

1 Atmospheric Oxidation of Piperazine Initiated by OH: A Theoretical Kinetics 2 Investigation

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7

8 **ABSTRACT**

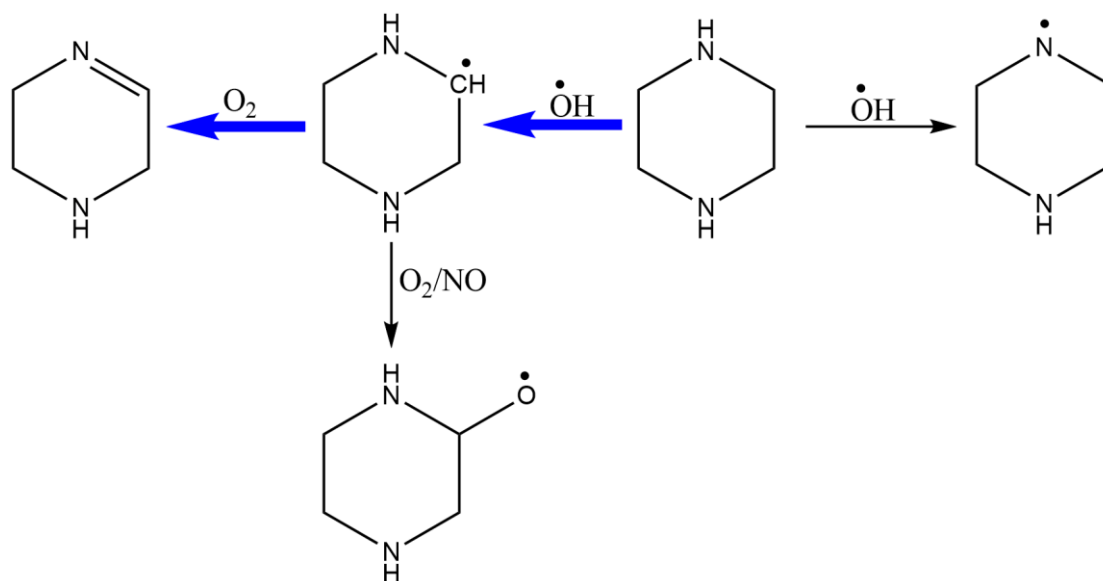
9 Piperazine (Pz) mixed with other amines is a proposed carbon capture solvent, but there is concern
10 about the impact of Pz on air quality, including the potential to produce toxic products. Here, the $\cdot\text{OH}$
11 initiated oxidation of Pz has been studied by *ab initio* modelling and RRKM / master equation kinetic
12 simulations. The Pz + $\cdot\text{OH}$ reaction is found to proceed at around the capture rate, consistent with
13 experiment, with abstraction predominantly from C—H sites. The subsequent reaction kinetics of
14 carbon centred Pz radicals with O_2 are also studied, so as to determine the first-generation oxidation
15 products. We find that the Pz radical predominantly reacts with O_2 to produce a cyclic imine product
16 + $\text{HO}_2\cdot$ under tropospheric conditions, with the stabilized peroxy radical formed as a minor product.
17 Subsequent reaction of the peroxy radical with NO produces an alkoxy radical that can react with O_2
18 to yield a cyclic amide or undergo unimolecular ring opening followed by a second O_2 addition / $\text{HO}_2\cdot$
19 elimination step to produce $\text{CH}_2=\text{NCH}_2\text{CH}_2\text{NHCHO}$.

20 **KEYWORDS**

21 Atmospheric chemistry, kinetics, piperazine, transition state theory, computational chemistry

22

23 **TOC Graphic**



24

25 Introduction

26 Carbon capture technology is being actively pursued as a means of reducing CO₂ emissions from fossil
27 fuel burning.¹⁻⁶ Among these technologies, absorption into liquid amine solvents is currently the most
28 mature.⁷⁻¹⁰ The amine piperazine (Pz) is one potentially attractive solvent when mixed with other
29 compounds.^{7, 11-15} It is inevitable that significant quantities of volatile amines will be released to the
30 atmosphere during their manufacture, transport, and use in any amine-based carbon capture
31 process,¹⁶⁻¹⁹ and we therefore require a thorough understanding of the atmospheric chemistry of
32 piperazine.

33 Pz is a nitrogen-containing heterocycle with a six-member ring and two secondary amino groups.
34 When released into the atmosphere, Pz is expected to react predominantly with the •OH radical, via
35 abstraction from C—H sites to form an alkyl radical (PzC) or from N—H sites to form an aminyl radical
36 (PzN). The extent of branching to the PzN radical is of particular interest, as aminyl radicals can react
37 with NO and NO₂ to generate toxic nitrosamines and nitramines, respectively.²⁰⁻²⁷ On the other hand,
38 α-aminoalkyl radicals such as PzC have been shown to predominantly react with O₂ to produce
39 imines.^{28,29} Experimentally, Pz has been shown to react with •OH mainly via C—H abstraction, although
40 there is limited information on the further reaction products under atmospheric conditions.^{11, 30, 31} This
41 motivated us to carry out a theoretical kinetics investigation into the Pz + •OH reaction through
42 multiple reaction stages, to help validate existing experimental results as well as to provide new insight
43 into the ultimate products of atmospheric oxidation.

44

45 Methods

46 The G4 composite model has been used for all ab initio calculations.³² Frequency calculations
47 confirmed all minima to have zero imaginary frequencies and all transition states to have one
48 imaginary frequency, with intrinsic reaction coordinate calculations used to confirm transition state
49 connectivity. The G4 method was selected as it can accurately predict barrier heights at reasonable
50 computational cost. Gaussian 16 was used for all reported electronic structure calculations.³³

51 The MultiWell Program Suite (2017) is used for statistical reaction rate simulations,³⁴ on the basis
52 of G4 theory moments of inertia, vibrational frequencies, and energies. RRKM theory is used to
53 calculate microcanonical rate coefficients, $k(E)$. In the sums and densities of state calculations,
54 harmonic oscillators are used to describe the internal degrees of freedom and an active 1D K-rotor
55 and inactive 2D J-rotor are used to treat external degrees of freedom. Barrierless association reactions
56 are described using the restricted Gorin model,³⁵ where the rate of complex formation is estimated as
57 the dipole-quadrupole capture rate, k_{cap} .³⁶ The equation used here is $k_{\text{cap}} = C\mu^{-0.5}|dQ|^{1/2}$, where
58 C is a constant, μ is reduced mass, and d and Q are the respective dipole and quadrupole moments.
59 All values are in atomic units and they are included in Supporting Information. The capture rate of Pz
60 and •OH is estimated to be $2.61 \times 10^{-10} \text{ cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$, whereas the capture rate of PzC and O₂ is
61 significantly lower at $3.35 \times 10^{-11} \text{ cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$, largely due to the small quadrupole moment of O₂.
62 Product yields are obtained from time-dependent energy grained master equation simulations. The
63 upper limit of the continuum master equation is set as $150,000 \text{ cm}^{-1}$ (well above any barriers or
64 excitation energies) discretized into 2000 grains with size of 10 cm^{-1} . Each simulation featured 10
65 million trajectories and sufficient collisions to reach steady-state. The Lennard-Jones model is used to

66 describe the collision of wells with the bath gas, N₂. For the Pz–OH adducts, Lennard-Jones parameters
67 σ and ϵ/k_b are set as 6.2 Å and 584 K. For PzC–O₂ adducts, Lennard-Jones parameters σ and ϵ/k_b are
68 set as 6.04 Å and 524.6 K. The average energy in deactivating collisions, ΔE_{down} , is set as 100 cm⁻¹ in the
69 Pz + •OH reaction and 100 ± 50 cm⁻¹ for PzC + O₂.

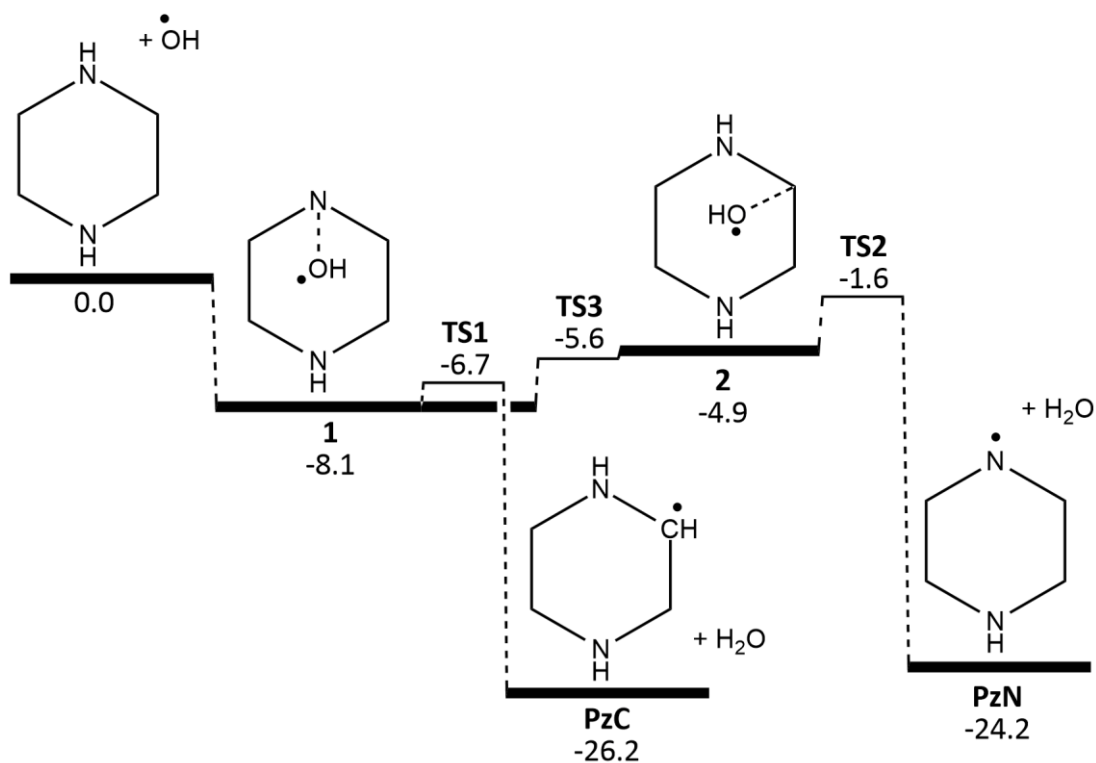
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71 Results and Discussion

72 The •OH radical can abstract H from either a N site on Pz, to form a N atom centred aminyl radical
73 (PzN) + H₂O, or a C site on Pz, to form a C atom centred alkyl radical (PzC) + H₂O. A theoretical energy
74 diagram of the Pz + •OH reaction is depicted in **Figure 1**. The optimised geometries of the transition
75 states and wells in the H abstraction are shown in **Figure 2**.

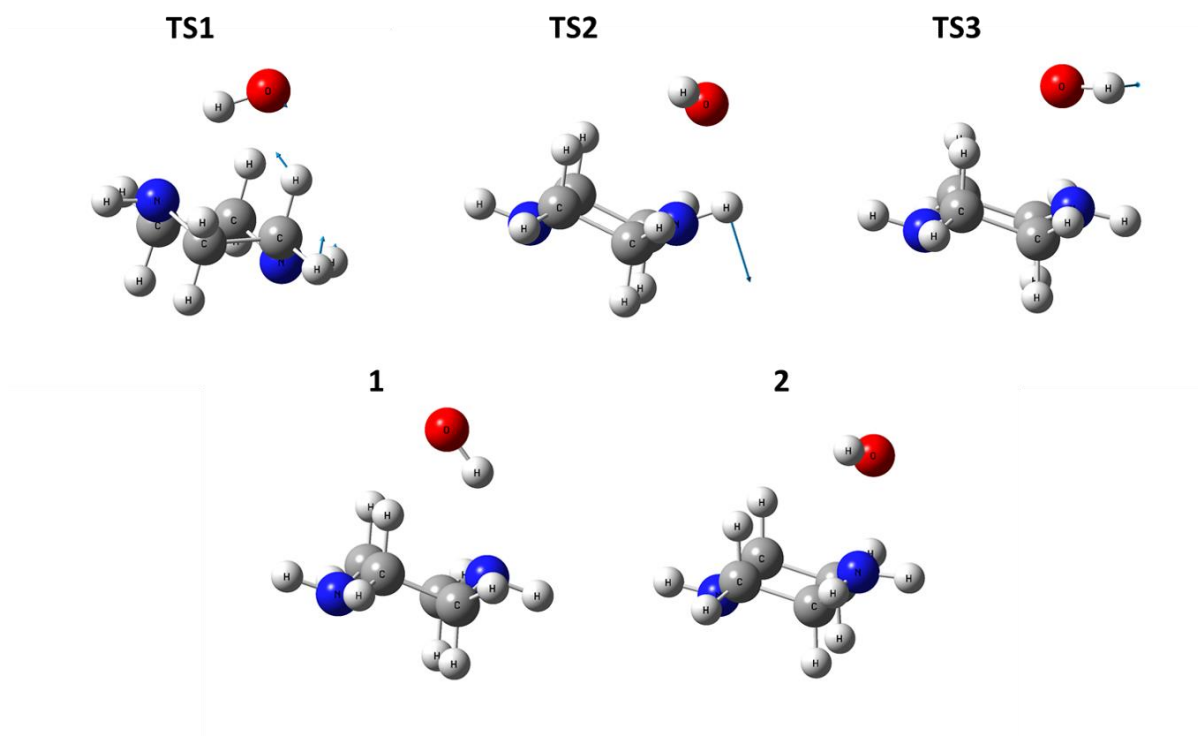
76 The initial step begins with the approach of •OH radical to Pz, resulting in barrierless and exothermic
77 formation of pre-reaction adduct **1** by 8.1 kcal mol⁻¹ or **2** by 4.9 kcal mol⁻¹. From **1** the C–H bond will
78 break via **TS1** which sits 1.4 kcal mol⁻¹ above **1** (6.7 kcal mol⁻¹ below the reactants). From **2**, the N–H
79 bond will break via transition state **TS2** which is 3.3 kcal mol⁻¹ above **2** (1.6 kcal mol⁻¹ below Pz + •OH).
80 Additionally, pre-reactant complexes **1** and **2** can interconvert via **TS3**, which sits at close to the energy
81 of **2**. This process predominantly involves rotation of the OH group; the H–O–N–H dihedral changes
82 from almost 0° in **1** to about -112° in **2**, and is -28° in **TS3**. After H abstraction, **TS1** leads to the alky
83 radical (PzC) and H₂O, whereas **TS2** goes to the aminyl radical (PzN) and H₂O in a marginally less
84 exothermic process. According to the potential energy diagram developed here we would expect PzC
85 to be the dominant reaction product, due to both the lower abstraction barrier and the ability for the
86 two pre-reaction complexes to readily interconvert.

87 In order to quantify the Pz + •OH reaction kinetics, master equation modelling has been carried out
88 from 300 K to 500 K at 1 atm N₂. As is shown in **Figure 3**, the calculated rate coefficient at 300 K
89 matches the experimental result of Onel *et al.*³¹ Additionally, the negative activation energy is
90 captured reasonably well.³¹ At 300 K, C–H and N–H abstraction rate coefficients are estimated to be
91 $k_{\text{Cabst}} = k_{\text{cap}} \times y_{\text{C-H}} = 2.38 \times 10^{-10} \text{ cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$ and $k_{\text{Nabst}} = k_{\text{cap}} \times y_{\text{N-H}} = 2.66 \times 10^{-12} \text{ cm}^3$
92 $\text{molecule}^{-1} \text{ s}^{-1}$ respectively, where $y_{\text{C-H}}$ is the yield of C–H abstraction products and $y_{\text{N-H}}$ is the yield
93 of N–H abstraction products. Predicted branching to C–H abstraction is $k_{\text{Cabst}} / (k_{\text{Cabst}} + k_{\text{Nabst}})$
94 $\approx 99 \%$, confirming this as the major reaction channel. As temperature increases from 300 to 500 K,
95 the branching ratio of C–H abstraction remains as the major reaction channel and slightly decreases
96 from 99 % to 94 %. Yields, abstraction rate coefficients, and branching ratios of both channels at 300
97 to 500 K are listed in Supporting information. Thus, subsequent reactions between PzN and NO or NO₂
98 which may produce carcinogenic nitrosamines and nitramines are predicted to be minor in the
99 atmospheric oxidation of piperazine initiated by •OH, although due to their potentially acute toxicity
100 they may still need to be included in atmospheric chemical mechanisms.



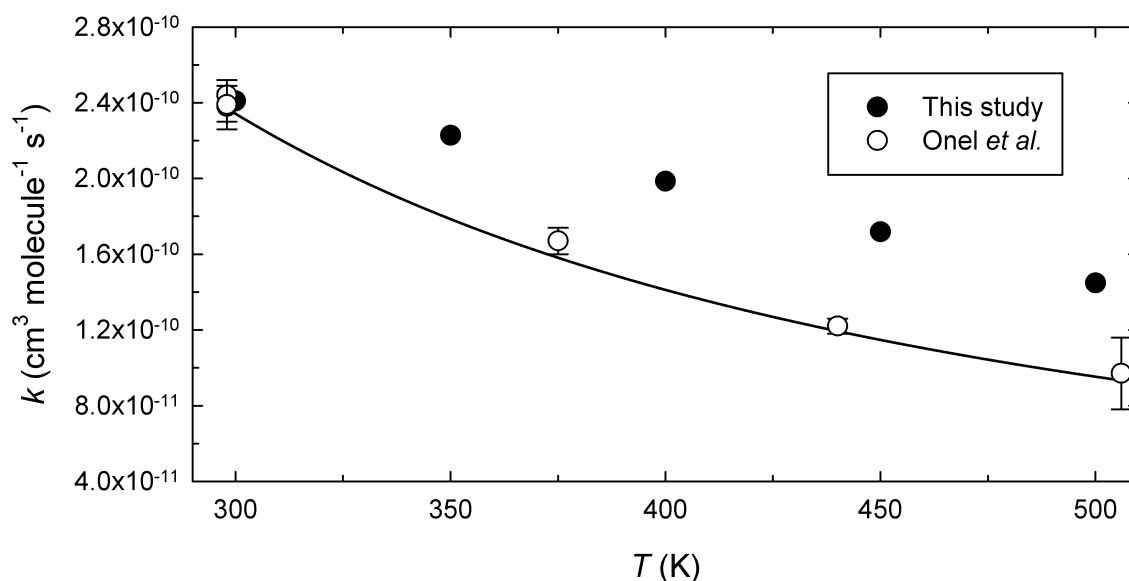
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102 **Figure 1.** Potential energy diagram for the piperazine + $\cdot\text{OH}$ reaction. Relative energies are 0 K
 103 enthalpies in the units of kcal mol⁻¹, at the G4 level of theory.



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105 **Figure 2.** Optimized structures of the wells and transition states of Pz + $\cdot\text{OH}$ reaction at G4 level of
 106 theory. For the transition states, displacement vectors of the imaginary frequency are included.

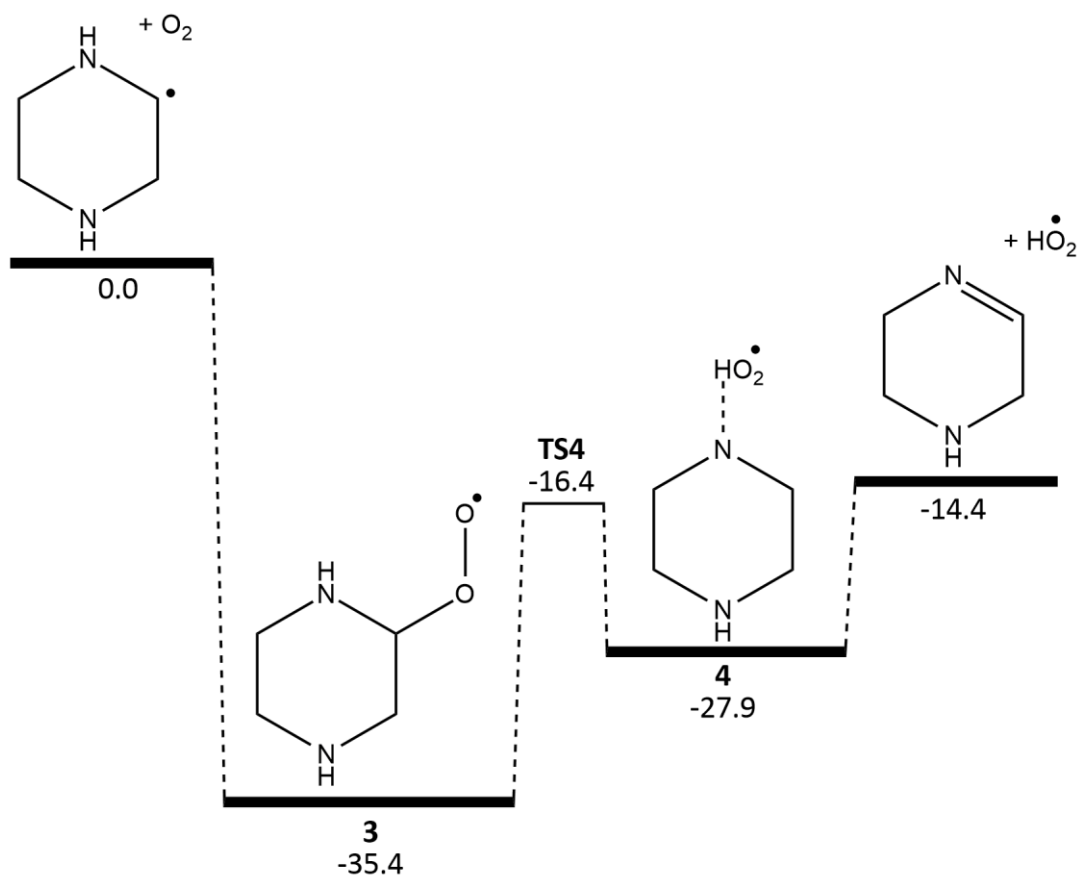


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108 **Figure 3.** Total rate coefficient (k) from calculations and experiments as a function of temperature
 109 (T) in the Pz + $\cdot\text{OH}$ reaction.

110 Once formed, the PzC radical will rapidly react with O_2 in the atmosphere. The energy diagram for the
 111 reaction of PzC + O_2 is shown in **Figure 4**. Energies of all the transition states, intermediates and
 112 products are below the reactants. The optimised structures of the transition states and wells in the
 113 mechanisms are illustrated in **Figure 5**. Firstly, the alkyl radical (PzC) combines with molecular O_2 to
 114 form the peroxy radical ($\text{RO}_2\cdot$) intermediate **3**, releasing 35 kcal mol^{-1} in energy. From here, **3** can
 115 undergo $\text{HO}_2\cdot$ elimination via a five-member ring like transition state, **TS4**, which is $16.4 \text{ kcal mol}^{-1}$
 116 below the reactant and 19 kcal mol^{-1} above the peroxy radical **3**. Following $\text{HO}_2\cdot$ elimination there is
 117 the formation of a weak post-reactant adduct **4**, which can dissociate to the cyclic imine 1,2,3,6-
 118 tetrahydropyrazin and $\text{HO}_2\cdot$, whose energies are $14.4 \text{ kcal mol}^{-1}$ below the reactants.

119



120

121 **Figure 4.** Potential energy diagram for the reaction of PzC + O₂. Relative energies are 0 K enthalpies in
 122 the units of kcal mol⁻¹, at the G4 level of theory.

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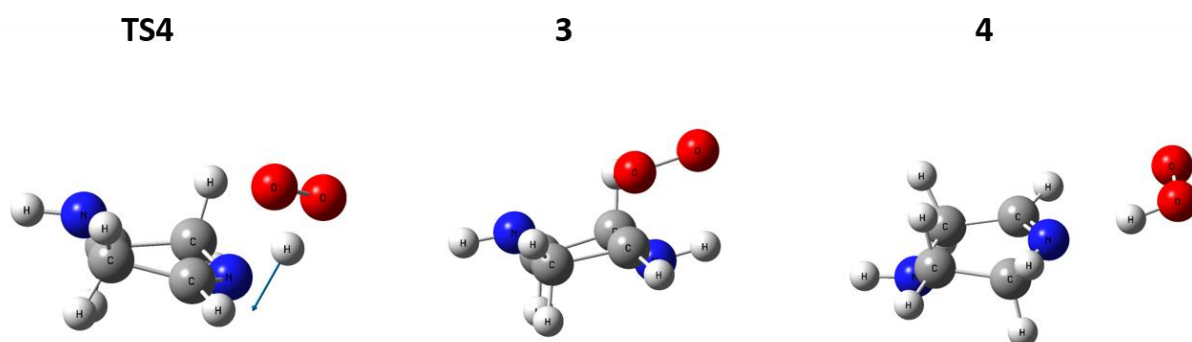
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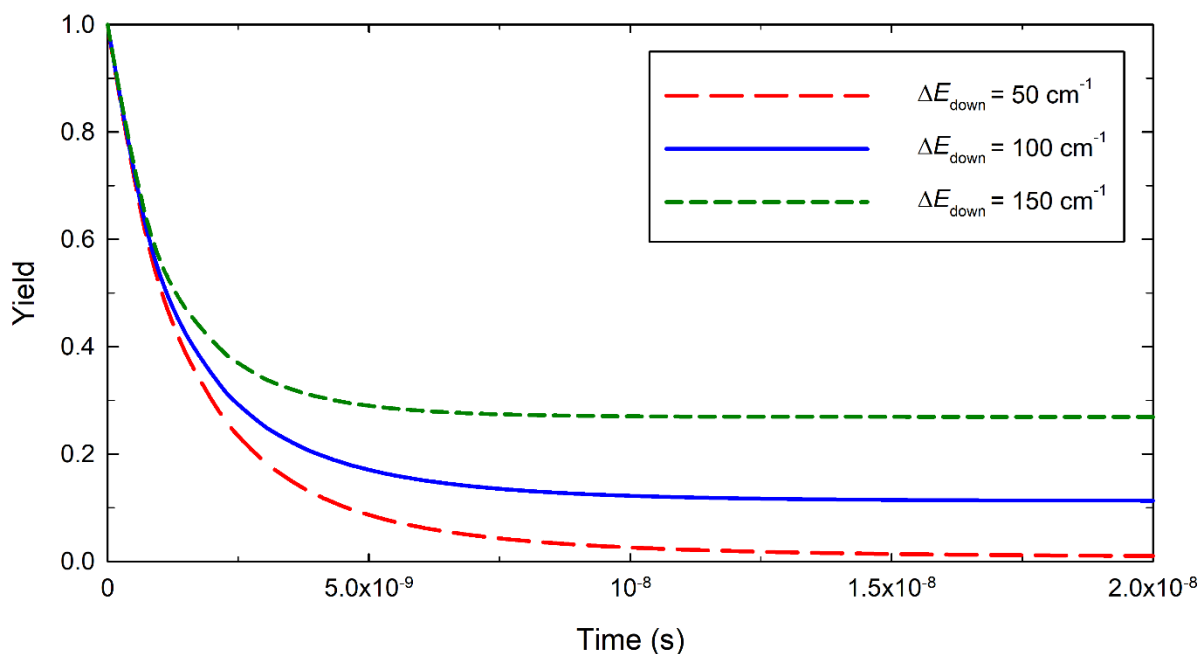


130 **Figure 5.** Optimized structures of the wells and transition states of PzC + O₂ reaction at the G4 level
 131 of theory. For the transition state, displacement vectors of the imaginary frequency are included.

132

133 It is apparent from **Figure 4** that following the association of PzC with O₂, the chemically activated
 134 peroxy radical will have sufficient energy to dissociate to 1,2,3,6-tetrahydropyrazin + HO₂[•]. This
 135 process will, however, be in competition with collisional deactivation of the peroxy radical as well as
 136 reverse dissociation to the reactants. To better understand these processes, a master equation model
 137 has been developed, and simulations were carried out at 14 Torr (the pressure of the Onel *et al.* study)
 138 and 1 atm N₂. At 14 Torr, we predict no peroxy radical stabilization, supporting the assumption of

139 Onel *et al.* that PzC was quantitatively oxidised to HO₂[•] in their reactor. From the 1 atm simulations
 140 we found that the only significant product channels were for peroxy radical stabilization and
 141 dissociation to the imine + HO₂[•], although the relative yields were sensitive to the collisional energy
 142 transfer model adopted. The time-evolution of peroxy radical **3** in the PzC + O₂ reaction at 298 K and
 143 1 atm N₂ is plotted in **Figure 6**, for $\Delta E_{\text{down}} = 100 \pm 50 \text{ cm}^{-1}$. At 100 cm⁻¹ the steady-state peroxy radical
 144 yield is 11.3 %, increasing to 26.9 % at 150 cm⁻¹ and dropping to effectively zero at 50 cm⁻¹.



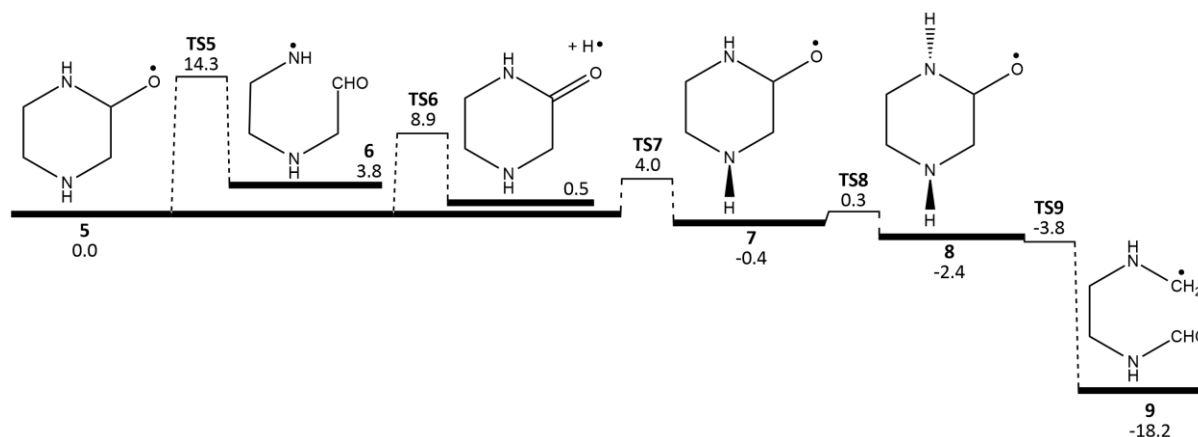
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 146 **Figure 6.** Predicted RO₂[•] peroxy radical yields vs. time from master equation simulations at 298 K and
 147 1 atm N₂.

148
 149 Although not the major product in the atmospheric degradation of Pz, we predict that the peroxy
 150 radical **3** will be formed to some extent, yet its further reaction pathways have to date been
 151 unexplored. In polluted environments the dominant fate will be reaction with NO to produce an
 152 alkoxy radical (RO[•]), **5**, as shown in Eqn (1). The energy release of this process is calculated as 10.0
 153 kcal mol⁻¹, which will provide the RO[•] radical with some excess vibrational energy over that of a
 154 thermalized population.



155 Following the formation of RO[•], there is competition between the bimolecular reaction RO[•] + O₂ and
 156 unimolecular RO[•] chemistry. The energy diagram for the RO[•] + O₂ reaction is depicted in the
 157 Supporting Information; this reaction produces a cyclic amide via a transition state which is 3.1 kcal
 158 mol⁻¹ above the entrance channel. A diagram illustrating the main isomerisation and decomposition
 159 pathways of the alkoxy radical RO[•] are shown in **Figure 7**. The alkoxy radical can break the C—N bond
 160 and form a N-centred radical HCOCH₂NHCH₂CH₂NH[•] with a barrier of 14.3 kcal mol⁻¹, from which no
 161 further low-energy pathways were identified. Direct C—H beta-scission leading to H loss, forming a
 162 cyclic amide, proceeds with a barrier of 8.9 kcal mol⁻¹. The alkoxy radical can also break the C—C bond
 163 via a multistep process (**TS7 – TS9**) with a barrier lower than both the H loss and C—N bond breaking

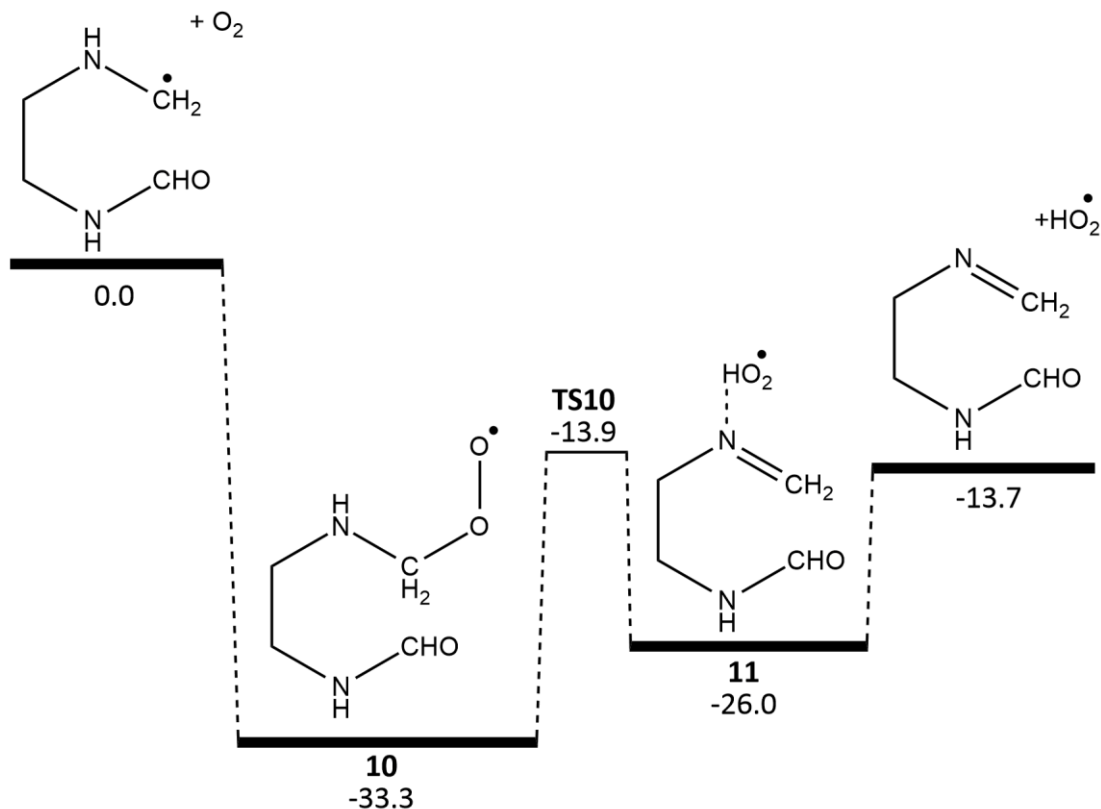
164 reactions. Firstly, the directions of the H—N bonds located at the meta and ortho N atoms sequentially
 165 change from equatorial to axial. Then C—C bond cleavage forms a ring-opened structure
 166 $\text{HCONHCH}_2\text{CH}_2\text{NHCH}_2^\bullet$ via a near-barrierless process. Subsequent H shift reactions of
 167 $\text{HCONHCH}_2\text{CH}_2\text{NHCH}_2^\bullet$ have also been explored, although all energies are significantly above the
 168 entrance channel (see Supporting Information). Accordingly, the main alkoxy radical product is
 169 expected to be the open chain alkyl radical $\text{HCONHCH}_2\text{CH}_2\text{NHCH}_2^\bullet$, which can undergo a second O_2
 170 addition mechanism.



171
 172 **Figure 7.** Potential energy diagram for PzC alkoxy radical isomerisation. Relative energies are 0 K
 173 enthalpies in the units of kcal mol⁻¹, at the G4 level of theory.

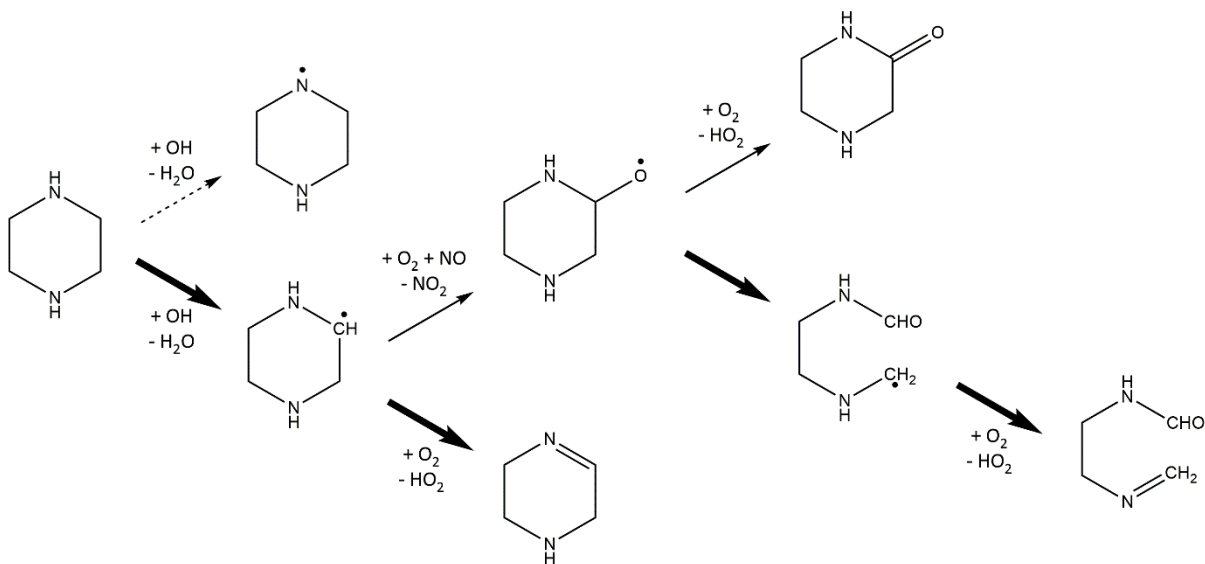
174 Similar to the alkyl radical PzC, $\text{HCONHCH}_2\text{CH}_2\text{NHCH}_2^\bullet$ reacts with O_2 to form a peroxy radical (**10**),
 175 releasing 33.3 kcal mol⁻¹ in energy. Subsequently, **10** can undergo HO_2^\bullet elimination via **TS10**, which is
 176 19.4 kcal mol⁻¹ above **10** (13.9 kcal mol⁻¹ below $\text{HCONHCH}_2\text{CH}_2\text{NHCH}_2^\bullet + \text{O}_2$). After HO_2^\bullet elimination,
 177 **TS10** leads to the post-reactant complex **11**, which will dissociate to $\text{CH}_2=\text{NCH}_2\text{CH}_2\text{NHCHO}$ and HO_2^\bullet ,
 178 whose energies are 12.3 kcal mol⁻¹ above well **11** and 13.7 kcal mol⁻¹ below the entrance channel. The
 179 relevant energy diagram is depicted in **Figure 8**.

180 Based upon the results presented above, we predict that Pz will react with $^\bullet\text{OH}$ in the troposphere to
 181 almost exclusively produce the carbon-centred radical PzC. Subsequent reaction with O_2 then leads
 182 predominantly to the imine 1,2,3,6-tetrahydropyrazin (+ HO_2^\bullet), but with a stabilised peroxy radical
 183 yield of about 10 %. The corresponding alkoxy radical can undergo ring opening followed by second
 184 O_2 addition to produce an open-chain imine, $\text{CH}_2=\text{NCH}_2\text{CH}_2\text{NHCHO}$. These findings are summarized in
 185 **Figure 9**.



186

187 **Figure 8.** Potential energy diagram for HCONHCH₂CH₂NHCH₂[•] + O₂ reaction. Relative energies are 0 K
 188 enthalpies in the units of kcal mol⁻¹, at the G4 level of theory.



189

190 **Figure 9.** Proposed atmospheric reaction scheme for the [•]OH radical initiated oxidation of
 191 piperazine.

192

193 Summary and Conclusions

194 In this work the [•]OH initiated atmospheric oxidation of Pz has been studied. H abstraction from C—H
 195 sites is found to have a lower barrier than N—H sites, and master equation modelling confirms that H

196 abstraction happens predominantly on C—H sites. Predicted rate coefficients for the Pz + \cdot OH reaction
197 are consistent with experiment. Reaction of the alkyl piperazine radical PzC with O₂ is also
198 investigated, with the main product predicted to be a cyclic imine produced via a HO₂ \cdot elimination
199 process, with minor yield of the stabilized peroxy radical. The alkoxyl radical formed in the reaction
200 of RO₂ \cdot + NO can subsequently isomerize and react with a second O₂ to produce CH₂=NCH₂CH₂NHCHO
201 and HO₂ \cdot .

202

203 **Supporting Information Available:**

204 Potential energy diagrams for the RO \cdot + O₂ reaction and HCONHCH₂CH₂NHCH₂ \cdot isomerisation,
205 parameters used in capture rates calculation and calculated yields, rate coefficients and branching
206 ratios.

207

208

209 **Acknowledgements**

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211 program.

212

213

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