

# **Natural contaminants in infant food:**

## **The case of regulated and emerging mycotoxins**

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## 11 **Abstract**

12 Breast milk substitutes, e.g. infant formulae, are commonly introduced to the diet within the first months of  
13 life. As the infants' detoxifying capability is not fully developed, it is of vital importance to minimize their  
14 exposure to food contaminants. Here, we present a comprehensive multi-mycotoxin assessment in infant  
15 food. Samples from the Austrian and Czech market (n=59) were screened for 46 mycotoxins and key  
16 metabolites using two complementary LC-MS/MS methods. Trace levels of 17 mycotoxins, including aflatoxin  
17 B<sub>1</sub> (0.4 µg/kg), zearalenone (<2.3 µg/kg), deoxynivalenol (<131 µg/kg) and fumonisin B<sub>1</sub> (<39 µg/kg) were  
18 detected. Infant formulae were contaminated at lower levels compared to cereal-based products. Overall,  
19 the concentrations of most toxins were near or below their respective LOQ values. However, two raw flour  
20 samples exceeded the regulatory limit of aflatoxin B<sub>1</sub> for infant foods. Interestingly, two toxins not reported  
21 previously, namely aflatoxicol and sterigmatocystin, were identified in 3 and 17% of infant foods,  
22 respectively.

## 23 **Keywords**

24 Food safety; infant and public health; environmental contaminants; infant formulae; complementary food

## 1. Introduction

Mycotoxins, a diverse class of toxic secondary metabolites produced by various fungal species frequently contaminate agricultural crops pre- or postharvest. This leads to serious economic losses and furthermore, the chronic exposure to contaminated foodstuff has been linked to long-term effects on human health (Miller, et al., 2014). Several mycotoxins are strictly regulated by the Commission Regulation (EC) No 1831/2003 (EC, 2003) and monitored in the European Union. This includes aflatoxins (AFB<sub>1</sub>, B<sub>2</sub>, G<sub>1</sub>, G<sub>2</sub> and M<sub>1</sub>), deoxynivalenol (DON), fumonisins (FB<sub>1</sub> and B<sub>2</sub>), ochratoxin A (OTA), zearalenone (ZEN), T2 and HT-2-toxin (T2; HT-2). In addition, various strategies have been elaborated to minimize the contamination of foodstuffs over the last years (Magan, Aldred, Mylona, & Lambert, 2010). However, insufficiently toxicologically characterized mycotoxins remain. These so-called emerging mycotoxins are neither legislatively regulated, nor routinely analyzed in food. Most of these emerging mycotoxins are produced by *Aspergillus*, *Penicillium*, *Fusarium*, and *Claviceps* species. These are microscopic filamentous fungi that are commonly found as plant pathogens infesting a wide variety of food crops ranging from grains and nuts to fruits (Fraeyman, Croubels, Devreese, & Antonissen, 2017). *Alternaria* mycotoxins, considered relevant emerging mycotoxins produced by *Alternaria* fungi, gained more and more interest in the last decade. Extracts of *Alternaria* cultures, especially alternariol (AOH), alternariol monomethyl ether (AME) and the altertoxins (ATX I, II and III) have shown genotoxic and mutagenic effects in different cell lines (Aichinger, et al., 2019; Jarolim, et al., 2017).

Children, especially infants, are generally more sensitive to toxic exposures from the environment. In fact, in the first months of life the human metabolism is not fully developed, leading to a lower capacity for the detoxification of environmental contaminants. In addition, infants have a higher intake of food per kilogram body weight compared to adults (Cohen Hubal, et al., 2000). Moreover, their nutrition is mostly restricted to breast milk, fruits, milk-based infant formulae and cereal products. As a result, the occurrence of toxic contaminants in these foodstuffs may lead to a higher exposure compared to adults. Considering estimates of mycotoxin contamination in up to 80% of the global supply of grains (Eskola, et al., 2019), the accurate determination of mycotoxins in cereal-based infant food is of particular interest. In recent years several reports have been published investigating the mycotoxin contamination of infant foods (Gotthardt, et al., 2019; Lombaert, et al., 2003; Meucci, Razzuoli, Soldani, & Massart, 2010). However, critical data gaps were identified to understand the impact of chronic dietary low background exposures on infant health (LaKind, et al., 2018; Lehmann, et al., 2018). Yet, most of the published studies relied on complex and time-consuming sample preparation procedures, like solid phase extraction (SPE) (Lombaert, et al., 2003), included a very limited number of analytes or investigated only single toxins (Asam & Rychlik, 2013; Mahnine, et al., 2011; Meucci, et al., 2010). However, co-occurrence of multiple mycotoxins is very common in food commodities and may lead to combinatory effects even below the threshold of the single toxin (Vejdovszky, Hahn, Braun, Warth, & Marko, 2017). Single or mycotoxin class based extraction procedures are not feasible for a multi-analyte approach covering a large number of chemically diverse targets. Sample preparation protocols that

enable the simultaneous extraction of analytes covering a wide range of polarities are required. A number of established multi-analyte approaches utilize ‘*dilute and shoot*’ protocols . In these cases, the matrices are extracted in a single step, followed by dilution in neat solvent and direct analysis without any further clean-up steps. Other approaches make use of a *QuEChERS* (Quick, Easy, Cheap, Efficient, Rugged and Safe) method, which is usually followed by a SPE to remove interfering matrix components and to concentrate the analytes of interest (Braun, et al., 2018).

The aim of the present work was to identify and quantify 46 regulated and emerging mycotoxins in processed cereal-based infant foods and infant formulae samples obtained from the Austrian and Czech market. Moreover, raw flour samples of rice and maize provided by a local mill were included in the survey, as these were intended for the production of infant cereals. Thus, a total of 59 samples composed of diverse matrices (rice, maize, wheat, spelt, millet, oatmeal and milk powder) were analyzed by two analytical methods based on LC-MS/MS after a single extraction step. Therefore, we present the first multi-mycotoxin assessment in infant food covering a wide range of different mycotoxin classes. Our findings aim to contribute to more comprehensive exposure data and thus, improve risk assessment of mycotoxins found in complementary infant food.

## 2. Materials and Methods

### 2.1. Chemicals and reagents

LC-MS grade solvents (acetonitrile (ACN), methanol (MeOH) and water) were purchased from VWR and Honeywell, respectively. Glacial acetic acid (ACS, ≥99.7%) was purchased from Fisher Chemicals, while acetic acid (LC-MS grade, ≥100%), ammonia solution (LC-MS grade, 25% in H<sub>2</sub>O) and ammonium acetate solution (LC-MS grade, 5 M in H<sub>2</sub>O) were purchased from Sigma-Aldrich. For the preparation of calibration standards and spiking solutions, a multi-component mixture of aflatoxin B<sub>1</sub>, B<sub>2</sub>, G<sub>1</sub>, G<sub>2</sub>, M<sub>1</sub>, M<sub>2</sub>, P<sub>1</sub>, Q<sub>1</sub> (AFB<sub>1</sub>, AFB<sub>2</sub>, AFG<sub>1</sub>, AFG<sub>2</sub>, AFM<sub>1</sub>, AFM<sub>2</sub>, AFP<sub>1</sub>, AFQ<sub>1</sub>), aflatoxin B<sub>1</sub>-N7-guanine (AFB<sub>1</sub>-N7-Gua), aflatoxicol (AFL), sterigmatocystin (STC), ochratoxin A, B and α (OTA, OTB, OTα), citrinin (CIT), dihydrocitrinone (DH-CIT), nivalenol (NIV), DON, T-2, HT-2, FB<sub>1</sub>, FB<sub>2</sub>, ZEN, α-zearalenol (α-ZEL), β-zearalenol (β-ZEL), beauvericin (BEA) as well as enniatin A, A<sub>1</sub>, B and B<sub>1</sub> (EnnA, EnnA<sub>1</sub>, EnnB, EnnB<sub>1</sub>) was prepared according to Braun, et al. (2018). The concentration of the individual analytes in this solution were as follows: NIV (8 µg/mL); FB<sub>1</sub> (7.5 µg/mL); DON, HT-2 and FB<sub>2</sub> (4.5 µg/mL); AFL and AFB<sub>1</sub>-N7-Gua (1.5 µg/mL); OTα (1 µg/mL); α-ZEL and β-ZEL (800 ng/mL); ZEN, DH-CIT, T-2, OTA and OTB (600 ng/mL); AFB<sub>1</sub>, AFB<sub>2</sub>, AFG<sub>1</sub>, AFG<sub>2</sub>, AFM<sub>1</sub>, AFM<sub>2</sub>, AFP<sub>1</sub>, AFQ<sub>1</sub> (300 ng/mL); STC (150 ng/mL); BEA, EnnA, EnnA<sub>2</sub>, EnnB, EnnB<sub>1</sub> (60 ng/mL). A second multi-standard mixture, containing the *Alternaria* toxins AOH, AME, altenuene (ALT), isoaltenuene (isoALT), tenuazonic acid (TeA), tentoxin (TEN), altenuic acid III (AA-III), altenusin (ALS), alterperyleneol (ALP), ATX-II, AOH-3-glucoside (AOH-3-Glc), AOH-9-glucoside (AOH-9-Glc), AOH-3-sulfate (AOH-3-S), AME-3-glucoside (AME-3-Glc) and AME-3-sulfate (AME-3-S) was prepared according to (Puntscher, et al., 2018). A solution of ATX-I (10.35 µg/mL) in ACN was additionally prepared.

95 The concentrations of the individual analytes were as follows: TeA (30 µg/mL); ALT, isoALT, ALS, AA-III  
96 (15 µg/mL); ATX-I (10.35 µg/mL); AME-3-Glc (5.0 µg/mL); AOH, AOH-3-Glc, AOH-3-S, AME-3-S, ATX-II, ALP  
97 (2.5 µg/mL); TEN (800 ng/mL) and AME (500 ng/mL). All solutions were stored at -20 °C.  
98 A working solution containing all toxins was prepared by diluting 300 µL of the first multi-standard stock,  
99 360 µL of the *Alternaria* toxin multi-standard stock and 90 µL of the stock solution containing ATX-I with  
100 150 µL MeOH in an amber glass vial. This working solution was subsequently vortexed for 30 s and stored at  
101 -20 °C.

## 102 **2.2. Infant food samples**

103 Commercially available infant food products (cereal-based infant foods and infant formulae) were collected  
104 from retail outlets in Vienna, Austria (n=41) and Prague, Czech Republic (n=3). Infant food was manufactured  
105 in four different European countries (Supplementary Table S2). All products were labeled and packaged for  
106 retail markets and intended for end consumers. Most products were harvested from organic agriculture  
107 (67%), while the others were grown conventionally (33%). Incremental sample aliquots were transferred to  
108 15 mL tubes and stored at -20 °C until extraction. In addition, a local mill provided raw flour samples of rice  
109 (n=11) and maize (n=4) in vacuum sealed plastic bags containing 20 to 50 g. These were stored at -20 °C until  
110 extraction.

## 111 **2.3. Sample preparation**

112 For sample extraction the protocol according to Puntschner, et al. (2018) was applied. In brief,  $1.00 \pm 0.01$  g  
113 of the respective samples were transferred to 15 mL tubes, suspended with 5 mL of an extraction solvent  
114 (MeOH:H<sub>2</sub>O:HOAc; 79:20:1; v:v:v) and homogenized on an overhead shaker (50 rpm; 22 °C) for one hour.  
115 Subsequently, samples were centrifuged at 4500 rpm for 10 min, followed by the dilution of the resulting  
116 supernatant (900 µL) with a solvent mixture (900 µL; MeOH:H<sub>2</sub>O; 10:90; v:v) and vortexing for 30 s. After a  
117 second centrifugation step (14000 rpm, 12 min, 4 °C), samples were filtered (Chromafil®, PTFE, 0.20µm,  
118 Macherey-Nagel, Germany), transferred into amber LC vials and stored at -20 °C until analysis.

## 119 **2.4. Preparation of calibration standards**

120 Calibration standards were prepared covering three orders of magnitude on seven levels (Table 1 and  
121 Supplementary Table S1). Due to the lack of certified reference material, and the wide variety of commodities  
122 tested in this pilot survey, no matrix-matched calibration was performed. Instead, calibration standards were  
123 prepared by diluting the working solution in solvent (MeOH:H<sub>2</sub>O; 10:90; v:v). After homogenization, these  
124 solutions were transferred to amber vials and stored at -20 °C until analysis. Calibration curves were  
125 evaluated using linear regression analysis with a weighting factor of 1/x.

## 2.5. Spiking experiments

To evaluate the extraction efficiency and matrix effects, spiking experiments in triplicate were conducted in five matrices (rice, maize, wheat, oatmeal and infant formulae; Table 1 and Supplementary Table S1). Since no certified reference materials were available, a sample of each matrix was randomly chosen and spiked in three independent experiments. For spiking experiments 30  $\mu$ L working solution were used to spike sample aliquots which were left at ambient temperature for 5 min to allow solvent evaporation. After homogenization, these samples were extracted as described above. Spiking levels, as well as apparent recoveries are reported in Table 1. Apparent recoveries were determined by the ratio of sample concentration calculated using the solvent calibration curves and the known spiking level, multiplied by 100.

## 2.6. LC-MS/MS measurements

An UltiMate 3000 UHPLC system (Thermo Scientific), coupled to a TSQ Vantage triple-quadrupole mass spectrometer via a heated electrospray-ionization source (Thermo Scientific) was utilized. To screen for all mycotoxins of interest two established methods were used.

### Method 1: Multi-mycotoxin method

Mycotoxins regulated in infant cereal and infant formulae products as well as several emerging mycotoxins from *Aspergillus*, *Penicillium* and *Fusarium* were determined using an LC-MS method adapted from Braun, et al. (2018). Briefly, an Acquity HSS T3 column (C18, 1.8  $\mu$ m, 2.1  $\times$  100 mm, Waters, Vienna, Austria) was used to chromatographically separate the analytes of interest. The autosampler was set to 10  $^{\circ}$ C while the column was maintained at 40  $^{\circ}$ C. The mobile phase consisted of eluent A1 (water/ ammonium acetate (5mM)/ acetic acid (0.1%)) and eluent B (MeOH) with a flow rate of 250  $\mu$ L/min. The injection volume was 5  $\mu$ L. All instrumental LC-MS parameters such as gradient and MS/MS settings were reported in detail by Braun, et al. (2018).

### Method 2: Multi-*Alternaria* toxin method

For the determination of *Alternaria* toxins., the LC-MS/MS method described by Puntschner, et al. (2018) was utilized. Briefly, chromatographic separation was achieved using a Ascentis Express column (C18, 2.7  $\mu$ m, 2.1  $\times$  100 mm, Supelco, Vienna, Austria). The autosampler was kept at 10  $^{\circ}$ C and the column maintained at 30  $^{\circ}$ C. The mobile phase consisted of eluent A2 (aqueous solution of NH<sub>4</sub>Ac, 5 mM, pH adjusted to 8.7 with 25% ammonia solution), while MeOH was used as eluent B. The injection volume was 5  $\mu$ L.

## 2.7. Quality control and data evaluation

To monitor the instrument's performance and ensure reproducible measurements, quality control samples containing reference standards in solvent were injected in triplicate at the beginning and in the end of each measurement. Peak shapes, peak areas as well as retention times were evaluated to ensure system integrity. Limit of detection (LOD) and limit of quantification (LOQ) was estimated as three and ten times the signal-to-

160 noise ratio for each analyte using spiked blank samples, respectively. Data acquisition and data evaluation  
161 was performed using Xcalibur (version 3.1) and TraceFinder (version 3.3 and 4.1), respectively.

## 162 **2.8. Preliminary exposure assessment**

163 The estimated daily intake of mycotoxins via complementary infant food products was calculated utilizing a  
164 deterministic approach. Here, we assumed an upper bound worst case scenario by considering the LOD  
165 values as maximum concentrations for analytes, which are regulated but were not detected. LOQ values or  
166 the quantified maximum concentrations were used for analytes, which were detected in the samples  
167 (Table 2). The hypothetical daily intake was calculated on the basis of the upper bound concentration in food,  
168 daily food consumption and infant body weight (bw). The following equation was used to calculate the  
169 hypothetical upper bound mycotoxin intake (hUBI) on a daily base:

$$170 \quad hUBI = \frac{C_{mycotoxin (upper bound)} \times IR}{bw}$$

171 While hUBI is given in micrograms per kilogram body weight per day, C represents the upper bound  
172 concentration of the respective mycotoxin (microgram per kilogram) and IR is the intake rate of food by the  
173 infant (g/day). The IR was based on exclusive intake of infant food products and the recommended daily dose  
174 at the age of six months (100 g/day) was used as stated on the package leaflet. In addition, an median infant  
175 weight (8 kg) was selected by using published weight-for-age standards (WHO, 2006).

## 176 **3. Results and discussion**

### 177 **3.1. Method performance and limitations**

178 The parameters describing the method's performance of the detected toxins (n = 17) in the analyzed samples  
179 are reported in Table 1. The absence of reference material to evaluate the extraction efficiency and matrix  
180 effects was compensated by the determination of the apparent recovery using the calibration slope of  
181 solvent standards. Here, most mycotoxins (n = 30) in the spiked matrices were recovered in the range of  
182 50 to 150%. For five analytes, apparent recoveries below 50% were observed in the evaluated matrices. This  
183 could be the results of limited analyte extraction efficiency, high signal suppression or the combination of  
184 both. Interestingly, for seven analytes apparent recoveries above 150% were determined, which most likely  
185 was the result of significant signal enhancement. Matrix-matched standard calibration, however, was not  
186 feasible, as neither certified reference material, nor appropriate blank samples were available for the infant  
187 food products. The apparent recovery of four analytes, BEA, EnnA<sub>1</sub>, EnnB and EnnB<sub>1</sub>, was not successfully  
188 evaluated for oatmeal, as the sample used for spiking was naturally contaminated. This was also the case for  
189 EnnB and EnnB<sub>1</sub> in wheat samples. The results of these analytes were not corrected for recovery in these  
190 matrices. Spelt and millet samples were deemed to be sufficiently similar to wheat, thus the respective  
191 recovery of wheat was utilized to correct for matrix effects and extraction efficiency. FB<sub>2</sub> was not successfully

192 evaluated, as this toxin exhibited significant carry-over. Mycotoxins detected in naturally contaminated  
193 samples were arranged by product type and the respective data are reported in Table 2.

### 194 **3.2. Mycotoxin contamination in infant food products**

195 Infant food products and raw flour samples (n=59) were screened for 46 different mycotoxins using two LC-  
196 MS methods. Overall, 17 different mycotoxins were detected, while the quantification was possible for 59%  
197 of contaminated samples. In addition, co-occurrence of mycotoxins was found in 59% of samples (n = 35).  
198 Interestingly, an organic spelt cereal sample was contaminated with nine mycotoxins, while in ten samples  
199 (17%) no mycotoxin was detected.

#### 200 **3.2.1. Regulated mycotoxins**

201 Commercially available cereal-based infant foods (n = 35) were grouped considering their main ingredients:  
202 rice (n=8), maize (n=1), wheat (n=6), spelt (n=5), millet (n=5), oatmeal (n=6) and products containing skim  
203 milk powder and cereal flour (milk cereal; n=4). Additionally, 15 raw flour samples intended for the  
204 production of infant foods, were classified as rice flour (n=11) and maize flour (n=4).

205 The mycotoxins AFB<sub>1</sub>, ZEN, DON, T-2 and FB<sub>1</sub>, regulated by the Commission Regulation (EC) No 1881/2006  
206 (EC, 2006) for cereal-based food intended for the consumption by infants, were determined in 30 samples.

207 In contrast, the regulated mycotoxins OTA and HT-2 were not detected in any sample. The highest prevalence  
208 in cereal-based food was observed for T-2 and FB<sub>1</sub> with 26% and 20%, respectively. Concentration levels of  
209 up to 3.0 µg/kg T-2 were detected in commercial samples, while only one raw maize flour sample contained  
210 0.9 µg/kg T-2. The concentration of FB<sub>1</sub> did not exceed 8.3 µg/kg in commercial samples. Comparably higher  
211 FB<sub>1</sub> concentrations ranging from 13 to 39 µg/kg were only found in raw flour samples. Here, processing  
212 practices may reduce the mycotoxin concentration in the finished products. However, all samples in which  
213 T-2 and FB<sub>1</sub> was detected were below their maximum residue limit (MRL) of 15 µg/kg and 200 µg/kg,  
214 respectively. No contamination trend towards any specific commodity was observed, although T-2 was not  
215 found in spelt and FB<sub>1</sub> was not detected in wheat and oatmeal samples. The high incidence of T-2 was not  
216 expected, as only few studies have reported this toxin in infant foods in very low concentrations. The highest  
217 incidence of T-2 was found by Tanaka, et al. (2010) in Japan, reporting a concentration range from 0.1 to  
218 0.6 µg/kg in 12% of biscuits intended for infant consumption. Zhang, Flannery, Oles, and Adeuya (2018)  
219 detected T-2 in only 3 of 147 tested infant foods in the US below the LOQ of 1.6 µg/kg. Furthermore, Lu, Ruiz  
220 Leal, Míguez, and Fernández-Franzón (2013) reported T-2 in a single sample of cereal-based infant food at  
221 0.039 µg/kg. A probable cause for the high incidence may be the large share of cereal samples from organic  
222 agriculture, as 7 of the 9 samples positive for T-2 were labeled organic. Similarly, the majority of FB<sub>1</sub> positive  
223 samples in the present study (10 out of 12) were organic samples. However, label of organic or conventional  
224 production does not address influencing factors for mycotoxin contamination such as storage, transport or  
225 processing practices. Moreover the sample size in this study was rather limited. The reported concentration  
226 levels for FB<sub>1</sub> were below those detected by previous studies, e.g. D'Arco, Fernandez-Franzon, Font, Damiani,



227 and Manes (2009) recorded mean levels of 159 µg/kg in organic and 3 µg/kg in conventional maize samples.  
228 In conformity with a survey of cereal-based infant food in Canada conducted by Lombaert, et al. (2003), no  
229 FB<sub>1</sub> was detected in oat-based samples. Others reported that fumonisins were below their detection limit in  
230 infant food products (Cirillo, Ritieni, Galvano, & Amodio Cocchieri, 2003).  
231 In processed cereal samples, DON was detected only in one sample of spelt and oatmeal (25 and 62 µg/kg),  
232 which is clearly below the MRL of 200 µg/kg. Equally to T-2 and FB<sub>1</sub>, the DON concentration was higher in  
233 two raw flour samples with 126 and 131 µg/kg. Even higher frequencies and concentrations in baby foods  
234 were reported before. Juan, Raiola, Manes, and Ritieni (2014) found DON in 76% of cereal-based baby food  
235 samples and Lombaert, et al. (2003) in 63%. Cirillo, et al. (2003) reported DON in 60% of infant foods. The  
236 rare occurrence of DON was not expected and may be a result of more rigorous controls during the food  
237 production process. ZEN was detected in a single commercial wheat-based sample (1.2 µg/kg), which did not  
238 exceed the MRL of 20 µg/kg for grain-based infant foods. Moreover, ZEN concentrations of 2.2 and 2.3 µg/kg  
239 were determined in raw maize flour samples, co-occurring with DON.  
240 Interestingly, the highly carcinogenic AFB<sub>1</sub> was detected in two raw rice flour samples, one at 0.4 µg/kg and  
241 one below the respective LOQ value of 0.3 µg/kg. These samples exceeded the MRL of AFB<sub>1</sub> in processed  
242 cereal-based infant foods (0.1 µg/kg). However, due to the fact that AFB<sub>1</sub> was only detected in raw flour  
243 samples, the concentration in the processed product will likely be below the MRL. Recently, AFB<sub>1</sub> was  
244 detected in infant foods, e.g. Hernandez-Martinez and Navarro-Blasco (2010) reported several samples (n=7)  
245 even above the MRL. Others reported either only a single occurrence (Alvito, Sizoo, Almeida, & van Egmond,  
246 2010) or no contamination of AFB<sub>1</sub> (Beltrán, et al., 2011; Juan, et al., 2014; Zhang, et al., 2013). OTA was not  
247 found in any of the analyzed samples, which is most certainly due to the high limit of detection (0.4 µg/kg).  
248 In fact, this toxin is frequently detected in cereal-based infant food products across Europe and North  
249 America at concentrations lower than the LOD value of the present study and thus not likely a concern for  
250 infant health (Alvito, et al., 2010; Juan, et al., 2014; Lombaert, et al., 2003).

### 251 **3.2.2. Non-regulated aflatoxins and derivatives**

252 Besides regulated aflatoxins, AFL and STC, two non-regulated aflatoxin derivatives were detected (Figure 1),  
253 although not co-occurring with AFB<sub>1</sub>. A quarter of all processed cereal foods (n=8) exhibited a contamination  
254 with STC, a precursor of AFB<sub>1</sub>. STC was detected in all commodities except for the single maize-based sample  
255 in concentration levels up to 0.5 µg/kg. The occurrence of STC in infant foods has previously been  
256 investigated by Sartori, de Moraes, Santos, Souza, and da Nobrega (2017) (LOD 0.04 µg/kg) and Liu, Fan,  
257 Huang, Jin, and Zhu (2016) (LOD 0.11 µg/kg), although both studies did not identify any positive sample. In  
258 addition, we report AFL in one oatmeal sample below the respective LOQ value in the present study.  
259 Moreover, in one milk cereal sample a concentration of 1.1 µg/kg AFL was detected. To the best of our  
260 knowledge, this is the first study which reports both AFL and STC in infant food products.

### 3.2.3. *Fusarium* toxins

Besides DON and T2, only NIV was detected among the trichothecenes in two cereal-based samples. NIV was found in one rice sample at a concentration of 20 µg/kg and in one wheat sample below the LOQ of 16 µg/kg. In contrast to commercial samples, raw flour samples were not contaminated. In addition, no co-occurrence with any of the other trichothecenes (DON, T-2) was observed. Hexadepsipeptides like BEA and the enniatins, especially EnnB, were frequently found in all cereal-based commodities analyzed in concentration levels up to 40 µg/kg. The highest incidence of EnnB was found in 60% of processed cereal-based samples. In contrast, only two raw maize flour samples were contaminated by EnnB. Other hexadepsipeptides monitored, namely BEA, EnnB<sub>1</sub>, EnnA<sub>1</sub> and EnnA, were detected in 26, 17, 11 and 3% of the processed cereal-based samples, respectively. These results are in agreement with results published by Juan, Manes, Raiola, and Ritieni (2013). Analyzing cereal-based infant foods from Italy, these authors reported that EnnB, EnnB<sub>1</sub>, BEA, EnnA and EnnA<sub>1</sub> were detected in 70, 26, 17, 13 and 9% of all samples, respectively. However, concentration levels in the present study were significantly lower. Others did not detect this mycotoxin class in wheat-based products from Morocco (Mahnine, et al., 2011). This is in contrast to the present study, where all six wheat-based samples tested contained at least one hexadepsipeptide. However, the sensitivity of the applied analytical method was higher, resulting in significantly lower LOD values than those of Mahnine, et al. (2011). In addition, two out of six rice-based samples in the study of Mahnine, et al. (2011) were contaminated with either BEA or enniatins. In the present study, rice products contained the least variety of *Fusarium* toxins of all commodities tested. In raw flour samples, the highest occurrence was observed for BEA. Here, all maize-based samples and one rice-based sample were contaminated with this mycotoxin.

### 3.2.4. Emerging *Alternaria* toxins

Infant food samples were screened for the occurrence of 16 mycotoxins and mycotoxin-conjugates produced by the fungal species *Alternaria*. Four of these, namely AME, TeA, TEN and ALP were found in both processed cereal-based infant foods and raw flour samples. AME was detectable in rice, millet, oatmeal and milk cereal samples. While two raw samples of rice flour were contaminated below the LOQ value, the maximum AME concentration of 1.1 µg/kg was observed in a commercial oatmeal sample. In contrast, AOH was not detected in any of the analyzed samples. Previously, Scott, Zhao, Feng, and Lau (2012) analyzed AOH and AME contamination of Canadian cereal products including infant foods. They frequently detected both toxins in concentration levels up to 4.4 and 9.0 µg/kg, respectively. TEN was the most common *Alternaria* toxin, found in 34% of processed cereal-based samples at concentrations up to 1.5 µg/kg, followed by TeA in 31% of the samples at concentrations up to 124 µg/kg. The highest concentrations of TeA were detected in millet-based samples, similar to previous published results: For example, Asam and Rychlik (2013) reported that on average, sorghum-based infant foods contained higher amounts of TeA than infant foods based on other cereals (550 µg/kg versus 20 µg/kg respectively). Except one spelt sample (99 µg/kg) all other TeA-positive samples in the present study contained levels below the LOQ value of 24 µg/kg. One raw rice flour sample was contaminated with TEN lower than the LOQ, while six out of eleven raw rice flour samples contained TeA

297 in concentrations up to 54 µg/kg. Other *Alternaria* toxins or key metabolites thereof were not detected in  
298 any of the analyzed samples.

### 299 **3.2.5. Contamination patterns**

300 Most cereal-based commodities analyzed (wheat, spelt, millet, oatmeal) showed traces of mycotoxins  
301 deriving from both *Fusarium* and *Alternaria* fungi, without a notable trend towards any specific type of cereal  
302 (Figure 2). Interestingly, rice-based samples, both commercial and raw samples, predominantly contained  
303 toxins from *Alternaria* spp. and almost no *Fusarium* toxins. This contamination pattern is unusual considering  
304 the widespread occurrence of *Fusarium* toxins in other commodities. *Fusarium* fungi have been shown to  
305 readily produce mycotoxins using rice as a substrate (Mateo, Mateo, & Jimenez, 2002). On the other hand,  
306 all five maize-based samples contained no *Alternaria* toxins on detectable levels, while all four raw maize  
307 flour samples were contaminated with a variety of *Fusarium* mycotoxins.

### 308 **3.2.6. Infant formulae**

309 Compared to cereal-based infant foods, the infant formulae (n=9) analyzed in the present study were  
310 contaminated only by a small number of mycotoxins. Moreover, mycotoxins found in these samples were  
311 mostly below the respective LOQ values or not detectable at all. In infant formulae only AFM<sub>1</sub> is regulated  
312 with a MRL of 0.025 µg/kg. This toxin was not detected in any of the nine infant formulae samples. However,  
313 the applied screening method was not suitable to detect levels of AFM<sub>1</sub> below 0.3 µg/kg. Thus, it cannot be  
314 excluded that AFM<sub>1</sub> might exceed the MRL level. Results from previous studies indicate that AFM<sub>1</sub> does not  
315 frequently occur in infant formulae (Beltrán, et al., 2011; Meucci, et al., 2010; Zhang, et al., 2013). Hence,  
316 early-life exposure towards AFM<sub>1</sub> resulting from this commodity may not imply a relevant health risk. ZEN  
317 and FB<sub>1</sub>, regulated for cereal-based foods, were detected in two and three infant formulae samples,  
318 respectively. ZEN was quantified in one sample with a concentration of 0.7 µg/kg. All detections of FB<sub>1</sub> were  
319 below the LOQ of 7.0 µg/kg. Similar to cereal-based food, OTA was not detected in a single sample, while  
320 Meucci, et al. (2010) reported OTA in 63% of powdered infant formulae in Italy. However, the sensitivity of  
321 Meucci, et al. (2010) was higher compared to the present study (1.25 ng/L compared to 0.4 µg/kg) as a result  
322 of an immuno-affinity clean-up. Following the fact that no OTA concentrations above 0.7 µg/L were detected  
323 by Meucci, et al. (2010) the absence of OTA in the present study can be reasonably explained. Similarly to  
324 cereal-based food STC was found in two samples, while emerging mycotoxins such as EnnB<sub>1</sub> and AME were  
325 detected only below their respective LOQ value in this matrix.

### 326 **3.3. Estimation of the daily intake of mycotoxins from infant food products**

327 The estimated daily intake of mycotoxins was based on an upper bound worst case scenario assuming  
328 contamination of infant food products at the detected maximum concentration. For the three regulated  
329 mycotoxins AFM<sub>1</sub>, CIT and OTA, which have not been detected in any sample, hypothetical contamination at  
330 the respective LOD values were assumed. The exposure to food contaminants in the critical time window of  
331 infancy should be as minimal as possible, due to the less developed immune system. This is reflected by the

established MRL for mycotoxins in the Commission Regulation (EC) No 1881/2006 (EC, 2006). Here, maximum concentrations set for mycotoxins in infant food are generally lower. In addition, the European Food Safety Authority (EFSA) stated that the tolerable daily intake (TDI) should be adjusted for infants for the first months of life (EFSA, 2017). The calculated worst case scenario was thus compared to an infant age-corrected TDI (Table 3). Based on the results, we conclude that the intake of DON via infant food may exceed the guidance value by a factor of five, although the respective maximum concentration used for the exposure estimate did not exceed the MRL. However, the exposure was assumed as an upper bound worst case scenario and the maximum concentration was found in a raw flour sample. As already discussed, processing practices clearly influence mycotoxin levels and thus it is likely that the final infant food product contains less and thus potentially negligible amounts of DON. The rather lipophilic toxins BEA and EnnB were recently detected in breast milk from Austrian and Nigerian mothers (Braun et al., 2020a; Braun et al., 2020b). Exposure estimates based on infant food products were 10x and 500x higher for BEA and EnnB, respectively, compared to the estimates for breast milk consumption. For the xenoestrogen ZEN, which has recently been shown to cross the placental barrier (Warth, et al., 2019) a hUBI of 0.029 µg/kg bw/d was calculated. Based on the worst case scenario in infant food the intake of ZEN is twice as high compared to the breast milk estimates we calculated earlier (Braun, et al., 2018). Moreover, cow milk is frequently used for the preparation of infant food which may not be mycotoxin free and thus extend the contamination pattern. These results clearly highlight that breastfeeding should not be avoided based on the potential presence of mycotoxins, as alternatives are more likely to be contaminated and lack the tailored nutritional composition for an developing infant.

## 4. Conclusions

Low concentration levels of 17 mycotoxins were detected in 83% of infant food and infant food raw flour samples. All detected mycotoxins were present in cereal-based foods or raw flour samples, whereas only six mycotoxins were found in infant formulae products. In addition, the toxin levels of infant formulae products were near or below the respective LOQ values, confirming that the exposure to mycotoxins from these foods is low. Even though the mycotoxin levels were low in cereal-based products too, co-occurrence of toxins was frequently observed and in a single sample nine different mycotoxins were detected. Within this study we could confirm that regulated mycotoxins did not exceed the MRL, however, sample size and geographical distribution limit the overall conclusions and have to be verified in subsequent studies. In contrast to ready-to-use products, in two raw flour samples AFB<sub>1</sub> was detected above the MRL. To the best of our knowledge, we describe the first detection of STC and AFL in cereal-based infant foods. Samples from organic agriculture, which represented the majority of the samples in this preliminary study, were more likely to be contaminated than those from conventional agriculture. However, the levels of toxins detected in both groups did not differ significantly. In conclusion, infant food products included in this study (cereal-based infant foods and milk-based infant formulae) can be considered as safe regarding this class of natural food contaminants. Certainly,

367 breast feeding is still considered to be the most advantageous nourishment for infants and should be favored  
368 over complementary foods.

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## 373 **Author contributions**

374 D.B. conceived, designed and planned the experiments, supported sample analysis, LC-MS/MS  
375 measurement, data evaluation, interpretation and drafted the paper. M.E. collected and analyzed samples,  
376 evaluated data. H.P. conceived the experimental design and evaluated results. D.M. was involved in the  
377 experimental design and data interpretation. B.W. designed and supervised the study and supported  
378 analyses and data evaluation/interpretation. All authors contributed to manuscript writing.

## 379 **Competing interests**

380 The authors declare no competing interests.

## 381 **Materials & Correspondence**

382 Correspondence and requests for materials should be addressed to B.W.

## 383 **Data availability**

384 The authors can confirm that all relevant data are included in the paper and/or its Supplementary  
385 Information files.

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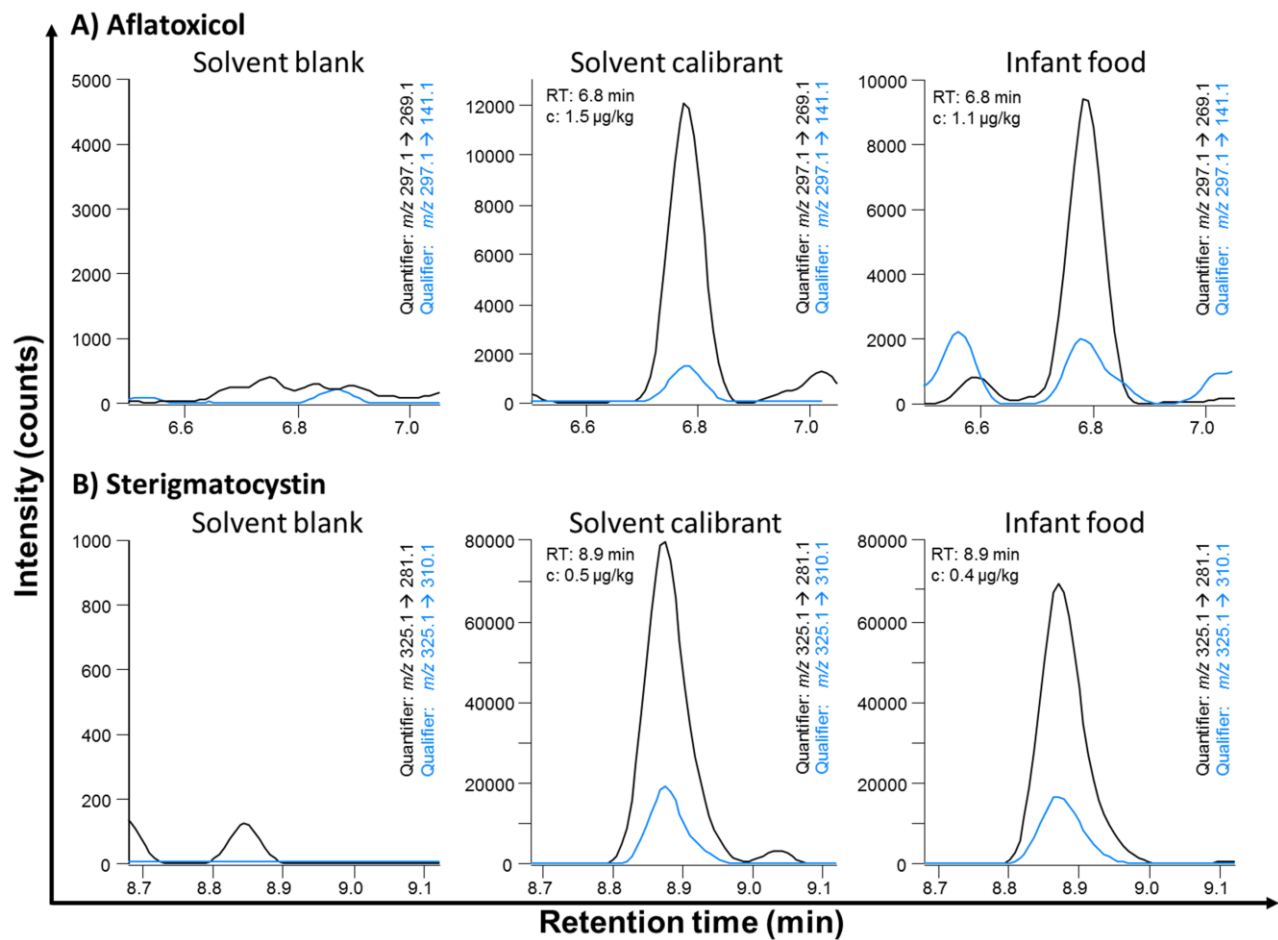
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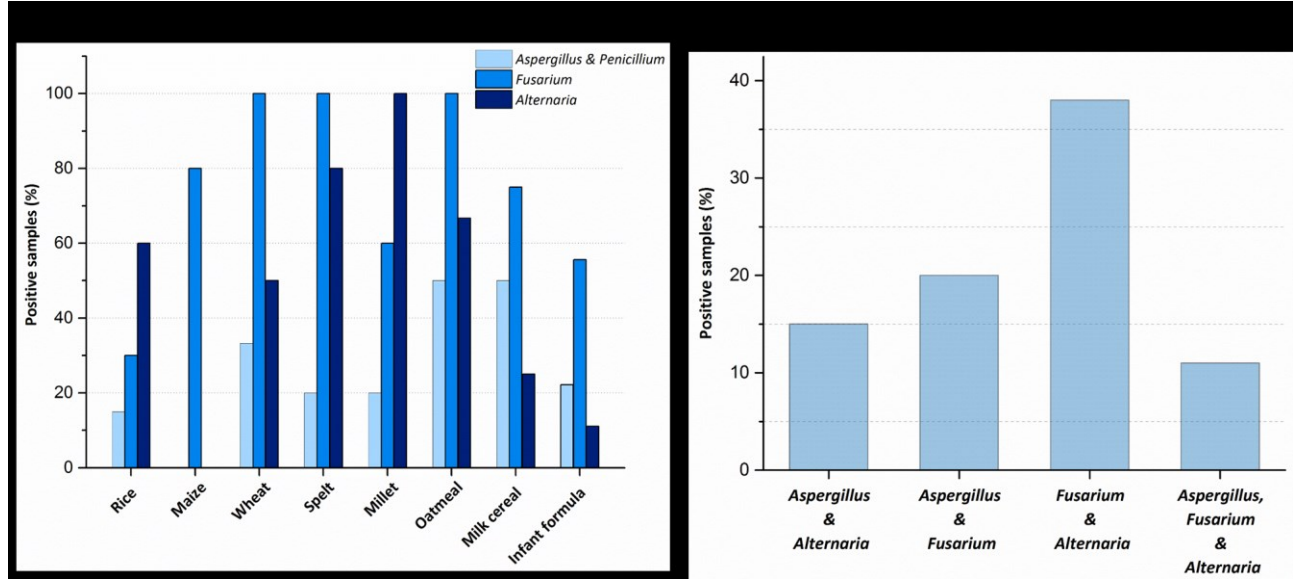
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**Figure 1:** MRM-chromatograms of aflatoxicol (A) and sterigmatocystin (B). For both toxins a solvent blank, solvent calibrant and a naturally contaminated infant food sample are shown, respectively.



**Figure 2:** Occurrence of multiple mycotoxins in infant food and raw flour samples (n=59) based on food categories (A) and co-occurrence of mycotoxins based on the producing fungal species (B).

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## Tables

**Table 1:** Method performance characteristics of 17 detected mycotoxins in infant cereal and formulae samples.<sup>a</sup>

Analyte	Spiking level <sup>b</sup>	Limit of detection (LOD)	Limit of quantification (LOQ)	Calibration range <sup>c</sup>	R <sup>2</sup>	Apparent recovery (R <sub>A</sub> ± RSD) <sup>d</sup>				
						Rice	Maize	Wheat <sup>e,f</sup>	Oatmeal <sup>f</sup>	Infant formulae <sup>g</sup>
	[µg/kg sample]	[µg/kg]	[µg/kg]	[ng/mL]		[%]	[%]	[%]	[%]	[%]
<b>Method 1: Multi-mycotoxin method</b>										
Aflatoxicol	15	0.1	0.25	0.01- 50	0.9976	34 ± 5	45 ± 5	66 ± 11	61 ± 9	98 ± 9
Aflatoxin B <sub>1</sub>	3.0	0.15	0.3	0.01 - 10	0.9905	60 ± 6	52 ± 3	71 ± 26	86 ± 17	102 ± 16
Sterigmatocystin	1.5	0.05	0.1	0.015 - 5	0.9946	78 ± 11	70 ± 15	99 ± 5	70 ± 2	108 ± 2
Zearalenone	6.0	0.3	0.6	0.02 - 20	0.998	54 ± 3	55 ± 6	41 ± 11	91 ± 17	80 ± 8
Deoxynivalenol	45	10	20	0.15 - 150	0.9717	25 ± 7	36 ± 2	52 ± 19	21 ± 32	20 ± 1
Nivalenol	80	8.0	16	0.27 - 267	0.9854	49 ± 18	53 ± 12	81 ± 31	53 ± 20	79 ± 17
T-2 toxin	6.0	0.3	0.6	0.02 - 20	0.9927	97 ± 11	77 ± 19	56 ± 29	77 ± 20	78 ± 9
Beauvericin	0.6	0.2	0.4	0.02 - 20	0.9686	117 ± 6	153 ± 21	232 ± 39	n.a.	289 ± 16
Enniatin A	0.6	0.2	0.4	0.02 - 20	0.9763	158 ± 14	129 ± 10	210 ± 5	208 ± 9	208 ± 4
Enniatin A <sub>1</sub>	0.6	0.2	0.4	0.02 - 20	0.9702	122 ± 8	90 ± 11	168 ± 4	n.a.	167 ± 26
Enniatin B	0.6	0.2	0.4	0.02 - 20	0.9531	89 ± 10	82 ± 21	n.a.	n.a.	165 ± 15
Enniatin B <sub>1</sub>	0.6	0.2	0.4	0.02 - 20	0.9624	74 ± 20	80 ± 18	n.a.	n.a.	69 ± 17
Fumonisin B <sub>1</sub>	75	3.5	7.0	0.25 - 250	0.9695	120 ± 2	166 ± 26	156 ± 42	134 ± 37	129 ± 9
<b>Method 2: Multi-Alternaria toxin method</b>										
Alternariol monomethyl ether	6.0	0.3	0.6	0.02 - 20	0.9962	90 ± 10	77 ± 5	62 ± 8	59 ± 6	93 ± 6
Tenuazonic acid	360	12	24	1.2 - 1200	0.9962	81 ± 10	72 ± 5	74 ± 3	66 ± 6	65 ± 7
Tentoxin	9.6	0.5	1.0	0.03 - 9.6	0.9705	120 ± 38	98 ± 22	75 ± 3	73 ± 10	119 ± 4
Alterperyleneol	30	5.0	10	0.1 - 100	0.9843	114 ± 27	74 ± 10	112 ± 27	62 ± 12	113 ± 6

522 <sup>a</sup>Non-detected mycotoxins (n=29) are listed in Supplementary Table S1.

523 <sup>b</sup>Spiking levels were identical for all matrices, each matrix was spiked in triplicate.

524 <sup>c</sup>Concentration range covered by calibration standards.

525 <sup>d</sup>Combined evaluation of extraction recovery and matrix effects, calculated as the ratio of measured analyte concentration of the spiked samples and the known spiking level. Average  
526 and relative standard deviation of three independent experiments.

527 <sup>e</sup>A wheat-based infant cereal sample (99% wheat) was chosen for the evaluation.

528 <sup>f</sup>Recovery was not calculated for analytes where no blank material was available and the spiked matrix was contaminated ("n.a.").

529 <sup>g</sup>Breast milk substitute products based on milk powder, whey and vegetable oils as main ingredients.

530 **Table 2:** Mycotoxins detected in cereal-based infant foods, infant formulae and raw flours of rice (n=11) and maize (n=4).

Analyte	Processed cereal-based infant foods (n=35)				Infant and follow-on formulae <sup>a</sup> (n=9)			Raw flour samples <sup>b</sup> (n=15)		
	Limit of quantification (LOQ)	Incidence	Range	Mean <sup>c</sup>	Incidence	Range	Mean <sup>c</sup>	Incidence	Range	Mean <sup>c</sup>
	[µg/kg]	n (%)	[µg/kg]	[µg/kg]	n (%)	[µg/kg]	[µg/kg]	n (%)	[µg/kg]	[µg/kg]
<b>Method 1: Multi-mycotoxin method</b>										
Aflatoxicol	0.25	2 (6%)	<LOQ - 1.1	-	-	-	-	-	-	-
Aflatoxin B <sub>1</sub>	0.3	-	-	-	-	-	-	2 (13%)	<LOQ - 0.4	-
Sterigmatocystin	0.1	8 (23%)	<LOQ - 0.5	0.24	2 (22%)	<LOQ - 0.2	-	-	-	-
Zearalenone	0.6	1 (3%)	1.2	-	2 (22%)	<LOQ - 0.7	-	2 (13%)	2.2 - 2.3	-
Deoxynivalenol	20	2 (6%)	25 - 62	43	-	-	-	2 (13%)	126 - 131	-
Nivalenol	16	2 (6%)	<LOQ - 20	-	2 (22%)	<LOQ - 19	-	-	-	-
T-2 toxin	0.6	9 (26%)	0.8 - 3.0	1.5	-	-	-	1 (5%)	0.9	-
Beauvericin	0.4	5 (14%)	<LOQ - 3.1	1.9	-	-	-	5 (33%)	0.6 - 2.0	1.4
Enniatin A	0.4	1 (3%)	<LOQ	-	-	-	-	-	-	-
Enniatin A <sub>1</sub>	0.4	4 (11%)	<LOQ - 2.1	0.7	-	-	-	-	-	-
Enniatin B	0.4	21 (60%)	<LOQ - 40	5.9	-	-	-	2 (13%)	3 - 5	-
Enniatin B <sub>1</sub>	0.4	9 (26%)	<LOQ - 10	3.9	1 (11%)	<LOQ	-	1 (5%)	2	-
Fumonisin B <sub>1</sub>	7.0	7 (20%)	<LOQ - 8.3	4.8	3 (33%)	<LOQ	3.5	5 (33%)	<LOQ - 39	27
<b>Method 2: Multi-Alternaria toxin method</b>										
Alternariol monomethyl ether	0.6	7 (20%)	<LOQ - 1.1	0.6	1 (22%)	<LOQ	-	2 (13%)	<LOQ	-
Tenuazonic acid	24	11 (31%)	<LOQ - 124	48	-	-	-	6 (40%)	<LOQ - 54	37
Tentoxin	1.0	12 (34%)	<LOQ - 1.5	0.9	-	-	-	1 (6%)	<LOQ	-
Alterperyleneol	10	8 (23%)	<LOQ - 20	11	-	-	-	-	-	-

531 <sup>a</sup>Infant formulae powder based on skim milk, whey products and vegetable oils.

532 <sup>b</sup>Intended for the production of infant foods.

533 <sup>c</sup>Mean values were calculated for toxins with more than two positive samples. Samples below the LOQ were substituted with the respective LOQ/2 value.

534

535 **Table 3:** Preliminary exposure assessment using an upper bound worst case scenario and comparison to an infant corrected tolerable daily intake (TDI)

Analyte	LOD	LOQ	Maximum concentration	TDI		hUBI <sup>b</sup>
	[µg/kg]	[µg/kg]	Infant food [µg/kg]	Adults [µg/kg bw/d]	Infant corrected <sup>a</sup> [µg/kg bw/d]	[µg/kg bw/d]
<b>Method 1: Multi-mycotoxin method</b>						
Aflatoxicol	0.1	0.25	1.1			0.014
Aflatoxin B <sub>1</sub>	0.15	0.3	0.4			0.005
Aflatoxin M <sub>1</sub>	0.3	0.6	n.d.			0.004
Beauvericin	0.2	0.4	3.1			0.039
Citrinin	0.15	0.3	n.d.	0.2 <sup>c</sup>	0.067	0.002
Deoxynivalenol	10	20	131	1 <sup>d</sup>	0.33	1.6
Enniatin A	0.2	0.4	0.4			0.005
Enniatin A <sub>1</sub>	0.6	0.4	2			0.025
Enniatin B	0.6	0.4	40			0.50
Enniatin B <sub>1</sub>	0.6	0.4	10			0.13
Fumonisin B <sub>1</sub>	3.5	7	39	2 <sup>e</sup>	0.67	0.49
Nivalenol	8	16	20	1.2 <sup>f</sup>	0.40	0.25
Ochratoxin A	0.4	0.8	n.d.	0.017 <sup>g</sup>	0.006	0.005
Sterigmatocystin	0.05	0.1	0.5			0.006
T-2 toxin	0.3	0.6	3			0.038
Zearalenone	0.3	0.6	2.3	0.25 <sup>h</sup>	0.083	0.029
<b>Method 2: Multi-Alternaria toxin method</b>						
Alternariol monomethyl ether	0.3	0.6	1.1			0.014
Tenuazonic acid	12	24	124			1.6
Tentoxin	0.5	1	1.5			0.019
Alterperyleneol	5	10	20			0.25

536 <sup>a</sup>According to the EFSA guidance on the risk assessment of substances present in food intended for infants below 16 weeks of age the adult TDI was age-corrected (infant corrected  
537 TDI = TDI/3)(EFSA, 2017). <sup>b</sup>For calculation of the hypothetical upper bound intake (hUBI): Assuming contamination at the LOD for mycotoxins not detected, values <LOQ were  
538 estimated at the LOQ level or maximum concentration in infant food was used. This upper bound value was multiplied by the intake rate (IR, 100 g/d; as stated on the package leaflet  
539 for infant formulae) and divided by the average infant body weight (bw, 8 kg). <sup>c</sup>According to EFSA (2012). <sup>d</sup>According to EFSA (2013a). <sup>e</sup>According to Knutsen, et al. (2018). <sup>f</sup>According  
540 to EFSA (2013b). <sup>g</sup>TDI calculated as 120 ng/kg bw per week (EFSA, 2006) divided by 7. <sup>h</sup>According to EFSA (2011).