

## ARTICLE

# Insights into the Carbon Balance for CO<sub>2</sub> Electroreduction on Cu using Gas Diffusion Electrode Reactor Designs

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In this work, the carbon balance during high-rate CO<sub>2</sub> reduction in flow electrolyzers is rigorously analyzed. The CO<sub>2</sub> consumption at gas-diffusion electrodes due to electrochemical reduction and reaction with OH<sup>-</sup> at the electrode-electrolyte interface leads to a substantial reduction in the volumetric flowrate of gas flow out of the electrolyzer, especially when highly alkaline electrolytes and elevated current densities are utilized, mainly owing to elevated pH at cathode/electrolyte interface. Without considering the CO<sub>2</sub> consumption, the Faradaic efficiencies for major gas products could be significantly overestimated during high current density CO<sub>2</sub> reduction conditions, particularly in the case of high pH electrolyte. In addition, a detailed carbon balance path is elucidated via a two-step procedure of CO<sub>2</sub> reaction with OH<sup>-</sup> at cathode/electrolyte interface and subsequent CO<sub>2</sub> generation at anode/electrolyte interface caused by a relatively low pH in the vicinity of the anode. Based on the proposed two-step carbon balance path, a systemic exploration of gases released in anolyte reveals the transformation of a HCO<sub>3</sub><sup>-</sup> or OH<sup>-</sup> catholyte to a CO<sub>3</sub><sup>2-</sup> catholyte, which was further confirmed by pH measurement.

## Introduction

The electrochemical conversion of CO<sub>2</sub> into fuels and valuable chemicals under mild conditions has gained significant interest as an attractive route for the storage of intermittent renewable energy and the utilization of the captured CO<sub>2</sub>.<sup>1–8</sup> Over the past few decades, the focus of most CO<sub>2</sub> reduction research has concentrated on the development of selective, efficient and stable electrocatalysts using traditional H-cell reactors filled with CO<sub>2</sub>-saturated aqueous solutions.<sup>9–12</sup> Researchers have substantially reduced the overpotentials required for driving selective CO<sub>2</sub> reduction via tuning morphologies,<sup>13,14</sup> compositions,<sup>15</sup> facets<sup>16,17</sup> and oxidation states of catalysts<sup>18</sup>. Although impressive progress has been made on the improvement of catalytic performance, low CO<sub>2</sub> solubility in aqueous electrolyte and the thick mass-transfer boundary layer (> 50 μm) in H-cell lead to poor CO<sub>2</sub> mass transport to the surface of the catalysts,<sup>19,20</sup> which significantly limits the current densities, preventing the potential for practical applications.

To overcome the mass transport limitations, many attempts have focused on CO<sub>2</sub> reduction in flow-cell reactors with gas-diffusion electrodes (GDEs) which can offer a dramatically thinner mass-transfer boundary layer (~50 nm) that is a 3-order of magnitude decrease compared to that in H-cell reactors.<sup>19–21</sup> Based on the flow-cell configurations, electrocatalytic conversion of CO<sub>2</sub>

has been widely performed in high concentration of neutral solutions (such as KHCO<sub>3</sub>), demonstrating commercially-relevant current densities (> 100 mA/cm<sup>2</sup>).<sup>22–26</sup> For further improving catalytic selectivity of CO<sub>2</sub> reduction to desired products, high concentration of KOH solutions are becoming commonly employed in many studies of the GDE-type flow electrolyzers, owing to the high conductivity of OH<sup>-</sup> and the stated reduction of activation energy barriers for CO<sub>2</sub> reduction influenced by OH<sup>-</sup>.<sup>21,26–31</sup> However, it is well known that OH<sup>-</sup> can react with CO<sub>2</sub> to form HCO<sub>3</sub><sup>-</sup> or CO<sub>3</sub><sup>2-</sup> according to below reactions:



These reactions inherently lead to a change of electrolytes (such as anion species) over time, finally influencing the catalytic activity of CO<sub>2</sub> reduction. Recently, a slight decrease in current density was discovered during high-rate CO<sub>2</sub> reduction in 1 M KOH electrolyte, and this observation was reported to be linked to the transitioning of OH<sup>-</sup> to CO<sub>3</sub><sup>2-</sup> in electrolyte as the major charge carrier.<sup>24</sup> However, direct evidence of the anion species transformation at high-rate CO<sub>2</sub> reduction is still lacking.

In addition, there is a much more practical issue that comes with operating CO<sub>2</sub> reduction in basic conditions. Gaseous CO<sub>2</sub> reduction products are almost always quantified by measuring a concentration (i.e. via a GC) and monitoring the gas flow, as indicated in Equation S2 (catalytic selectivity calculation). While the incoming CO<sub>2</sub> flow can easily be measured by thermal mass flowmeters, variations in product streams (a mixture of different gases) after the reactor limit the available techniques for measuring outlet flows (mostly due to

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variations in thermal conductivity). At neutral pH electrolyte and low currents, it is reasonable to approximate outlet flows are equivalent to inlet flows since conversion rates are low (such as most of the cases in H-cell). However, basic solutions with the ability of capturing  $\text{CO}_2$  via reaction with  $\text{OH}^-$  can significantly change the outlet flowrates. In addition,  $\text{CO}_2$  conversion into  $\text{C}_2$  gas products and liquid products at high reaction rates (i.e. high current densities) also affects the gas outlet flowrates. Thus, the measurement of outlet gas flow in high-rate  $\text{CO}_2$  reduction plays an important role in calculation of Faradaic efficiency (FE) of gas products. However, currently the majority of work in high-rate  $\text{CO}_2$  electroreduction<sup>21–23,28,31–36</sup> have not explicitly stated that their Faradaic efficiency calculations were based on the outlet gas flow from their reactor (exception for few research on  $\text{CO}_2$  reduction to  $\text{CO}$ <sup>37,38</sup>). Therefore, to ensure results are not errantly reported in GDEs-type electrolyzers, it is critical to fundamentally understand the carbon balance and benchmark the evaluation of the catalytic selectivity (or FE) at high current densities. Herein, we demonstrate that the  $\text{CO}_2$  consumption via the reaction with  $\text{OH}^-$  in flow electrolyzers (Figure 1a) can significantly reduce the total flowrate of gas outlet after electrolysis, especially in high concentration of alkaline solutions. In addition, this study also shows how the  $\text{CO}_2$  consumption can affect evaluation of  $\text{CO}_2$  reduction results and how electrolyte speciation dynamically changes at high current densities. In addition, this study provides new insights into the carbon balance of flow electrolyzers via systemically exploring carbon paths and the transformation of ion species in catholyte and anolyte.

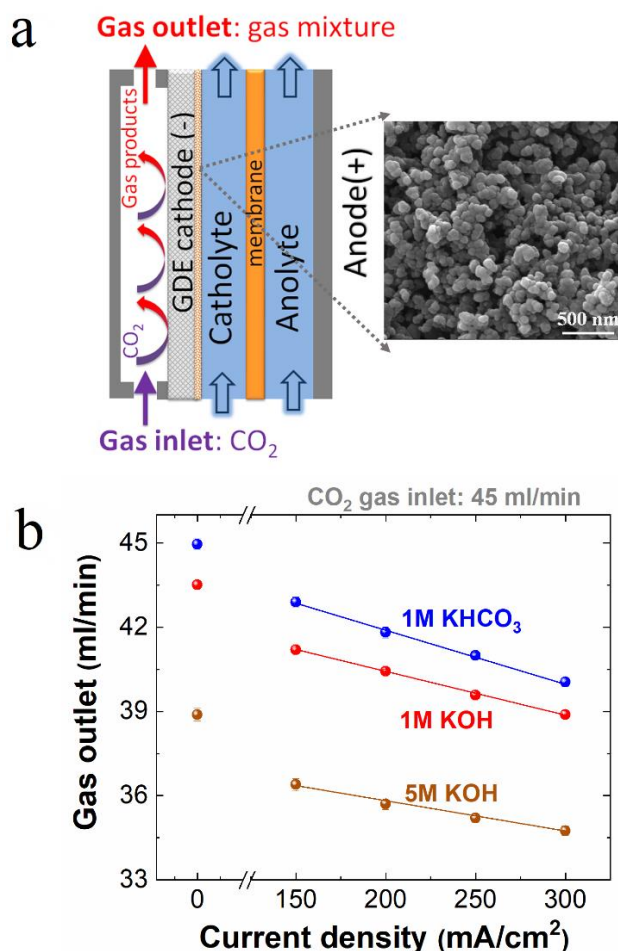
## Experimental Methods

### Fabrication and characterization of Cu catalysts.

To obtain thin Cu electrocatalyst layers on GDEs with high purity, the Cu catalysts were deposited by a magnetron sputtering at an argon pressure of 2 mTorr. Figure 1a shows a typical scanning electron microscope image (SEM) of the Cu catalysts coated on the top of microporous carbon layers. In addition, the cross-sectional SEM image (Figure S1) indicates the Cu deposition rate was  $\sim 4$  nm/min. Using this technique, we synthesized  $\sim 70$  nm thick Cu catalyst layers on GDEs. To identify the phase of Cu catalysts, X-ray diffraction (XRD) measurements were conducted. The XRD patterns (Figure S4) show the PTFE<sup>39</sup> and carbon peaks derived from GDE substrates as well as the (111), (200), and (211) Cu peaks with the dominant (111) peak.

### Electrocatalytic $\text{CO}_2$ reduction.

The electrochemical reduction of  $\text{CO}_2$  was performed in a three-compartment flow electrolyzer, consisting of catholyte and anolyte flow compartments which are separated by an anion exchange membrane (AEM), and gas compartment which allows gases to flow in and out of the reactor, as shown in Figure 1a. The cathodic gas flow compartment was continuously fed with  $\text{CO}_2$  at a constant flow rate (45 ml/min), and a part of  $\text{CO}_2$  was converted into gas products, which directly vented into the gas-sampling loop of a gas chromatograph (GC) for periodical quantification (Figure 5S). Liquid-phase products formed during the  $\text{CO}_2$  reduction were diluted in the given reservoir (catholyte and anolyte), and recycled until the test was finished. After completion of electrolysis, liquid-phase products



**Figure 1.** (a) Schematic illustration of three-compartment flow electrolyzers, and SEM image of Cu catalysts on GDEs. (b) Gas outlet flowrates from gas chamber after  $\text{CO}_2$  reduction in 1 M  $\text{KHCO}_3$ , 1 M  $\text{KOH}$  and 5 M  $\text{KOH}$ , respectively.

were identified and quantified by a high-performance liquid chromatography (HPLC).

## Results and Discussion

To verify the variation in gas flowrate between inlet and outlet, a volumetric flowmeter was used to monitor the outlet flow of our reactor (Figure 5S). Figure 1b shows the outlet flowrate as a function of current density ( $J$ ) in 1 M  $\text{KHCO}_3$ , 1 M  $\text{KOH}$  and 5 M  $\text{KOH}$ , respectively. Without electrolysis (i.e.  $j=0$  mA/cm<sup>2</sup>), there is no obvious discrepancy in the flowrate between inlet and outlet in 1 M  $\text{KHCO}_3$ . In contrast, an evident decrease in the outlet flowrate was observed upon increasing the concentration of  $\text{OH}^-$  in electrolyte ( $J = 0$  mA/cm<sup>2</sup>), which stems from the enhanced  $\text{CO}_2$  consumption rate through the reaction of  $\text{CO}_2$  and  $\text{OH}^-$  in high pH solutions. As current densities increased, outlet flow gradually decreased in all the electrolytes, which corresponds to a gradual enhancement in consumption rate of  $\text{CO}_2$ .

This increase in  $\text{CO}_2$  consumption rate at high current densities can be ascribed to enhanced  $\text{CO}_2$  reduction rate and local pH effect. Higher current densities correspond to an increased conversion rate of  $\text{CO}_2$  into gaseous and liquid products, which results in the

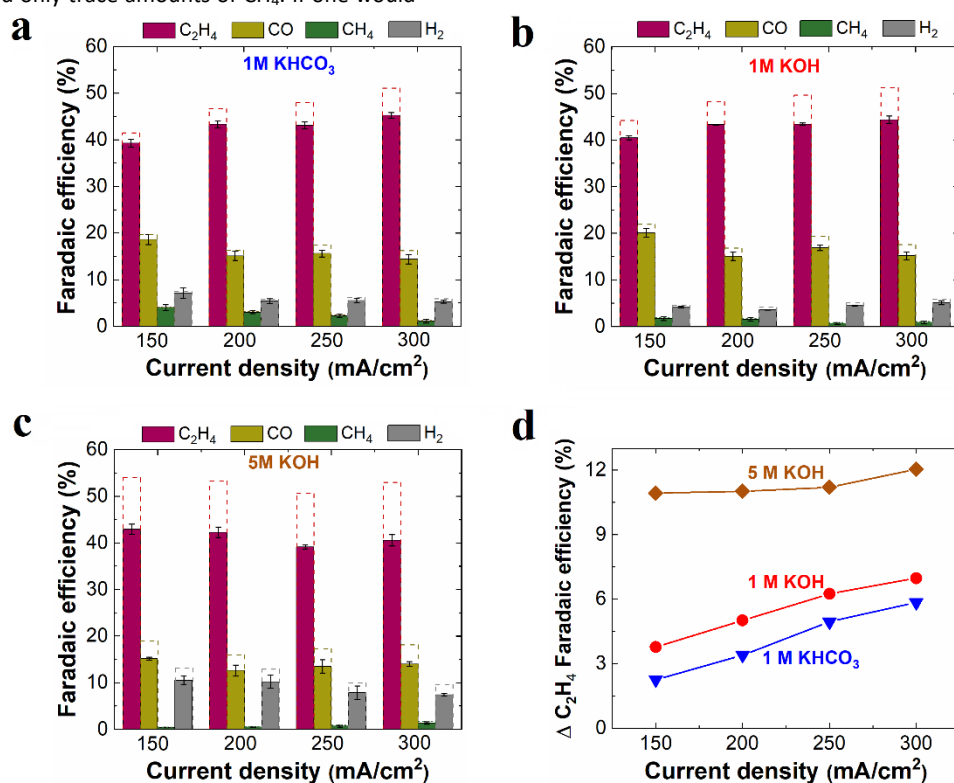
increment in consumption of  $\text{CO}_2$ , partially contributing to the variation of outlet flow. In addition, there is an enhanced  $\text{OH}^-$  generation rate at electrode/electrolyte interface upon increasing current densities via cathodic reactions (hydroxyl groups generation rate is linearly correlated with current densities based on Equation S(3-9)), which creates a high local pH near surface of catalyst, thus further favoring additional  $\text{CO}_2$  consumption via Equation 1 or 2. The current induced pH variations (and concomitant  $\text{CO}_2$  consumption) should be most obvious in moderate pH solutions. Thus as expected, a careful analysis of variation rate in gas outlet flow as a function of current density reveals a larger decrease in outlet gas flow rate with increasing current densities in moderate pH electrolyte (slope value in Figure S6:  $1 \text{ M KHCO}_3 > 1 \text{ M KOH} > 5 \text{ M KOH}$ ). All the above findings imply that high-rate  $\text{CO}_2$  reduction could result in a substantial  $\text{CO}_2$  consumption, varying the outlet flowrate particularly in the case of high concentration of alkaline solutions.

It should be noted that the  $\text{CO}_2$  consumption rate (flowrate alteration) is also linked to GDE surface area used in flow-electrolyzers and mass transport properties possibly influenced by type of GDEs,  $\text{CO}_2$  inlet flow and catholyte flow. For simplification, all these parameters were kept constant in this study.

The Faradaic efficiencies of the gas products formed over Cu catalysts in different electrolytes were plotted at various current densities with and without considering the outlet flowrate changes (Figure 2). As noted in Figure 1, the gaseous product distribution is primarily ethylene across all tested current densities, with small amounts of  $\text{H}_2$  and  $\text{CO}$  and only trace amounts of  $\text{CH}_4$ . If one would

not have considered  $\text{CO}_2$  consumption (i.e. columns with dashed line in Figure 2), it seems as if the Faradaic efficiency for  $\text{C}_2\text{H}_4$  had a slight improvement from  $1 \text{ M KHCO}_3$  to  $1 \text{ M KOH}$ , and then significantly increased for  $5 \text{ M KOH}$ . However, there appears to be no significant variation in ethylene across all different electrolytes and current densities ranges after considering  $\text{CO}_2$  consumption and concomitant change in outlet flow (i.e. solid columns). In addition, Hori *et al.* has demonstrated that formation of  $\text{C}_2$  products ( $\text{C}_2\text{H}_4$  and ethanol) are irrespective of the pH of electrolyte, but are correlated with electrode potential.<sup>40</sup> Here, we also found that the role of bulk pH may be minimal in affecting ethylene selectivity for  $\text{CO}_2$  reduction at high current densities under consideration of outlet flow variation (at roughly identical potentials ranges, as shown in Table 1 and 2). Thus, the error introduced by disregarding  $\text{CO}_2$  consumption could lead to the misunderstanding of trend in catalytic activity and erroneous conclusions about superior operating conditions.

The discrepancy in the Faradaic efficiency for  $\text{C}_2\text{H}_4$  (major gas product) with and without considering  $\text{CO}_2$  consumption became larger at higher current densities for the same electrolyte, as shown in Figure 2d. Notably, an overestimated Faradaic efficiency of 12% for  $\text{C}_2\text{H}_4$  formation was discovered in  $5 \text{ M KOH}$  without considering the  $\text{CO}_2$  consumption at  $300 \text{ mA/cm}^2$ , which is much higher compared to those in  $1 \text{ M KOH}$  (7%) and  $1 \text{ M KHCO}_3$  (5.9%) under identical conditions (Figure 2d). This result indicates that Faradaic efficiencies for major gas products in flow electrolyzers at the high-rate  $\text{CO}_2$  reduction could be significantly overestimated without



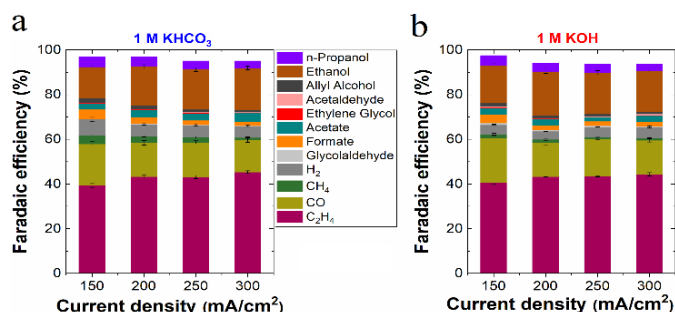
**Figure 2.** Comparison of the electrocatalytic performance of Cu-coated on GDEs in different electrolyte. Faradaic efficiency for gas products in  $1 \text{ M KHCO}_3$  (a),  $1 \text{ M KOH}$  (b) and  $5 \text{ M KOH}$  (c) at various current densities, based on corrected and uncorrected gas flowrate, respectively (columns with dash line shows the Faradaic efficiency calculated using uncorrected gas flowrate without considering  $\text{CO}_2$  consumption). (d) Difference in  $\text{C}_2\text{H}_4$  Faradaic efficiency with and without the consideration of  $\text{CO}_2$  consumption.

consideration of the CO<sub>2</sub> consumption (using uncorrected gas flowrate), especially for high concentration of OH<sup>-</sup> electrolyte.

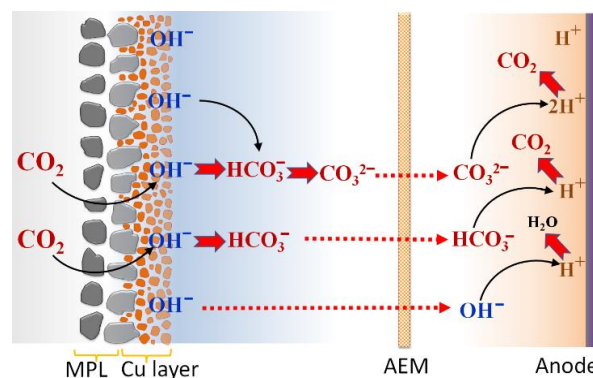
In addition to gaseous products, ethanol was observed as a major liquid product across all current densities in 1 M KHCO<sub>3</sub> and 1 M KOH, along with small amounts of n-propanol, formate and acetate as well as only trace amounts of allyl alcohol, acetaldehyde, glycolaldehyde and ethylene glycol (Figure 3). Of particular note, the selectivity of liquid products were obtained based on analysis of both catholyte and anolyte because of the fact that we found crossover of some liquid products from catholyte to anolyte via AEM (Figure S16). Specifically, while the crossover ratio of most of neutral products such as ethanol and n-propanol were very small (almost negligible), the anionic CO<sub>2</sub> reduction products such formate and acetate experienced substantial crossover by electromigration across all current densities (Figure S17), which is consistent with a previous report.<sup>41</sup> In addition, a disproportionate amount of acetaldehyde crossed to the anolyte (it appears as if the crossover ratio of acetaldehyde was relatively high) and near 20% ethanol FE (dominant liquid product in Figure 3) entail that while CO<sub>2</sub> reduction produced a significantly higher amount of acetaldehyde at the cathode in comparison with the detected results (Figure 3) after finishing electrolysis, most of acetaldehyde was further reduced to ethanol as the catholyte is recycled during electrolysis.<sup>42</sup>

### Captured CO<sub>2</sub> throughout the electrolyte

Based on the results of Figure 1, high-rate CO<sub>2</sub> reduction could lead to a substantial CO<sub>2</sub> consumption, thus it is pertinent to understand where all CO<sub>2</sub> goes to achieve a complete carbon balance. With near 100% Faradaic efficiency toward all different products, the total carbon in the form of all products generation is significantly less than that of total CO<sub>2</sub> consumption during CO<sub>2</sub> reduction electrolysis. In addition to CO<sub>2</sub> that was converted into products, electrolyte is capable of capturing CO<sub>2</sub> as CO<sub>3</sub><sup>2-</sup> or HCO<sub>3</sub><sup>-</sup> (Equation 1 or 2) at electrode/electrolyte interface. In the case of 1M KHCO<sub>3</sub> as an electrolyte, substantial additional carbonate or bicarbonate formed via capturing CO<sub>2</sub> could not exist in electrolyte, thus there must be CO<sub>2</sub> degassing through either the catholyte or anolyte. A test was done in a closed-cycle catholyte with a vent for gases, a volumetric flow meter showed no gas evolution during the course of CO<sub>2</sub> reduction at 200 mA/cm<sup>2</sup>. In contrast, we detected CO<sub>2</sub> evolution released from anolyte, accompanying with O<sub>2</sub> evolution (using a setup shown in Figure S8.)



**Figure 3.** Faradaic efficiencies for all detected gas and liquid products in 1 M KHCO<sub>3</sub> (a) and 1 M KOH (b) at various current densities.



**Scheme 1.** (a) Proposed carbon balance paths via CO<sub>3</sub><sup>2-</sup> or HCO<sub>3</sub><sup>-</sup> formation from CO<sub>2</sub> and a subsequent CO<sub>2</sub> production from CO<sub>3</sub><sup>2-</sup> or function of current density at steady state. 1 M KHCO<sub>3</sub> CO<sub>3</sub><sup>2-</sup> (red dash lines with arrows show the possible charge-carrying ionic species for AEM while using KHCO<sub>3</sub> electrolyte) in flow electrolyzers was used in all these experiments as initial catholyte (50 ml) and anolyte (50 ml).

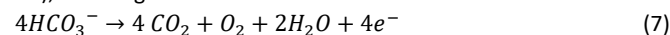
For gaining insights into the captured CO<sub>2</sub> throughout the electrolyte and the released CO<sub>2</sub> from anolyte, Scheme 1 shows a carbon balance path through a two-step procedure of CO<sub>3</sub><sup>2-</sup> or HCO<sub>3</sub><sup>-</sup> formation from capturing CO<sub>2</sub> at cathode/electrolyte interface and a subsequent CO<sub>2</sub> generation from CO<sub>3</sub><sup>2-</sup> or HCO<sub>3</sub><sup>-</sup> at anode/electrolyte interface. In the cathodic reactions at high J (KHCO<sub>3</sub> electrolyte), a large amount of OH<sup>-</sup> generated near the catalyst surface will react with CO<sub>2</sub> to form CO<sub>3</sub><sup>2-</sup> or HCO<sub>3</sub><sup>-</sup> (Equation 1 or 2), and then the anions including CO<sub>3</sub><sup>2-</sup>, HCO<sub>3</sub><sup>-</sup> or residual OH<sup>-</sup> could transport from catholyte to anolyte via AEM as charge-carriers. Meanwhile, the pH drops locally at the anode/anolyte interface due to H<sup>+</sup> generation by the below anodic reaction (water oxidation reaction):



Subsequently, CO<sub>3</sub><sup>2-</sup>, HCO<sub>3</sub><sup>-</sup> or OH<sup>-</sup> coming from catholyte can neutralize the H<sup>+</sup> (Scheme 1) generated near the anode surface owing to the following reactions<sup>43</sup>:



Thus, a low local pH could lead to CO<sub>2</sub> degassing in anolyte which derives from the captured CO<sub>2</sub> by the reaction with OH<sup>-</sup> in catholyte. After combing Equation 3 with the neutralization reactions (Equation 4-6), we can get:



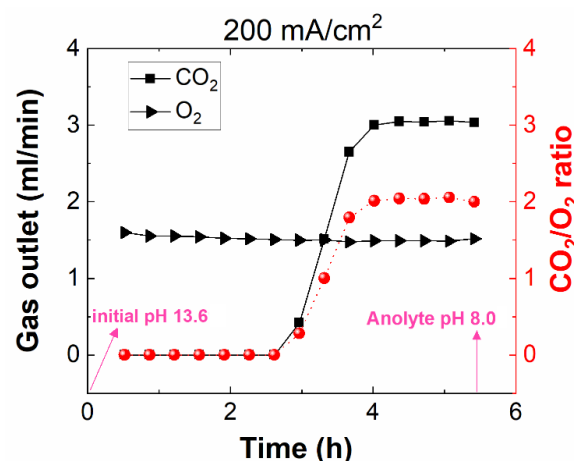
From these simple modifications, it can be seen that the gas composition ratio of CO<sub>2</sub> to O<sub>2</sub> in anolyte will be 4, 2 and 0 if the only charge-carrier for AEM is HCO<sub>3</sub><sup>-</sup>, CO<sub>3</sub><sup>2-</sup> or OH<sup>-</sup>, respectively. Evidently, the main charge-carrying anion species through the AEM is not only linked to CO<sub>2</sub> generation rate, but also can be used as an indicator of the main existing anions in catholyte. In addition, the conductivity of the membrane is also a function of ionic species.<sup>44,45</sup> Thus, it is highly crucial to identify whether HCO<sub>3</sub><sup>-</sup>, CO<sub>3</sub><sup>2-</sup> or potentially even OH<sup>-</sup> is the dominant ion transferring across the AEM.

As presented in Figure 4a, the composition ratio of  $\text{CO}_2/\text{O}_2$  gradually decreased from  $\sim 3$  to  $\sim 2$  in the initial 4 h, and was then maintained at  $\sim 2$  for the duration of electrolysis at  $200 \text{ mA/cm}^2$  in  $1 \text{ M KHCO}_3$ , implying that the main transport charge-carrier for AEM quickly changed from a mixture of  $\text{HCO}_3^-$  and  $\text{CO}_3^{2-}$  to  $\text{CO}_3^{2-}$  over electrolysis, which is attributed to the rapid transformation of  $\text{HCO}_3^-$  to  $\text{CO}_3^{2-}$  in catholyte. By combing flow meter with GC (Figure S8), a declined flowrate of  $\text{CO}_2$  from  $\sim 5 \text{ mL/min}$  to  $\sim 3 \text{ mL/min}$  was observed, along with a constant  $\text{O}_2$  flowrate over electrolysis, which is consistent with the theoretical calculation of flowrates based on that  $\text{CO}_3^{2-}$  served as the main transport charge-carriers for AEM (Figure S12).

In addition, pH of electrolyte was also measured over the course of electrolysis. Figure 4b shows that anolyte reduced to  $\text{pH} \sim 7.9$  within 10 min and then maintained at natural pH throughout the rest of electrolysis, thus allowing for releasing  $\text{CO}_2$  in anolyte. In contrast, a sharply increased catholyte pH was detected in the initial electrolysis (final  $\text{pH} > 11$ ), further confirming that bicarbonate catholyte rapidly transformed to carbonate catholyte ( $\text{pH}$  of  $1 \text{ M K}_2\text{CO}_3 > 11$ ).

Furthermore, analysis of gas released from anolyte was also performed at  $150$ ,  $250$  and  $300 \text{ mA/cm}^2$  (Figure S9). After the system approximately reached steady state during 10 h electrolysis, the ratio of  $\text{CO}_2/\text{O}_2$  and the corresponding flowrates of  $\text{CO}_2$  and  $\text{O}_2$  in Figure 4c, suggesting that  $\text{CO}_3^{2-}$  was the main transport charge-carriers which is independent of current ( $\geq 150 \text{ mA/cm}^2$ ) in this study. However, the catholyte transition rate from bicarbonate to carbonate was faster at higher current densities (Figure S10), due to current-dependent  $\text{OH}^-$  generation rate via cathodic reactions.

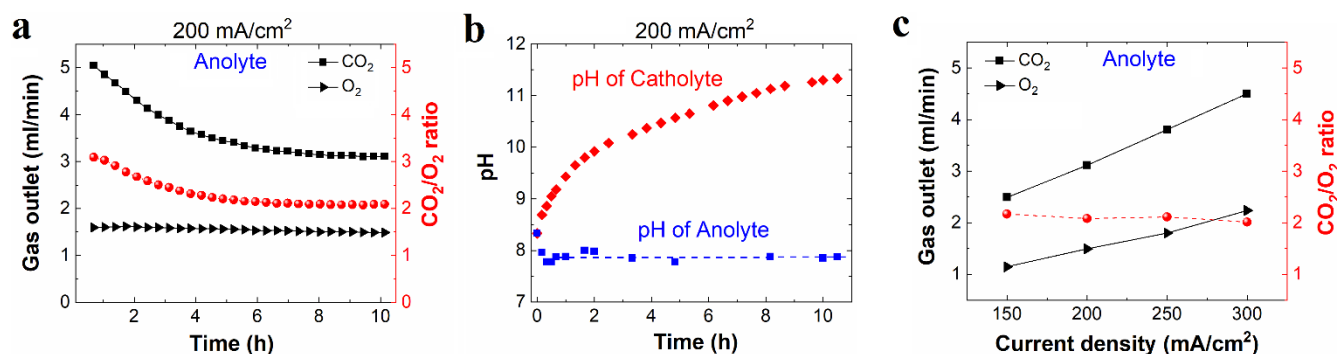
When  $1 \text{ M KOH}$  was used as an electrolyte in both anolyte ( $20 \text{ mL}$ ) and catholyte ( $20 \text{ mL}$ ), analysis of the gas from the anolyte over time (Figure 5) shows that no  $\text{CO}_2$  was detected during the initial 2.5 h, which is due to remaining  $\text{KOH}$  in anolyte which could not allow  $\text{CO}_2$  to exist. After 2.5 h,  $\text{CO}_2$  started to evolve and then rapidly reached a  $\text{CO}_2/\text{O}_2$  ratio of 2. In addition, after 5 h we found the pH of catholyte and anolyte was reduced from 13.6 to  $\sim 11.6$  and  $\sim 8$ , respectively. These observations indicates that the  $\text{CO}_2$  absorption in  $\text{KOH}$  at the catholyte to form carbonate, which then transferred to anolyte through the AEM. After existing  $\text{KOH}$  in anolyte was neutralized by  $\text{H}^+$  produced in anodic reaction (Equation 3) to reach near neutral solution,  $\text{CO}_2$  could be released via reaction of carbonate with  $\text{H}^+$



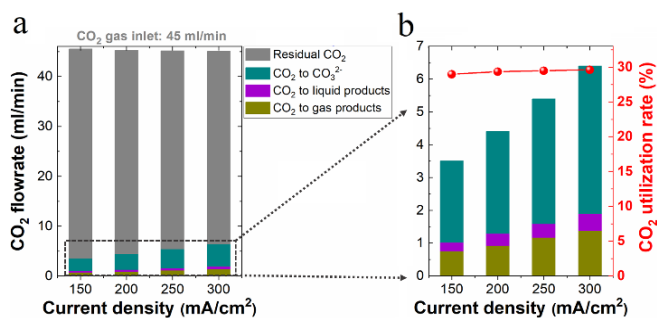
**Figure 5.** The flowrate of  $\text{O}_2$  and  $\text{CO}_2$  released from anolyte and the related  $\text{CO}_2/\text{O}_2$  ratio over  $\text{CO}_2$  reduction electrolysis in  $1 \text{ M KOH}$  at  $200 \text{ mA/cm}^2$  (each bottle was filled with  $20 \text{ mL}$  of  $1 \text{ M KOH}$  as initial catholyte and anolyte).

(Scheme 1). In addition, a part of carrier ions via AEM should be carbonate (the rest is  $\text{OH}^-$ ) in basic solutions in the initial 2.5 h, which leads to a large amount of existing  $\text{CO}_3^{2-}$  in anolyte before releasing  $\text{CO}_2$  ( $\text{CO}_2$  cannot exist in basic anolyte), thus quickly reaching a  $\text{CO}_2/\text{O}_2$  ratio of 2 once having a neutral anolyte. It should be noted that by increasing the anolyte and catholyte volume to  $50 \text{ mL}$ , no  $\text{CO}_2$  evolution was detected during a 6 hour test (Figure S11). These experiments demonstrate the large capacity of  $\text{KOH}$  to capture  $\text{CO}_2$  and reiterates the point that it is essential to understand the complete carbon balance to accurately analyze  $\text{CO}_2$  reduction.

Based on the aforementioned discussion, eventually the carbon from  $\text{CO}_2$  inlet flow should be balanced with carbonate formation, product generation and outgoing  $\text{CO}_2$ . In the case of  $1 \text{ M KHCO}_3$  as an electrolyte, the final carbon balance (Equation S14) in Figure 6a shows that the unreacted  $\text{CO}_2$  flowrate (residual  $\text{CO}_2$ ) after reactor, consumed  $\text{CO}_2$  flowrate for carbonate formation (reaction with  $\text{OH}^-$ ) and consumed  $\text{CO}_2$  flowrate for the  $\text{CO}_2$  conversion into products added up to a total of  $\sim 45 \text{ mL/min}$  at various current densities, which is equal to  $\text{CO}_2$  inlet flowrate used in this study. In addition, the total  $\text{CO}_2$  consumption rate (carbonate formation and product generation)



**Figure 4.** (a) Flowrate of  $\text{CO}_2$  and  $\text{O}_2$  released from anolyte, and related  $\text{CO}_2/\text{O}_2$  ratio over time at  $200 \text{ mA/cm}^2$ . (b) Electrolyte pH over  $\text{CO}_2$  electrolysis. (c) Flowrate of  $\text{CO}_2$  and  $\text{O}_2$  from anolyte as a function of current density at steady state.  $1 \text{ M KHCO}_3$  was used in all these experiments as initial catholyte ( $50 \text{ mL}$ ) and anolyte ( $50 \text{ mL}$ ).



**Figure 6.** (a) Carbon balance for CO<sub>2</sub> reduction in 1 M KHCO<sub>3</sub>. The total consumed CO<sub>2</sub> flow for carbonate formation and CO<sub>2</sub> reduction to all liquid and gas products as well as residual (i.e. unused) CO<sub>2</sub> flow were considered. (b) Ratio of CO<sub>2</sub> used in products formation to total CO<sub>2</sub> consumption (right axis).

increased as current densities enhanced (Figure 6a), which is consistent with the lower outlet flowrate for the cathode gas at higher current densities observed in Figure 1b. Notably, only ~30% of the CO<sub>2</sub> consumption was involved in CO<sub>2</sub> reduction for product formation, whereas most of consumed CO<sub>2</sub> (70%) was captured by the electrolyte to form carbonate (Figure 6b). Obviously, consumed CO<sub>2</sub> by absorbing in KOH electrolyte to carbonate formation should be much higher than that in KHCO<sub>3</sub>. The fact that KOH acts as a reservoir for absorbing CO<sub>2</sub> complicates this analysis and greatly dilute the precision of any results while providing minimal new scientific information, thus a similar analysis for KOH solution was not done.

## Conclusions

In conclusion, our results show that the CO<sub>2</sub> consumption via the reaction with OH<sup>-</sup> in flow electrolyzers could significantly reduce the flowrate of gas outlet, which is closely linked to the final evaluation of the catalytic selectivity for gas products. We found the discrepancy of 5.9%, 7% and 12% for C<sub>2</sub>H<sub>4</sub> FE with and without corrected flowrate at 300 mA/cm<sup>2</sup> in 1 M KHCO<sub>3</sub>, 1 M KOH and 5 M KOH, respectively. According to the carbon balance path, the gases released from anolyte was examined during CO<sub>2</sub> reduction, suggesting a rapid transformation of electrolyte, which is consistent with the variation of electrolyte pH. We found that most of consumed CO<sub>2</sub> (~70%) at high current density CO<sub>2</sub> reduction in the case of 1 M KHCO<sub>3</sub> was absorbed by the electrolyte to form carbonate. In addition, 8 different liquid products were detected, accompanying with a significant amount of formate and acetate crossover through anion exchange membrane. This study presents that CO<sub>2</sub> consumption should be taken into account for evaluating catalytic selectivity of gas products, and both catholyte and anolyte should be analyzed for liquid products, thus enabling us to obtain reliable results for high-rate CO<sub>2</sub> reduction.

## Conflicts of interest

There are no conflicts to declare.

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## Insights into the Carbon Balance for CO<sub>2</sub> Electroreduction on Cu using Gas Diffusion Electrode Reactor Designs

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## Materials

Potassium bicarbonate ( $\text{KHCO}_3$ ,  $\geq 99.95\%$ ) and potassium hydroxide hydrate ( $\text{NaOH}\cdot x\text{H}_2\text{O}$ , 99.995%, Suprapur®) were purchased from Sigma Aldrich. All chemicals were used in this study without further purification. Anion exchange membrane (AEM, Fumasep FAA-3-PK-75) and gas-diffusion electrode (GDE, Sigracet 39 BC) were purchased from Fuel Cell Store. Iridium dioxide ( $\text{IrO}_2$ ) purchased from Dioxide Materials was used as anode in  $\text{CO}_2$  flow electrolyzers.

## Catalysts fabrication and characterization

In this work, Cu catalyts were deposited on the top of microporous layer of gas-diffusion electrodes by direct current magnetron sputtering from a Cu target. In order to obtain the accurate deposition rate of Cu, Cu films were deposited on Si substrates, and then the cross-sectional SEM of Cu film/Si was performed. Figure S1 shows the cross-sectional SEM image of Cu film/Si deposited for 50 min, which indicates the fact that ~200 nm thick Cu film was synthesized, corresponding to a Cu depositeon rate of ~4 nm/min.

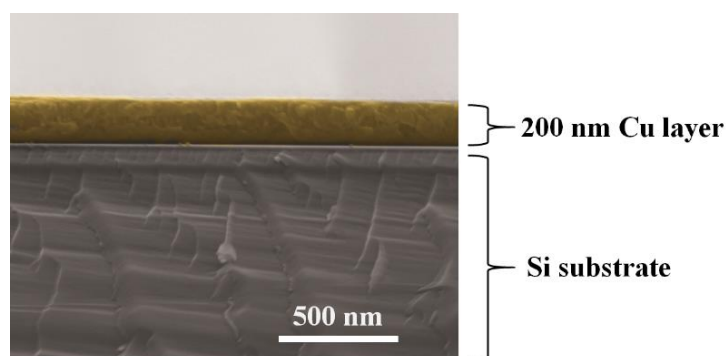


Figure S1. Cross-sectional SEM image of Cu layer deposited on Si by magnetron sputtering for 50 min.

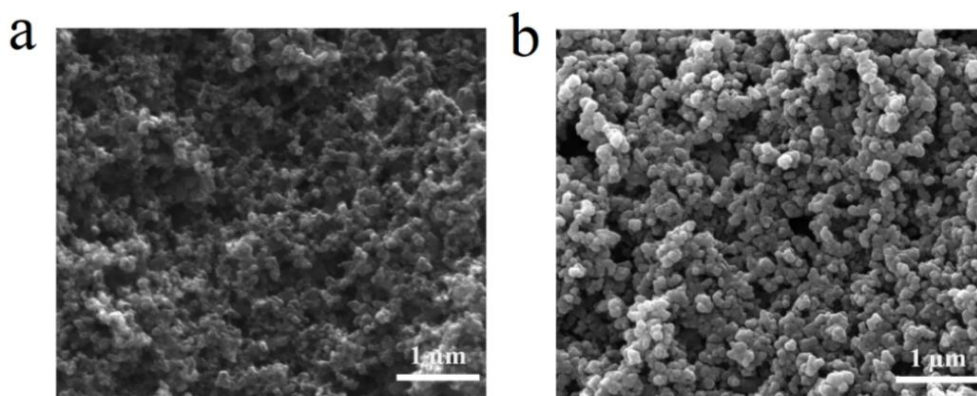


Figure S2. SEM images of microporous carbon layers (a) of gas-diffusion electrodes and Cu catalysts (b) coated on microporous carbon layers of gas-diffusion electrodes.



Figure S3. Digital image of Cu deposited on a gas-diffusion electrode.

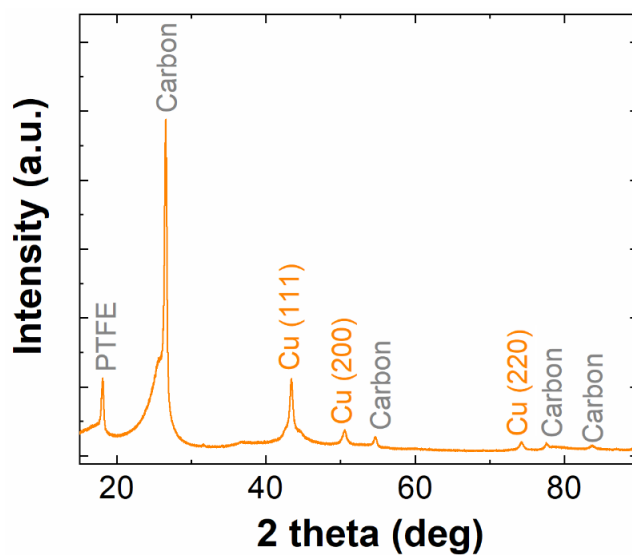


Figure S4. XRD patterns of Cu coated on a gas-diffusion electrode (Sigracet 39 BC). XRD measurements were performed using Cu K $\alpha$  radiation.

## Faradaic efficiency calculation

The Faradaic efficiency (FE) of products can be calculated according to the below equation:

$$FE (\%) = \frac{Q_{product}}{Q_{tot}} \times 100\% \quad (S1)$$

where  $Q_{product}$  and  $Q_{tot}$  are charge transferred for product formation and charge passed through the working electrode, respectively.

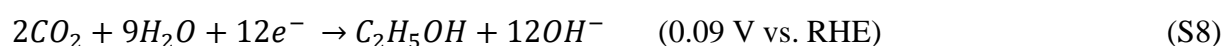
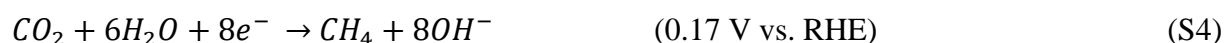
Based on the above equation, the detailed calculation for Faradaic efficiency of gas products could be written as:

$$FE (\%) = \frac{n \times C_{product} \times \emptyset t \times \frac{P_o}{RT} \times F}{I \times t} \times 100\% \quad (S2)$$

where  $C_{product}$  and  $n$  are the concentration of gas product measured by GC and the number of electrons required for producing one molecule of the related gas product, respectively.  $\emptyset$  is gas flow rate,  $t$  is the electrolysis time,  $P_o$  is ambient pressure,  $F$  is Faraday constant,  $R$  is ideal gas constant,  $T$  is absolute temperature, and  $I$  is current.

### High local pH at cathode/electrolyte interface

In the electrocatalytic CO<sub>2</sub> reduction process, CO<sub>2</sub> can be converted into a variety of gas and liquid products when combined with water on metal surfaces in aqueous solutions according to the reactions<sup>1-3</sup>:



The competing H<sub>2</sub> evolution is an unavoidable reaction in CO<sub>2</sub> electroreduction. Thus, water is reduced to H<sub>2</sub> on the surface of catalyst according to the reaction<sup>1</sup>:



Thus, OH<sup>-</sup> ions are produced at the cathode/electrolyte interface in the cathodic reactions (Equation (3-9)), leading to an increased pH near the surface of cathode.<sup>2,3</sup>

### Reaction of CO<sub>2</sub> and OH<sup>-</sup> near cathode surface

In addition to the electrochemical CO<sub>2</sub> reduction, CO<sub>2</sub> also can react with OH<sup>-</sup> created at the electrode/electrolyte interface by cathodic reactions (Equation (S3-S9)) using KHCO<sub>3</sub> electrolyte in our three-compartment flow electrolyzer. Of particular note, CO<sub>2</sub> not only reacts with OH<sup>-</sup> generated by cathodic reactions (Equation (S3-S9)) but also reacts with OH<sup>-</sup> derived from electrolyte during CO<sub>2</sub> electroreduction in KOH solutions.

### **CO<sub>2</sub> reduction and flowrate measurement of gas outlet after cell**

The electroreduction of CO<sub>2</sub> was conducted in a three-compartment flow electrolyzer made from Teflon at ambient temperature and pressure. In the cell, catholyte and anolyte flow compartments are separated by an anion exchange membrane, along with continuous flow electrolyte (each bottle is filled with 50 ml electrolyte), as shown in Figure S5. In addition, CO<sub>2</sub> was fed into gas chamber at a constant flow rate of 45 ml/min, and then gaseous CO<sub>2</sub> could pass through the gas-diffusion layer, diffusing into the surface of the catalyst which was immersed into electrolyte.

During the electroreduction of CO<sub>2</sub>, the gas mixture (gas outlet) after reactor was directly vented into the gas-sampling loop of a GC for periodic quantification of gas products. In order to get the reliable Faradaic efficiency of gas products, the volumetric flowrate of gas outlet (gas mixture) after reactor was also measured by flow meter during the CO<sub>2</sub> reduction, as displayed in Figure S5. Gas outlet flowrate from the gas chamber after CO<sub>2</sub> reduction was plotted at various current densities for 1 M KHCO<sub>3</sub>, 1 M KOH and 5 M KOH electrolyte, respectively (Figure S6). As noted in Figure S6, an obvious decrement in the flowrate of outlet was detected with increasing current densities in the same electrolyte. Here, we calculated the decrease rate of outlet flowrate as a function of current density in 1 M KHCO<sub>3</sub>, 1 M KOH and 5 M KOH electrolyte, respectively (slope values in Figure S6).

In addition, gas outlet flowrate (or CO<sub>2</sub> consumption) should also be correlated with the surface area of Cu coated on GDE. Thus, a fixed geometric surface area (2 cm<sup>2</sup>) of Cu layer was utilized for all the experiments in this study.

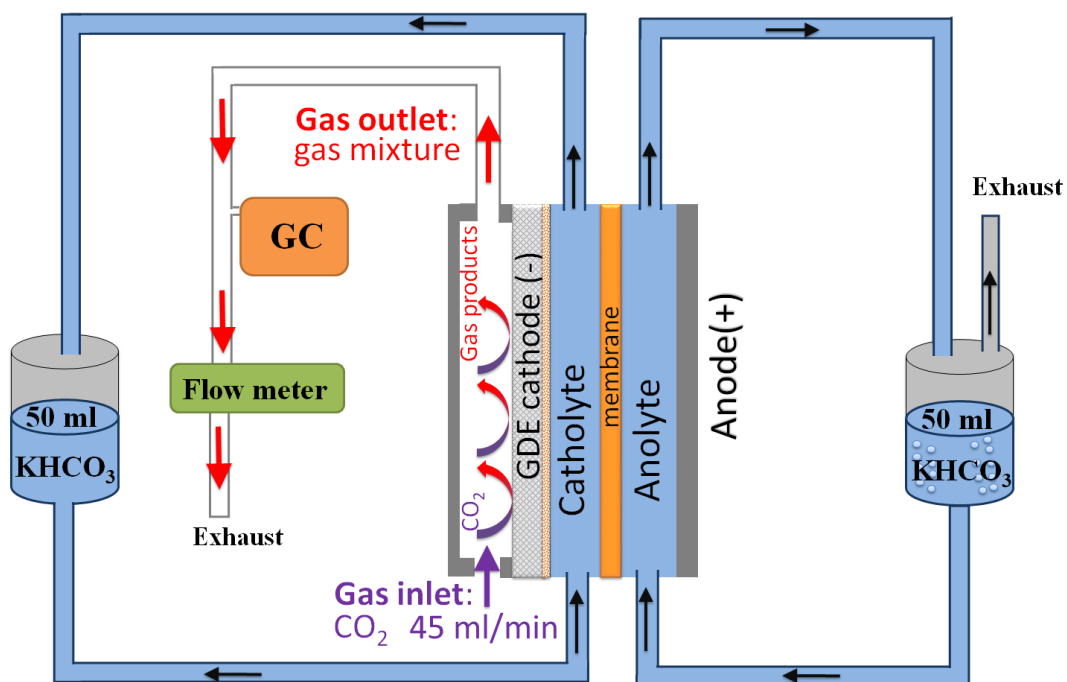


Figure S5. The schematic illustration of flow cell setup for reduction of CO<sub>2</sub>.

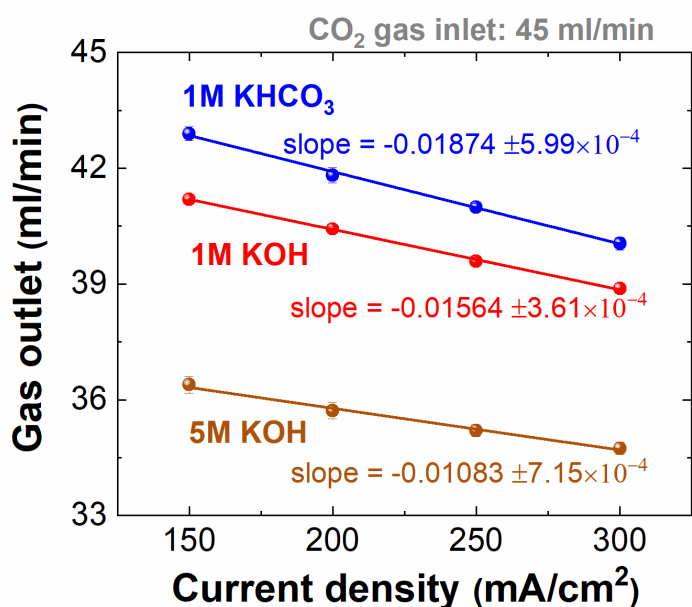


Figure S6. Gas outlet flowrates from the gas chamber after CO<sub>2</sub> reduction at various current densities in 1 M KHCO<sub>3</sub> (pH 8.33), 1 M KOH (pH 13.61) and 5 M KOH electrolyte, respectively (geometric surface area of Gu layer on GDE is 2 cm<sup>2</sup>).

## CO<sub>2</sub> reduction performance

Based on the aforementioned flowrate measurement, the volumetric flowrate of gas outlet (gas mixture) after reactor was monitored by flow meter in the course of the CO<sub>2</sub> reduction, and then Faradaic efficiencies of gas products were calculated based on the monitored outlet flowrate. Figure S7 shows the typical catalytic selectivity of gas products over time in 1 M KHCO<sub>3</sub> (a) and 1 M KOH (b) at 200 mA/cm<sup>2</sup>, respectively.

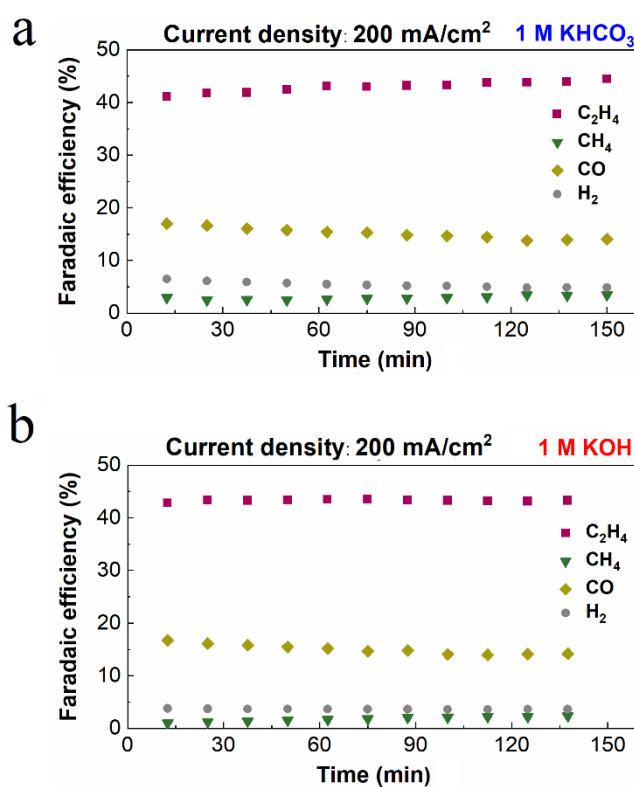


Figure S7. Catalytic selectivity of gas products over Cu catalysts in 1 M KHCO<sub>3</sub> (a) and 1 M KOH (b) at 200 mA/cm<sup>2</sup>, respectively. All the tests were performed using 70 nm Cu layer coated on GDEs.



### **Analysis of gas released from anolyte**

CO<sub>2</sub> reduction with the competing H<sub>2</sub> evolution takes place on the surface of cathode while O<sub>2</sub> evolution happens on the anode surface. Interestingly, H<sup>+</sup> created at the anode/electrolyte interface by anodic reaction (Equation 3) could be neutralized by HCO<sub>3</sub><sup>-</sup> or CO<sub>3</sub><sup>2-</sup> after using KHCO<sub>3</sub> electrolyte. According to the reactions (Equation 7-8), gaseous CO<sub>2</sub> should be also released from KHCO<sub>3</sub> anolyte, accompanying with O<sub>2</sub>. The flow cell setup for reduction of CO<sub>2</sub> in Figure S8 was used to analyse the gases released from anolyte. Specifically, gases released from anolyte were diluted with N<sub>2</sub> carrier gas at a constant flowrate, and then directly went into the gas sampling-loop of the GC to quantify the gases periodically. In addition, the volumetric gas flowrate released from anolyte was also monitored by flow meter over the CO<sub>2</sub> reduction electrolysis (Figure S8).

After using 1 M KHCO<sub>3</sub> as electrolyte for CO<sub>2</sub> reduction, CO<sub>2</sub> released from anolyte was detected via GC, accompanying with O<sub>2</sub> at various current densities (Figure S9). In addition, the related CO<sub>2</sub>/O<sub>2</sub> ratio released from anolyte over CO<sub>2</sub> reduction electrolysis at current densities of 150 mA/cm<sup>2</sup>, 250 mA/cm<sup>2</sup>, 300 mA/cm<sup>2</sup> using 1 M KHCO<sub>3</sub> as the initial catholyte and anolyte was also presented in Figure S9.

In contrast, only O<sub>2</sub> (~1.5 ml/min) was detected from anolyte at 200 mA/cm<sup>2</sup> over 6 h electrolysis after using 1 M KOH (Figure S11), due to a slow transition of electrolyte caused by the large amount of KOH (each bottle was filled with 50 ml 1 M KOH as initial catholyte and anolyte). For observing a relatively rapid electrolyte transition, each bottle (initial catholyte and anolyte) was filled with 20 ml 1 M KOH, discovering the initial CO<sub>2</sub> generation from anolyte after 2.5 h electrolysis, as shown in Figure 5.

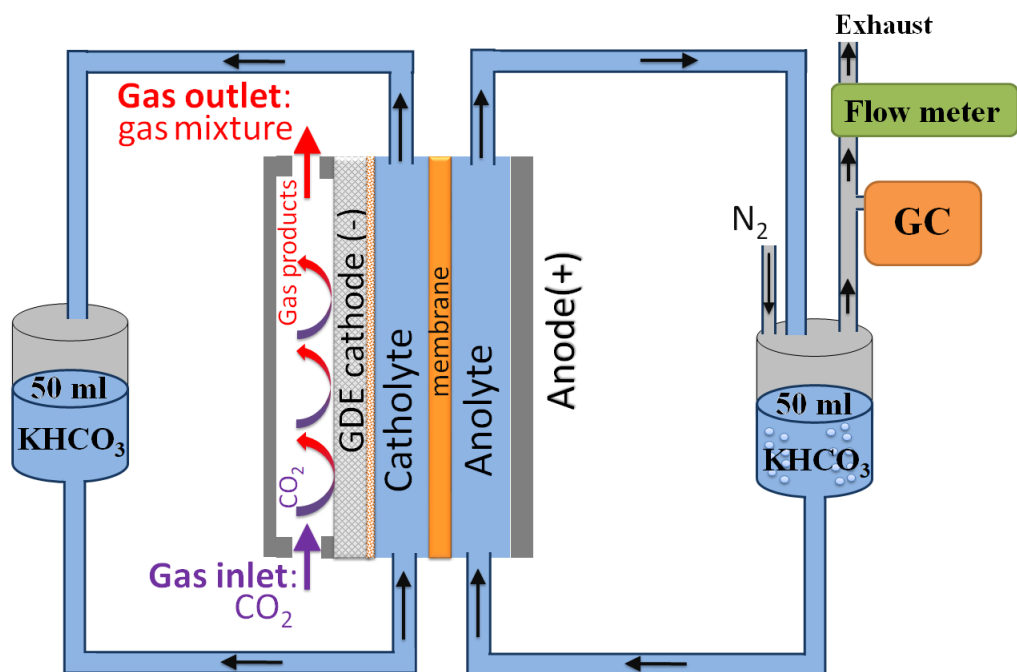


Figure S8. The schematic illustration of flow cell setup for analysing gases released from anolyte during CO<sub>2</sub> reduction.

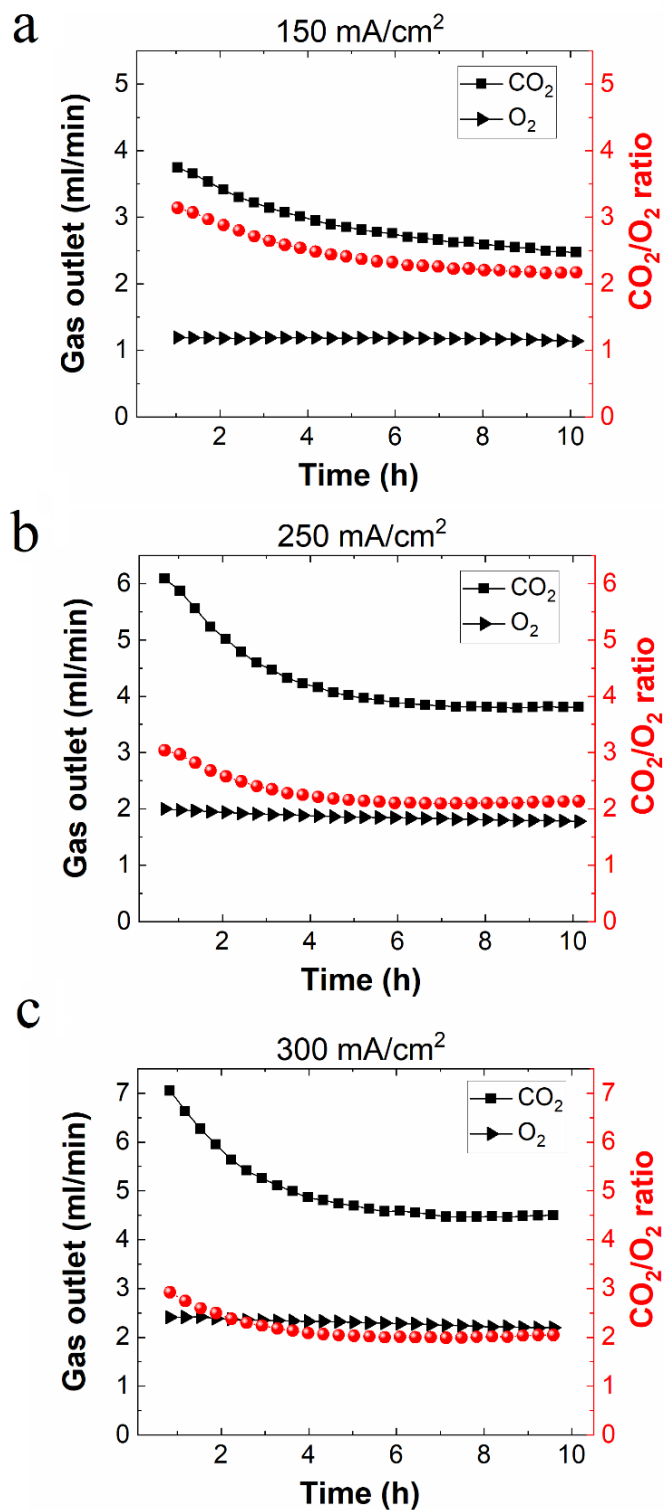


Figure S9. The flowrate of  $\text{O}_2$  and  $\text{CO}_2$  released from anolyte and the related  $\text{CO}_2/\text{O}_2$  ratio over  $\text{CO}_2$  reduction electrolysis at current densities of (a)  $150 \text{ mA/cm}^2$ , (b)  $250 \text{ mA/cm}^2$ , (c)  $300 \text{ mA/cm}^2$  using  $1 \text{ M KHCO}_3$  as the initial catholyte and anolyte (each both was filled with  $50 \text{ ml } 1 \text{ M KHCO}_3$  electrolyte).

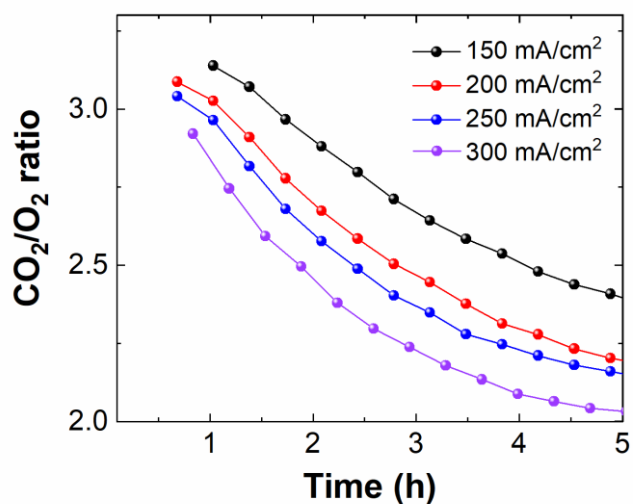


Figure S10. CO<sub>2</sub>/O<sub>2</sub> flowrate ratio released from anolyte as a function of time at various current densities after using 1 M KHCO<sub>3</sub> as the initial catholyte and anolyte (each bottle was filled with 50 ml 1 M KHCO<sub>3</sub> electrolyte).

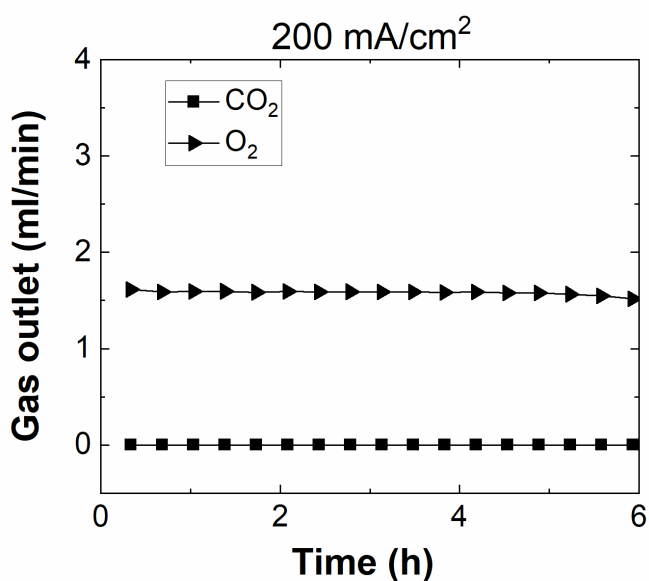


Figure S11. The flowrate of O<sub>2</sub> and CO<sub>2</sub> released from anolyte over CO<sub>2</sub> reduction electrolysis in 1 M KOH (each bottle was filled with 50 ml 1 M KOH as initial catholyte and anolyte).

## Theoretical O<sub>2</sub> and CO<sub>2</sub> flowrate released from anolyte

If charge passed through anode is only used for O<sub>2</sub> evolution reaction, O<sub>2</sub> flowrate released in anolyte can be expressed as:

$$\phi(O_2) = \frac{Q_{tot}}{nF} \times \frac{RT}{P_o} \quad (S10)$$

where  $Q_{tot}$  and  $n$  are charge passed through the anode electrode and the number (here is 4) of holes required for producing one O<sub>2</sub> molecule, respectively.  $F$  is Faradaic constant,  $R$  is ideal gas constant,  $T$  is absolute temperature, and  $P_o$  is ambient pressure.

If bicarbonate or carbonate is the only charge-carrier via anion exchange membrane (AEM), the CO<sub>2</sub> flowrate should be  $4 \times \phi(O_2)$  and  $2 \times \phi(O_2)$  according to the Equation (7) and Equation (8), respectively. Based on these equations, the flowrate for CO<sub>2</sub> and O<sub>2</sub> was calculated at various current densities (electrode surface area is 2 cm<sup>2</sup>), as shown in Figure S12.

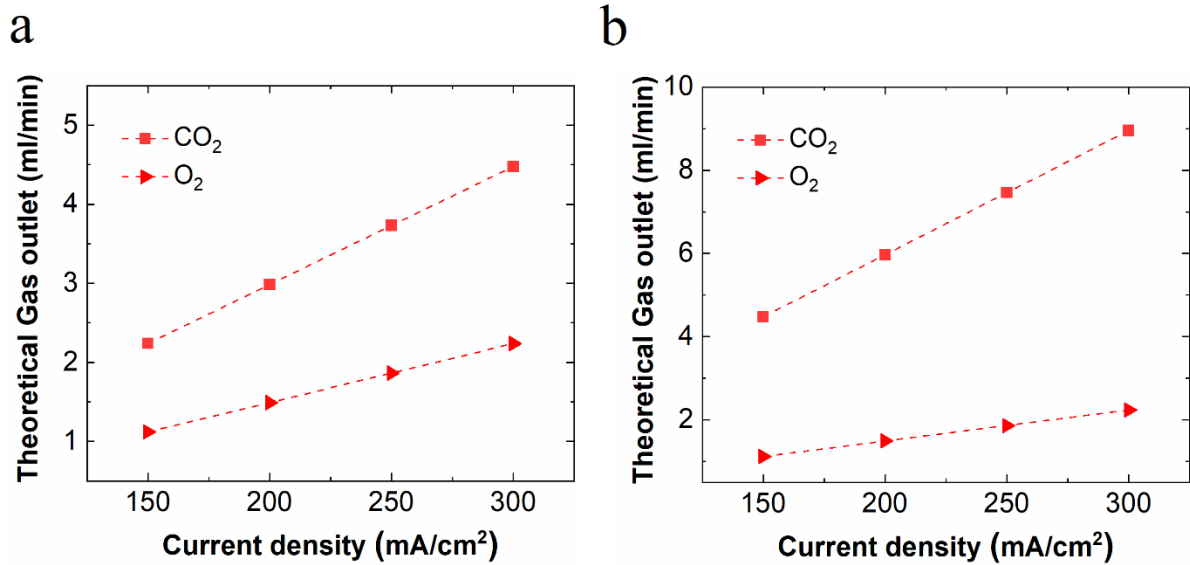


Figure S12. The estimated flowrate of O<sub>2</sub> and CO<sub>2</sub> released from anolyte as a function of current density based on the only charge-carrier via AEM is carbonate (a) and bicarbonate (b).

## Carbon balance calculation

The unreacted CO<sub>2</sub> (residual CO<sub>2</sub>) flowrate in the gas outlet (gas mixture) after reactor can be expressed as:

$$\phi_{residual\ CO_2} = \phi_{outlet} - (\phi_{CO} + \phi_{CH_4} + \phi_{C_2H_4} + \phi_{H_2}) \quad (S11)$$

where  $\phi_{outlet}$  is the flowrate of gas outlet from the gas chamber after CO<sub>2</sub> reduction.  $\phi_{CO}$ ,  $\phi_{CH_4}$ ,  $\phi_{C_2H_4}$  and  $\phi_{H_2}$  are the gas flowrate of CO, CH<sub>4</sub>, C<sub>2</sub>H<sub>4</sub> and H<sub>2</sub> in the gas outlet from gas chamber during electrolysis, respectively.

The consumed CO<sub>2</sub> flowrate which is electrochemically converted into all gas products (CO, C<sub>2</sub>H<sub>4</sub> and CH<sub>4</sub>) can be written as:

$$\phi_{CO_2\ to\ gas} = \phi_{CO} + \phi_{CH_4} + 2\phi_{C_2H_4} \quad (S12)$$

The consumed CO<sub>2</sub> flowrate for electrocatalytic reduction to all liquid products (such as ethanol and formate) can be written as:

$$\phi_{CO_2\ to\ liquid} = \phi_{C_1} + \phi_{C_2} + \phi_{C_3} \quad (S13)$$

where  $\phi_{C_1}$ ,  $\phi_{C_2}$ , and  $\phi_{C_3}$  are the consumed CO<sub>2</sub> flowrate for forming C<sub>1</sub>, C<sub>2</sub> and C<sub>3</sub> liquid products, respectively.

CO<sub>2</sub> reduction at high reaction rates, CO<sub>2</sub> conversion into gas products (> C<sub>1</sub>) and liquid products could reduce the gas outlet flowrate. In addition, the CO<sub>2</sub> consumption at high current via the reaction between OH<sup>-</sup> and CO<sub>2</sub> could significantly contribute to the decrease of the total gas outlet flowrate (Figure S6). Thus, the carbon element from CO<sub>2</sub> inlet flowrate should be eventually balanced by the below equation:

$$\phi_{inlet\ CO_2} = \phi_{residual\ CO_2} + \phi_{CO_2\ to\ gas} + \phi_{CO_2\ to\ liquid} + \phi_{OH^-} \quad (S14)$$

where  $\phi_{OH^-}$  is the consumed CO<sub>2</sub> flowrate via the reaction with OH<sup>-</sup> (Equation 1 or 2).

## Electrochemical impedance spectroscopy measurement

To determine the solution resistance ( $R_s$ ) in this work, potentiostatic electrochemical impedance spectroscopy (PEIS) was performed on Cu deposited GDE in a three-compartment flow electrolyzer at room temperature and atmospheric pressure.<sup>4</sup> During the experiments, the gas flow compartment was continuously fed with  $\text{CO}_2$  at a flow rate of 45 ml/min. The impedance spectra were recorded using a potentiostat (Biologic) in the frequency range from 200 kHz to 10 mHz with an amplitude of 10 mV at fixed potentials. It should be noted that the variations in local ion species and concentration near surface of cathode at high-rate cathodic reactions could make the local reaction environment complicated, and also lead to a distinct conductivity near cathode at high current densities compared to the measured results by PEIS. Even if a very tiny difference in resistance, a very high current could lead to an un-negligible variation in IR-corrected potentials. Thus, while the fixed distance between reference and cathode was less than 2 mm in this work, it is still difficult to get the accurate cathode potentials at relatively high current densities (for instance, the IR-corrected potentials at 300 mA/cm<sup>2</sup> in Table S2).

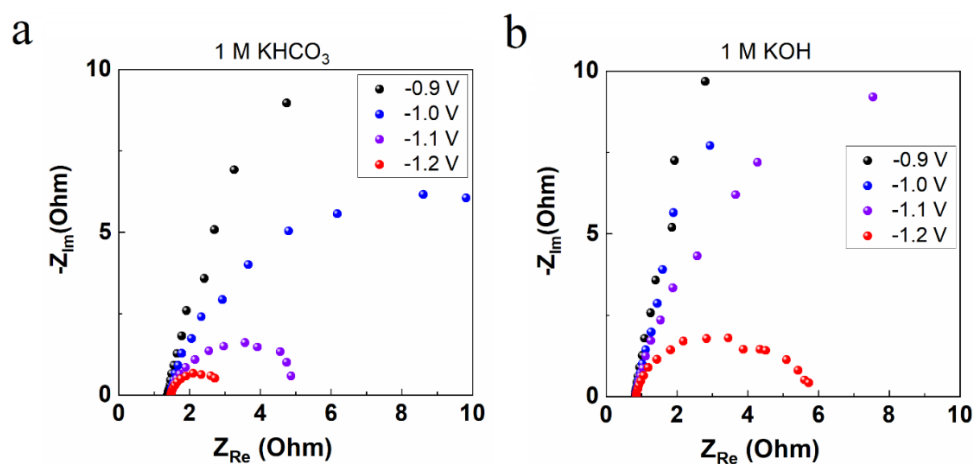


Figure S13. Nyquist plots of Cu deposited GDE in 1 M  $\text{KHCO}_3$  aqueous solution (a) and 1 M KOH aqueous solution (b) at various potentials.

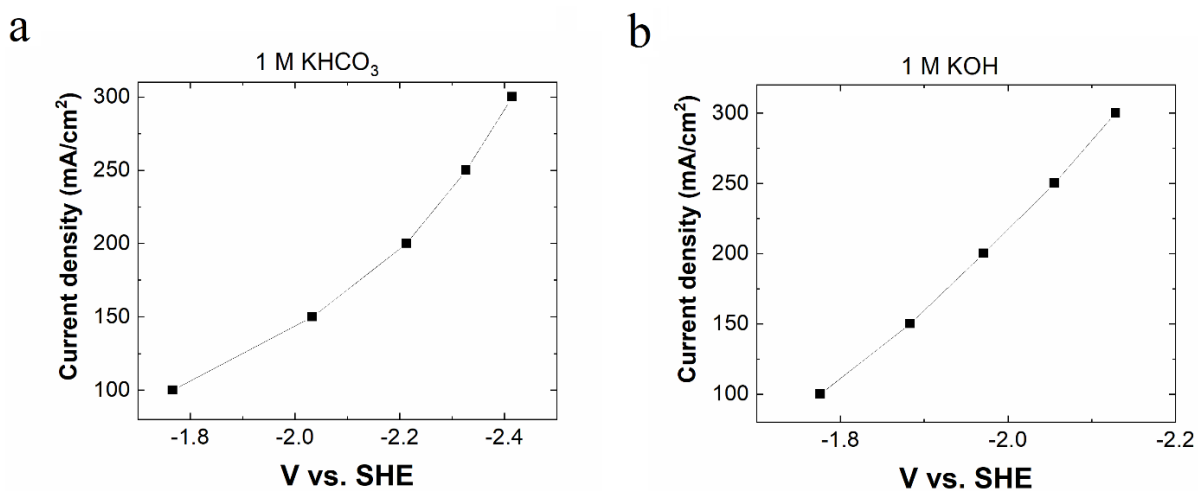


Figure S14. Current densities as a function of potential in 1 M KHCO<sub>3</sub> aqueous solution (a) and 1 M KOH aqueous solution (b) (The potentials were not IR-corrected).

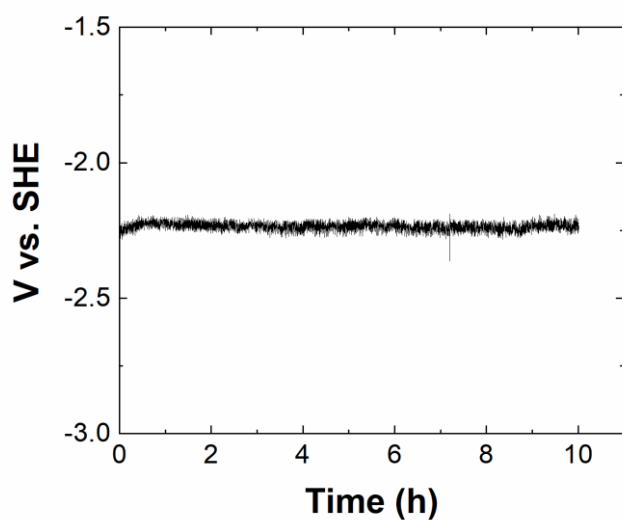


Figure S15. Applied potentials as a function of time at 200 mA/cm<sup>2</sup> in 1 M KHCO<sub>3</sub> electrolyte.

**Table S1.** IR-corrected potentials in 1 M KOH

Current (mA)	R (Ω)	Corrected V vs. SHE
200	0.82	-1.61238
300	0.82	-1.6375
400	0.82	-1.64313
500	0.82	-1.6455
600	0.82	-1.648



**Table S2.** IR-corrected potentials in 1 M KHCO<sub>3</sub>.

Current (mA)	R ( $\Omega$ )	Corrected V vs. SHE
200	1.38	-1.535
300	1.38	-1.6075
400	1.38	-1.63
500	1.38	-1.6425
600	1.38	-1.595

### Liquid products

After completion of CO<sub>2</sub> reduction electrolysis (not in-situ analysis), liquid-phase products were analyzed by a high-performance liquid chromatography. In this work, both catholyte and anolyte in the given reservoirs were collected for quantification of liquid products due to that a part of liquid products transported from catholyte to anolyte via AEM (Figure S16). Here, the crossover ratio of one certain liquid product formed on cathode via AEM can be calculated according to the below equation:

$$\text{Crossover ratio (\%)} = \frac{N_{\text{liquid in anolyte}}}{N_{\text{liquid in anolyte}} + N_{\text{liquid in catholyte}}} \times 100\% \quad (\text{S15})$$

where  $N_{\text{liquid in anolyte}}$  and  $N_{\text{liquid in catholyte}}$  are the amount of one certain liquid product detected in anolyte and catholyte, respectively. Thus, the above equation can be used to calculate a ratio between the amount of one certain liquid product crossed to anolyte through AEM and the total amount of corresponding liquid product generated on cathode.

It should be noted that the volume of catholyte and anolyte slightly varied after about 2.5 h electrolysis (catholyte volume slightly decreased with increased anolyte), due to the anion species hydrated with water molecules transport from catholyte to anolyte via AEM as charge carriers. Thus, for getting accurate selectivity of liquid products in this study, we also measured volume of catholyte and anolyte after electrolysis, respectively.

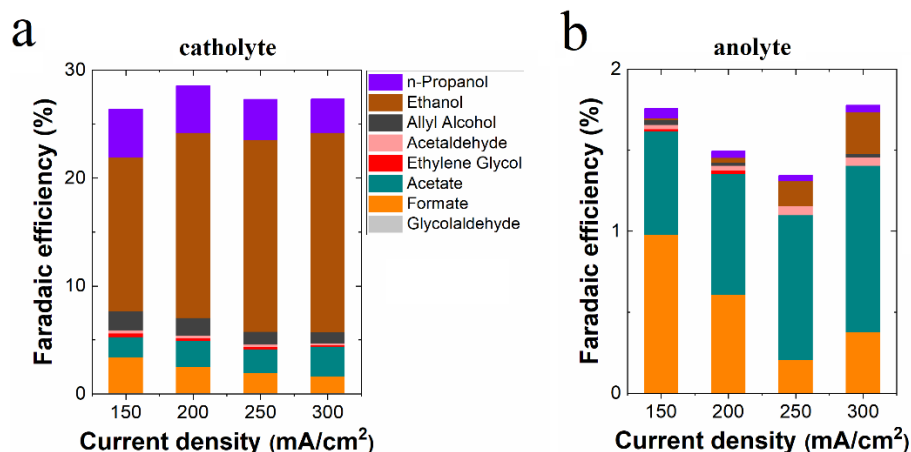


Figure S16. Faradaic efficiencies for all detected liquid products based on catholyte (a) and anolyte (b) in 1 M KHCO<sub>3</sub> at various current densities, respectively.

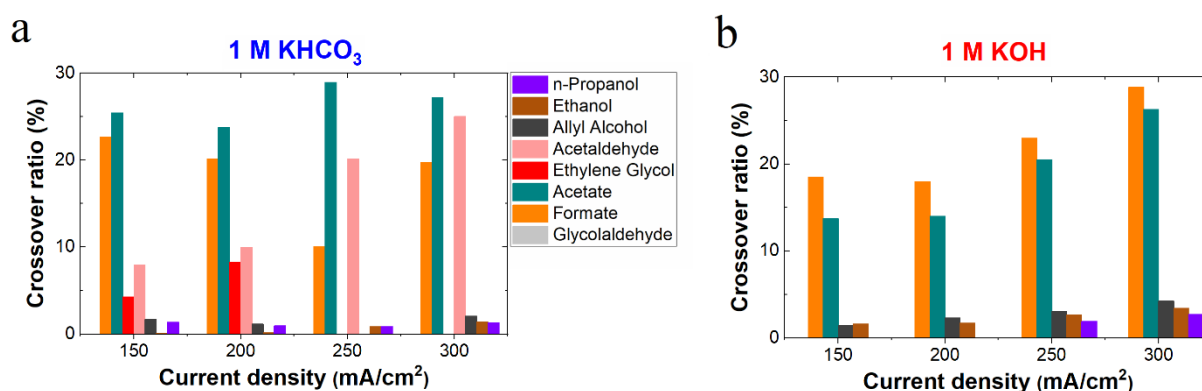


Figure S17. Crossover ratio of liquid products via AEM in 1 M KHCO<sub>3</sub> (a) and 1 M KOH (b) during about 2.5 h CO<sub>2</sub> reduction at various current densities, respectively.

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