# ProtyQuant: Comparing label-free shotgun proteomics datasets using accumulated peptide probabilities

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#### Abstract

Comparing multiple label-free shotgun proteomics datasets requires various data process-3 ing and formatting steps, including peptide-spectrum matching, protein inference, and quan-4 tification. Finally, the compilation of results files into a format that allows for downstream 5 analyses. ProtyQuant performs protein inference and quantification calculations, and combines the results of individual datasets into plain text tables. These are lightweight, human-7 readable, and easy to import into databases or statistical software. ProtyQuant reads validated 8 pepXML from proteomic workflows such as the Trans-Proteomic Pipeline (TPP), which makes 9 it compatible with many commercial and free search engines. For protein inference and quan-10 tification, a modified version of the PIPQ program (He et al. 2016) was integrated. In con-11 trast to simple spectral-counting, PIPQ sums up peptide probabilities. For assigning peptides 12 to proteins, three algorithms are available: Multiple Counting, Equal Division, and Linear 13 Programming. The accumulated peptide probabilities (app) are used for both tasks, protein 14

probability estimation, and quantification. ProtyQuant was tested using a reference dataset for 15 label-free shotgun proteomics, obtained from different concentrations of 48 human UPS pro-16 teins spiked into yeast lysate. Compared to ProteinProphet, ProtyQuant detected up to 126 17 (15%) more proteins in the mixture, applying an equal false positive rate (FPR). Using the app 18 values for label-free quantification showed suitable sensitivity and linearity. Strikingly, the 19 app values represent a realistic measure of 'Protein Presence,' an integral concept of protein 20 probability and quantity. ProtyQuant provides a graphical user interface (GUI) and scripts for 21 console-based processing. It is available (GNU GLP v3) for Windows, Linux, and Docker from 22 https://bitbucket.org/lababi/protyquant/. 23

# 24 Keywords

<sup>25</sup> shotgun proteomics, protein inference, label-free quantification

# <sup>26</sup> Introduction



Figure 1: General shotgun proteomics flowchart with integration of ProtyQuant. First, MS/MS spectra are matched against a database of protein sequences. Following, the peptide-spectrum matches (PSM) are validated. ProtyQuant processes the PSM to calculate the accumulated peptide probabilities (app) of each protein and its probability (Pr). The results are exported into tabulator separated value (TSV) files, facilitating direct inspection or further analysis in downstream software.

Shotgun proteomics is a standard research method in biology and medicine. Several informatics platforms, such as crux <sup>1,2</sup>, OpenMS/KNIME<sup>3</sup> and the Trans-Proteomic Pipeline (TPP)<sup>4–6</sup>, perform label-free quantification and export the results in text files. However, the data processing for comparative proteomics is still fiddly for non-experts. Thus, the focus of this study was to simplify the data processing for end-users, who are interested in comparing label-free shotgun proteomics datasets, either manually or with external software.

Transforming raw mass spectrometry data into quantitative protein hits is a multistep procedure,
as shown in Figure 1.

A critical step after searching peptide-spectrum matches (PSM) is the protein inference, i.e., 35 the assembly of valid proteins from the peptide hits. The cleavage of proteins leads to degenerated 36 peptides, leading to ambiguity in finding peptide  $\rightarrow$  protein relationships (see Fig. 2). Numer-37 ous algorithms have been reported to solve the 'protein inference problem'<sup>7,8</sup>; however, there is 38 no consistently best-performing PSM/protein inference combination found yet<sup>9</sup>. For testing and 39 benchmarking of ProtyQuant, Comet was used as PSM search engine, PeptideProphet<sup>10</sup> for pep-40 tide hit validation, and the ProteinProphet<sup>11</sup> for protein inference, since those programs are part 41 of the well established TPP. The correctness of protein hits was evaluated by using a target-decoy 42 approach<sup>12</sup>, and false-positive rate calculations<sup>13</sup>. 43

Quantification of proteins is usually done after protein inference, and several labeling and labelfree strategies have been reported[vaudel\_chapter\_2020]. For label-free quantification, spectralcounting is a simple and computationally efficient method, which has been implemented into different proteomics pipelines<sup>14–17</sup>.

<sup>48</sup> A new concept of integrating the protein inference and quantification was introduced by Huang <sup>49</sup> et al. <sup>18</sup> and He et al. <sup>19</sup>. It is based on the understanding that the true presence of proteins is a <sup>50</sup> special case of protein quantification <sup>18,19</sup>. For quantification, the authors refined the conventional <sup>51</sup> spectral-counting strategy. Instead of counting identified PSM with an equal weight of '1', their <sup>52</sup> score or probability values are summed up:

# Tryptic cleavage (experimental)



Figure 2: Creation of degenerated peptides by tryptic cleavage and protein inference algorithms implemented in ProtyQuant.

$$b_j = \sum_{(x_i, y_j) \in E_1} a_i \tag{1}$$

where  $b_j$  is the summed peptide probability of peptide  $y_j$ ,  $a_i$  the probability of spectrum  $x_i$ matching  $y_i$ , and  $(x_i, y_j) \in E_1$  the PSM.

This refined method improves the quantification and discrimination of proteins. The peptides are assigned to candidate proteins using the inference algorithms presented in **Figure 2**. The Multiple Counting algorithm adds the peptides to all possible proteins (**Equ. 2**), the Equal Division algorithm splits the peptide quantity proportionally (**Equ.3**), and the Linear Programming algorithm<sup>20</sup> optimizes the peptide-protein distribution (**Equ. 4**):

$$app_k = \sum_{(y_j, z_k) \in E_2} b_j \tag{2}$$

$$app_k = \sum_{(y_j, z_k) \in E_2} \frac{b_j}{q_j}$$
(3)

$$app_{k} = \sum_{\{j \mid (y_{j}, z_{k}) \in E_{2}\}} d_{jk}$$
(4)

<sup>60</sup> where  $(y_j, z_k) \in E_2$  means that peptide  $y_j$  is a part of the sequence of protein  $z_k$ ,  $q_j$  the number <sup>61</sup> of proteins with the same peptide  $y_j$ , and  $d_{jk}$  is the abundance contribution of each protein  $z_k$  to the <sup>62</sup> peptide  $y_i$ . Details on the development of the formula can be found in the papers of Huang et al. <sup>18</sup> <sup>63</sup> and He et al. <sup>19</sup>. In contrast to the other algorithms, the Linear Programming algorithm is capable <sup>64</sup> of eliminating low-scoring protein candidates.

As a result, for each possible protein k, an accumulated peptide probability  $(app_k)$  is obtained. These quantitative protein scores can be transformed into protein probabilities (Pr) using a method reported by Gao and Tan 2006;<sup>21</sup>. The algorithms for app-counting and protein inferences were implemented in a C++ program, PIPQ, which was published under the terms of GNU GPL v3 (http://code.google.com/p/protein-inference/)<sup>18,19</sup>. The original program was modified <sup>70</sup> for quantifying proteins in ProtyQuant, based on their calculated app values.

The objective of this study was the development of a software tool that simplifies the comparison

<sup>72</sup> of multiple shotgun proteomics datasets. The program should provide the following functions:

- Creation of sample protein reports and a sample comparison table.
- Use of plain text table format (readable for humans and downstream software) for results.
- Annotation of results with information for interpretation (protein names and Uniprot link).
- Compatibility with community file standards such as pepXML and FASTA.

• Implementation of PIPQ for protein inference and quantification.

- Computationally efficient and cross-platform compatible.
- Graphical User Interface (GUI) and usable for non-experts.

This paper describes the program ProtyQuant and demonstrates its functionality by analyzing a reference dataset for label-free shotgun proteomics.

# 82 Methods

## **ProtyQuant software architecture**

ProtyQuant is a Python (https://www.python.org/) program with a Graphical User Interface
(GUI). Figure 3 shows the program flowchart. ProtyQuant processes all pepXML files in a given
directory and creates a sample comparison table. For each sample, a report with protein probability
and estimated quantity is created. All result files are formatted as plain text tables. Optionally,
the protein identifications are labeled with protein information and Uniprot links, using a FASTA
database.

Protein inference and quantification are made by the external program PIPQ, which was originally written by Huang et al. <sup>18</sup> (https://code.google.com/archive/p/protein-inference/)<sup>18,19</sup>.
 The C++ program was modified to report both, the protein probability (Pr), and its accumulated peptide probability (app), which was used as a quantitative measure. The formulas which were used



Figure 3: Data processing flowchart of ProtyQuant. Peptide XML and protein text file processing are colored in orange, PIPQ protein inference and quantification in yellow, sample comparison in green, and protein labeling in blue. The main program control is drawn in gray.

<sup>94</sup> for calculations are reported in the paper of He et al. <sup>19</sup>. For solving the linear equations GNU Linear <sup>95</sup> Programming Kit library, GLPK (https://www.gnu.org/software/glpk/), was used. PIPQ <sup>96</sup> was compiled for 64-bit versions of Microsoft Windows and Linux, with statical linking of libraries <sup>97</sup> to prevent compatibility issues. The Microsoft Visual C++ and the GNU C++ compiler were used <sup>98</sup> for creating the binaries. The code repository (https://bitbucket.org/lababi/protyquant) <sup>99</sup> contains makefiles for both platforms.

0			ProtyQuant 1.	D			~ ^ X
File Options Help							
1. LOAD pepXMLs	Select pepXN	4L directory	PLEASE SELECT THE PAT	TH OF PEPXML FILES		ာ.pepXML ဂ၂	pepxml 🔿 .pep.xml
2. PIPQ analysis	PIPQ algorithm: • -s Multiple Countir • -a Equal Division a • -lp Linear Program	ng algorithm Ilgorithm ming Model algorithm					
3. Protein report	Filter:	min. quant. [app]	1	min. Prob. [Pr] 0.05			
	Label protein table:		Short protein name	🔽 Uniprot	name	URL to Uniprot	
4. Sample comparison	Filter:	min. quant. [app]	1				
	Label comparison table	e.	Short protein name	🔽 Uniprot	name	URL to Uniprot	
	Comparison result file:		sample_comparison.tsv				
FASTA DB for annotation	Select FAST	A database	PLEASE SELECT THE .FA	STA/FAS SEARCH DATABASE	_		
RUN ALL							

Figure 4: Graphical User Interface of ProtyQuant.

<sup>100</sup> The ProtyQuant GUI and command-line scripts were implemented in Python 3 https://www.

<sup>101</sup> python.org, using the Tkinter GUI and the Python Data Analysis (Pandas) Library (https://

<sup>102</sup> pandas.pydata.org/) modules. **Figure 4** shows the ProtyQuant GUI running on Linux.

Running ProtyQuant requires two files only: The Python program protyquant.py and the PIPQ binary. The 'python-gui' directory of the code repository contains PIPQ for Microsoft Windows and Linux, PIPQ.exe and PIPQ.x64, respectively. Running ProtyQuant by python3 protyquant.py selects the correct program for the operating system.

The GUI was converted into a 64-bit Microsoft Windows executable (.exe) using the Python package cx\_Freeze (https://anthony-tuininga.github.io/cx\_Freeze/). A Microsoft Windows installer, which also installs the PIPQ.exe program, was created with Inno Script Studio 2.2.2.32 (Kymoto Solutions, https://www.kymoto.org/). Further, a Docker (https://docker.com) version of the ProtyQuant GUI was created. The image is based on Ubuntu 20.04 LTS and available from https://hub.docker.com/repository/ docker/robertmp64/protyquant.

Source code and binaries for Microsoft Windows (including an installer) and Linux are freely available under the terms of the GNU General Public License v3 (https://www.gnu.org/licenses/ gpl-3.0.en.html), from https://bitbucket.org/lababi/protyquant.

## **Raw data and protein database**

For testing ProtyQuant, a reference dataset for label-free quantitative proteomics was used, which 118 was published by Ramus et al. 2016<sup>22,23</sup>. The raw Orbitrap Velos data were downloaded from the 119 ProteomeXchange repository (http://www.proteomexchange.org)<sup>24</sup>, identifier PXD001819. 120 The samples of this dataset were generated by spiking different concentrations of the Universal 121 Proteomics Standard (UPS1, https://www.sigmaaldrich.com/life-science/proteomics/ 122 mass-spectrometry/ups1-and-ups2-proteomic.html) into baker's yeast (Saccharomyces cere-123 *visiae*) lysate. The 48 human proteins included in the UPS1 are listed in **Supplemental Table 1**. 124 The raw data were converted to .mzML profile data and .mgf centroid data using msconvert of the 125 ProteoWizard project (http://proteowizard.sourceforge.net)<sup>25</sup>. 126 The target database for peptide matching was composed of the UniProt (https://www.uniprot. 127 org) Saccharomyces cerevisiae entries and the Sigma UPS sequences (https://www.sigmaaldrich. 128

com/content/dam/sigma-aldrich/life-science/proteomics-and-protein/ups1-ups2-sequences.

<sup>130</sup> fasta). The final FASTA database contained 6,769 entries.

# <sup>131</sup> **Proteomics data processing**

#### 132 Computer hardware and operating system

All analyses were performed on a standard Lenovo Y720 laptop with 16 Gb RAM and Intel(R)

<sup>134</sup> Core(TM) i7-7700HQ CPU (2.80GHz). The operating system was the 64-bit Linux platform Pep-

permint 10 (https://peppermintos.com/), which is based on Ubuntu 18.04 LTS. The Docker
Engine was version 19.03.8 of the Community Edition.

#### 137 **Trans-Proteomic Pipeline (TPP) with Docker**

The Docker image of the Trans-Proteomic Pipeline (TPP) version 5.2.0 ('Flammagenitus') was used, with the following sequence:

- 140 1. Start the TPP docker image and mount the mgf data directory:
- docker run -it --privileged=true -v /home/rob/dataspace/nextcloud/DATA/
- 142 UPS48\_yeast\_centroided/mgf:/data spctools/tpp bash

#### 143 2. Create and edit a comet parameters file:

144 comet -p

The file was saved as comet.params and modified for high-resolution MS (peptide\_mass\_tolerance = 20.00, in ppm) and low-resolution MS/MS (fragment\_bin\_tol = 1.0005) data. decoy\_search = 1 (decoy\_prefix = DECOY\_) was set for a concatenated target-decoy search<sup>12</sup>. Iodoacetamide alkylation of cysteine residues was defined as a fixed modification, and methionine oxidation, and deamidation of asparagine and glutamine as variable modifications.

#### **3. Run the comet search**:

- 151 time comet \*.mgf
- 4. **Run PeptideProphet** with the standard mixture model:
- 153 for i in \*.pep.xml; do PeptideProphetParser \$i; done

#### 154 5. **Run ProteinProphet**:

for i in \*.pep.xml; do ProteinProphet \$i \$i.prot.xml NOGROUPS; done

#### 156 6. Leave TPP Docker session:

157 exit

<sup>158</sup> The prot.xml result files were **converted to plain text tables** by an own script:

159	1. Download script:
160	wget https://bitbucket.org/lababi/protyquant/raw/
161	06deaeb70a09b8121ce0adc1d7d6da389afe7175/python-scripts/protxml_to_tsv.py

- 162 2. Convert all prot.xml files to tsv:
- bash-script: for i in \*.prot.xml; do python3 protxml\_to\_tsv.py \$i; done

#### 164 **ProtyQuant with Docker**

<sup>165</sup> The Docker GUI version of ProtyQuant was used, with all Pr and app threshold values set to 0.

- 166 docker run -e DISPLAY=\$DISPLAY -v /tmp/.X11-unix:/tmp/.X11-unix:rw
- 167 -v /home/rob/dataspace:/data robertmp64/protyquant

Opening the graphical display with the root user was enabled by xhost +.

#### **169** Calculation of False Positive Rates

The false positive rate (FPR) was taken as evaluation criteria for the algorithms to prevent possible drawbacks in estimating the false discovery rate (FDR)<sup>13</sup>. The FPR was calculated by dividing the number of decoy hits by the number of total hits above the defined threshold<sup>12</sup>:

$$FPR = \frac{FP}{TP + FP} = \frac{N_{decoy}}{N_{targets} + N_{decoy}} = \frac{N_{decoy}}{N_{hits \ge cut - off}}$$
(5)

with FP = false positives = decoy hits and TP = true positives = target hits.

# 174 Data analysis and plotting

For analyzing result files of ProtyQuant, standard GNU (<https://www.gnu.org>) commandline programs (grep, wc), the text editors Geany (https://www.geany.org/) and Vim (<https:

177 //www.vim.org>) and LibreOffice (https://www.libreoffice.org/) were used.

<sup>178</sup> Graphs were plotted with Gnuplot (http://www.gnuplot.info/).

Receiver Operating Characteristics (ROC) and the Area Under the Curve (AUC) were calculated using the Python scikit-learn library (https://scikit-learn.org). The used scripts are available from the python-scripts directory of the code repository (https://bitbucket.org/ lababi/protyquant).

Flowcharts were drawn with yEd (<https://www.yworks.com/products/yed>), vector graphics were created with Inkscape (<https://inkscape.org>), and the GNU Image Manipulation Program (GIMP, <https://www.gimp.org>) was used for editing pixel graphics.

# **Results and discussion**

# **187 ProtyQuant**

#### **Installation on different operating systems**

ProtyQuant was successfully installed and tested on standard computers with Microsoft Windows 10 and Linux (Fedora 29-31 and Ubuntu 18.04 LTS) operating systems. Installation instructions are given on the project code repository (https://bitbucket.org/lababi/protyquant). The results presented below were generated using the Docker version with GUI, running on a Ubuntu 18.04 LTS Linux.

#### **Input and output file formats**

<sup>195</sup> ProtyQuant expects pepXML files containing PeptideProphet<sup>10</sup> probabilities. Such files are gen-<sup>196</sup> erated validating peptide-spectrum matches (PSM) in the Trans-Proteomic Pipeline (TPP)<sup>4–6</sup>. The

	A	В	С			D				E	
1	ProtID	Pr	app	short_name					Uniprot_U	RL	
2	sp P38910 CH10_YEAST	1	35.727	5 10 kDa heat	shock protein,	mitochondria			http://www	uniprot.org/un/	iprot/P38910
3	sp P38859 DNA2_YEAST	1	14.832	2 DNA replicat	ion ATP-depend	lent helicase	/nuclease DN	A2	http://www	uniprot.org/un/	iprot/P38859
4	sp P38870 YHY2_YEAST	1	15.485	8 Uncharacter	ized protein YH	R182W			http://www	uniprot.org/un/	iprot/P38870
5	sp P38871 SSP1_YEAST	1	20.951	7 Sporulation-	specific protein	1			http://www	uniprot.org/un/	iprot/P38871
6	sp P38873 KOG1_YEAST	Г 1	26.719	6 Target of rap	amycin comple	x 1 subunit K	(OG1		http://www	uniprot.org/un/	iprot/P38873
7	spIP38879INACA YEAST	Г 1	92.854	1 Nascent poly	/peptide-associ	ated complex	subunit alph	1a.	http://www	uniprot.org/un/	iprot/P38879
8	sp P38886 RPN10_YEAS	T 1	25.857	6 26S proteas	ome regulatory	subunit RPN	10		http://www	uniprot.org/un/	iprot/P38886
-	spIP38891IBCA1_YEAST	1	43.70	1 Branched-ch	ain-amino-acid	aminotransf	erase, mitoch	ondrial	http://www	uniprot.org/un/	iprot/P38891
9						,	00000		,000 ,000		
9	sp P38892 CRG1_YEAST	Г <u>1</u>	16.142	4 Probable S-a	adenosylmethio	nine-depend	ent methyltra	nsferase CR	G1 http://www	/ uniprot.org/un	iprot/P38892
9	A	Г <u>1</u>	16.142 B	4 Probable S-	denosylmethio	nine-depend E	ent methyltra	nsferase CR G	G1 http://www	/ uniprot.org/un	iprot/P38892
9 10 1	A ProtD	Г 1 	16.142 B mol_R1	C 2500amol_R2	D 12500amol_R3	nine-depend E 125amol_R1	ent methyltra F 125amol_R2	nsferase CR G 125amol_R3	G1 http://www H 25000amol_R1	I 25000amol_R2	jprot/P38892 J 25000amol_R
9 10 1 1 2	A ProtiD spiP38892[CRG1_YEAST	Г 1 	16.142 B mol_R1 2146.48	C 12500amol_R2 2163.73	D 12500amol_R3 2275.69	nine-depend E 125amol_R1 1789.08	F 125amol_R2 1914.5	G 125amol_R3 1992.55	G1 http://www H 25000amol_R1 2487.18	I 25000amol_R2 1862.78	jprot/P38892 J 25000amol_R 2169.0
9 10 1 1 2 3	A ProtiD Sp[P00359[G3P3_YEAST Sp[P00325]EN02_YEAST	T 1 	16.142 B mol_R1 2146.48 1495.25	C 12500amol_R2 2163.73 1487.39	D 12500amol_R3 2275.69 1551.79	E 125amol_R1 1789.08 1772.19	Ent methyltra F 125amol_R2 1914.5 1782.96	<u>G</u> G 125amol_R3 1992.55 1901.97	G1 http://www H 25000amol_R1 2487.18 1395.41	I 25000amol_R2 1862.78 1547.96	jprot/P38892 J 25000amol_R 2169.0 1555.8
9 10 11 1 2 3 4	A           ProtiD         A           Sp[P0359]G3P3_YEAST         Sp[P00359]G3P3_YEAST           Sp[P00359]G3P3_YEAST         Sp[P00349]KPYK1_YEAST	T 1 T 1 12500a	16.142 B mol_R1 2146.48 1495.25 1370.68	C 12500amol_R2 2163.73 1487.39 1377.46	D 12500amol_R3 2275.69 1551.79 1442.08	E 125amol_R1 1789.08 1772.19 1310.78	F 125amol_R2 1914.5 1782.96 1269.22	G 125amol_R3 1992.55 1901.97 1265.94	G1 http://www H 25000amol_R1 2487.18 1395.41 1597.64	I 25000amol_R2 1862.78 1547.96 1465.46	J 25000amol_R 2169.0 1555.8 1470.3
9 10 1 1 2 3 4 5	A           ProttD.           sp[P00359[G3P3_YEAST           sp[P00359[C3P3_YEAST           sp[P00420025]ENO2_YEAST           sp[P104540]ALE_YEAST	T 1 T 1 12500a	16.142 B mol_R1 2146.48 1495.25 1370.68 1237	C C 12500amol_R2 2163.73 1487.39 1377.46 1174.73	D 12500amol_R3 2275.69 1551.79 1442.08 1328.54	E 125amol_R1 1789.08 1772.19 1310.78 998.474	F 125amol_R2 1914.5 1782.96 1269.22 1004.69	G 125amol_R3 1992.55 1901.97 1265.94 1029.1	H 25000amol_R1 2487.18 1395.41 1597.64 1166.18	I 25000amol_R2 1862.78 1547.96 1465.46 1099.49	J 25000amol_RC 2169.0 1555.8 1470.0 1324.1
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9 10 1 1 2 3 4 5 6 7	A           ProtiD         SpiP 03591G3P3_YEAST           SpiP 003591G3P3_YEAST         SpiP 003591G3P3_YEAST           SpiP 003591G4P1A_YEAST         SpiP 0039421TP1S_YEAST	Г 1 12500ан	16.142 mol_R1 2146.48 1495.25 1370.68 1237 1150.43 1122.97	4 Probable S- C 12500amol_R2 2163.73 1487.39 1377.46 1174.73 1110.91 1111.35	D 12500amol_R3 2275.69 1551.79 1442.08 1328.54 1126.31 1048.35	E 125amol_R1 1789.08 1772.19 1310.78 998.474 1052.19 928.415	F 125amol_R2 1914.5 1782.96 1269.22 1004.69 1150.77 851.372	G 125amol_R3 1992.55 1901.97 1265.94 1029.1 1114.71 832.036	G1 http://www H 25000amol_R1 2487.18 1395.41 1597.64 1166.18 1011.5 1216.19	I 25000amol_R2 1862.78 1547.96 1465.46 1099.49 720.004 1177.26	J 25000amol_R: 25000amol_R: 1655.1 1470. 1324. 868.00 1126.
9 10 1 2 3 4 5 6 7 8	A           ProtD         A           ProtD         Sp[P00359[G3P3_YEAST           sp[P00359]G3P3_YEAST         Sp[P00549]KPYK1_YEAST           sp[P14540]ALF_YEAST         Sp[P014540]F1A_YEAST           sp[P00549]P1S_YEAST         Sp[P00560]P0S_YEAST           sp[P00560]P0S_YEAST         Sp[P00560]POSA_YEAST	Γ 1 12500a	16.142 mol_R1 2146.48 1495.25 1370.68 1237 1150.43 1122.97 1118.94	4 Probable S- C 12500amol_R2 2163.73 1377.46 1174.73 1110.91 1111.35 1132.69	D 12500amol_R3 2275.69 1551.79 1442.08 1328.54 1126.31 1048.35 1204.63	E 125amol_R1 1789.08 1772.19 1310.78 998.474 1052.19 928.415 994.279	F 125amol_R2 1914.5 1782.96 1269.22 1004.69 1150.77 851.372 1018.8	G 125amol_R3 1992.55 1901.97 1265.94 1029.1 11114.71 832.036 1093.58	G1 http://www H 25000amol_R1 2487.18 1395.41 1597.64 1166.18 1011.5 1216.19 1095.62	I 25000amol_R2 1862.78 1547.96 1465.46 1099.49 720.004 1177.26 994.821	j 25000amol_R: 2169.0 1555.0 1470.1 324.0 668.0 1126.1 1023.1
9 10 1 2 3 4 5 6 7 8 9 9	A           ProtID           splP0359[G3P3_YEAST           splP00359[G3P3_YEAST           splP00359[C00_YEAST           splP14540]ALF_YEAST           splP0294[F1A_YEAST           splP00342[TPIS_YEAST           splP00548[K-YEAST           splP00549[K-YEAST           splP00549[K-YEAST           splP00542[TPIS_YEAST           splP00542[K-YEAST           splP00542[K-YEAST           splP00542[K-YEAST           splP00542[K-YEAST           splP00542[K-YEAST           splP00542[K-YEAST	Г 1 12500а	16.142 B mol_R1 2146.48 1495.25 1370.68 1237 1150.43 1122.97 1118.94 801.695	4 Probable S- C 12500amol_R2 2163.73 1487.39 1377.46 1174.73 1110.91 1111.35 1132.69 789.483	D 12500amol_R3 2275 69 1551.79 1442.08 1328.54 1126.31 1048.35 1204.63 820.114	E 125amol_R1 1789.08 1772.19 1310.78 998.474 1052.19 998.415 994.279 752.159	F 125amol_R2 1914.5 1782.96 1269.22 1004.69 1150.77 851.372 1018.8 744.629	G 125amol_R3 1992.55 1901.97 1265.94 1029.1 1114.71 832.036 1093.58 745.223	H 25000amol_R1 2487.18 1395.41 1195.764 1166.18 1011.5 1216.19 1095.62 829.900	l 25000amol_R2 1862.78 1862.78 1865.46 1099.49 720.004 1177.26 994.821 805.07	J 25000amol_F 2169 1555 1470 1324 868. 1126 1126 1023 784.

Figure 5: ProtyQuant results are written into tab-separated values files (TSV) which facilitates their direct inspection and further processing with other programs, such as statistics and plotting software, databases, and spreadsheets. The files in A) and B) were opened with LibreOffice Calc. A) Protein report with short protein description and links to the UniProt database. B) Sample comparison table (The sample names have been shortened manually).

<sup>197</sup> TPP supports many popular free and commercial search engines<sup>26</sup>, such as Comet<sup>27?</sup>, Mascot<sup>28</sup>, <sup>198</sup> MS-GF+<sup>29</sup>, MyriMatch<sup>30</sup>, and X!Tandem<sup>31</sup>. Therefore, PSM results of different search engines <sup>199</sup> can be processed with ProtyQuant after TPP validation. The data processing workflow in this study <sup>200</sup> was using Comet, which is a default TPP search engine. All 27 PeptideProphet pepXML files of <sup>201</sup> the test datasets were processed successfully by ProtyQuant.

ProtyQuant saves its results to tab-separated values (TSV) files. The plain text files with the tabular structure are lightweight and human-readable. TSV files can be directly imported into most statistics programs and databases. Therefore, ProtyQuant integrates smoothly into existing software environments<sup>32</sup>. The information of the FASTA protein database file was correctly processed and added to the protein hits (see **Fig. 5A**). Quantification results of the 27 individual analyses were adequately combined in a sample comparison table (see **Fig. 5B**).

#### 208 **Computational performance**

File	Format	Size
MS/MS raw profile data	binary	1.6 Gb
MS/MS mzML profile data	XML	7.4 Gb
MS/MS mgf centroided data	plain text	664 Mb
TPP pepXML files	XML	80 Mb
tsv reports	plain text	<500 kb

Table 1: File formats and approximate average size during the processing of the UPS1-yeast lysate shotgun proteomics reference data.

The speed of a program depends hugely on the computer system performance and chosen param-209 eters. However, the following measurements give a general idea about the computational perfor-210 mance of the ProtyQuant workflow on a standard laptop. The average time for the comet peptide 211 searches was 1.2 min. The first step of ProtyQuant, parsing and stripping the pepXML files to pipq, 212 took 45 s in average. Protein inference with the multiple counting or equal distribution algorithm 213 took about 1 s, and 4 s with the linear programming algorithm. Less than one minute was nec-214 essary for the complete processing of TPP pepXML data with ProtyQuant, which is sufficient for 215 productive proteomics environments. 216

Another critical aspect of proteomics workflows is the size of files. Large files occupy lots of 217 hard drive space and negatively impact the downstream data processing. Table 1 summarizes the 218 file format and size for each processing step. Converting the MS/MS raw data from the proprietary 219 binary format to the community XML format mzML<sup>33</sup> increased the file size to almost five times. 220 from ~1.6 to ~7.4 Gb for each sample. Such massive data files are difficult to handle, especially for 221 projects with a high number of samples. In the future, binary community formats such as mzMLb<sup>34</sup> 222 are expected to reduce such bloating of data. Converting the mzML profile data to the Mascot 223 Generic Format (MGF) centroid format<sup>35</sup> reduced the file size to less than 10%. The validated 224 TPP pepXML files which are used for ProtyQuant were about 80 Mb in size. The protein reports 225 generated by ProtyQuant in TSV format were less than 500 kb in size, which means a significant 226

<sup>227</sup> decrease in file sizes during the proteomics data processing.

Altogether, ProtyQuant demonstrated its functionality for processing shotgun proteomics data on different platforms, using TPP pepXML files and a UniProt-derived FASTA database.



## **Protein inference algorithms**

Figure 6: A) Average number of identified proteins with the different protein inference algorithms, using a using a probability (Pr) threshold  $Pr \ge 0.95$ . B) Median of identified proteins with a defined false positive rate (FPR).

Algorithm	Target hits (min-max, avg.)	Decoy hits (min-max, avg.)	Average FPR [%]
ProteinProphet	694-878, 765	26-50, 36	4.7
Multiple Counting	403-535, 493	0-4, 2	0.4
Equal Division	286-500, 390	0-4, 2	0.5
Linear Programming	315-441, 399	0-4, 2	0.5

Table 2: Identified target and decoy hits of the different algorithms using a probability (Pr) threshold of  $Pr \ge 0.95$ .

For evaluating the PIPQ protein inference algorithms of ProtyQuant, they were benchmarked 231 against the ProteinProphet. Using a probability (Pr) threshold of  $Pr \ge 0.95$ . As shown in **Table** 232 2 and Figure 6A, the ProteinProphet found the highest number of proteins (765), followed by the 233 Multiple Counting (493), the Linear Programming (399) and the Equal Division (390) algorithm. 234 However, the proportion of false positive hits was about 10 times lower in the ProtyQuant results. 235 Whereas the ProteinProphet results in average had a false positive rate (FDR) of 4.7%, the PIPQ 236 algorithms had a FPR 0.4-0.5%. In average, only two decoy hits passed the Pr filter of ProtyQuant, 237 compared to 36 for ProteinProphet. 238

For a better comparison of the precision of the algorithms, the number of true positive (TP) hits at different FPR was determined. **Figure 6B** shows the median target identifications at 1% and 5% FPR. The Multiple Counting algorithm performed best at both FPR cut-offs, identifying 59 (9.7%) and 126 (15.3%), respectively, proteins more than the ProteinProphet. The Equal Division and Linear Programming algorithms performed slightly below the ProteinProphet for the 1% FPR limit and somewhat better for the 5% FPR limit.

Besides, the Area Under the Curve (AUC) was used to assess the classifier performance<sup>36</sup>. The ProteinProphet showed AUC values between 0.74 and 0.79, the Multiple Counting algorithm 0.76 - 0.82, the Equal Division algorithm 0.73 - 0.80 and the Linear Programming algorithm 0.71 -0.78, respectively. **Figure 7** shows the Receiver Operating Characteristic (ROC) curves for sample 2500/R2, with typical results. Overall, the Multiple Counting algorithm was found to be the best



Figure 7: Receiver Operating Characteristics (ROC) of the different protein inference algorithms for sample 2500/R2. without probability cut-off. A) ProteinProphet, B) Multiple Counting, C) Equal Division, D) Linear Programming.



<sup>250</sup> classifier in this comparison, since it demonstrated the highest AUC measures and consistency.

Figure 8: False positive rate (FPR), protein probability (Pr), and proportion of identified proteins as function of the accumulated peptide probability (app). A) Multiple Counting, B) Equal Division, C) Linear Programming.

In **Figure 8**, FPR, Pr, and identified proteins were plotted as a function of the accumulated peptide probability (app). The Multiple Counting algorithm summed more proteins for a given app, followed by the Linear Programming and Equal Division algorithms. The Pr curves were shifted towards higher app in the opposite direction. These curves indicate that the multiple counting of peptides improved the sensitivity; however, the possible rise of false-positive identifications in this strategy was compensated by more strict acceptance criteria.

A comparison with the ProteinProphet demonstrated the suitability of the PIPQ algorithms for protein inference. Although the performance of the algorithms could vary, depending on the analyzed datasets<sup>18,19</sup> and the upstream data processing, similar identification results, and in some cases, even better ones, than the ones obtained with established programs can be expected with 261 ProtyQuant.

# 262 Quantification



Figure 9: Spiked UPS proteins identified (median) with different algorithms and criteria. A) Probability (Pr)  $\ge 0.95$ , B) false discovery rate (FPR)  $\le 1\%$ .

For evaluating the performance of ProtyQuant for quantification, the identification and linearity of 48 spiked human USP proteins in the yeast lysate background were investigated. **Figure 9** shows the number of detected UPS proteins, using different threshold criteria. Using the probability  $(Pr) \ge$  <sup>266</sup> 0.95 cut-off, the ProteinProphet showed by far the highest sensitivity of the four algorithms, which <sup>267</sup> is congruent with the global protein analysis (see **Fig. 9A**). Again, using the false discovery rate <sup>268</sup> (FPR) as criteria, improved the true positive rate (TPR) of the PIPQ algorithms. At an FPR  $\leq 1\%$ , <sup>269</sup> the four algorithms delivered similar results.



Figure 10: Linearity of the PIPQ algorithms. On the left side, the accumulated peptide probabilities (app) of all UPS proteins are plotted for each spiked protein concentration. On the right side, the determined fold-change of the individual UPS proteins is shown for the two highest spiked protein concentrations. A) Multiple Counting, B) Equal Division, C) Linear Programming.

Figure 10 shows the linearity of the accumulated peptide probabilities (app) of all spiked UPS proteins on the left side, and the calculated fold-change of the two highest concentrations, 50,000

vs. 25,000 amol/µg yeast lysate, on the right side. For all three PIPQ algorithms, satisfactory lin-272 earity was found for higher spiked protein concentrations. The average fold-change, which was 273 determined using the Multiple Counting algorithm, based on the app of the two highest spiked 274 protein concentrations, was 2.072, and therefore close to the theoretical factor of 2.0. For 35 of 275 48 (73%) proteins, a fold-change between 1.2 and 3.0 was found, 8 (17%) proteins were underesti-276 mated (< 1.2 fold change), 5 (10%) proteins were overestimated (> 3 fold change). Using the Equal 277 Division, 33 of 48 (69%) proteins were found in the 1.2-3.0 fold range, 9 (19%) underestimated, 278 and 6 (13%) overestimated, with an average of 2.075. With Linear Programming, 35 of 48 (73%) 279 proteins felt into the 1.2-3.0 fold range, 7 (15%) proteins were underestimated, and 6 (13%) proteins 280 overestimated, with an average calculated fold-change of 2.084. Thus, all three PIPQ algorithms 281 demonstrated good quantification capability. 282

Interestingly, for all 48 proteins, an app was calculated for the two highest concentrations and with all three PIPQ algorithms, although they did not reach the Pr or FPR limit necessary for being considered as confidently identified proteins. The main limitation of the app protein inference strategy is the lack of sensitivity for low-abundance proteins. For studies including such proteins, the combination of multiple search engines<sup>37</sup>, or the combination with complementary protein inference algorithms provide possible solutions.

# 289 Assessing 'Protein Presence'

As Huang et al. 2012;<sup>18</sup> and He et al. 2016;<sup>19</sup> already stressed out, protein inference and quantification are closely linked. Reporting the presence or, even more complicated, the absence of a protein in a given sample without a quantitative measure is not meaningful. The conventional approach, to first identify proteins, and then to quantify the proteins in a second step, leaves aside the real contribution of a protein to the composition of a mixture. Since validated peptides passing a pre-defined threshold are considered as 'true,' the underlying non-binary probability information is lost in the protein inference and spectral-counting based quantification.

<sup>297</sup> In contrast, the algorithms used in this study take into account the probability of individual pep-

tides for both protein inference and quantification. Therefore, the accumulated peptide probability (app) presents a measure for an integrated assessment of '*Protein Presence*.' Since the app values are quantitative and continuous, uncertain peptide hits have less impact on the final results. Using the app also facilitates the rational fine-tuning of score thresholds, because detection and quantification limits, as well as background levels, can be experimentally determined by sample loading, spiking, and carry-over experiments.

# **304** Conclusions

ProtyQuant performs the quantitative comparison of label-free proteomics datasets from validated
 pepXML files. The software has a human-friendly interface and integrates well with upstream
 proteomics workflows and downstream data analyses.

The PIPQ program, which performs protein inference and quantifications, sums up the pep-308 tide probabilities for each candidate protein. This strategy preserves information about peptide-300 spectrum match (PSM) hit qualities. For assembling possible proteins and estimating their proba-310 bility (Pr), the three different PIPQ protein inference algorithms were tested. The probability (Pr) 311 estimation of the PIPQ program is based on the accumulated peptide probabilities (app) and was 312 found to be more conservative than the ProteinProphet algorithm. For equal false-positive rates 313 (FPR), the Multiple Counting approach showed the highest sensitivity and identified the highest 314 number of true-positive proteins. 315

Calculating the app represents a more precise spectral-counting method than summing up peptides with equal weight. The app values are continuous and were shown in this study to be proportional to the spiked protein concentration, which makes them suitable for label-free protein quantification. The risk of overestimating the protein quantity because of low-significance peptides is reduced when using app values instead of discrete spectral-counting.

This study contributes to the understanding that protein probability and quantity are intimately connected and should be seen as an entity. The app is easy to calculate and could serve as an integral

measure of 'Protein Presence.'

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