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**Sorption hysteresis on soils and sediments: obtaining characteristic free energies using "single-point desorption isotherms"**

Running head: *Sorption hysteresis-characteristic free energies*

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20 **Summary**

21 Sorption-desorption hysteresis (SDH) may control distributions of chemicals between diverse  
22 environmental phases, including soils and sediments. Formation of metastable states caused by  
23 pore deformation or inelastic swelling of a sorbent and their persistence during desorption were  
24 considered in the literature as one reason for "true" SDH. Such metastable states persisting  
25 during desorption lead to the lack of closure of sorption-desorption loop at non-zero sorbate  
26 concentrations, which is often observed in soil and environmental literature. Also, SDH was  
27 often characterized using single-point desorption isotherms (DIs) combining sorbed states  
28 reached during single desorption steps started from different points along a sorption isotherm  
29 (SI). The objective of this contribution is to demonstrate how the single-point DIs could be used  
30 to characterize SDH in liquid phase sorption experiments in terms of Gibbs free energy. This  
31 free energy is accumulated in some non-relaxed sorbed states belonging to DI as compared with  
32 the states of the same composition (sorbed concentration) belonging to SI. Using the literature  
33 data on SIs and single-point DIs of some polycyclic aromatic hydrocarbons and pesticides on  
34 soils and sediments, it is shown how these extra free energies could be obtained and how they  
35 could change in the selected sorbate-sorbent systems. When the extent of SDH decreases with  
36 increasing solute concentration, these additional free energies decline. They may remain  
37 constant or even increase, suggesting in the latter case that a larger work is needed to perturb a  
38 sorbent structure at higher sorbed concentrations. This paper proposes a novel approach for  
39 quantifying and understanding liquid phase SDH in the cases when a thermodynamic  
40 justification is sought, and, therefore, it advances the ability to predict the fate and activity of  
41 multiple chemicals in typical soil/sediment environments.

42

43 **Keywords:** sorption, desorption, hysteresis, "frozen" state, metastable state, free energy, natural  
44 organic matter, clay, organic sorbate, liquid phase

45

46 **Highlights**

- 47 • Desorption from soils and sediments to solutions may be hysteretic due to formed  
48 metastable states
- 49 • Hysteresis is quantified in terms of excessive free energies of metastable states
- 50 • Extra free energies are sorbate- and sorbent-dependent, varying across sorption  
51 isotherms
- 52 • Single-point desorption isotherms allow to determine free energy excess of metastable  
53 states

54

55

## 56 **Introduction**

57 Examination of sorption of multiple chemicals on soils and sediments is clearly recognized to  
58 be essential for understanding and prediction of environmental distribution, fate and  
59 bioavailability of chemicals (OECD 106, 2000). When obtaining sorption-desorption data  
60 relating concentrations of a chemical in a sorbed phase to its concentration in an external phase  
61 (i.e., solution or gas), hysteresis may often make itself evident in non-coinciding sorption and  
62 desorption isotherms (SI and DI, respectively). Such a sorption-desorption hysteresis (SDH) is  
63 known to appear even when the artifacts, e.g., biodegradation, a loss of sorbing and sorbed  
64 materials, changes in solvents, non-accounted sorption/desorption kinetics, seem to be ruled  
65 out. In relation to liquid-phase sorption of non-ionized organic chemicals on soil (natural)  
66 organic matter (OM), one concept explaining SDH links sorption irreversibility to metastable  
67 states formed in a sorption-desorption sequence such that those states being at local incomplete  
68 equilibria and associated with free exchange of solute and solvent molecules persist across DI  
69 (Sander et al., 2005; Sander & Pignatello, 2009; Cao et al., 2016). Importantly, when these  
70 metastable states persist, there is no closure of sorption-desorption loop at non-zero  
71 concentrations of sorbates that is the often case in soil sorption experiments.

72 In liquid phase experiments, desorption data is often obtained by remove/refill method.  
73 This method involves removing a precise part of a solution volume, in the end of sorption  
74 experiment, and replacing it with the same volume of a solvent. This added solvent volume  
75 does not contain a solute of interest but it is supposed to be maintained at the same pH, ionic  
76 composition and whole solution chemistry including potential presence of dissolved OM as in  
77 the solution phase in sorption experiments. In this way, the reduced solute concentration  
78 triggers desorption. By reaching an (apparent) desorption equilibrium and consecutively

79 replacing the solution phase with a next new solvent portion, the successive DI is obtained such  
80 that each "point" across this DI shares the same initial history associated with the SI point at  
81 which desorption started. Less aggressive way to obtain a DI which does not involve phase  
82 separation and centrifugation may involve a direct dilution of a system with increasing the  
83 solution volume (Altfelder et al., 2000; Bowman & Sans, 1985). In this way, no any portion of  
84 a solution is removed, but the solvent portion added to reduce solute concentration initiates  
85 desorption.

86         Recently, an approach was proposed to quantify SDH explained by formation of  
87 metastable states and their persistence across successive desorption in terms of the Gibbs free  
88 energy accumulated due to non-relaxed changes in a sorbed state (Borisover, 2019). This  
89 approach requested integration of sorbed concentrations over properly transformed SI followed  
90 with integration over successive DI and may be applied to different types of sorbing materials,  
91 not necessarily soil OM. As distinct of multiple empirical indices used for characterizing SDH  
92 (e.g., reviewed by Sander et al., 2005), the obtained thermodynamic quantities directly show  
93 how a sorbed state formed in the end of successive desorption is far from that one equivalent in  
94 terms of a chemical composition but belonging to SI. Therefore, relations between these free  
95 energy values, chemical structure of sorbates, composition and physico-chemical properties of  
96 sorbents and various intermolecular interactions in a sorbed state may be sought thus improving  
97 our understanding of SDH mechanisms.

98         The approach described earlier (Borisover, 2019) was focused on using successive DIs.  
99 However, besides obtaining successive DIs, in multiple studies desorption has been examined  
100 with the single-step remove/refill started at different initial sorbed concentrations across SI  
101 (Zhang et al., 2010ab, 2014; Martins et al., 2018; Mosquera-Vivas et al., 2016; Piwowarczyk

102 & Holden, 2012; Gaonkar et al., 2019; Kandil et al., 2015; Kin et al. 2003; Ran et al., 2002 and  
103 many others). Then, the determined sorbed concentrations are combined in one curve also  
104 called DI ("single-point" or sometimes "single step" DI).

105 The single-point DIs in liquid phase sorption experiments were considered  
106 thermodynamically flawed (Bowman & Sans, 1985; Sander et al., 2005) since the different  
107 states across the DI line do not share the same history of sorption and represent rather an  
108 "artificial" trend that cannot be treated thermodynamically. Yet, as mentioned above, such  
109 single-point DIs are widely used in soil and environmental sorption literature. At least one  
110 reason to obtain such single-point desorption data is that by this way an extent of sorption-  
111 desorption irreversibility may be easily examined and empirically quantified at multiple  
112 concentrations of solute/sorbate albeit only in one desorption step. When SDH is thought to be  
113 related to formation of metastable sorbed states, each single desorption point when combined  
114 in one " isotherm" trend encompasses valuable information on how the sorbent perturbed during  
115 sorption resists relaxation along desorption thus accumulating additional free energy, and how  
116 this extra free energy changes across a range of sorbed concentrations. The objective of this  
117 contribution is to demonstrate how the single-point DIs data may be used to characterize SDH  
118 observed in liquid phase sorption experiments in terms of free energy of non-relaxed states.

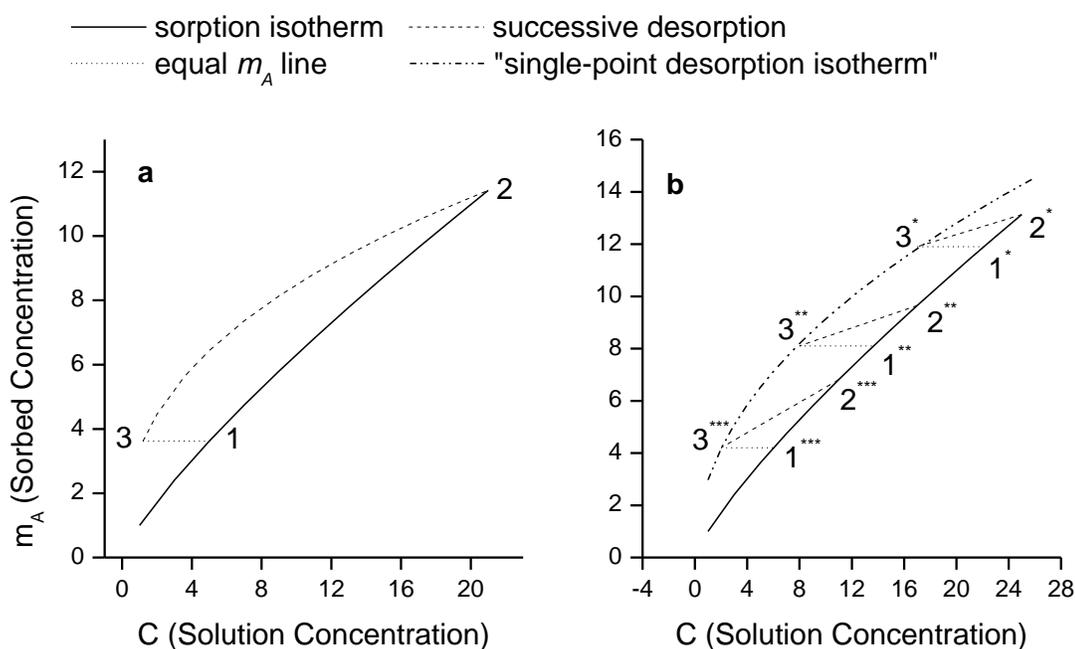
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## 120 **Methodology**

### 121 *Theoretical background*

122 In the two-phase system containing, at given temperature and pressure, three components, i.e.,  
123 a sorbent (S), solvent and a sorbing compound of interest (A), SDH has been expressed in terms  
124 of the Gibbs free energy quantities (Borisover, 2019). This quantification was proposed for the

125 SDH caused by newly formed and persisting metastable states and, therefore, showing no loop  
 126 closure at non-zero solute concentrations. Hence, it is presumed that no return to the fully  
 127 equilibrated state is observed in experimental timeframe during desorption. Briefly, for sorption  
 128 (1→2) - desorption (2→3) sequence (Fig. 1a; Points 1 and 3 are characterized with the same  
 129 sorbed concentration), extra Gibbs free energy ( $\Delta G^{ext}$ ) is accumulated at Point 3, as compared  
 130 with Point 1, due to the formed and persisting metastable states.



131  
 132 **Figure 1.** Sorbed concentrations (i.e., sorbate mole amounts  $m_A$  per unit sorbent mass) plotted  
 133 against solution concentration  $C$ . (a) The solid 1→2 line and the dashed 2→3 line depict SI and  
 134 DI, respectively. The 1→3 line connects equal sorbed concentrations. (b) Each solid line  
 135 element connecting the states associated with the similarly marked Points 1 and 2 (having the  
 136 same number of stars) belongs to SI. The dashed lines connecting similarly marked Points 2  
 137 and 3 represent a single step of desorption. The dash dot dot line connecting all the Points 3,  
 138 regardless to marking, represents "a single-point DI". The 1→3 line of any type connects equal  
 139 sorbed concentrations.

140

141 This additional Gibbs free energy  $\Delta G^{ext}$  quantifying the extent of hysteresis is obtained  
142 by integration of  $\Delta\mu_A$  over SI (1 $\rightarrow$ 2) and DI (2 $\rightarrow$ 3) paths (Eqn. 1) where  $\Delta\mu_A$  is the change of  
143 chemical potential of sorbing component A during its transfer from a hypothetical reference  
144 state in an infinitely diluted solution at unit concentration to an actual sorbed state.

$$145 \quad \Delta G^{ext} = \Delta G_{1\rightarrow 2\rightarrow 3} = \int_1^2 \Delta\mu_A dm_A + \int_2^3 \Delta\mu_A dm_A \quad (1)$$

146  $m_A$  is the sorbate mole amount per unit sorbent mass (i.e., sorbed concentration of component  
147 A). Sorbate and solvent molecules are presumed *to freely exchange between sorbed states*  
148 *belonging to SI and DI and an external liquid phase* such that for each exchangeable component  
149 its chemical potentials in both coexisting phases tend to be equal. For sorption of non-ionized  
150 solute A from the sufficiently diluted liquid phase equilibrated with a sorbent,  $\Delta\mu_A$  is obtained  
151 from solution concentration  $C$  of component A in an equilibrated solution:

$$152 \quad \Delta\mu_A = \mu_A - \mu_A^0 = RT \ln C \quad (2)$$

153 where  $\mu_A$  is the chemical potential of sorbing compound A in a whole system,  $\mu_A^0$  is its chemical  
154 potential in the hypothetical reference state in an infinitely diluted solution at unit concentration,  
155 and  $R$  and  $T$  are the universal gas constant and absolute temperature (in Kelvin), respectively.

156 Therefore,

$$157 \quad \Delta G^{ext} = RT \left( \int_1^2 \ln C dm_A + \int_2^3 \ln C dm_A \right) \quad (3)$$

158 Changes in sorbent S caused by increase in the sorbed concentration of component A are  
159 expected to be foremost responsible for appearance of SDH (Lu & Pignatello, 2002; Sander &  
160 Pignatello, 2009; Cao et al., 2016). Using the Gibbs-Duhem relationship, the sorbent S-  
161 associated contribution  $\Delta G_S^{ext}$  to the total extra Gibbs free energy  $\Delta G^{ext}$  is obtained as the  
162 following (Borisover, 2019):

163 
$$\Delta G_S^{ext} = -RT \left( \int_1^2 m_A d\ln C + \int_2^3 m_A d\ln C \right) \quad (4)$$

164 Since it is the  $(m_{A,2} - m_{A,1})$  rise in sorbed concentrations (Fig. 1a) which caused perturbations  
 165 in sorbent S and eventually led to SDH, a molar value  $\widetilde{\Delta G}_S^{ext}$  has been introduced by  
 166 normalizing  $\Delta G_S^{ext}$  to the difference  $(m_{A,2} - m_{A,1})$  (Eqn. 5).

167 
$$\widetilde{\Delta G}_S^{ext} = \frac{\Delta G_S^{ext}}{m_{A,2} - m_{A,1}} = -RT \frac{\int_1^2 m_A d\ln C + \int_2^3 m_A d\ln C}{m_{A,2} - m_{A,1}} \quad (5)$$

168 The obtained  $\widetilde{\Delta G}_S^{ext}$  quantity represents a work needed to generate metastable sorbed states and  
 169 averaged over the sorbed concentration range. Dividing  $\widetilde{\Delta G}_S^{ext}$  by  $RT$  produced a unitless  
 170 integral hysteresis index (*IHI*; Borisover, 2019).

171 One examined case (Borisover, 2019) involved the SI approximated by Freundlich  
 172 model (Eqn. 6) whereas the successive DI is described with the Freundlich-model expression  
 173 amended with an empirical constant  $d$  (Eqn. 7):

174 
$$m_A = K_{F,SI} C^{n_{SI}} \quad (6)$$

175 
$$m_A = d + K_{F,DI} C^{n_{DI}} \quad (7)$$

176 where the subscripts and the superscripts SI and DI refer to the parameters of the model applied  
 177 to either SI or DI. The  $d$  constant was introduced to indicate that experimental DIs might obey  
 178 a trend with an apparently non-zero intercept at the y (sorbed concentration) -axis. Since  
 179 formation of non-exchangeable (non-desorbing) fractions of chemicals is not in the focus of the  
 180 earlier and current analysis, Eqn. (7) cannot be straightforwardly extrapolated to zero solute  
 181 concentration. For these particular types of SI and DI (Eqns. 6, 7) the molar  $\widetilde{\Delta G}_S^{ext}$  was obtained  
 182 as the following (Borisover, 2019):

183 
$$\widetilde{\Delta G}_S^{ext} = RT \left( \frac{1}{n_{DI}} - \frac{1}{n_{SI}} - \frac{d}{n_{DI}(m_{A,2}-m_{A,1})} \ln \frac{m_{A,1}-d}{m_{A,2}-d} \right) \quad (8)$$

184 In the current analysis, the desorptions are made as the single steps from different Points 2  
 185 across SI (Fig. 1b) such that eventually a "single-point DI" is formed by connecting the final  
 186 states (Points 3) reached in multiple desorptions. Each single desorption is represented by a  
 187 straight line, with  $n_{DI}=1$ , connecting similarly marked Points 2 and 3 (Fig. 1b). Therefore, the  
 188 expression for  $\widetilde{\Delta G}_S^{ext}$  (Eqn. 8) is transformed into Eqn. (9):

189 
$$\widetilde{\Delta G}_S^{ext} = RT \left( 1 - \frac{1}{n_{SI}} - \frac{d}{m_{A,2}-m_{A,1}} \ln \frac{m_{A,1}-d}{m_{A,2}-d} \right) \quad (9)$$

190

191 *Calculating free energy values using available "single-point desorption isotherm" data*

192 In calculating the  $\widetilde{\Delta G}_S^{ext}$  values with Eqn. (9),  $m_{A,1}$  is the sorbed concentration at which sorption  
 193 sequence started (any Point 1 in Fig. 1b) and  $m_{A,2}$  represents the sorbed concentration belonging  
 194 to SI, at which desorption started (any Point 2 in Fig. 1b). Finally,  $m_{A,1}$  was also reached after  
 195 one desorption step at Point 3 (Fig. 1b;  $m_{A,3}=m_{A,1}$ ). This resulting sorbed concentration at any  
 196 Point 3 ( $m_{A,1}$ ) is decreased by  $x$  as compared with that ( $m_{A,2}$ ) attained during sorption (Fig. 1b).  
 197 The further mass balance associated with one-step desorption is as the following: a  $(1-\alpha)$   
 198 fraction of supernatant solution is replaced during desorption with a solute-free liquid phase  
 199 contacting with a sorbent in a volume to mass ratio as such as one to  $r$ . Respectively, the solution  
 200 concentration  $C_3$  is changed as compared with  $C_2$ :

201 
$$C_3 = C_2 \propto +rx \quad (10)$$

202 In a set of Points 3 (marked with different number of stars in Fig. 1b), sorbed and solution  
 203 concentrations are in the Freundlich model-like connection representing a single-point DI:

204  $(m_{A,2} - x) = k_F(C_2 \alpha + rx)^n$  (11)

205 where  $k_F$  and  $n$  are the parameters of this single-point DI.

206 Hence, using  $K_{F,SI}$ ,  $n_{SI}$  and Eqn. (6), sorbed concentration  $m_{A,2}$  belonging to SI is calculated for  
207 any selected  $C_2$ . Further, with the known  $k_F$ ,  $n$ ,  $\alpha$  and  $r$  parameters, the decrease  $x$  during  
208 desorption is recovered with Eqn. (11), thus producing  $m_{A,3}$  ( $=m_{A,I}$ ) and, with Eqn. (10),  $C_3$ . The  
209 solving Eqn. (11) was performed using the Excel Solver. Having the sorbed and solution  
210 concentrations at various Points 2 and 3 (Fig. 1b), the intercept  $d$  of the straight-line connecting  
211 different pairs of Points 2 and 3 is calculated. Thus, the  $\widetilde{\Delta G}_S^{ext}$  values may be computed for each  
212 solution/sorbed concentration of interest, using Eqn. (9).

213

#### 214 **Data analysis and discussion**

215 In further analysis, the sorption-desorption data from several literature studies were used. The  
216 selected examples were those in which chemical transformations, biodegradation of chemicals  
217 or their speciation were excluded or not considered by authors as significant. In addition, the  
218 studies in which slow sorption/desorption kinetics were explicitly demonstrated, are avoided.  
219 The examples brought in the current analysis serve, foremost, the goal to demonstrate the  
220 approach how single-point DIs may elucidate Gibbs free energies of formation of metastable  
221 states when the latter could be seen as a cause for sorption-desorption hysteresis. This analysis  
222 is not suitable for the data demonstrating sorbate entrapment in the sorbent matrix not allowing  
223 molecules to freely participate in partitioning equilibria.

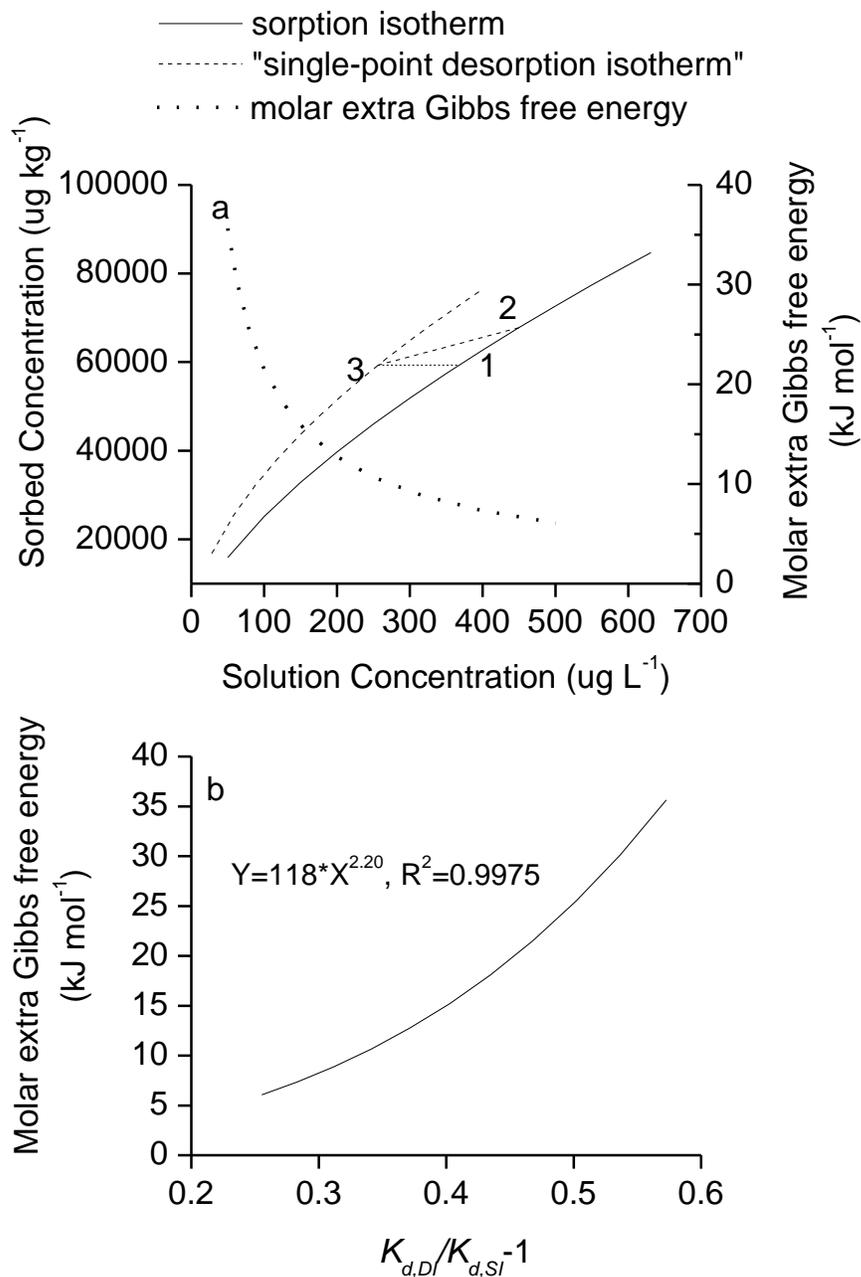
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225 *Free energies associated with SDH of polycyclic aromatic hydrocarbons on a soil and a*  
226 *sediment*

227 Wu and Sun (2010) obtained the sorption-desorption data for phenanthrene on a soil in aqueous  
228 solutions at the presence of biocide, sodium azide, in the 48 hrs equilibration, such that the  
229 kinetics tests were performed in the period from 10 min to 120 hrs and demonstrated that the  
230 apparent equilibrium was reached after 24 hrs. Fig. 2a shows the sorption-desorption data  
231 simulated using the reported parameters of SI and single-point DI (Wu and Sun, 2010) in the  
232 concentration range studied. Phenanthrene as many other aromatic hydrocarbons is well  
233 recognized to interact with soil OM as its major sorption domain when soil is fully hydrated.  
234 Then, using the above-described procedure (Section 2.2), the  $\widetilde{\Delta G}_S^{ext}$  values were computed for  
235 a series of the SI solution concentrations representing different Points 2 (as in Fig. 1) at which  
236 single steps of desorption were started. Finally, the  $\widetilde{\Delta G}_S^{ext}$  values are plotted in Fig. 2a against  
237 these solution concentrations.

238         The obtained free energy values are positive thus indicating that a work has to be made  
239 in order to create the state associated with Point 3 from the state assigned to Point 1 (Fig. 2a)  
240 despite both states are characterized by the same sorbed concentration. Such a work upon the  
241 creation of the metastable sorbed state (in consideration that this mechanism is solely  
242 responsible for SDH) should most probably reflect a sorbent perturbation. The perturbation may  
243 be seen as an inelastic swelling or pore deformation of soil OM (Braida et al., 2003; Sander et  
244 al., 2005; Cao et al., 2016) or as disruption of multiple non-covalent linkages present in soil  
245 OM phase (Borisover & Graber, 2002; Borisover et al., 2011) such that these mechanisms may  
246 become not necessarily distinguishable (Graber et al., 2007). This molar work is larger at lower

247 solution concentrations thus proposing that a sorbed state (a sorbent) is more resistive to such  
 248 perturbations when the concentration of "perturbing agent", i, e., the sorbate loading, is lower.



249

250 **Figure 2.** Sorption-desorption hysteresis of phenanthrene on a soil in aqueous solutions  
 251 (simulated using the published parameters; Wu and Sun, 2010). (a) Sorption and single-point  
 252 desorption isotherms refer to the left Y-axis and show sorbed concentrations vs. solution

253 concentrations. The explanation of Points 1,2 and 3 is provided in Fig. 1. Molar extra Gibbs  
 254 free energies associated with formation of metastable states refer to the right Y-axis. They are  
 255 plotted against solution concentration from which desorption started. (b) Molar extra Gibbs free  
 256 energy is plotted against the empirical measure of SDH defined as  $K_{d,DI}/K_{d,SI} - 1$  where the  
 257 distribution coefficients  $K_{d,DI}$  and  $K_{d,SI}$  are associated with DI and SI, respectively, and refer to  
 258 a certain common solution concentration. This concentration is associated with that of Point 3  
 259 in the notation of Fig. 1 and Fig. 2a.

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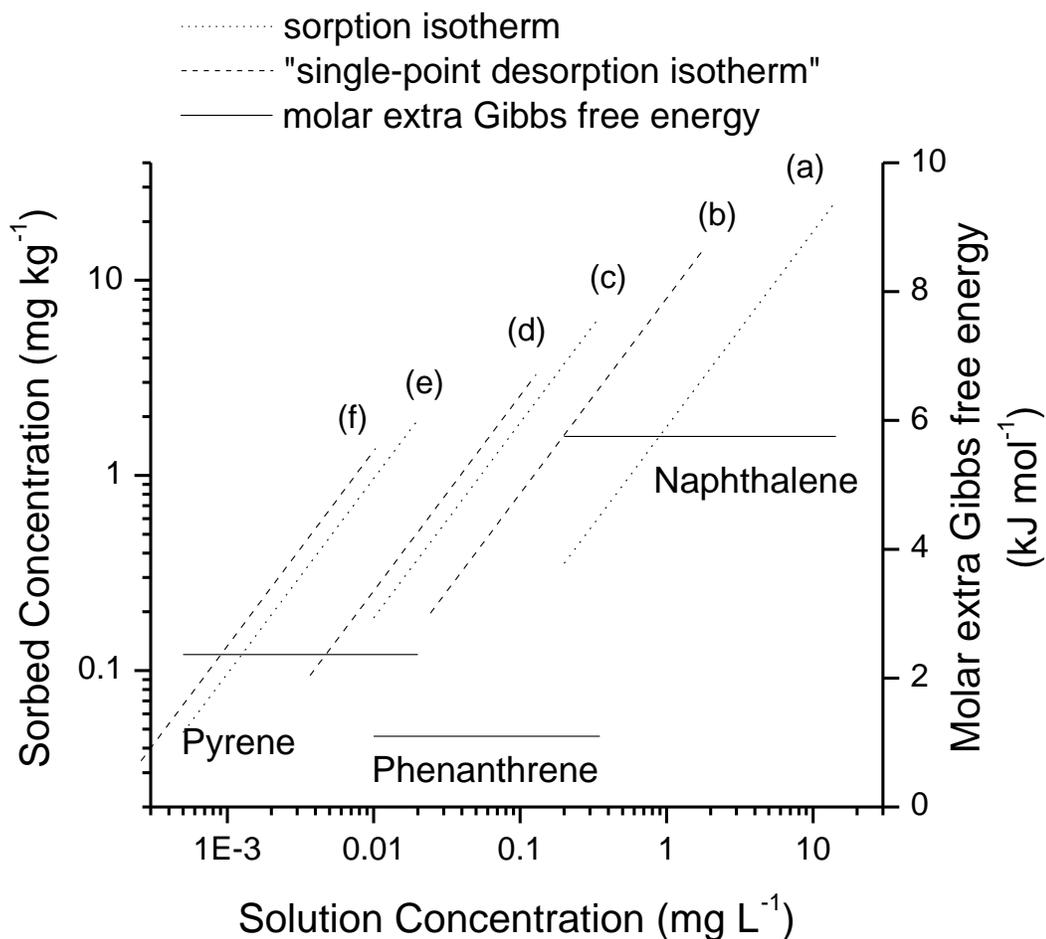
261 One widely used way to characterize SDH considers the difference of sorbed  
 262 concentrations determined for DI and SI, i.e.,  $m_{A,DI} - m_{A,SI}$ , at a certain common solution  
 263 concentration  $C$ , and normalized by the SI sorbed concentration (Huang et al., 1998; He et al.,  
 264 2006; Zhang et al., 2018). Such a normalized ratio is related to the ratio of distribution  
 265 coefficients  $K_d$  associated with SI and DI (Eqn. 12):

$$266 \quad \frac{m_{A,DI} - m_{A,SI}}{m_{A,SI}} = \frac{\frac{m_{A,DI}}{C}}{\frac{m_{A,SI}}{C}} - 1 = \frac{K_{d,DI}}{K_{d,SI}} - 1 \quad (12)$$

267 Based on the data by Wu and Sun (2010), the computed values of the molar  $\widetilde{\Delta G}_S^{ext}$  values  
 268 associated with SDH at a certain point of DI are plotted in Fig. 2b against the empirical measure  
 269 of SDH obtained as  $K_{d,DI}/K_{d,SI} - 1$ , for the same point of DI. It is seen from Fig. 2b that the molar  
 270  $\widetilde{\Delta G}_S^{ext}$  values are well connected with that empirical measure of SDH. However, the connection  
 271 is non-linear and follows the power function with the exponent exceeding one. One result of  
 272 such a non-linearity is that generally both measures cannot be used interchangeably: they  
 273 quantify differently the relative changes of the extent of SDH across sorbed concentration range  
 274 such that the molar  $\widetilde{\Delta G}_S^{ext}$  values demonstrate a greater sensitivity to the concentration changes.

275 Oh et al. (2013) published the sorption and desorption data for three polycyclic aromatic  
 276 hydrocarbons (PAHs), naphthalene, phenanthrene and pyrene, on a coastal sediment from water

277 of different salinity including non-saline water, providing 48 hrs for equilibration. SIs and  
 278 single-point DIs were found linear regarding the plots of sorbed concentrations against solution  
 279 concentrations. Using the reported parameters of the linear equations, the SIs and DIs from  
 280 water were simulated and are shown in Fig. 3 for three PAHs as log sorbed concentrations  
 281 against log solution concentrations.



282

283 **Figure 3.** Sorption-desorption hysteresis of naphthalene, phenanthrene and pyrene on a coastal  
 284 sediment in aqueous solutions (simulated using the published parameters; Oh et al., 2013).  
 285 Sorption (a, c, e) and single-point desorption (b, d, f) isotherms refer to the left Y-axis and show  
 286 log sorbed concentrations vs. log solution concentrations for naphthalene, phenanthrene and

287 pyrene, respectively. Molar extra Gibbs free energies associated with formation of metastable  
288 states are plotted against solution concentration from which desorption started; they are shown  
289 as horizontal lines and refer to the right Y-axis.

290

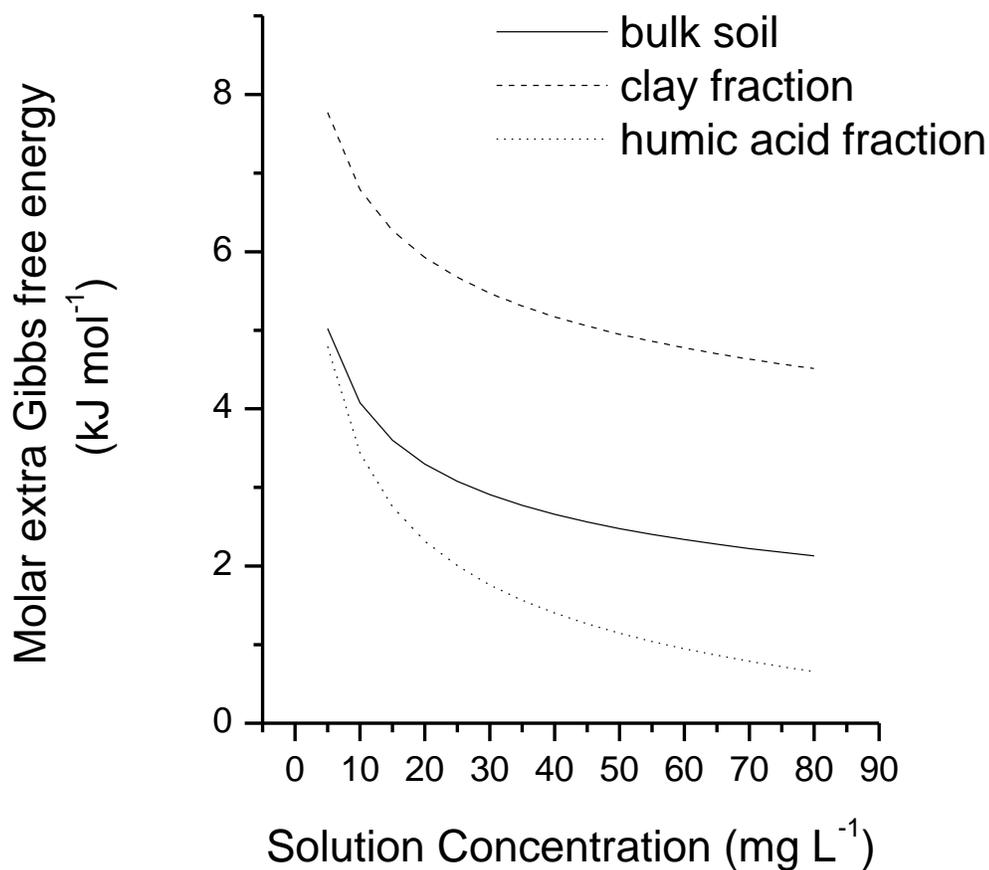
291           Since SIs and single-point DIs are linear, in the log log scale they appear as the parallel  
292 lines with the unit slope. Then, the  $\widetilde{\Delta G}_S^{ext}$  values were computed for each compound and plotted  
293 against solution concentration at which single desorption steps started (Fig. 3). Due to the  
294 linearity of SIs and DIs, the calculated  $\widetilde{\Delta G}_S^{ext}$  values for a given sorbate become independent  
295 on a specific solution concentration. All these values are positive with the largest values  
296 observed for naphthalene thus quantifying a strongest extent of SDH for this sorbate. By  
297 calculating the  $\widetilde{\Delta G}_S^{ext}$  values of naphthalene and phenanthrene on peat and leonardite OM  
298 (Borisover, 2019), it was suggested that a larger work is required for the sorbent modification  
299 and intra-OM penetration of a larger molecule, i.e., phenanthrene. Yet, it is not so for PAH  
300 sorption-desorption on coastal sediment (Fig. 3) which may be understood that larger PAHs  
301 may not undergo intra-OM sorption but rather become associated with the OM surface thus  
302 involving lesser extents of sorbent perturbation and the SDH-linked free energies of formation  
303 of metastable states.

304

305 *Free energies associated with SDH: different soil fractions and soils*

306 Kandil et al. (2015) examined sorption and desorption of imidacloprid, a neonicotinoid  
307 insecticide, on lacustrine soil and its humic acid and clay fractions. With the pK<sub>a</sub> values of 1.56  
308 and 11.12 (HSDB, Toxnet, 2019), imidacloprid is practically non-ionizable in soil solutions. In  
309 the kinetics tests ranging from 15 min to 48 hrs, the equilibration time was established, and SIs

310 as well as single-point DIs were obtained after 24 hrs such that biodegradation, based on the  
311 reported half-life times, was considered minimal. Hence, the molar extra Gibbs free energy  
312 values  $\widetilde{\Delta G}_S^{ext}$  associated with SDH were computed, using the parameters of the reported SIs and  
313 DIs as well as the solid to solution ratios used, and plotted in Fig. 4 against imidacloprid  
314 concentration in equilibrated solutions from which desorption started.



315

316 **Figure 4.** Molar extra Gibbs free energy associated with SDH and obtained from the single-  
317 point DIs for imidacloprid on the bulk soil and its clay and humic acid fractions (Kandil et al.,  
318 2015) plotted against compound concentration in the equilibrated solutions from which  
319 desorption started.

320 On all the three materials, the  $\widetilde{\Delta G}_S^{ext}$  values are positive and decline with increasing solution  
321 concentration thus exhibiting how the work to create the SDH-responsible metastable states  
322 tends to disappear at higher concentrations of imidacloprid. At a given solution concentration  
323 the  $\widetilde{\Delta G}_S^{ext}$  values on three sorbents are in the following order: humic acid fraction < bulk soil <  
324 clay fraction albeit the difference between humic acid fraction and bulk soil becomes negligible  
325 at lower solute concentrations (Fig. 4).

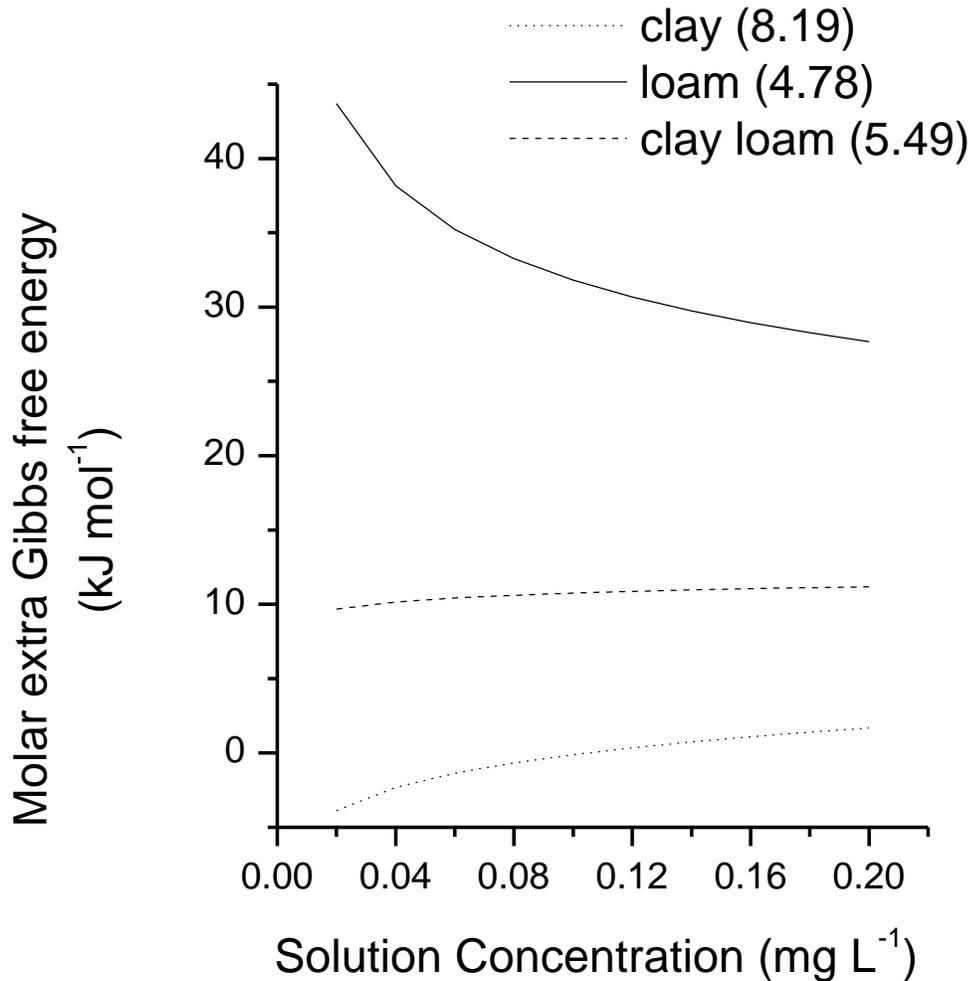
326 The larger  $\widetilde{\Delta G}_S^{ext}$  values on the clay fraction as compared with the humic acid fraction  
327 may be related to more rigid aluminosilicate nature of clay aggregates as compared with the  
328 humic acid fraction composed of generally flexible fragments of OM. The OM may be expected  
329 to undergo a better relaxation of perturbed sorption sites/OM moieties during desorption such  
330 that less work is required to alter a sorbent and accommodate a sorbate molecule in a state  
331 belonging to DI as compared with the SI state of the same composition. The soil sorbent  
332 including both fractions is expectedly found in-between the clay and humic acid fractions.  
333 Importantly, in terms of the free energy that could be accumulated in the metastable states  
334 formed and persisting during desorption, humic acid fraction seems to control soil SDH at lower  
335 solute concentrations. It is despite in the original work the major role of clay minerals in  
336 imidacloprid sorption by soil was proposed (Kandil et al, 2015). However, indeed with  
337 increasing solute concentration, the parallelism between the  $\widetilde{\Delta G}_S^{ext}$  values associated with the  
338 bulk soil and the clay fraction is better expressed as compared with that between the soil and  
339 the humic acid fraction. This may be proved by examining linear regressions of the  $\widetilde{\Delta G}_S^{ext}$  values  
340 on the clay and humic acid fractions upon that of the bulk soil. In the both cases, the correlation  
341 coefficients exceeded 0.999. However, when linking the clay fraction and the bulk soil, the  
342 slope in the regression equation is distinctly more close to one, i.e.,  $1.14 \pm 0.01$  (with the standard

343 error as a statistics measure) as compared with that of the humic acid fraction vs the bulk soil  
344 (the slope of the linear association between the relevant  $\widetilde{\Delta G}_S^{ext}$  values is  $1.43 \pm 0.001$ ). On this  
345 soil, the SDH control dominated at lower solute concentrations by the humic acid fraction  
346 becomes more influenced by the clay fraction at higher imidacloprid concentrations.

347         Chen et al. (2018) examined the behavior of bidirectional systemic insecticide,  
348 spirotetramat, in a series of soils in sorption-desorption experiments. In the soils studied, pH of  
349 soil solutions varied between 4.4 and 8.2 such that this insecticide characterized with pK 10.7  
350 (Fischer & Weiss, 2008; Jeschke, 2016) did not ionize in solutions. Sorption tests had been  
351 performed at the presence of sodium azide, with the time varied from 2 to 48 hrs, such that the  
352 24 hrs equilibration period was allowed for both measuring SIs and single-point DIs. The free  
353 energy values  $\widetilde{\Delta G}_S^{ext}$  calculated for this insecticide on some soils studied are plotted in Fig. 5  
354 against solution concentration corresponding to an apparent sorption equilibrium from which  
355 desorption started (formally representing Points 2 in Fig. 2b). The calculation procedure is that  
356 one described in section 2.2.

357         As it is seen in Fig. 5, the loam soil is characterized by significant positive  $\widetilde{\Delta G}_S^{ext}$  values  
358 decreasing when solution concentration increases. In opposite, the positive  $\widetilde{\Delta G}_S^{ext}$  values  
359 related to the clay loam slightly increase with solution concentration, as well as the values  
360 related to the clay. In the latter case, the  $\widetilde{\Delta G}_S^{ext}$  values are even slightly negative at lower solute  
361 concentrations, which indicates that DI was positioned here below the SI due most probably to  
362 a lack of SDH in this region. For these three selected soils, the  $\widetilde{\Delta G}_S^{ext}$  values increase in the  
363 following order: clay < clay loam < loam whereas the clay content and the soil pH decrease in  
364 the same series (Chen et al., 2018).

365



366

367 **Figure 5.** Molar extra Gibbs free energy associated with SDH and obtained from the single-  
 368 point DIs for spirotetramat on the three soils (Chen et al., 2018) plotted against compound  
 369 concentration in the equilibrated solutions from which desorption started. The values in the  
 370 legend indicate the soil pH.

371

372 Obviously, multiple factors may result to the above differences in the  $\widetilde{\Delta G}_S^{ext}$  values, and  
 373 it is not the aim of this contribution to reexamine the original publication. However, it should  
 374 be kept in mind that the lowered pH involves a shift of the dissociation equilibria of the soil

375 OM carboxylic groups to the protonated forms. The pK values of strongly acidic groups of  
376 humic substances may vary in the 2-5.5 range (Leenher et al., 2003), and the multiple extracted  
377 humic substances showed this protonation/ionization range even more narrow, i.e., between 3.5  
378 and 4.6 (IHSS, Acidic Functional Groups of IHSS Samples, 2019). Therefore, such a pH shift  
379 in the loam OM may be associated with rise in the number and strength of H-bonds formed  
380 with non-ionized carboxylic groups in the soil OM phase. This may enrich soil OM with non-  
381 covalent linkages thus requiring a greater work for perturbing a sorbent, disrupting multiple  
382 interactions, incorporating and accommodating the sorbate molecules in the soil OM phase. A  
383 relaxation/re-binding of disrupted interactions in soil OM during desorption may become  
384 essentially incomplete thus leading to greater extents of SDH and larger  $\widetilde{\Delta G}_S^{ext}$  values. Also, in  
385 the original work (Chen et al., 2018), structural soil changes induced by pH were mentioned as  
386 a factor influencing compound-soil interactions.

387

## 388 **Conclusions**

389 Creation of metastable states in a sorbent during sorption-desorption sequence and their  
390 persistence during desorption was indicated earlier in the literature as one mechanism leading  
391 to so-called "true" hysteresis on soils and sediments in liquid phase sorption experiments. In  
392 this scenario, sorption-desorption loop is not closed at non-zero concentrations of a sorbing  
393 chemical, which is an often case in sorption of organic compounds by soils and sediments from  
394 solutions. If so, such newly formed metastable states can be characterized by additional free  
395 energy as compared with the states of the same composition, i.e., the same sorbed concentration,  
396 belonging to sorption isotherms. It is demonstrated that this additional free energy may be  
397 computed using so-called "single-point desorption isotherms" composed of the states reached

398 through multiple independent single desorptions started from different points along sorption  
399 isotherm. Obtained additional, extra free energies represent a variety of physico-chemical  
400 features of the sorbate/sorbent/solvent systems, being dependent on the sorbate structure,  
401 sorbed concentration range and the sorbent nature. So, the extra free energies may decline with  
402 increasing solute concentration, thus indicating lesser extents of hysteresis. They may remain  
403 constant or even increase, suggesting that in the last case at higher sorbed concentrations of  
404 chemicals a larger work is needed to perturb a sorbent structure and create a sorbed state  
405 belonging to DI as compared with the same composition state belonging to SI. Obtaining such  
406 free energy quantities suggests a basis for thermodynamic examination of multiple cases of  
407 sorption-desorption hysteresis found on soils and sediments that may be linked to formation of  
408 metastable states.

409

410 **Declarations of interest:** none

411

412 The data that support the findings of this study are available from the corresponding author  
413 upon reasonable request.

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