Operando diffuse reflectance UV-Vis spectroelectrochemistry for investigating oxygen evolution electrocatalysts

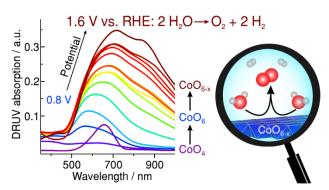
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Abstract

The characterization of the active structure of water splitting catalysts is crucial to evolve to a sustainable energy future based on hydrogen. Such an information can only be obtained by operando methods. We present a diffuse reflectance UV-Vis (DRUV) spectroelectrochemical study that allows to



track the changes of solid oxygen evolution catalysts under working conditions. The versatility of our approach is demonstrated on two cobalt-containing catalysts Zn_{0.35}Co_{0.65}O and CoAl₂O₄. The changes the catalysts undergo during the oxygen evolution reaction can be tracked by probing the electronic structure by UV-Vis spectroscopy. These findings are compared to *ex situ* analyses, which support the assignments of the structures stabilized under different potentials. Thus, structure-activity correlations can be proposed, and deeper insights into the catalytically active structures can be obtained.

Introduction

For the development of earth-abundant catalysts for the oxygen evolution reaction (OER) that lay the basis for a sustainable energy future based on hydrogen from water, it is crucial to understand their active structure and the involved reaction mechanisms. Therefore, adequate methods are needed to study catalysts under working conditions, i.e. *operando*. One suitable method is spectroelectrochemistry, which combines spectroscopy with electrochemical

measurements.² Recent developments focused mainly on *operando* X-ray absorption spectroscopy (XAS), which is a technique able to investigate the local structure of materials or molecules.³⁻⁶ However, this technique requires extensive access to synchrotron facilities when dynamic processes or *operando* approaches need to be investigated. In addition, only a few working groups offer this possibility.

Another upcoming technique is *operando* X-ray photoelectron spectroscopy (XPS).⁷ However, this technique is mainly limited to low pressure ranges. But there is more than just X-ray based methods. Spectroelectrochemistry was used first in the 1960s in combination with UV-visible absorption.⁸ It allows to sensitively probe the electronic structure of samples. Beside the most common measurement in transmission geometry, where either a solution or a transparent substrate is needed, also reflection geometry can be utilized.⁹⁻¹⁰ By diffuse reflectance UV-vis (DRUV) spectroscopy, solids can be analyzed, independent of their thickness or the substrate on which they are applied.¹¹ Various spectroelectrochemical cell (SEC) designs with different electrodes have been proposed and are commercially available.¹²⁻¹⁹

But to our best knowledge, nobody presented a DRUV approach yet to investigate catalysts under OER conditions. Therefore, we herein present an *operando* DRUV spectroelectrochemical study of cobalt containing catalysts.

To demonstrate the usability of *operando* DRUV, we performed experiments on two cobalt-containing model catalysts Zn_{0.35}Co_{0.65}O and CoAl₂O₄, both starting with Co in a tetrahedral oxygen coordination. Therefore, a new spectroelectrochemical flow cell was developed, allowing the measurement on an existing spectrophotometer equipped with an integration sphere. The *ex situ* characterization of Zn_{0.35}Co_{0.65}O was recently published by our group, and first structure-activity properties were proposed.²⁰ CoAl₂O₄ has been studied elsewhere for its optical properties as well as towards the OER. ^{5, 21-22} Herein, we performed detailed *ex situ* characterization of the material before and after electrochemical OER by high resolution transmission electron microscopy (HRTEM), powder X-ray diffraction (pXRD), X-ray photoelectron spectroscopy (XPS), and XAS. Furthermore, we are in the position to propose clear structure-activity correlations by evaluating the DRUV *operando* measurements in respect to the *ex situ* findings. We prove that our spectroelectrochemistry approach is able to provide insights on active phase catalysts under working conditions while requiring comparatively low resources.

Results

Dry measurements of Zn_{0.35}Co_{0.65}O

In our previous paper, it was demonstrated how the structure of $Zn_{0.35}Co_{0.65}O$ transforms under the influence of an alkaline milieu as well as of an applied potential to promote the oxygen evolution reaction (OER).²⁰ To get deeper understanding into the formation of the active oxygen evolution catalyst (OEC), *operando* DRUV spectroscopic measurements were performed, to connect the gained insights from *ex situ* analyses with the obtained spectra. The evolution of the spectra with applied potential can be found in **Fig. 1**.

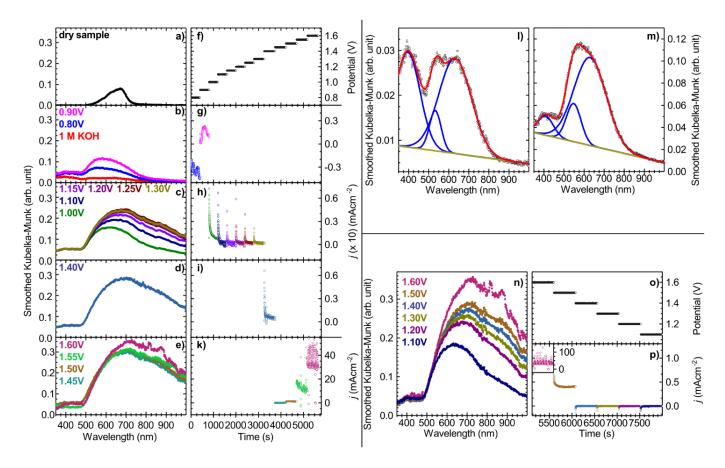


Figure 1. Operando DRUV spectroelectrochemistry of Zn_{0.35}Co_{0.65}O. a) DRUV spectrum of the dry sample on the SPE. b)-e) Spectra of the oxidation in 1 M KOH. f) Applied potential vs RHE as variation of time. g)-k) Corresponding current densities. The colors correspond to the applied potentials. I) Enlarged spectrum in 1 M KOH without applied potential. m) Enlarged spectrum at 0.9 V vs RHE. n) Spectra of the reduction in 1 M KOH. o) Applied potentials vs RHE as variation of time. p) Corresponding current densities. The inset in p) shows the current density at 1.6 V.

The spectrum of the dry sample in the cell (**Fig. 1a**) shows the typical Co^{2+}_{Td} (Td, tetrahedrally coordinated by four oxygen atoms) transitions at 667, 624 and 580 nm, which are based on a d^7 electron configuration and can be assigned to the transitions ${}^4A_2(F) \rightarrow {}^2E(G)$, ${}^4A_2(F) \rightarrow {}^4T_1(P)$ and ${}^4A_2(F) \rightarrow {}^2A_1(G)$, respectively.²³ In comparison to the measurement on the SPE outside the SEC (**Fig. S1**), the overall intensity is decreased, but the transitions are at the same positions.

Zn_{0.35}Co_{0.65}O in 1 M KOH

Upon wetting the sample with 1 M KOH, the electronic transitions of Co²⁺_{Td} vanish. The DRUV spectrum in 1 M KOH (Fig. 1b and I for more details) has a weak intensity compared to the spectra with an applied voltage. A deconvolution of the absorption bands below 800 nm yielded 3 underlying bands. As only a ligand exchange with OH and no oxidation of Co²⁺ is expected. a d⁷ electron configuration should still be valid. Indeed, when using a d⁷ electron configuration in a weak octahedral field, the first band centered at 632 nm falls in the region of the spin-allowed transition ${}^{4}T_{1a}(F) \rightarrow {}^{4}A_{2a}(F)$. Since this band was reported to be of little intensity, it might be as well arising from the splitting of ²G free ion term.²⁴ It is followed by the likewise allowed transition ${}^{4}T_{10}(F) \rightarrow {}^{4}T_{10}(P)$ at 533 nm. At 396 nm and below that, bands arising from the splitting of the ²H free ion term and the mixing with other states are expected.²⁵ Compared to the spectra of rock salt CoO nanoparticles (Fig. S2b), which also contain Co2+Oh (Oh, octahedrally coordinated by six oxygen atoms), the approximated $\Delta_{\rm O}$ value is larger (8428 cm⁻¹ vs 7326 cm⁻¹). This can be expected, as from the spectrochemical series the OH⁻-ligand is a σdonor ligand and thus induces a stronger ligand field splitting than the oxo-ligand (O2-), which has more of a π-donor character.²⁶ Therefore, the *in situ* spectrum of Zn_{0.35}Co_{0.65}O in 1 M KOH can be assigned to Co²⁺Oh. These findings are supported by the ex situ pXRD pattern of Zn_{0.35}Co_{0.65}O in 1 M KOH, which could be assigned to a Co(OH)₂ phase after the sample came in contact with KOH (Fig. 1 in Reference 20). Thus, also the previously proposed formation mechanism of the OER catalyst via a brucite-type Co(OH)₂ intermediate can be confirmed.²⁰

Zn_{0.35}Co_{0.65}O at 0.9 V vs RHE

When a potential of 0.9 V is applied, only very little current is measured by chronoamperometry (CA, **Fig. 1g**). Therefore, again no change in oxidation state is expected (see also the cyclic voltammograms (CV) in Reference 20). The overall intensity is increased and the relative intensities of the absorption peaks change compared to the spectrum recorded without applied potential (**Fig. 1b** and **m** for more details). The maxima of the assigned transitions are close to the previously found. While the first two transitions at 630 nm and 548 nm show no difference in relative intensity, the one at 407 nm has a much lower relative intensity. The overall increase in intensity can be understood from the observation that by inducing a positive charge on the sample, Zn^{2+} ions leach from the structure by coulomb repulsion. Thus, more defects are generated in the sample, which increases the mixing with transitions arising from lower symmetries than O_h , e.g. C_3 or D_{3d} (e.g. those are expected upon stretching or shrinking of an octahedron) leading to an increased absorption. Furthermore, the increase could be also due to spin-orbit coupling originating in the 2G free ion terms.

For the absorption spectra between 1.0 V and 1.3 V in Fig. 1c, obvious changes are visible in comparison to the spectrum recorded at 0.9 V. Those changes take place between 1.0 V and 1.2 V and indicate a change in the electronic structure of the sample. Between 1.2 V and 1.3 V, the spectra overlap, indicating the same electronic structure. In the spectra, the intensity raises with increasing potential, and the maximum absorption is shifted to lower energies (1.0 V: 620 nm, 1.1 V: 640 nm, 1.15 V: 650 nm, 1.2-1.3 V: 670 nm). Especially at higher wavelengths the increased absorption is noticeable. According to previous studies, the formation mechanism of an OEC from Co(OH)₂ involves the oxidation of Co²⁺ to Co³⁺ and further to Co⁴⁺. The oxidation of Co2+ to Co3+ is expected between 1.0 and 1.2 V, which is in line with the observed changes in the spectra. Indeed, also the corresponding CA measurements show a current increase (Fig. 1h). The current density profiles especially at 1.0 V and 1.1 V point to a Faradaic current, which can be attributed to the oxidation of Co²⁺ to Co³⁺. Integration of the current from 1.00 V to 1.20 V, where the changes in the spectra occur, gives a charge of 1.25 mC, which can be correlated to the initial sample loading of the catalyst to access the number of oxidized Co²⁺. Consequently, around 15 % of the contained Co²⁺ is oxidized (see supporting information for further details). This is further in good agreement with the integration of the Co^{2+/3+} oxidation peak of the first CV cycle of Zn_{0.35}Co_{0.65}O (Fig. 5a in Ref. 20), which yielded that ~19 % of the contained Co²⁺ is oxidized to Co³⁺. From this electrochemical analysis it can be concluded that a significant amount of Co2+ is still present in the sample. This further means that the recorded spectra are a superposition of spectra of Co²⁺ and Co³⁺ containing structures. According to the literature, the Co³⁺ containing phase prior to the OER consists of Co(O)OH units.²⁹⁻³¹ Thus, it is valid to assume that the structural units formed in our experiments are also Co(O)OH and are the origin of the observed absorption at higher wavelengths. However, when we compare the operando spectrum to the spectra of other d⁶ reference materials such as Co(O)OH and ZnCo₂O₄ (**Fig. S3**), large differences are visible. In Co(O)OH reference hexagonal platelets (cf. Figure SI-5 in reference 18), the first absorption covers the region between 200 and 800 nm and no absorptions are recorded between 800 nm and 1100 nm. At lower energy, a very weak $^{1}A_{1o}(I) \rightarrow {}^{3}T_{1o}(H)$ transition can be observed (ca. 1250 nm). The spectrum of ZnCo₂O₄, in which only Co³⁺Oh is expected, shows also an absorption minimum at around 1000 nm. In the operando spectrum, on the other hand, the onset of the first band lies at around 500 nm and strong absorptions are also observed between 800 and 1400 nm in the NIR region (Fig. 1c and **S4a**). When applying the Tanabe-Sugano theory for octahedral symmetry with a d⁶ electron configuration in a strong ligand field, within reasonable values for B and Δ_O no bands are expected above 850 nm as the spectra of the reference materials show (Fig. S3). On the other hand, the spectrum of $Zn_{0.35}Co_{0.65}O$ at 1.3 V shows a broad absorption between 500 and 1400 nm and thus it is reasonable to assume a lower symmetry than O_h . This assumption is further supported by the *ex situ* EXAFS measurements that showed a cobalt coordination number of 5.6 in the *in-situ* formed γ -Co(O)OH.²⁰ Since one metal center can only hold a natural number of ligands, a linear combination of sixfold and five- or less-coordinated Co should give a good approximation. Extreme cases of five-coordinated Co would be a square pyramid with $C_{4\nu}$ geometry or a trigonal bipyramidal structure with D_{3h} geometry.³²⁻³⁴ The continuous absorption above 700 nm can be further attributed to electrochromism. Electrochromism is ascribed to the oxidation of the central ion and subsequent intercalation of OH^- ions into the material to maintain electroneutrality under an anodic potential.³⁵⁻³⁷ Thus, cobalt containing materials, namely Co_3O_4 , are colored, i.e. increased absorption, upon anodic potential and bleached under cathodic potential.³⁸⁻⁴¹ Therefore, electrochromism causes a change of the band structure and of the geometry around the central ion. The Fermi-level is lowered, and thus electrons can get easier excited to the conduction band.

Zn_{0.35}Co_{0.65}O at 1.4 V vs RHE

The CA shows Faradaic current when the potential is increased to 1.4V (**Fig. 1i**), which can be attributed to the oxidation of Co^{3+} to Co^{4+} , as also depicted by the shoulder in the CV (Fig. 5a in Ref. 20). When integrating the current (assuming no contributions of the OER), a charge of 0.62 mC is obtained. Comparing this value to the one obtained for the redox couple $Co^{2+/3+}$, roughly 50 % of Co^{3+} are further oxidized to Co^{4+} . The contribution of Co^{4+} to the spectrum can be seen in an increased absorption intensity in **Fig. 1d** and the shift of the absorption maximum to lower energies (690 nm). The observed increase of absorption at higher wavelengths is further in accordance to measurements done by Webster et al. for molecular Co^{4+}_{Oh} species. Contrary to those findings, the missing absorption at lower wavelengths points again to a deviation from O_h symmetry.

Zn_{0.35}Co_{0.65}O at OER potential

From an applied potential of 1.45 V, the current shows no more decreasing when the potential is raised. Indeed, the observed stationary current can be attributed to the evolution of O_2 . At 1.55 V, a current density of 10 mAcm⁻² is reached. The CA in **Fig. 1k** shows oscillations, which can be ascribed to the evolution of bubbles on the electrode and the inhibited mass transport of electrolyte to the electrode surface. The results are also clearly visible in the spectra in **Fig. 1e**. Especially in the lower energy regime, the noise increases more than for the previous measurements. The absorption maximum is further shifted to lower energies (1.45 V: 700 nm,

1.6 V: 720 nm), and the intensity is slightly increased. This indicates that during the ongoing OER more Co^{3+} is oxidized to Co^{4+} . The general shape of the absorption band is not changed, and thus also major deviations from a Co(O)OH structure are not expected between 1.4 V and OER potential. To validate the measurements, the *operando* spectra were also measured for the Co(O)OH reference hexagonal platelets (**Fig. S5**). The spectra show the same electrochromism and also the same trend in the shift of absorption maxima. This further proves that the Co(O)OH reference phase shows a similar behavior as our *in-situ* formed γ -Co(O)OH, that is, due to its layered structure large parts of the surface are involved in the water oxidation process.

Zn_{0.35}Co_{0.65}O reduction

When reducing the potential to 1.1 V, the reversed effect as for the oxidation can be observed in **Fig. 1n-p**. The CAs from 1.4 to 1.1 V show a small negative current, which indicates the reduction of the Co⁴⁺ species. In the spectra, the intensity decreases with every potential decrease and the absorption maximum is shifted to higher energies (1.1 V: 630 nm). **Figure S4b** shows almost overlapping between the spectra recorded at 1.3 V under anodic and cathodic potential. The absorption intensity of the spectrum after the OER is slightly higher, but the maximum absorption is at the same value (673 nm). This proves that the geometrical and electronic structure changes under OER conditions are reversible.

Characterization of CoAl₂O₄

After measuring the optical response of a structure that is known to change its phase during the reaction, another structure was studied. CoAl₂O₄ in the spinel structure was synthesized by the "benzyl alcohol route". This was achieved by using cobalt(II) acetate and aluminum triisopropoxide as metal precursors and benzyl alcohol as oxygen source, solvent and surface stabilizing agent.^{22, 43-44} After 48 h at 250 °C in a PTFE-lined steel autoclave, the sample was washed with ethanol, solid products were collected by centrifugation and the sample was dried overnight at 70 °C. A blue powdered product was obtained.

The crystal structure of $CoAl_2O_4$ was identified by pXRD. Only typical reflections of the cubic spinel structure (ICSD PDF-number 01-070-0753)⁴⁵ were present in the pattern in **Fig. 2a**. Using the Scherrer equation, a mean crystallite size of 5.6 nm was calculated.

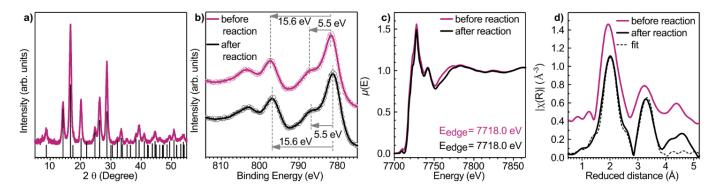


Figure 2. X-ray analyses on $CoAl_2O_4$. a) XRD pattern of $CoAl_2O_4$. Reference given is ICSD PDF-number 01-070-0753.⁴⁵ b) XPS spectra of $CoAl_2O_4$ before and after the reaction on the Co-edge. The peak positions and relative energy shifts point to an oxidation state of +II, before and after the reaction. c) XANES spectra of $CoAl_2O_4$ before and after reaction. d) Magnitude of the Fourier transforms of the recorded spectra of $CoAl_2O_4$ before and after reaction (*k*-range: 3.2–11.0). A fit was only possible for the latter.

Insight in the oxidation state of CoAl₂O₄ was gained by characterizing the chemical surface of the sample by XPS (**Fig. 2b**). The Co2p_{3/2} peak is at 781.8 eV, with a shake-up satellite shifted by 5.5 eV. The Co2p_{1/2} peak is shifted by 15.6 eV compared to Co2p_{3/2}. Compared to previous works, this indicates an oxidation state of +II for cobalt.^{20, 46-47} The Al2p_{3/2} peak (**Fig. S7a**) is at 74.1 eV, which points to Al³⁺ in an oxygen environment.²¹ In the spectrum on the Al edge, some signal from gold is visible. This is due to bare gold electrode patches, on which the spectra were measured (see supporting information for more details).

HRTEM analyses of $CoAl_2O_4$ before the reaction in **Fig. 3a-c** show particles with a high crystallinity and with a mean size of 6.0 ± 1.4 nm, which is in good accordance to the crystallite size estimated by pXRD. The selected area electron diffractogram (SAED) shows the expected reflections of the $CoAl_2O_4$ phase with 311 as the most intense reflection (**Fig. 3b**).

Energy dispersive X-ray spectroscopic (EDX) analyses (see **Table 1**) yield a cobalt to aluminum ratio of 35.2 % to 64.8 % for CoAl₂O₄, confirming the expected stoichiometry of both metals in the material. The EDX mapping in **Fig. S9** shows the uniform distribution of the metals in the material.

Table 1. EDX analysis of CoAl₂O₄ before and after electrochemical reaction.

	Co atom%	Al atom%
Before reaction	35.2	64.8
After reaction	40.0	60.0

The DRUV spectrum in **Fig. S2a** shows the typical absorptions for Co^{2+}_{Td} at 634, 577 and 549 nm, which can be assigned to the transitions ${}^{4}A_{2}(F) \rightarrow {}^{2}E(G)$, ${}^{4}A_{2}(F) \rightarrow {}^{4}T_{1}(P)$ and ${}^{4}A_{2}(F) \rightarrow {}^{2}A_{1}(G)$, respectively.²³ The fine splitting of the transition ${}^{4}A_{2}(F) \rightarrow {}^{4}T_{1}(F)$ is visible

between 1800 to 1000 nm. At 1362 nm, the 2v(OH) harmonic band form residual surface OH-groups is observed.⁴⁸ Below 500 nm, a very strong absorption is visible, which could involve charge-transfer processes as well as transitions the free-ion 2H term, which is split in the tetrahedral field.

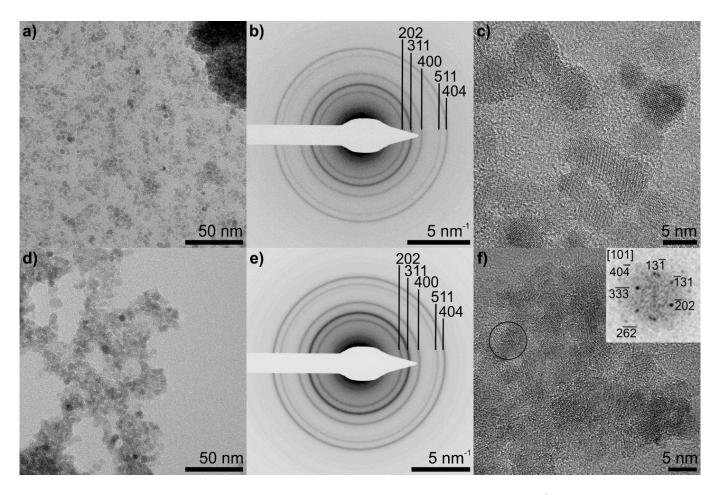


Figure 3. HRTEM micrographs of $CoAl_2O_4$ before (a-c) and after (d-f) 1 h electrochemical reaction at 10 mAcm⁻². a) and d) show an overview, b) and e) the SAED, with diffractions corresponding to spinel-type $CoAl_2O_4$. c) and f) show high resolution micrographs. The inset in f) shows the power spectrum of the marked area. The spots are assigned to the [101] zone axis of spinel-type $CoAl_2O_4$.

Electrocatalytic activity toward the OER was examined by rotating disk electrode (RDE) measurements in 1 M KOH. The CVs of $CoAl_2O_4$ at different scan rates are shown in **Fig. 4a**. The small anodic and cathodic peaks at ~1.06 V can be assigned to the redox-couple Co^{2+}/Co^{3+} . At ~1.43 V, peaks indicate the redox-pair Co^{3+}/Co^{4+} . The presence of anodic and cathodic peaks indicate a reversible reaction. At around 1.53 V, the onset of the OER starts.

From the power law relationship between the oxidation peak current (i) and the scan rate (v), $i=av^b$, it can be estimated, whether a surface or bulk redox process is taking place. a and b are adjustable parameters, and b can be expressed as the slope of the plot of $\log(i)$ vs $\log(v)$. When b is close to 0.5, a Faradaic redox process in the bulk is indicated, while b being close to 1.0 indicates a surface-limited redox process.⁴⁹⁻⁵⁰ When fitting the slope of $\log(i)$ vs $\log(v)$ (**Fig. 4a**

inset), we obtain 0.9 as the *b* value for the redox-couple $Co^{2+/3+}$, indicating a surface-limited reaction for $CoAl_2O_4$.

The linear sweep voltammograms (LSV) in **Fig. 4b** shows the activity of $CoAl_2O_4$ compared to $Zn_{0.35}Co_{0.65}O$ and Co(O)OH. The overpotential towards the OER lies at 362 mV ($Zn_{0.35}Co_{0.65}O$: 322 mV, Co(O)OH: 382 mV) at a current density of 10 mAcm⁻² (averaged over three measurements). The electrochemically active surface area (ECSA) was determined from the double-layer capacitance according to McCrory et al. (**Fig. S8**).⁵¹ It lies at 1.13 cm², and is thus smaller than the one of $Zn_{0.35}Co_{0.65}O$ (21.93 cm²) and Co(O)OH (5.71 cm²). The electrochemical performance is comparable to spinel type Co_3O_4 .²⁰

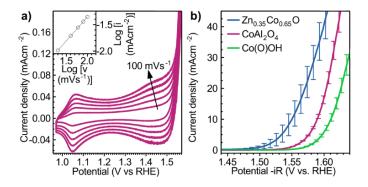


Figure 4. a) CVs of $CoAl_2O_4$ in 1 M KOH at different scan rates v (20, 40, 60, 80 and 100 mVs⁻¹). From these, the oxidation peak current i for the redox-couple $Co^{2+/3+}$ was determined. The inset shows log(i) vs log(v). The slope of the linear fit is 0.9. b) LSV of $Zn_{0.35}Co_{0.65}O$, $CoAl_2O_4$ and Co(O)OH in 1 M KOH. The error bars indicate the deviation of three measurements.

To analyze the influence of the OER on the material, it was held for 1 h at a current density of 10 mAcm⁻². In the XPS spectrum after the reaction in **Fig. 2b**, the Co2p_{3/2} peak position is at 781.3 eV, and the shake-up satellite is shifted by ca. 5.5 eV. The Co2p_{1/2} peak is shifted by 15.6 eV compared to Co2p_{3/2}. For Co³⁺ species the shake-up satellite is expected to be shifted by 10.0 eV compared to the Co2p_{3/2}. ⁴⁶ Here, the markedly unchanged line shape of the Co2p spectrum after reaction points towards the same oxidation state as before reaction. The Al2p_{3/2} peak (**Fig. S7a**) is shifted to 73.6 eV and can be assigned to Al³⁺ in an oxygen environment. The 0.5 eV energy shift of the Co and Al main peak positions can be attributed to small changes in the Fermi level of the thin films. This is further supported by the fact, that the oxygen main peak is shifted by the same amount (see **Fig. S7c**). From XPS it should be concluded that no major changes in oxidation state occur upon reaction.

The HRTEM micrographs after reaction in **Fig. 3d-e** show close aggregated particles. The SAED pattern in **Fig. 3e** shows one phase, that can be assigned to spinel-type CoAl₂O₄. Also the power spectral analysis indicates no second crystalline phase. On the other hand, as the

reflections of pure Co₃O₄ are expected at nearly the same position as for CoAl₂O₄, no distinction between those can be made.

The EDX analysis after the reaction (see **Table 1**) yields a cobalt to aluminum ratio of 40 % to 60 %, indicating a little loss of aluminum from the material.⁵² The EDX mapping in **Fig. S10**, however, shows a uniform distribution of Co and Al in the particles. Following Lippens and Lannoo, for particles of 6.0 ± 1.4 nm size, 11 - 18 % of all atoms are located on the surface of a particle. Thus, the amount of Al leached can be attributed to the top most layer (see SI for details).⁵³

Analysis of the X-ray absorption near-edge structure (XANES) spectra (**Fig. 2c**) shows no change in the edge energy (7718.0 eV) between CoAl₂O₄ before and after 1 h electrochemical reaction at 10 mAcm⁻². Also, in the fingerprint region after the edge, only little difference is visible. This is in accordance to the XPS results indicating no change in the oxidation state after the reaction.

Extended X-ray absorption fine structure (EXAFS) (**Fig. 2d**) of the sample before and after the reaction also shows high similarity. Unfortunately, the EXAFS before the reaction could not be fitted with just a simple model based on the crystal structure of CoAl₂O₄, probably due to the high surface to volume ratio in the small nanoparticles. A fit of the EXAFS after the reaction, however, was possible in the range from 1.15 to 3.6 Å, assuming a spinel unit cell without defects. The peak at a reduced distance of 2.00 Å corresponds to 4 oxygen atoms tetrahedrally coordinated around the central cobalt atom. The second shell is composed of 12 aluminum atoms in a reduced distance of 3.29 Å, 12 oxygen atoms at 3.33 Å and 4 cobalt atoms at 3.44 Å. The other fitting parameters can be found in **Table S9**. From comparison to the fit after reaction and with Maurizio et al.,⁵⁴ a qualitative analysis of the spectrum before the reaction can be done. The first peak must be from the oxygen coordinated around the cobalt atom. The second peak is less pronounced and a bit broader then after reaction, indicating less regular features that dissolve during the reaction. The third peak is at around the same position as after the reaction, but shows no shoulder. All in all, the EXAFS analysis of the samples also show no significant change in the main features after the reaction.

To conclude, the *ex situ* characterization of CoAl₂O₄ before and after the reaction indicate no significant change in the main crystalline structure. The loss of Al detected by EDX can be ascribed to the top-most surface layer of the nanoparticles, but an additional change in the surface structure could not be detected by our experiments.

Operando measurement of CoAl₂O₄

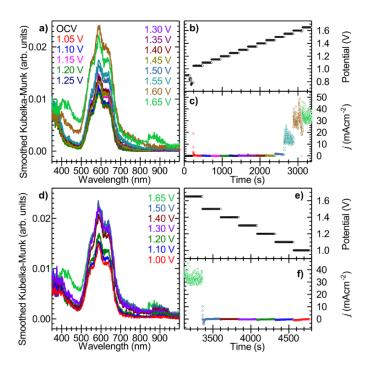


Figure 5: *Operando* DRUV spectroelectrochemistry of CoAl₂O₄. a) and d) DRUV spectra in 1 M KOH for oxidation and reduction, respectively, b) and e) Applied potentials, c) and f) Corresponding CA measurements.

CoAl₂O₄ nanoparticles were also measured by operando DRUV spectroscopy. In contrast to Zn_{0.35}Co_{0.65}O, where the sample undergoes phase transformations, here no significant changes in the structure could be observed after the OER. The spectra recorded under anodic potential in Fig. 5a show no shift in the peak positions compared to the spectrum of powdered CoAl₂O₄ nanoparticles (Fig. S2a), nor are there new bands visible. Only the intensity is slightly increased upon increasing potential. This can be again attributed to electrochromism. The increase of the bands below 500 nm, that are only present at high currents indicate the presence of another structural motif during the OER. The increased absorption above 800 nm at 1.65 V can be attributed to absorption of the gold substrate, which is only visible at high potentials (cf. Fig. **S6**). The noise in spectra at higher potentials is caused by the evolution of O₂ bubbles (cf. Fig. 5 **c** and **f**, indeed for applied potentials above 1.55 V the recorded current is above 10 mA/cm⁻²). For the spectra recorded under cathodic potential (Fig. 5d), a decrease in the intensity can be observed. These spectra prove on the one hand that the "bulk" of the material (even though the particle size is below 10 nm) is unaffected under anodic potential, where the oxidation of cobalt to +IV species is expected. This is in line with the CV analysis, which points to redox reactions confined at the top most surface of the nanoparticles.⁵⁰ On the other hand it shows that the structures present under OER conditions are formed reversible. Additional structural characterizations before and after electrochemistry support our conclusions. As a matter of fact, SAEDs are unchanged (cf. Fig. 3 b and e) and no additional phases could be detected.

Moreover, STEM EDX mapping shows no change in the AI and Co distribution over the nanoparticles. On the other hand, a slight decrease of the AI concentration is recorded (few atom%, **Table 1**), pointing to the partial leaching of AI at the top most surface of the nanoparticles leading to reorganization of the surface. All in all, the *ex situ* structural and chemical characterizations match the *operando* DRUV studies and demonstrate that only the first atomic layers of the CoAI₂O₄ nanoparticles are active towards the OER, while the tetrahedral backbone of the material is still intact.

Discussion

The use of operando DRUV spectroscopy, together with our newly developed electrochemical flow cell, appears to be well suitable for the study of changes in the local structure of electrocatalysts under working conditions. Indeed, the operando measurements permitted to accurately follow the structural changes the Zn_{0.35}Co_{0.65}O precatalyst undergoes, i.e. when it gets in contact with KOH and when a potential is applied. The observations in low potential regions could be analyzed and the results could be correlated to ex situ measurements. Thus, deeper insights in the formation mechanism of the active cobalt species could be gained. From a pure tetrahedral coordination of Co²⁺ the whole Wurtzite-type Zn_{0.35}Co_{0.65}O material underwent a structural change to an octahedral Co²⁺ coordination (i.e. Co(OH)₂), without changing its oxidation state. When an anodic potential is applied, the oxidation state of Co is partly changed to +III (above 1.1 V) and +IV (above 1.4 V). The oxidation is coupled to a decrease of the symmetry around the central ion. Furthermore, it could be shown that at the OER potential (i.e. > 1.5 V) a significant amount of Co³⁺ is still present as only little shift of the absorption maximum could be observed compared to lower anodic potential (e.g. 1.3 V). This is in good agreement with the literature and the proposed mechanism for the OER, where the active centers switch the oxidation state between +III and +IV and involve either oxygen vacancies or peroxide species.3, 55-57 It was also shown, that the formation of the active structural motif is a reversible process, as the spectra after the cathodic potential did not differ essentially from the ones under anodic potential. Nevertheless, the results indicate that several Co species in different oxidation states are present simultaneously during the OER.

The spectra recorded at 1 V and above show the advantages of the *operando* DRUV technique. Since UV-Vis absorption spectroscopy probes the valence electrons, it is very sensitive to small changes in the electronic structure of a sample. In the case of CoAl₂O₄, even changes that are confined to the top most surface layer of the nanoparticles can be seen. On the other hand, the assignment of certain bands to structural motifs is extremely complex, as the spectra are a superposition of contributions of several structures. Additionally, the spectra of the single solid

structures further include also fine splitting of the electronic terms depending on the ligand field geometry.

It should also be pointed out that only few electrocatalytical materials involve a phase transformation over the whole structure during the OER. For most materials, only the surface shows catalytic activity. As an example for this, CoAl₂O₄ was used as a model catalyst. The electrochemical data exhibited a high activity towards the OER. On the one hand, the *operando* DRUV measurements were able to prove that the clear signature of the Co²⁺ ions in tetrahedral environment is unaffected by the OER. On the other hand, increases in intensity and the presence of bands at elevated potentials indicate different reversible structural motifs that are only present during the OER. *Ex situ* analyses of CoAl₂O₄ further support these observations, as no change in the crystalline backbone could be seen and only changes on the top most surface were derived. From this, it is valid to assume that the catalytically active centers are on the top first atomic layers only. The inertia of the underlying tetrahedrally coordinated cobalt further indicates that the assignment of the active phase to one certain structure is not straightforward.

Interestingly, although the ECSA of $CoAl_2O_4$ is among the smallest of the studied systems, it has a significant activity towards the OER. This means it has a higher activity per center compared to the other materials studied, indicating that on these centers the structures for the OER are optimized. On the downside, fewer centers are available. Thus, new syntheses should strive to even smaller particles, to increase the number of active centers. Nevertheless, we showed that by combining DRUV measurements and *ex-situ* chemical and structural analyses, valuable information can be acquired even on materials bearing only surface catalytic species.

One of the advantages of the presented spectroelectrochemical technique lies in its wide accessibility when combined with the simple and inexpensive flow cell we have developed. Another advantage of the presented experimental setup is the modularity. The outlet of the cell can be coupled to a gas sensor, a mass spectrometer or another analysis technique to analyze the reaction products or dissolved species. By replacing the Quartz window with a KaptonTM foil, the setup can be applied in *operando* XAS measurements in fluorescence mode and in XRD in Bragg-Brentano geometry.

Conclusion

We propose the use of an *operando* DRUV spectroelectrochemical approach to follow changes in the electronic structure of oxygen evolution catalysts under working conditions. We successfully followed chemical and structural changes of two solid cobalt-based catalysts Zn_{0.35}Co_{0.65}O and CoAl₂O₄ at OER potential and linked the results to *ex situ* characterizations. This allowed us to propose clear structure-activity correlations.

All in all, our approach bridges the gap between model catalysts studied by surface science techniques under UHV and catalyst materials studied under highly concentrated electrolytes and we are convinced that the electrocatalysis community will adopt it as a standard *operando* technique.

Experimental

Chemicals: All reagents were used as received. Cobalt(II) acetate (anhydrous, 98 %) was purchased from ABCR GmbH (Karlsruhe, Germany). Aluminum triisopropoxide (anhydrous, 98 %) and benzyl alcohol (puriss.) were purchased from Sigma Aldrich (Munich, Germany). Absolute ethanol (EMPARTA) was purchased from VWR International GmbH (Darmstadt, Germany). 1 N potassium hydroxide solution was purchased from Carl Roth GmbH (Karlsruhe, Germany).

Materials for the SEC: MetalVelvet[™] black foil sheet, low outgassing adhesive (ACM Coatings GmbH, Naumburg, Germany). PEEK raw part (Grünberg Kunststoffe, Berlin, Germany). PTFE raw part (ADS Drehservice GmbH, Ahaus, Germany). Quartz glass cover slip, Ø18 mm, 0.25 mm thick (Plano GmbH, Wetzlar, Germany). Silicone sheet, white, 0.5 mm thick, ~60 Sh-A (Modulor GmbH, Berlin, Germany). To seal small leakings: One components silicone rubber Scrintec[®] 600, oxime cross-linking (Carl Roth GmbH,Karlsruhe, Germany). 0.8 mm diameter PTFE tubing, flanged (VWR International GmbH, Darmstadt, Germany).

Syntheses: Syntheses of Zn_{0.35}Co_{0.65}O, ZnCo₂O₄, Co(O)OH and CoO were described before.²⁰ CoAl₂O₄ was synthesized by adding cobalt(II) acetate (248 mg, 1.4 mmol, 1 eq.) and aluminium triisopropoxide (572 mg, 2 mmol, 2 eq.) to benzyl alcohol (25 mL) in a 45 mL PTFE-lined stainless steel autoclave (Parr Instruments GmbH, Frankfurt, Germany) equipped with a stirring bar in an argon atmosphere. After sealing the autoclave, it was placed on a stirring plate and stirred for 2 h to dissolve all solids. Then, it was heated at 250 °C for 48 h. After cooling, product was obtained as a blue dispersion. Collecting the solid product by centrifugation and washing with ethanol was challenging, as the dispersion formed in benzyl alcohol as well as in ethanol was very stable. After washing, the product was dried at 70°C in an oven. 185 mg of a blue product were obtained (74 % yield based on precursor's stoichiometry).

Flow cell design: The front part is made from polyether ether ketone (PEEK), which is covered with a black foil (MetalVelvet[™], ACM Coatings GmbH) with a 4 mm hole, to only reflect light from the working electrode. The front is placed on a mount and hold by magnets at the reflectance port of the spectrophotometer. In the main area, the PEEK has a thickness of 2 mm. A 0.25 mm thick Quartz window is embedded in the PEEK and allows the light to pass to the working electrode and back. It also allows for measurements in the near-infrared (NIR) range. An SPE (DropSens 250AT, Metrohm) is used as the substrate for the catalyst and is connected via a 2 pin connector cable

(2.54 mm contact spacing) to the potentiostat. The catalyst is placed on the 4 mm Au electrode, and the Pt ring around acts as the counter electrode. The SPE is placed in silicone gaskets that direct the flow of the electrolyte. The front gasket is 0.5 mm thick and thus the volume in front of the electrode is ~50 µL. The total distance from the electrode to the reflectance port is only ~2.5 mm. The electrolyte passes through the channel in front of the electrode and the 2 mm diameter channels in the back part of the SEC, which is made from polytetrafluoroethylene (PTFE). It is connected by PTFE tubes with HPLC fittings to the peristaltic pump and the waste bottle. The electrolyte is pumped at a rate of 0.92 mL/min through the system and thus the solution in front of the electrode is renewed ~18 times per minute. The tip of the RHE reference electrode (Mini HydroFlex®, gaskatel) is in contact to the electrolyte in the inlet channel to allow for a controlled potential in the cell. Wing bolts and nuts tighten the setup. A 3D-printed cover with holes for the tubes, cables and RHE protects the cell from ambient light. Additionally, the system is covered with a black tissue. Blueprints are available upon request from the corresponding author. A scheme of the cell can be found in Fig. S11. Photographs are shown in Fig. S12.

Characterization: XRD diffraction patterns were obtained using a STOE STADI MP (STOE, Darmstadt, Germany) running at U = 50 kV and I = 40 mA with Mo K α = 0.70930 Å) monochromatic radiation (using a Ge(111) monochromator) and a DECTRIS "MYTHEN 1K" detector. The patterns were recorded in 2 θ geometry in the range of 4 to 60 °.

HRTEM images and EDX mappings were obtained using a FEI Talos F200S operated at 200 kV scanning/transmission electron microscope (S/TEM). Particle sizes were estimated by measuring 250 particles manually.

X-ray photoelectron spectroscopy (XPS) was performed in an ultrahigh vacuum chamber (base pressure 5.10-10 mbar) using a JEOL JPS-9030 set-up comprising a hemispherical photoelectron spectrometer and a monochromatic Al K α (h ν = 1486.6 eV) X-ray source. The XPS measurements were performed with an energy resolution of 1.25 eV as determined on a polycrystalline Ag 3d core level. The samples were directly measured on SPEs. The spectra were corrected for charging by shifting the C1s peaks to 284.8 eV.

XANES and EXAFS measurements of the samples before electrochemical reaction were performed at the BAM*line* (BESSY-II, Helmholtz Centre Berlin for Materials and Energy Berlin, Germany). The beam was monochromatized using a double-crystal monochromator (DCM). The size of the beam was 3 x 1 mm. The measurements were performed at Co-K edge (7709 eV) in transmission geometry, with two ionization chambers as detectors. The excitation energy was varied from 7614 eV to 8428 eV, with varying energy steps. For the pre-edge region, the energy was varied in 10 eV steps; for the region around the edge, energy was tuned in 0.5 eV steps and in the EXAFS region with a constant step in the k-space of 0.04 Å⁻¹. The associated uncertainty was experimentally determined by measuring the cobalt metal foil 10 times. A value of ±0.3 eV was obtained. For the measurement, the samples were mixed with boron nitride and fixed in plastic sample holders. Before collecting the sample spectra, a cobalt foil was used as a reference for the cobalt edge. The relative energies of the spectra were calibrated to the first infliction point of the cobalt metal absorption edge. To determine the edge energy, the first infliction point was used. EXAFS data were processed by ATHENA and ARTEMIS from Demeter version 0.9.26.⁵⁸ This GUIs program belongs to the main package IFEFFIT (v. 1.2.12).⁵⁹

Electrochemical analyses were performed using a Bio-Logic VMP3 multichannel potentiostat/ galvanostat with a built-in EIS analyzer. The electrochemical behavior and activity of catalysts were measured in a three-electrode

electrochemical cell using a 3 mm diameter glassy carbon rotating disc electrode (RDE, Autolab RRDE, Metrohm, Filderstadt, Germany) operated at 1600 rpm at 25 °C. A platinum wire was used as the counter electrode and a reversible hydrogen electrode (HydroFlex, gaskatel, Kassel, Germany) was used as a reference electrode. The electrodes were prepared by drop casting 3 μ L of a catalyst ink onto glassy carbon. The ink was prepared by sonicating 1 mg catalyst powder with 490 μ L ethanol, 490 μ L purified water and 20 μ L Nafion for 30 min.

The sample after reaction for the HRTEM and XAS analyses was prepared by dropcasting an ethanolic dispersion of the CoAl₂O₄ onto a nickel foil, performing 1 h chronopotentiometry at a current density of 10 mAcm⁻², rinsing the foil with ethanol and scratching off the material. This was repeated several times.

DRUV spectroscopy was performed on a PerkinElmer LAMBDA 950 UV/Vis Spectrophotometer equipped with a 150 mm integrating sphere. Powdered samples were measured at the reflectance sample holder port, by mixing them with a small amount of BaSO₄. Spectra were measured in the wavelength range between 2500 and 200 nm. For baseline detection, pure BaSO₄ was used, and the Kubelka-Munk function was used to compute the spectra.

Operando DRUV measurements were performed in the cell described above and in the predecessor model (supporting information and Fig. S13). A 4 mm gold screen-printed electrode (DropSens 250AT, Metrohm, Filderstadt, Germany). Screen-printed platinum was used as the counter electrode and a reversible hydrogen electrode (Mini HydroFlex®, gaskatel, Kassel, Germany) was used as the reference electrode. The cell was connected to a BioLogic SP50 portable single channel potentiostat / galvanostat. The cell was placed at the reflectance sample holder port of the above mentioned spectrophotometer, and covered with a 3D-printed cover and a black tissue. For baseline detection, a blank SPE was used. The samples were prepared by drop casting 2 μL of a catalyst ink on the Au electrode of the SPE. The catalyst ink was prepared by dispersing 5 mg of catalyst powder in 1 mL ethanol using an ultrasonic bath. The spectra were collected in the range between 1000 and 350 nm with a resolution of 1 nm. 1 M KOH was used as the electrolyte, and the flow was controlled to 0.92 mL/min by a tubing pump (flow rate 0.03 to 8.20 mL/min, neoLab Migge GmbH, Heidelberg, Germany). The spectra were measured 30 s after a new potential was applied, respectively. All spectra were exported as csv-files by the spectrometers software and treated further with OriginPro 2017. Spectra then were computed using the Kubelka-Munk function. The band positions were obtained manually by selecting the maximum intensity of a band or the position of a shoulder or by Gaussian peak deconvolution. The band positions then were compared to the corresponding online available Tanabe-Sugano diagram via a self-written Python 3 script, and the most probable transitions were assigned. 60 The most probable band assignment based on this method can be found in the supporting information for the spectra shown (Table S1-S8).

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Author contributions

S.W. and N.P. have designed the study, analyzed the data and wrote the paper. P.A. provided XPS measurements and analysis. S.M.E. provided electrochemical measurements. A.G.B. provided XAS measurements and guidance to the interpretation. N.K. contributed to the interpretation of the XPS results.

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