keto-enol phototautomerisation thresholds calculated here and shown in Figure 6 allow comparison of whether similar thresholds exist across all carbonyl classes.



Figure 6: Keto–enol  $S_0$  tautomerisation thresholds, computed at the B2GP-PLYP/def2-TZVP level of theory.

It is important to note that often two or more keto-enol tautomerisation pathways exist for a carbonyl. Mainly, tautomerisation to the *trans*-enol or the *cis*-enol, or in asymmetric ketones tautomerisation with hydrogens from either alkyl ketone substituent. As covered in more detail in Section S5 of the supporting information, isomerisation to the *trans*enol is typically calculated to have a 20 kJ/mol lower threshold than isomerisation to the *cis*enol. This is because in the *trans*-enol the bulky alkyl substituent is pointing away from the enol OH group, while in the *cis*-enol the bulky substituent points towards the OH group resulting in a steric energetic penalty. The thresholds shown in Figure 6 are for the lowest calculated pathway for each carbonyl. Energies for tautomerisation to all possible enol isomers are reported in Table S2, and tautomerisation geometries are shown in Figure S4.

Keto-enol tautomerisation energies have been previously calculated for acetaldehyde, propanal, butanal, and acetone, with high level theory including CCSD(T)/aug-cc-pVTZ and G3. CCSD(T) is often considered the 'gold standard' in practical quantum chemical calculations, and the composite method G3 is known to be accurate for  $S_0$  carbonyls.<sup>70–72</sup> The B2GP-PLYP/def2-TZVP results calculated in this paper are in good agreement with these previous values, and follow the same energetic ordering (Table S1).

The tautomerisation thresholds for all the linear aldehydes in Figure 6 are in a narrow energy range (278–281 kJ/mol), indicating chain extension has no effect on keto-enol tautomerisation thresholds as long as the bulky alkyl group can be oriented *trans* to the enol OH group. This is not the case for the  $\alpha$ -branched 2-methylpropanal, where the enol has a steric penalty from the  $\alpha$ -methyl group, leading to the highest keto-enol tautomerisation threshold calculated here (296 kJ/mol).

The tautomerisation thresholds for ketones are also in a narrow range (270–276 kJ/mol),  $\sim 5$  kJ/mol lower than the corresponding aldehydes. In the case of asymmetrically substituted ketones, hydrogen transfer from the alternate ketone alkyl substituent is often very close in energy to the *trans*-enol threshold shown in Figure 6, provided the H-transfer from the alternate alkyl substituent also forms a *trans*-enol (Table S2). All linear aldehydes are calculated here to have a keto-enol tautomerisation threshold close to, or below, the threshold calculated for acetaldehyde. According to these calculations and the observation of keto-enol tautomerisation in acetaldehyde, all linear aldehydes and ketones should therefore also have energetically accessible keto-enol tautomerisation pathways.

The  $\alpha$ ,  $\beta$ -unsaturated carbonyls are calculated to have some of the highest keto-enol tautomerisation thresholds, except in the case of asymmetric ketones where the hydrogen transfer originates from an aliphatic group (*c.f* acrolein and crotonaldehyde to methyl vinyl ketone in Figure 6).

Glycolaldehyde is the only example of a carbonyl with an electron withdrawing functional group in Figure 6, and for this molecule the barrier is predicted to be lowered by 8 kJ/mol compared to acetaldehyde. It would thus appear that electron withdrawing heteroatoms may result in minor decreases in keto–enol tautomerisation thresholds, but it is difficult to draw general conclusions from one example. Regardless, the barrier for glycolaldehyde is predicted to lie within the energetic range spanned by other carbonyls.

In light of how low the keto–enol tautomerisation barriers are predicted to be, below 300 kJ/mol for all species, it would be expected that this phototautomerisation pathway is energetically accessible in all relevant carbonyls. This has the aforementioned implication that atmospheric reactions following phototautomerisation to the enol can occur in a majority of carbonyl species present in the troposphere. Even if the eventuating QY of organic acid production from each of these species is small, the cumulative effect of enol phototautomerisation in most atmospheric carbonyls could potentially account for a large proportion of the missing organic acid sources in the atmospheric models.

### Enal-ketene tautomerisation

There has been recent interest in the formation of ketenes (R'RC=C=O) as atypical and relatively uncharacterised photoproducts of carbonyl photolysis reactions. The 4-centre H<sub>2</sub>– loss mechanisms outlined earlier are also able to form ketene compounds. This includes the formation of ketene (ethenone, H<sub>2</sub>C=C=O) from the 4-centre H<sub>2</sub>–loss TS of acetaldehyde, which has recently been characterised and shown to have unusual product state distributions.<sup>43</sup> Similarly methylketene (prop-1-en-1-one, CH<sub>3</sub>-CH=C=O) is detected in low QY as a photoproduct of propanal.<sup>50</sup>

However, in enals, there is another potential mechanism to form ketenes that does not involve  $H_2$ -loss. This alternative mechanism is demonstrated by the appearance of methylketene as a minor photoproduct following photolysis of acrolein, and dimethylketene following photolysis of acrolein, and dimethylketene following photolysis of methacrolein, across the 285–345 nm wavelength range.<sup>22</sup> Mechanisms and TSs for these enal-ketene tautomerisations are calculated here for acrolein, methacrolein, and crotonaldehyde. Their geometrically labelled TS structures are shown in Figures 7a, 7b, and 7c, respectively.

As can be seen in Figure 7 the structural parameters of the TS geometries for the  $S_0$  enalketene tautomerisations in all three enals are



(a) Acrolein (b) Methacrolein (c) Crotonaldehyde

Figure 7: Transition state structures for the  $S_0$  enalketene tautomerisation of acrolein (a), methacrolein (b), and crotonaldehyde (c) computed at the B2GP-PLYP/def2-TZVP level of theory. Bond lengths are shown in Å and angles in degrees.

almost identical. These TSs involve a 1,3-H shift from the formyl-hydrogen to the  $\beta$ -carbon on the main alkyl chain. The distances of the formylcarbons to the formyl-hydrogens are all 1.37– 1.38 Å, which is shorter than the 1.52-1.56 Å distances of formyl-hydrogens to the  $\beta$ -carbons. The  $\angle$  formyl-C—H— $\beta$ -C angles are also similar between the TS geometries, in a 92.6–93.4° range. From these calculations,  $\alpha$ -branching or main alkyl chain extension has negligible effect on the configuration of the enal-ketene tautomerisation TSs, and this is reflected in the similar reaction thresholds predicted for the three enals: 297, 291, and 299 kJ/mol for acrolein, methacrolein, and crotonaldehyde, respectively. In the absence of further calculations or experimental data on additional species, a simplistic SAR is that  $S_0$ enal-ketene tautomerisation thresholds for all enal species are approximately 300 kJ/mol, regardless of structure.

### Competition between $S_0$ reactions in carbonyl photolysis experiments

### Saturated carbonyls

The calculated energetic thresholds of possible  $S_0$  photolysis reactions in the preceding sections, and SARs derived thereof, can now be applied to interpreting the results of FT-IR experiments. The PhD work of Kharazmi has experimentally observed, following photolysis, significant concerted  $S_0$  reaction QYs in the saturated carbonyls: propanal, 2-methylpropanal, 2-methylputanal, and pentan-2-one.<sup>50</sup>

In particular, the QYs for the TF reaction lie in the range of 3-5%, except for 2-methylpropanal

where it was 12%. This demonstrates that ground state photolysis reactions are not confined only to molecules that have raised excited state dissociation thresholds (*e.g.*  $\alpha$ ,  $\beta$ unsaturated carbonyls), albeit the  $S_0$  TF QYs in saturated species become most significant when the photolysis energy is near or below predicted  $T_1$  NTI thresholds. The highest TF QYs were observed at 330 nm (362.5 kJ/mol) photolysis, which is consistent with the low (~ 300 kJ/mol) predicted TF thresholds for saturated carbonyls in Table 1.

The high TF QY for 2-methypropanal was argued to be due to an increase in reaction path degeneracy: the  $\alpha$ -methyl group in 2methylpropanal means there are 6 hydrogens in the  $\beta$ -position to undergo TF with the formylhydrogen, whereas unbranched species only have 2 or 3  $\beta$ -hydrogens. The energetic thresholds in Figure 3 support this explanation, the  $S_0$  TF threshold of 2-methylpropanal is predicted to be slightly higher than in the linear aldehydes, and so the observed increase in QY must arise from an increased overall TF rate coefficient which is likely due to reaction path degeneracy.

For saturated carbonyls, the NTIII threshold for each molecule is above the TF threshold but below the decarbonylation threshold. From an energetic perspective this means alkene formation from unimolecular dissociation should be predominately from the TF channel rather than from NTIII reactions in these species. Similarly, the primary formation of CO from decarbonylation should be a minor channel, with a QY lower than both the TF and NTIII pathways. In light of this, the NTIII reaction should be more readily accessible than decarbonylation, so while both perhaps occur with low QYs, the NTIII reaction should be considered in the interpretation of alkene photoproduct QYs. These conclusions are useful when interpreting the sources of photoproducts in a "medium" timescale (minutes) FT-IR photolysis experiment.

### $\alpha, \beta$ -Unsaturated carbonyls

Recent photolysis experiments in the actinic range by Lee on acrolein and methacrolein reveal the importance of the  $S_0$  photolysis reactions in these two  $\alpha, \beta$ -unsaturated species.<sup>22</sup> The IR absorption signal for CO appears, following photolysis, in the FT-IR spectra of both species. The observed CO could arise from: decarbonylation, TF, or secondary radical reactions following NTI dissociation. Since the H<sub>2</sub> photoproduct from TF is IR-inactive, the TF co-products are used for unambiguous identification of TF reaction. These TF photolysis co-products are: ethyne from acrolein; or propyne and allene from methacrolein, originating from anti and syn conformations, respectively.

Similarly, the QY of decarbonylation can be determined from FT-IR observation of ethene from acrolein and propene from methacrolein. However, several possible channels could generate these photoproducts, including secondary radical reactions in the photolysis chamber. Disambiguation of decarbonylation QYs is therefore difficult and, ideally, requires kinetic modelling. Regardless, the QYs of decarbonylation products in methacrolein (detectable in trace amounts) are significantly lower than those from TF (1– 2% for propyne and 0.2-0.5% for allene).<sup>22</sup> This is consistent with the predicted  $S_0$  photolysis thresholds in Table 1, where TF thresholds are  $\sim 20-30$  kJ/mol lower than decarbonylation thresholds for the enals.

This quantification of  $S_0$  photoproducts of acrolein and methacrolein is ultimately of importance to atmospheric chemistry, as they are VOCs with high atmospheric abundance and may be a currently unquantified source of  $H_2$ in the atmosphere. Methacrolein is particularly important in tropospheric chemistry as an oxidation product of of isoprene.<sup>73–75</sup> Contemporary models underestimate the concentration of  $H_2$  in the atmosphere compared to field observations by  $\sim 30-50\%$ , indicative that photolytic sources of  $H_2$  are missing from atmospheric models.<sup>76,77</sup> Photolysis of VOCs in the troposphere is the main source of  $H_2$  in the atmosphere, and is estimated to account for 40-70% of total atmospheric  $H_2$ .<sup>78–81</sup> Formaldehyde is known to account for  $\sim 50\%$  of this photolytic H<sub>2</sub>,<sup>82</sup> while the mechanism(s) generating the other half of photolytic H<sub>2</sub> is unknown.<sup>83</sup> Since both TF and  $H_2$ -loss reactions can generate  $H_2$  photolytically on the ground state, and are energetically accessible across all carbonyl species according to these calculations, the cumulative impact of these ground state  $H_2$  forming channels need to be quantified to assess whether they are a significant omission from atmospheric models.

Besides energetic thresholds, other considerations must be taken into account to predict photolysis rate coefficients. These include: how conformationally 'loose' the transition state is, the corresponding density of states at the TS, and the reaction path degeneracy; all of which factor into which  $S_0$  reactions will dominate. Accordingly, both theoretical kinetics studies<sup>84,85</sup> and master equation modelling<sup>86</sup> are warranted. The quantities calculated in this paper are those required as the basis for theoretical kinetics modelling.

# Conclusions

The calculations in this paper demonstrate that a range of potential ground state photochemical pathways cannot be ignored in the tropospheric photochemistry of carbonyls. The energetic thresholds for these  $S_0$  reactions are some of the lowest calculated amongst carbonyl reactions, on all electronic states.<sup>14,87</sup> The TF and keto-enol tautomerisation reactions in particular are predicted to have reaction thresholds of 300 kJ/mol or lower, for most carbonyl species. Even if these  $S_0$  pathways are present in low QYs for individual carbonyl species, the lack of inclusion of ground state photochemistry in the photolysis schemes of most atmospheric models could be leading to deficiencies in predicted concentrations of atmospheric species, particularly when the cumulative effect across all carbonyl species is considered.

Outside the tropospheric context, there have been determinations of an  $S_1/S_0$  conical intersection (CI) at elongated C–C bond lengths, in both acetaldehyde and acetone.<sup>88–90</sup> These  $S_1/S_0$  CIs are accessed at energies above the 400 kJ/mol (300 nm) upper photon energy in the troposphere. In photolysis experiments above 400 kJ/mol, these CIs may be common to other carbonyl species, and lead to increased importance of ground state photochemistry. Indeed, this is consistent with observed increases in QYs of  $S_0$  reactions, such as decarbonylation, in photolysis experiments at energies above 400 kJ/mol in species such as: acetaldehyde,<sup>88</sup> propanal,<sup>91</sup> 2-methylpropanal,<sup>92</sup> butanal,<sup>54</sup> and pentanal.<sup>93</sup>

Because  $S_0$  is accessed following photoexcitation only *via* electronic surface crossing processes, during which collisions and internal energy redistribution can occur, a comprehensive understanding of ground state photochemistry in carbonyls requires accurate rates of: intersystem crossing and internal conversion, <sup>16</sup> collisional energy transfer, <sup>94,95</sup> and intramolecular vibrational energy distribution; <sup>96</sup> all of which are areas of ongoing theoretical study.

Experimental determination of QYs for these  $S_0$  reactions has been hampered since the photoproducts are often either: transient (tautomerisation), IR-inactive ( $H_2$  formation), or ambiguous as they can arise from multiple mechanisms (decarbonylation vs. roaming). Since photoexcited carbonyls that cross to  $S_0$  are generated with a large amount of internal energy in comparison to the low  $S_0$  barriers calculated here,  $S_0$  pathways will be less affected by collisional cooling than excited state reactions. Therefore further combination of pressure and wavelengthdependent photolysis studies with theoretical modelling should serve to: disambiguate the mechanistic source of photoproducts, assign the electronic state from which they arise, and allow development of a predictive understanding of how carbonyl species photolyse in the atmosphere based upon their structural features.

Acknowledgement This work was supported by the Australian Research Council (grant DP160101792). It was also supported by grants of computer time under the Merit Allocation Scheme on the NCI National Facility at the Australian National University, as well as computer time on the computational cluster Katana supported by the Faculty of Science, UNSW Australia, and the computational cluster Artemis supported by the Sydney Informatics Hub at the University of Sydney. KNR recognises an Australian Government Research Training Program (RTP) scholarship.

Supporting Information Available: The

Supporting Information contains: labelled  $S_0$  transitions state structures for the decarbonylation, triple fragmentation, Norrish Type III  $\beta$ -hydrogen transfer, and keto-enol tautomerisation reaction mechanisms; comparison between keto-enol tautomerisation energies using different quantum chemical methods; keto-enol tautomerisation energies for all possible enol isomers from each parent carbonyl. (PDF) Cartesian co-ordinates for all optimised transition states. (ZIP) This material is available free of charge via the Internet at http: //pubs.acs.org/.

# **Computational Methods**

 $S_0$  calculations were performed with the B2GP-PLYP double-hybrid density functional,<sup>51</sup> using the def2-TZVP canonical basis set<sup>97</sup> and the RIJK resolution of the identity approximation with the def2/JK and def2-TZVP/C auxiliary basis sets. The use of the RIJK approximation, and the 'RI-' prefix, are taken as implicit. All singlepoint energies, geometry optimisations and frequency calculations used this same B2GP-PLYP/def2-TZVP level of theory. All zero-point energies are scaled with the 0.9752 scaling factor derived for this level of theory.<sup>98</sup>

Transition states are confirmed by a single imaginary frequency, whose motion corresponds to the desired reaction coordinate, in the computed Hessian at the TS geometry. Intrinsic reaction coordinate (IRC) calculations were performed to ensure that the imaginary modes connect the desired reactant and product minima. In order to cut down on computational burden, IRC calculations were not performed in certain cases where a TS had a structure and reaction coordinate directly analogous to a homologous molecule where an IRC had already been calculated to verify the reaction mechanism.

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