

# Reverse Polarity Reductive Functionalization of Tertiary Amides *via* a Dual Iridium Catalyzed Hydrosilylation & SET Strategy

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**ABSTRACT:** A new strategy for the mild generation of synthetically valuable  $\alpha$ -amino radicals from robust tertiary amide building blocks has been developed. By combining Vaska's complex-catalyzed tertiary amide reductive activation and photochemical single electron reduction into a streamlined tandem process, metastable hemiaminal intermediates were successfully transformed into nucleophilic  $\alpha$ -amino free radical species. This umpolung approach to such reactive intermediates was exemplified through coupling with an electrophilic dehydroalanine acceptor, resulting in the synthesis of an array of  $\alpha$ -functionalized tertiary amine derivatives, previously inaccessible from the amide starting materials. The utility of the strategy was expanded to include secondary amide substrates, intramolecular variants and late stage functionalization of an active pharmaceutical ingredient. DFT analyses were used to establish the reaction mechanism and elements of the chemical system that were responsible for the reaction's efficiency.

## INTRODUCTION

$\alpha$ -Functionalized amines and their derivatives are an integral part of a vast array of natural products, pharmaceutical agents and agrochemicals.<sup>1</sup> Traditionally, these valuable structures are accessed through C–N bond forming strategies and numerous protocols have been developed for this purpose.<sup>2</sup> Alternatively, C–C bond formation provides a complementary avenue towards these biologically-relevant architectures.<sup>3</sup>

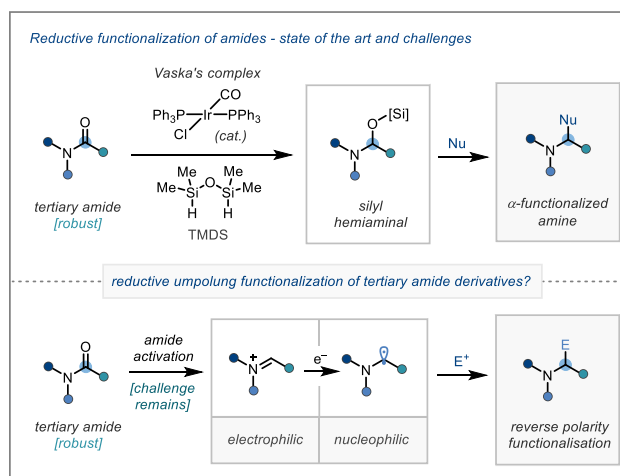
Within the scope of the latter approach, the reductive functionalization of ubiquitous amides is a powerful access point towards decorated  $\alpha$ -branched amines. It is equally applicable for the elaboration of simple building blocks into valuable products as well as expediting natural product synthesis.<sup>4–11</sup> To this end, Vaska's complex (IrCl(CO)(PPh<sub>3</sub>)<sub>2</sub>), in conjunction with TMDS (1,1,3,3-tetramethyldisiloxane) as terminal reductant, has come to the fore as an effective system for generating iminium ions in situ, via the hydrosilylation of tertiary amide and lactam reactants (Scheme 1).<sup>12</sup> The mild reaction conditions, exquisite chemoselectivity, and broad functional group tolerance of this approach have contributed to its growing application as a synthetic strategy in the elaboration of simple building blocks and in late stage functionalization of complex molecular architectures including alkaloid natural products.<sup>13</sup>

Nevertheless, and without exception, all Vaska's complex catalysed amide activation methods that enable functionalization at the  $\alpha$ -position<sup>14</sup> exploit the electrophilicity of iminium ion intermediates, generated in situ by ionization of the silyl hemiaminal. To date, despite its untapped syn-

thetic potential, reductive functionalization of tertiary amides by reversing their natural polarity (umpolung) remains unreported.

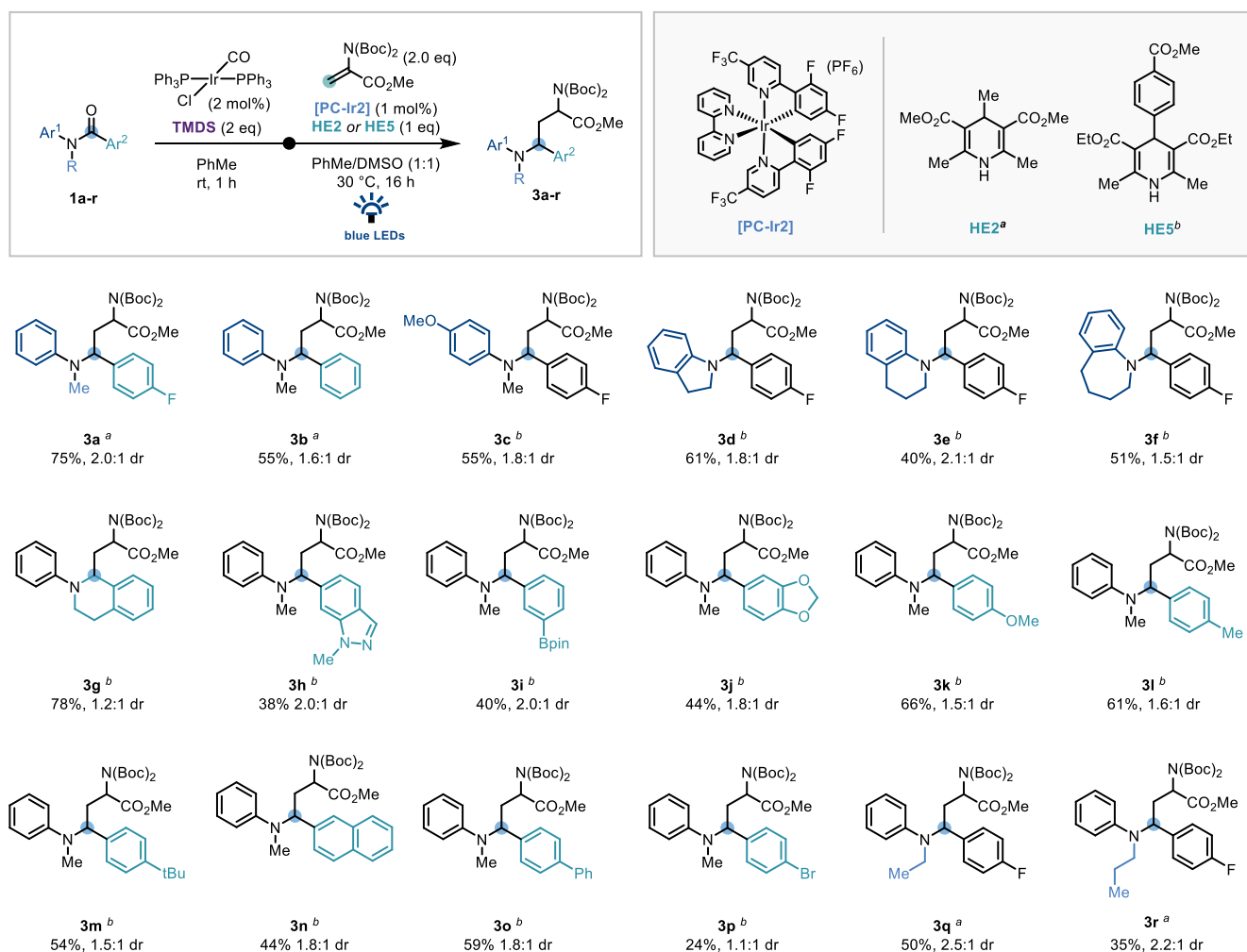
To this end, we reasoned that if single electron transfer (SET) to the metastable silylated hemiaminal or iminium ion could be realized, entry to the nucleophilic  $\alpha$ -amino radical and therefore umpolung reactivity would be enabled (Scheme 2). Of the techniques available for such a single electron reduction, photoredox catalysis has emerged as the most versatile, and in related works,<sup>15</sup> the resulting free radical species have been shown to engage in a range of transformations, including reaction with electrophilic species such as Michael acceptors.<sup>16</sup>

## Scheme 1. $\alpha$ -Functionalization strategies for amine synthesis from tertiary amides





**Scheme 4. Substrate scope of the reductive photocatalytic functionalization of tertiary amides.** [a] HE2 used as the terminal reductant. [b] HE5 used as the terminal reductant



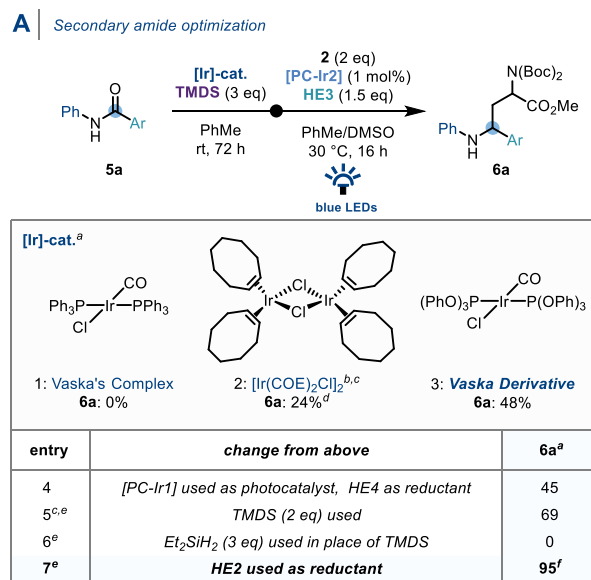
A survey of compatible photocatalysts gave a promising lead result of 17% yield of **3a** as a mixture of diastereomers when a system of  $[\text{Ir}(\text{dF}(\text{CF}_3)(\text{ppy})_2(\text{dtbbpy}))\text{PF}_6]$  and commercially-available Hantzsch ester (HE1) terminal reductant under blue LED irradiation was employed. The desired product was however accompanied by significant quantities of undesired secondary alcohol coupled product (**3ab**) and over-reduction by-products (**3ac**) (Scheme 3B, Table 2, entry 1). Minimization of these background processes was achieved through fine-tuning of the reaction conditions by employing structurally modified photocatalysts and 4-substituted Hantzsch esters. After extensive screening, it was found that the combination of  $[\text{Ir}(\text{dF}(\text{CF}_3)(\text{ppy})_2(\text{bpy}))\text{PF}_6]$  [PC-Ir] with methyl-substituted HE2 (Scheme 3B, Table 2 entry 5) gave the 1,3-diamine product in a 75% isolated yield. The addition of other Hantzsch-based reductants (HE3 and HE5, Scheme 3B, Table 2, entries 6 and 8) produced similarly high-yielding results and allowed for greater flexibility in the choice of reductant in further substrate scope development. Importantly, deletion experiments demonstrated that the reaction did not proceed in the absence of photocatalyst, or light, or from the unactivated amide (entries 9–11).

**Scope Development.** With optimal reaction conditions in hand, the scope of the new method with respect to the aniline portion of the tertiary amides was investigated (Scheme 4). Anisidine-derived amides (**3c**) were shown to be applicable to this methodology, as well as heterocyclic indoline (**3d**), tetrahydroquinoline (**3e**), and benzazepane (**3f**) structures, affording the decorated tertiary amine products in good yields. Notably in these cases, HE5 outperformed the previous optimal reductant (HE2). Through diversification of the acyl unit on the amide, it was identified that tetrahydroisoquinoline derivative was an excellent substrate in this methodology delivering C–C coupled product **3g** in 78% yield. Furthermore, challenging architectures such as indazole (**3h**), and Ar-BPin (**3i**) motifs were well-tolerated. In addition, a variety of substituted arenes were explored under the reaction conditions (**3j–3p**). These studies revealed that electron donating substituents including alkoxy, alkyl, and aryl substituents were most effective in this transformation, forming the tertiary amine products in good yields, whereas electron-withdrawing substrates (**3p**) showed a reduction in efficacy. The length of the alkyl chain on nitrogen was then studied, and we were pleased to observe that ethyl and propyl sub-

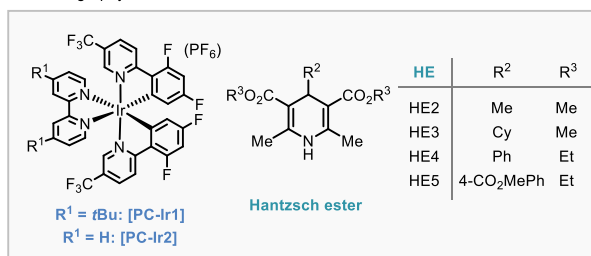
stituted structures delivered tertiary amine products, although a steric increase vs. reaction efficiency trend was observed.<sup>19</sup>

**Intramolecular Cyclization.** We recognized the opportunity that this tandem amide activation protocol – through tethering an alkene acceptor to the alkyl chain of the amide starting material – could be suitably leveraged towards the synthesis of complex heterocyclic amine frameworks. Accordingly, model substrates bearing a pendant  $\alpha,\beta$ -unsaturated ester (**1s-t**) were prepared, but initial studies demonstrated that these motifs did not readily undergo hydrosilylation using Vaska's catalyst (only around 80% conversion was achieved after 8 hours of reaction time), and furthermore significant quantities of over reduction side-product were isolated following the photocatalytic step.<sup>20</sup> Nevertheless, these initial challenges were circumvented when a derivative of Vaska's complex bearing triphenylphosphite ligands – previously reported by Nagashima<sup>21</sup> – was employed in the hydrosilylation step instead (Scheme 5). Pleasingly, clean conversion to a stable silyl hemiaminal species was achieved within one hour, and subsequent subjection to the optimized photoredox conditions delivered the desired cyclic pyrrolidine (**4s**) and piperidine (**4t**) products in good yields.

**Scheme 6. Reverse polarity, photocatalytic reductive functionalization of secondary amides. (A) Optimization of the reaction conditions. (B) Scope of the secondary amide activation protocol.**

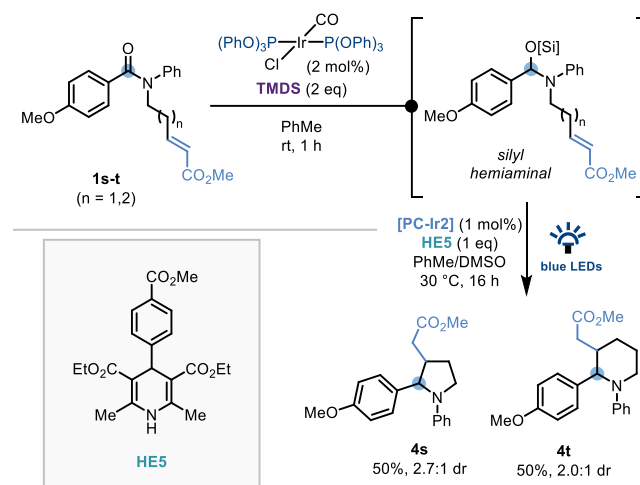


**Table 3:** Ar = 4-fluorophenyl. <sup>a</sup> Yield of **6a** measured using <sup>19</sup>F{<sup>1</sup>H} NMR analysis of the crude reaction mixture. <sup>b</sup> CH<sub>2</sub>Cl<sub>2</sub> used as solvent and Et<sub>2</sub>SiH<sub>2</sub> (3 eq) used as reductant in 1st step. <sup>c</sup> HE5 used as reductant. <sup>d</sup> Mass balance is unreacted **5a**. <sup>e</sup> [PC-Ir-*t*Bu] used as photocatalyst. <sup>f</sup> Isolated yield after silica gel column chromatography.

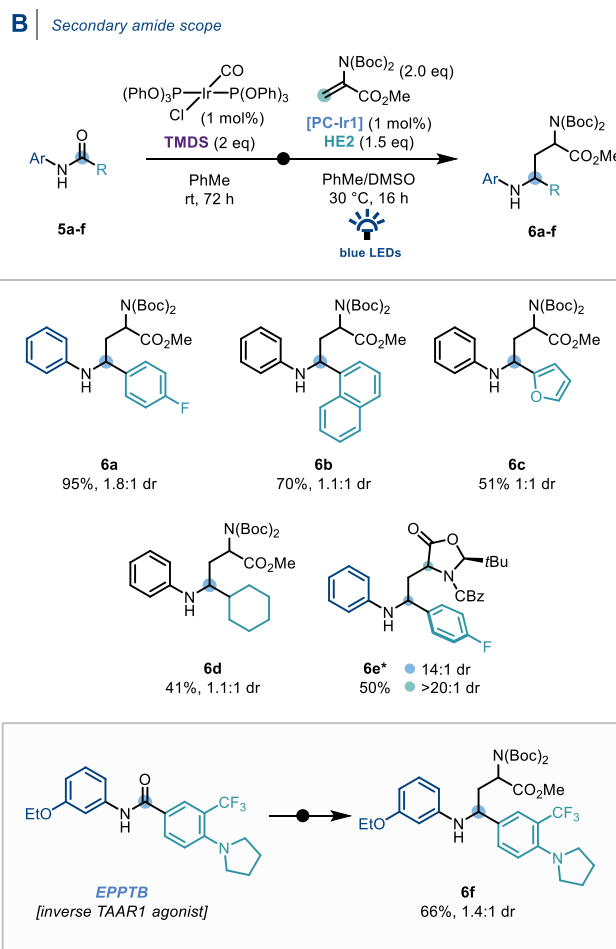


\* benzyl (S)-2-(tert-butyl)-4-methylene-5-oxo-oxazolidine-3-carboxylate used as coupling partner

**Scheme 5. Extension of the Vaska-photoredox system for reductive cyclization of tertiary amides**



**Secondary Amide Activation.** Recent endeavors by Chida and Sato, and Huang have demonstrated the utility of [Ir(COE)<sub>2</sub>Cl]<sub>2</sub> complex in conjunction with Et<sub>2</sub>SiH<sub>2</sub> reductant for the nucleophilic reductive functionalization of secondary amide building blocks, affording the corresponding  $\alpha$ -branched secondary amine products.<sup>4e,f</sup>



Accordingly, we envisioned that – drawing on their seminal work – our tandem reductive protocol could be applied to the reverse polarity functionalization of secondary amides (Scheme 6). Initial studies demonstrated that the Vaska/TMDS system was – as expected – ineffective in the reductive activation of secondary amides (Table 3, entry 1). Pleasingly, the  $[\text{Ir}(\text{COE})_2\text{Cl}]_2 / \text{Et}_2\text{SiH}_2$  system – when coupled with our photocatalytic step – formed the desired  $\alpha$ -branched amine product (**6a**), albeit in low yields (entry 2). Unfortunately this protocol suffered from reproducibility issues attributed to the poor aerobic stability of the  $[\text{Ir}(\text{COE})_2\text{Cl}]_2$  complex.<sup>22</sup> Nevertheless, this challenge was circumvented when the phosphite derivative of Vaska's complex enabled more efficient hydrosilylation and subsequent formation of the C–C coupled product in increased yields (entry 3).

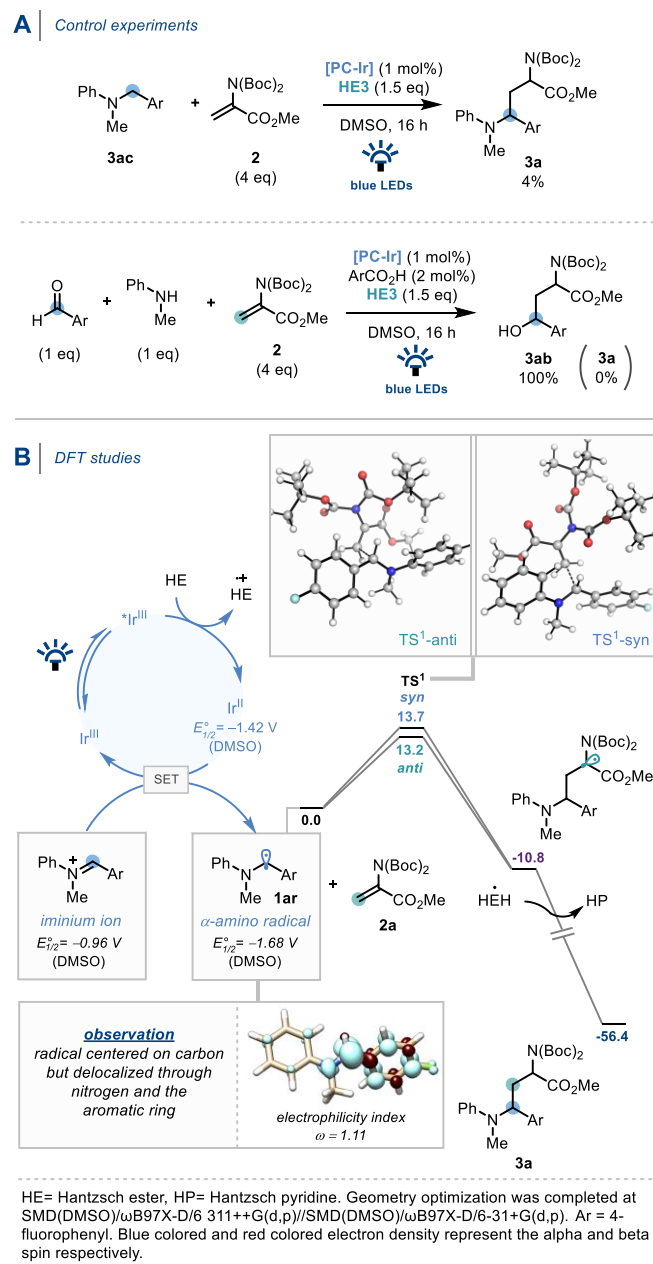
A subtle modification to the photoredox step, through exchange of photocatalyst and Hantzsch ester, gave the secondary amine structure (**6a**) in an excellent yield of 95%. This tandem secondary amide activation protocol was then applied to a varied subset of secondary amide substrates. Naphthalene (**6b**), furan (**6c**), and notably cyclohexyl (**6d**) substituted amides afforded C–C coupled products with good efficiency. Furthermore, greater diastereocontrol was imposed with the use of a modified DHA coupling partner (**6e**), with a substantial improvement in diastereoselectivity to 14:1 at the  $\alpha$ -secondary amine position (blue). Most importantly, this reductive amide activation protocol was showcased as a late stage functionalization strategy in the transformation of the drug molecule EPPTB (**6f**) into its  $\alpha$ -functionalized tertiary amine analogue in an excellent yield of 66%. This result illustrates the advantages of using tertiary or secondary amides as masked “iminium ion or imine” moieties that could be feasibly accessed under mild conditions in the presence of other sensitive functional groups.

## MECHANISTIC INVESTIGATIONS

**Control experiments.** Control studies were carried out in order to probe the mechanistic pathway for the formation of the  $\alpha$ -functionalized tertiary amine. Firstly, reactivity arising from the over-reduced amine **3ac** was investigated (Scheme 7A). Under the tandem reductive functionalization conditions negligible quantities of the C–C coupled product were formed, and therefore the over-reduced amine was discounted as a source of the  $\alpha$ -amino radical under these reaction conditions. Secondly, a mechanism involving direct condensation of the secondary amine and aldehyde precursors was probed. However, under the reaction conditions, only the alcohol adduct (**3ab**) was observed, demonstrating that in this system, such direct reactivity from the aldehyde moiety – and the resulting  $\alpha$ -oxy radical – outcompetes any productive formation of an amine product (**3a**). It also highlights the importance of an amide-derived iminium ion in order to achieve the desired reactivity.

**DFT reaction pathway modelling.** To further investigate the mechanistic pathway involved in the tandem hydrosilylation/photocatalytic approach, we turned to computational analysis. The reaction free energy profile for the addition of  $\alpha$ -amino radical (**1ar**) into the DHA acceptor (**2**) was modelled at the SMD(DMSO)- $\omega$ B97X-D/6-311++G(d,p)//SMD(DMSO)- $\omega$ B97X-D/6-31+G(d,p) level of theory (Scheme 7B). A slight out-of-plane twist in the geometry of the free radical species, resulted in a small energetic discrimination between the two potential facial orientations for the Giese-type addition.

**Scheme 7.** (A) Control experiments with amine and/or aldehyde starting points (B) Gibbs free energy profile for the addition of  $\alpha$ -amino radical species into **2a**.



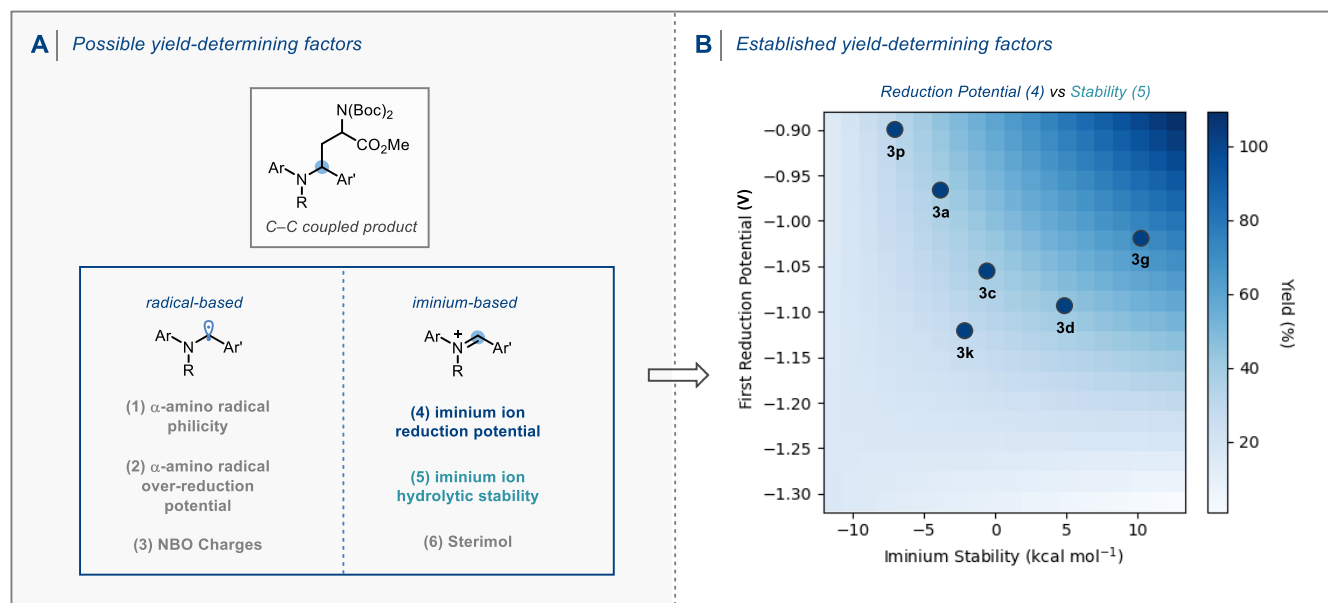
As a result, the labelled *anti* arrangement of the transition state is favored by 0.5 kcal mol<sup>-1</sup> (13.2 vs 13.7 kcal mol<sup>-1</sup> for the *syn* TS). However, it is acknowledged that ultimate diastereoselective control is established in the final HAT/protonation termination step. A similar behavior was observed for other representative substrates (see *Supporting Information* for further details). Moreover, the calculated reduction potential for the iminium species ( $E^{\text{red}}_{1/2} = -0.96$  V in DMSO) revealed that the substrate is compatible with the photocatalytic system and is unlikely to undergo two electron transfer events given the lower reduction potential of the corresponding  $\alpha$ -amino radical ( $E^{\text{red}}_{1/2} = -1.68$  V in DMSO). Analysis of spin density for the free radical intermediates confirms the primary concentration of the electron at the  $\alpha$ -carbon position, with additional stabilization achieved through delocalization into the adjacent nitrogen center and aromatic ring (Scheme 7B). The combined evidence from activation energies, reduction potentials and spin densities conclude that the Giese type addition of the  $\alpha$ -amino radical species is thermodynamically favorable.

Additionally, similar results were produced for other scope examples, suggesting that substituents on the aromatic rings did not have a significant impact on the radical's reactivity (see *Supporting Information*). To evaluate the degree to which these  $\alpha$ -amino radicals behave as nucleophiles the global electrophilicity scale ( $\omega$ ), introduced by Parr, were employed.<sup>23</sup> Values of  $\omega$  can vary from 0 to 4.00, with small values indicating a more nucleophilic species.<sup>24</sup> For the canonical radical species **1ar**,  $\omega = 1.11$  was obtained, which characterises it as a moderate nucleophile. A slightly smaller value was observed for radical precursors with electron donating substituents (e.g for **3k**  $\omega = 0.97$ ), whilst extended aromatic systems were found to be slightly

more "electrophilic" (**3o** ( $\omega = 1.28$ ) and **3p** ( $\omega = 1.25$ ), albeit still within the acceptable range for nucleophiles. No clear trend was observed between these values and the activation energy calculated for these compounds. This further supports the idea that the variability in the electronic properties of the substrate's aromatic rings should not affect the favorability of final reductive coupling.

**Yield-determining factors.** Throughout this work, the efficiency of the tertiary amide components in the coupling methodology was found to be reasonably substrate-specific, with few obvious characteristics of a "good substrate". The interplay and balance between various factors – including electronic and redox properties – that favor the formation and reactivity of the two key reactive intermediates (iminium ion and  $\alpha$ -amino radical), as well as their overall effect on the yield were subjected to deeper exploration using Density Functional Theory (DFT) methods. We initially turned to multilinear regression analysis, employing several electronic and steric parameters, such as  $\omega$  indexes, reduction potentials, NBO charges, Sterimol<sup>25</sup> values and hydrolytic stability. Despite initial models not resulting in a comprehensive predictive model, (Scheme 8, see *Supporting Information* for further details), an interesting correlation was observed when comparing the iminium ion stability and its corresponding reduction potential; represented as a two-dimensional heat map in Scheme 8B. These results showed that an increased stability of the iminium ion and a lowered reduction potential enhance reaction yield. However, optimizing both parameters simultaneously is experimentally challenging to attain, given their inverse relationship. For example, while electron-withdrawing groups lower the reduction potentials, they also lead to destabilization of the iminium ion.

**Scheme 8 (A).** Identification of possible yield-determining parameters. **(B)** Heatmap correlating the reduction potential of iminium ions and their hydrolytic stability with the yield of the transformation



This can be illustrated with **3p**; ( $E_{1/2}^{red} = -0.88$  V;  $\Delta G_{hydrolysis} = -4.0$  kcal mol<sup>-1</sup>), which despite having an accessible reduction potential, was isolated with only a 24% yield. On the other hand, electron-donating groups provide additional stability to the cationic intermediate; however, they are challenging to reduce. In agreement with this observation, no improvement in the reaction output was observed for electron rich substrates (**3d/3k**) ( $E_{1/2}^{red} = -1.07/ -1.13$  V;  $\Delta G_{hydrolysis} = +5.4/ -2.2$  kcal mol<sup>-1</sup>).

The model compound **3a** seems to provide the best compromise between these two properties ( $E_{1/2}^{red} = -0.96$  V;  $\Delta G_{hydrolysis} = -3.8$  kcal mol<sup>-1</sup>). Interestingly, it was found that substrates that achieve iminium stability through geometrical constraints, without the presence of additional electron density, such as (**3g**), ( $\Delta G_{hydrolysis} = +13.3$  kcal mol<sup>-1</sup>;  $E_{1/2}^{red} = -1.00$  V), have the highest experimental and predicted yields. This predictive model indicates that the stability and accessible redox properties of the iminium ion were crucial for the successful tandem operation of the two iridium catalytic cycles.

## CONCLUSION

In conclusion, a synthetic strategy that provides access to  $\alpha$ -amino radicals from robust tertiary amide building blocks has been successfully developed. Selective tertiary amide reductive hydrosilylation using Vaska's complex, revealed a reactive iminium ion intermediate which upon photocatalytic single electron transfer afforded the desired  $\alpha$ -amino radical in a one-pot procedure. The synthetic utility of this open-shell intermediate was exemplified in the coupling with DHA derivatives, resulting in the synthesis of 18 examples of  $\alpha$ -functionalized tertiary amine architectures, inaccessible using conventional reductive methodologies from tertiary amides. Furthermore, the utility of our approach was showcased by adapting the method to apply to intramolecular examples and secondary amides, which we highlighted in the late stage functionalization of an API. DFT studies established that the energetic balance between hydrolytic stability of the iminium ion and an accessible reduction potential were key for the successful transition of the reactive intermediate between the hydrosilylation and photocatalytic reductive processes.

## AUTHOR INFORMATION

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