

## **Impacts of the 2020 COVID-19 Shutdown Measures on Ozone Production in the Los Angeles Basin**

Cesunica Ivey<sup>1,2,\*</sup>, Ziqi Gao<sup>3</sup>, Khanh Do<sup>1,2</sup>, Arash Kashfi Yeganeh<sup>1,2</sup>, Armistead Russell<sup>3</sup>, Charles Blanchard<sup>4</sup>, Sang-Mi Lee<sup>5</sup>

<sup>1</sup>Department of Chemical and Environmental Engineering, University of California, Riverside, Riverside, CA

<sup>2</sup>Center for Environmental Research and Technology, Riverside, CA

<sup>3</sup>Department of Civil and Environmental Engineering, Georgia Institute of Technology, Atlanta, GA

<sup>4</sup>Envair, Albany, CA

<sup>5</sup>South Coast Air Quality Management District, Diamond Bar, CA

\*Corresponding author: cesunica@ucr.edu

1 **TOC Graphic**

2 **Abstract (186 of 200 words)**

3 In the spring of 2020, unprecedented shifts in human activity in response to the COVID-19  
4 pandemic led to observable changes in the natural environment, specifically air pollutant  
5 concentrations. In March and April 2020, the South Coast Air Basin of California (USA)  
6 experienced noticeable declines in on-road activity and primary traffic-related pollutant emissions.  
7 However, secondary ozone concentration trends were not consistent across the basin. The upwind  
8 site in Pasadena, CA experienced overall increases in maximum daily 8-hour ozone (MDA8)  
9 during the shutdown, whereas the downwind site in Crestline, CA experienced an overall decrease  
10 in MDA8. Typically, the highest MDA8 concentrations are observed at locations downwind of the  
11 Los Angeles city center, indicating a shift in the spatial peak of ozone production due to major  
12 decreases in precursor emissions during the COVID-19 shutdown. Higher temperatures in late  
13 April led to higher than average MDA8 concentrations in both locations. The COVID-19 shutdown  
14 provided a preview of the potential impacts of large scale emissions reductions strategies on ozone  
15 formation in the South Coast Air Basin. This study highlights the spatial shift in peak MDA8 that  
16 may accompany future mitigation efforts.

17 **Introduction**

18 On Thursday, March 19, 2020, California Governor Gavin Newsom mandated a shelter-at-home  
19 order with exceptions for essential functions, including but not limited to healthcare workers,  
20 emergency services, food and animal agriculture workers, energy sector support, water and  
21 wastewater support, and construction. The University of California, Davis Road Ecology Center  
22 estimated that traffic volumes were reduced by up to 60% on some California highways.<sup>1</sup> The Port  
23 of Los Angeles reported that cargo volume is 80% of normal (as of 5/2/2020), and the Port of Long  
24 Beach reported a slight decrease in first quarter cargo compared to the first quarter of 2019 (as of  
25 5/2/2020). California energy demand has decreased by up to 9% in response to the shutdown of  
26 non-essential services.

27 The 2016 Air Quality Management Plan estimated that on- and off-road vehicles are responsible  
28 for 88% of nitrogen oxides (NOx) and 58% of volatile organic compound (VOC) emissions in the  
29 South Coast Air Basin (SoCAB) in 2012. Emissions in the Basin have been impacted during the  
30 2020 COVID-19 shutdown, as a significant fraction of emitters are not operating at normal  
31 capacities. Recently released NASA images of surface-level nitrogen dioxide (NO<sub>2</sub>) levels agree  
32 with the observed reductions in ground-level NO<sub>2</sub>.<sup>2</sup> Of critical importance is the effect of these  
33 reductions in certain parts of a region where ozone levels are historically governed by emissions  
34 of VOCs, which is also known as a VOC-limited regime.<sup>3,4</sup>

35 Coastal Southern California experienced a wet March 2020 (4.11 in. at LAX), as reported by the  
36 California Nevada River Forecast Center. This led to frequent washout events in the Basin and  
37 obscured the impact of emissions reductions on ozone level. A warmer, drier April 2020 (2.68 in.  
38 at LAX) has provided a window to more clearly observe the nonlinear impacts of emissions  
39 reductions on ozone levels in the Basin. Consequently, it is hypothesized that reductions of on-  
40 road emissions led to higher ozone production in the western Basin, which was further exacerbated  
41 by increasing temperatures. To test the hypothesis, a generalized additive model (GAM) for

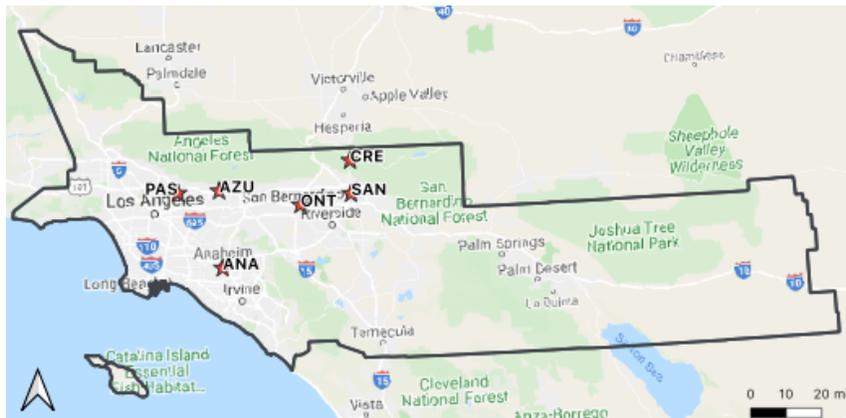
42 maximum daily 8-hour average (MDA8) ozone was fit using meteorology and emissions as inputs  
43 for the 1990–2019 period at two key monitoring sites in SoCAB.<sup>5,6</sup>

## 44 **Materials and Methods**

### 45 ***Monitoring Site Descriptions***

46 Data were obtained from the California Air Resources Board (CARB) Air Quality and  
47 Meteorological System Database in May of 2020 for a total of six monitoring locations in SoCAB.  
48 Two of the sites were used for GAM analysis. The first site is located in the central portion of the  
49 Basin in Pasadena, CA, 10 miles northeast of Los Angeles, and is classified here as an upwind  
50 urban background site (**Figure 1**). The second site is located downwind of the urban areas in the  
51 San Bernardino Mountains in Crestline, CA. It is important to note that Crestline was designated  
52 as the 8-hour ozone design value site in 2017 (112 ppb) and 2018 (111 ppb), indicating that Basin-  
53 wide ozone concentrations peaked in the eastern mountains during those ozone seasons, as found  
54 before.<sup>7</sup>

55 Four additional sites were used to understand the impacts of traffic reductions at near road and  
56 non-near-road locations. Anaheim and Ontario (at Etiwanda Avenue) are near road sites that  
57 monitor along major highways, I-5 and CA-60, respectively. Azusa and San Bernardino are non-  
58 near-road sites and represent urban background locations. Azusa is approximately 1 mile from a  
59 major highway (I-210). The San Bernardino site is located near a large railyard and is heavily  
60 influenced by heavy-duty vehicle traffic that services the railyard.



61  
62 **Figure 1.** Map of the South Coast Air Quality Management District boundary (black) in Southern  
63 California. *Source: Google Maps.*

### 64 ***Modeled Predictions***

65 The 1990-2019 GAM predicted daily March and April 2020 MDA8. Meteorological inputs include  
66 daily maximum temperature and average wind speed at Los Angeles International and Barstow-  
67 Daggett Airports, representative weather stations for Pasadena and Crestline, respectively; 12Z  
68 (0400 PST) 500 mbar wind speed and temperature, 850 mbar wind speed and direction, and 850  
69 mbar dew point temperature and relative humidity at the Miramar weather station in San Diego,  
70 CA. Other model inputs include basin-wide NO<sub>x</sub> and reactive organic gas (ROG) emissions  
71 (historical and projected) from CARB, maximum solar radiation (SR), ENSO index, day of year  
72 (DOY), and day of week (DOW). Equations 1 and 2 represent the GAM for daily MDA8 for  
73 Pasadena and Crestline, respectively. Terms beginning with “ns” indicate natural cubic spline

74 terms with the number of knots indicated within the parentheses; terms beginning with “bc”  
 75 indicate circle spline with the number of knots and the period also indicated; “fv” indicates a factor  
 76 variable.

$$\begin{aligned}
 77 \quad MDA8_{Pasadena} &= ROG^2 + NOx^2 + (NOx \times ROG) + ns(NOx, 3) + ns(ROG, 3) \\
 78 &+ ns(T_{max-Bar}, 3) + ns(T_{max-LAX}, 3) + ns(\overline{WS}_{Bar}, 3) + ns(\overline{WS}_{LAX}, 3) \\
 79 &+ ns(SR_{max}, 3) + bc(WD_{500-Mir}, 4, 360) + bc(WD_{850-Mir}, 4, 360) \\
 80 &+ WS_{850-Mir} + ns(DewT_{850-Mir}, 3) + ns(RH_{850-Mir}, 3) + ENSO + ns(DOY) \\
 81 &+ fv(DOW) \quad (1) \\
 82
 \end{aligned}$$

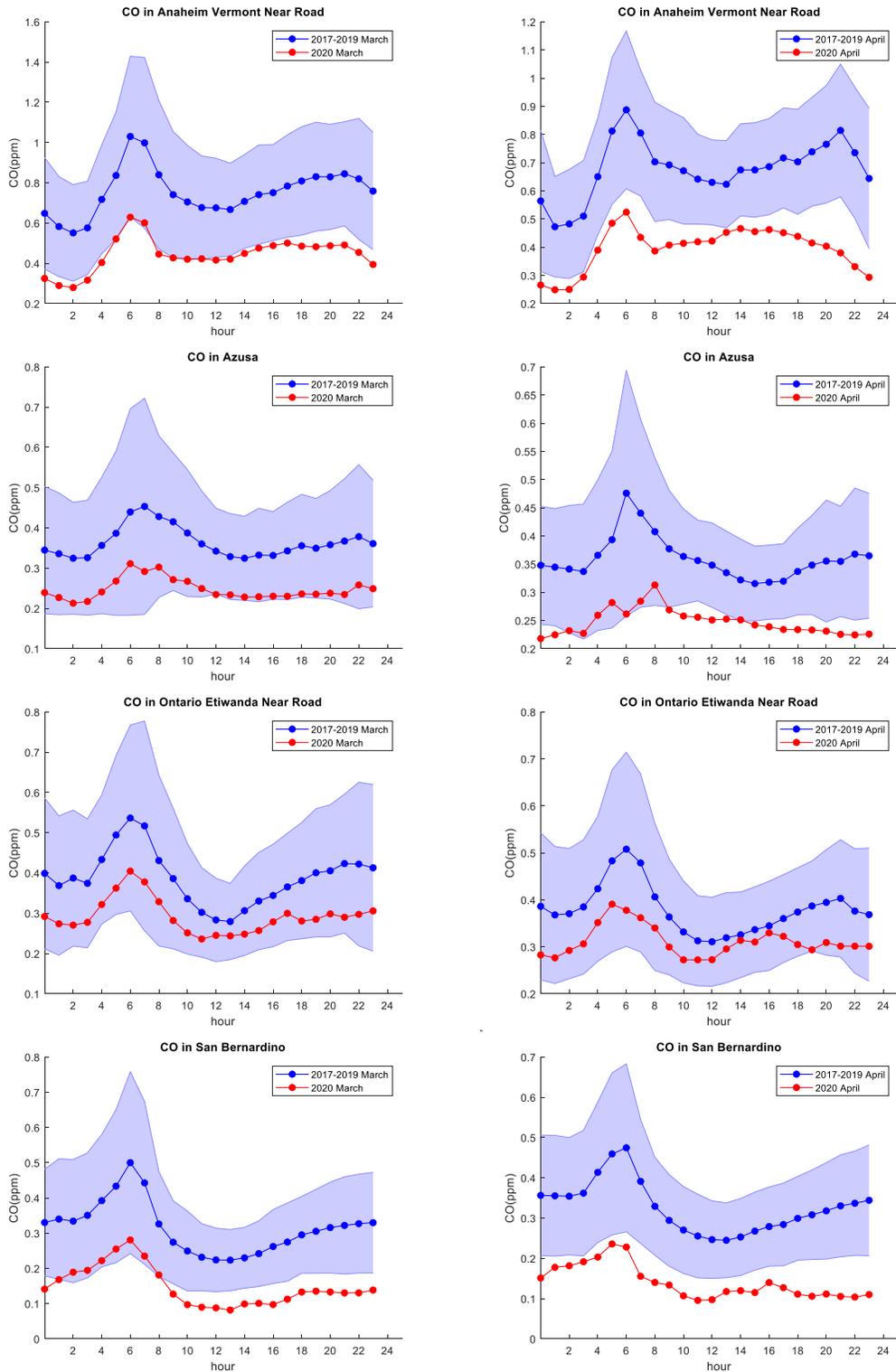
$$\begin{aligned}
 83 \quad MDA8_{Crestline} &= ns(NOx, 3) + ns(ROG, 3) + ns(T_{max-Bar}, 3) + ns(T_{max-LAX}, 3) \\
 84 &+ ns(\overline{WS}_{Bar}, 3) + ns(\overline{WS}_{LAX}, 3) + ns(SR_{max}, 3) + bc(WD_{500-Mir}, 4, 360) \\
 85 &+ ns(WS_{850-Mir}, 3) + ns(DewT_{850-Mir}, 3) + ns(RH_{850-Mir}, 3) \\
 86 &+ ns(T_{500-Mir}, 3) + ENSO + ns(DOY) + fv(DOW) \quad (2)
 \end{aligned}$$

87 Observed (O) and predicted MDA8 for March and April 2020 were compared to the 2017–2019  
 88 average observed MDA8 to understand deviations from typical MD8A for this time of year. Three  
 89 prediction scenarios of March and April 2020 MDA8 were simulated: CARB-projected 2020  
 90 emissions (P); projected emissions without on-road contributions, reflecting a basin-wide 50%  
 91 reduction of total NOx and 30% reduction of total ROG<sub>s</sub> (R); and reduced emissions scenario with  
 92 the 2017-2019 average temperature as counterfactual temperatures (T). Scenario P estimates the  
 93 business as usual (BAU) case, scenario R simulates the impact of completely removing on-road  
 94 emissions, and scenario T estimates the impact of both temperature deviations and reduced  
 95 emissions.

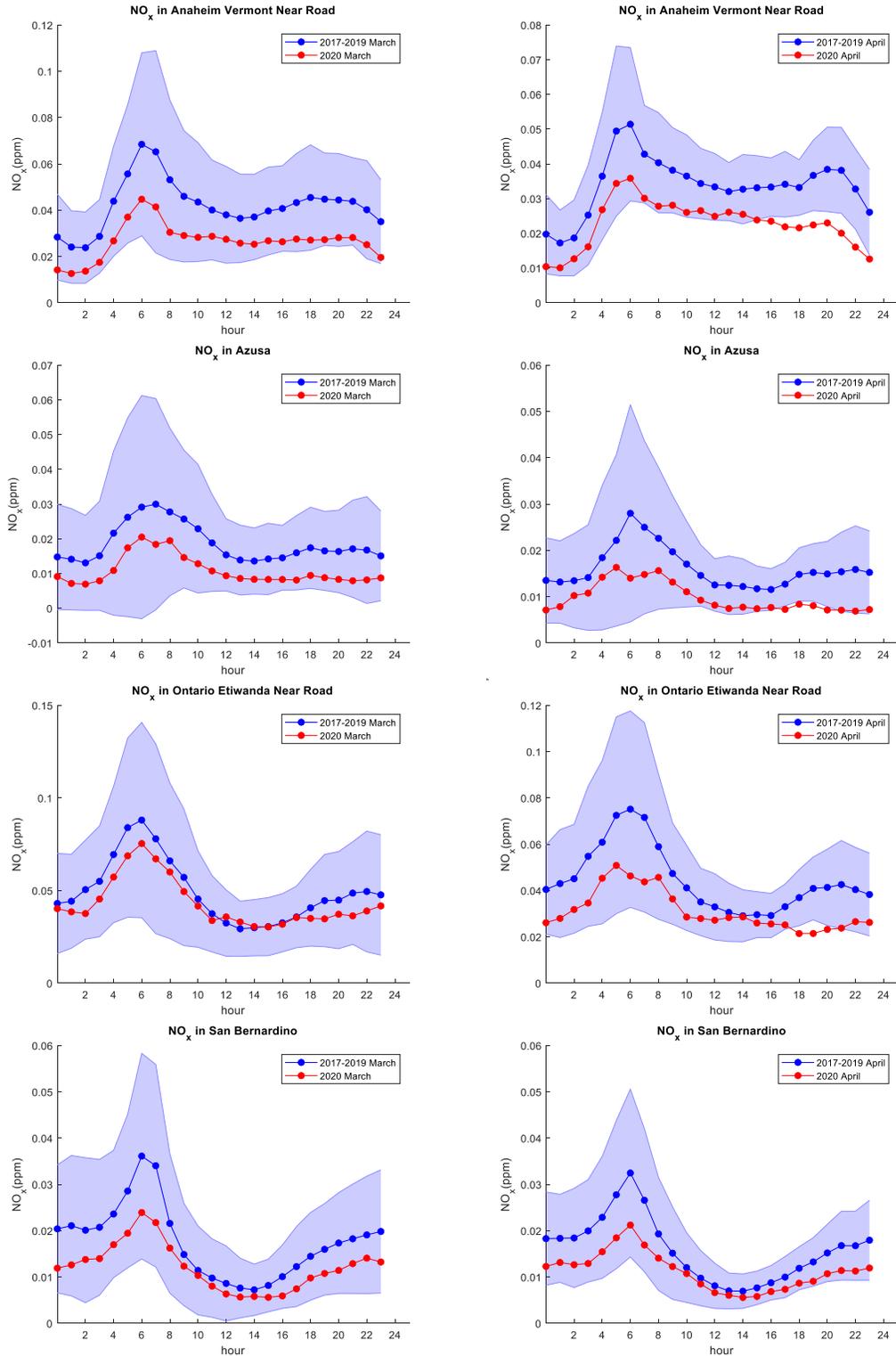
## 96 **Results and Discussion**

### 97 **Observed Trends**

98 Reductions in traffic volumes during the March and April 2020 shutdown period led to observed  
 99 reductions in near-road traffic-related air pollutants, most notably for carbon monoxide (CO).  
 100 Diurnal profiles for the Anaheim near road site suggest that the monthly averaged (CO)  
 101 concentrations were below the typical range of variability compared to the 2017-2019 average,  
 102 and differences were comparable to those found between companion near-road and non-near-road  
 103 locations (**Figure 2**).<sup>8</sup> CO concentrations were lower than the 2017-2019 average but within the  
 104 range of variability at the Ontario near road location. As a result, it is conjectured that there was a  
 105 greater reduction of commuters on the I-5 freeway (Anaheim) compared to CA-60 (Ontario),  
 106 which services a region of the Basin with more essential workers. San Bernardino CO was also  
 107 below the 2017-2019 range of variability. Evening CO at Azusa was outside the 2017-2019 range  
 108 of variability in April, however March concentrations were lower and within the range of  
 109 variability. Reductions in NOx concentrations were lower than the 2017-2019 average but within  
 110 the range of variability for March and April at all locations, with the exception of Anaheim near  
 111 road evening concentrations in April, 6:00-8:00 PM at Ontario, and 5:00-8:00 PM at Azusa  
 112 (**Figure 3**).



113 **Figure 2.** Monthly averaged diurnal profiles of 2017-2019 (blue) and 2020 (red) CO concentrations (ppm)  
 114 at Anaheim (near road), Azusa, Ontario, and San Bernardino for March (left) and April (right). The shaded  
 115 area is the standard deviation of the 2017-2019 measurements.



116 **Figure 3.** Monthly averaged diurnal profiles of 2017-2019 (blue) and 2020 (red) NO<sub>x</sub> concentrations (ppm)  
 117 at Anaheim (near road), Azusa, Ontario, and San Bernardino for March (left) and April (right). The shaded  
 118 area is the standard deviation of the 2017-2019 measurements.

119

## 120 *Modeled Predictions*

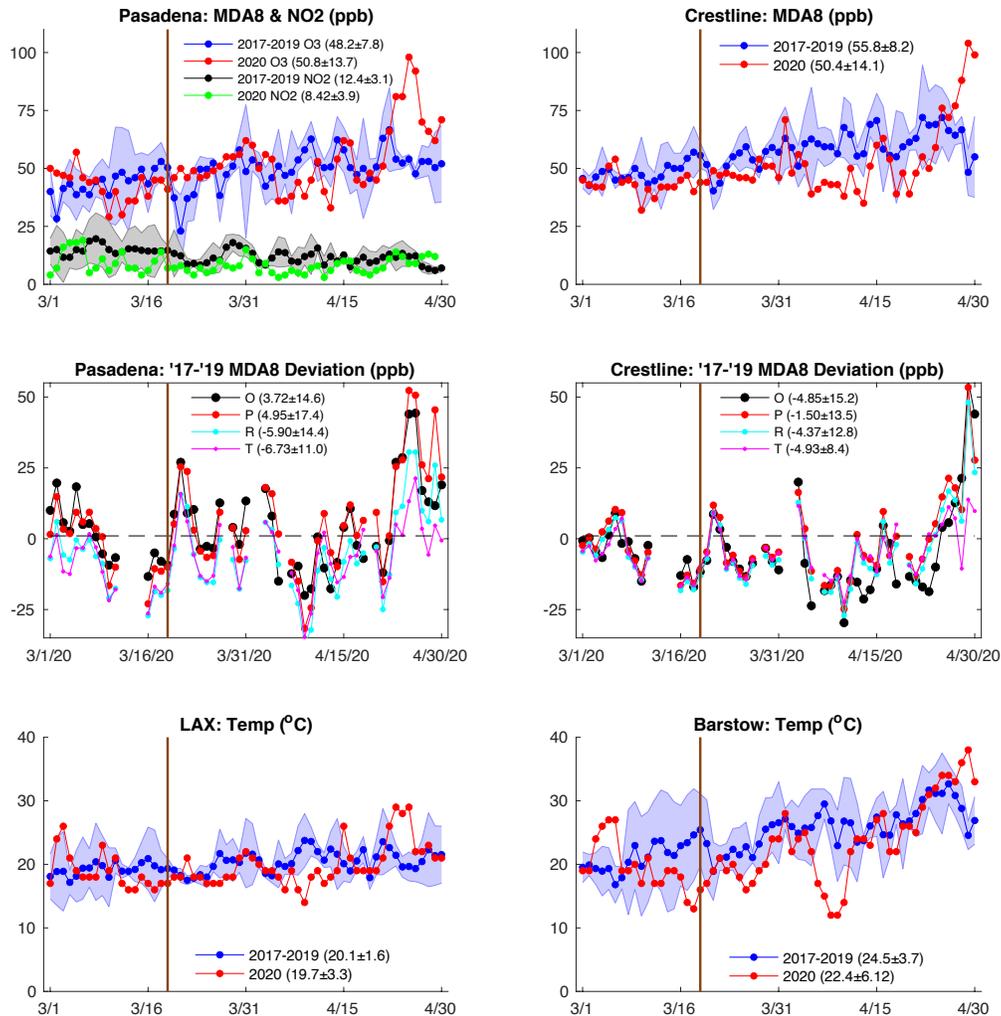
121 GAM MDA8 performance for the 1990-2019 period was optimal with a correlation of  $r = 0.65$  for  
122 both Pasadena and Crestline and mean biases of  $-0.11$  and  $-0.09$  ppb, respectively (see  
123 Supplementary Material for other model performance metrics). Temporal trends in GAM  
124 predictions of March and April 2020 MDA8 at Pasadena ( $r = 0.88$ ) and Crestline ( $r = 0.88$ ) are  
125 generally well-captured using BAU emissions (**Figure 4**). For Pasadena, average MDA8  
126 deviations from the 2017-2019 average were  $3.72 \pm 14.6$  (O),  $4.95 \pm 17.4$  (P),  $-5.90 \pm 14.4$  (R),  
127 and  $-6.73 \pm 11.0$  ppb (T). Observed and BAU deviations indicate that ozone is higher than expected  
128 for this time of year. MDA8 for both the R and T scenarios were lower than 2017-2019 MDA8,  
129 indicating that the absence of on-road contributions is predicted to reduce ozone levels.  
130 Interestingly, observed and predicted BAU MDA8 was higher than normal in late April due to the  
131 compounding effects of high temperatures and emissions reductions (R & T). On average, it is  
132 estimated that emissions reductions explain 92% of MDA8 deviations while temperature  
133 deviations explain 8% of MDA8 deviations ( $0.4$  °C average temperature increase in 2020 vs. 2017-  
134 2019). Further,  $\text{NO}_2$  was lower than the 2017-2019 average during much of the shutdown.

135 For Crestline, average MDA8 deviations from the 2017-2019 average were  $-4.85 \pm 15.2$  (O),  $-1.50$   
136  $\pm 13.5$  (P),  $-4.37 \pm 12.8$  (R), and  $-4.93 \pm 8.4$  ppb (T), indicating that MDA8 is lower than usual for  
137 this time of year. The R and T scenarios trend well with observations. Similar to Pasadena, late  
138 April observations and predictions were higher due to meteorology conducive to ozone formation.  
139 On average, it is estimated that emissions reductions explain 84% of MDA8 deviations while  
140 temperature deviations explain 16% of MDA8 deviations ( $2.1$  °C average temperature reduction  
141 in 2020 vs. 2017-2019).

## 142 *Implications and Uncertainties*

143 These findings have several implications. Pasadena experienced higher than expected ozone, even  
144 after correcting for meteorology, and reduced  $\text{NO}_2$  during the shutdown. The emissions reduction  
145 simulation provides (R) an insightful analysis of the impact of reducing on-road contributions.  
146 Actual on-road emissions reductions are uncertain and not completely eliminated by shutdown  
147 activities, and therefore would not lead to the ozone impacts simulated by the emissions reduction  
148 scenario (R). Crestline is typically influenced by upwind urban emissions but experienced lower  
149 than expected ozone. Results elucidate a shutdown-induced westward spatial shift in peak MDA8,  
150 which is closer to the Los Angeles city center compared to normal peak location in the eastern  
151 Basin. Higher than normal temperatures at the end of April led to higher than usual ozone levels  
152 in both locations. Continued temperature anomalies are likely to exacerbate ozone during the 2020  
153 ozone season.<sup>9</sup>

154 While significant emissions and ozone design value reductions have been achieved over the past  
155 several decades in SoCAB, changes in human activities and how the changes interact with  
156 meteorology can interfere with these achievements. Emissions of  $\text{NO}_x$ , an important ozone  
157 precursor, decreased but those decreases were within the range of variability observed over the  
158 previous three years. Therefore, future ozone mitigation may require even larger emissions  
159 reductions than those observed in March and April 2020 to overcome meteorologically driven  
160 ozone exacerbation and bring SoCAB into attainment of the 8-hour NAAQS.



161 **Figure 4.** *Top:* Observed MDA8 at Pasadena (with NO<sub>2</sub>) and Crestline. *Middle:* Mean and standard  
 162 deviations of observed (O) and modeled (P, R, and T described in text) MDA8 deviations from the 2017-  
 163 2019 average. *Bottom:* Daily maximum temperature at Los Angeles International and Barstow-Daggett  
 164 Airports. (Vertical line at March 19<sup>th</sup>)

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 171 not approved or disapproved this viewpoint, nor has SCAQMD passed upon the accuracy or  
 172 adequacy of the information contained herein.

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201

202 **Supplementary Material**

203 Table S1: GAM Model Performance for the 1990-2019 MDA8 simulation

	<b>OBS (ppbV)</b>	<b>SIM (ppbV)</b>	<b>R<sup>2</sup></b>	<b>Mean Bias (ppbV)</b>	<b>RMSE</b>	<b>NMSE</b>	<b># of Days</b>	<b>Frac. Bias</b>	<b>Factor of 2</b>
<b>Pasadena</b>	44.86	44.74	0.65	-0.11	9.47	0.35	1582	0.0025	0.99
<b>Crestline</b>	56.45	56.36	0.65	-0.09	9.43	0.35	1616	0.0016	1.0

204