

## pH dependent mechanisms of hydrolysis of 4-nitrophenyl $\beta$ -D-glucoside

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**Abstract.** 1,2-*trans*-Glycosides hydrolyze through a range of mechanisms under conditions of different pH, but systematic studies are lacking. Here we report the pH-rate constant profile for the hydrolysis of 4-nitrophenyl  $\beta$ -D-glucoside. An inverse kinetic isotope effect ( $k(\text{H}_3\text{O}^+)/k(\text{D}_3\text{O}^+) = 0.63$ ) in the acidic region indicates that the mechanism requires the formation of the conjugate acid of the substrate for the reaction to proceed, with heterolytic cleavage of the glycosidic C-O bond. Reactions in the pH-independent region exhibited general catalysis with a single proton in flight with a normal solvent isotope effect of  $k_{\text{H}}/k_{\text{D}} = 1.5$ , and when extrapolated to zero buffer concentration show a small solvent isotope effect  $k(\text{H}_2\text{O})/k(\text{D}_2\text{O}) = 1.1$ , which is consistent with water attack through a dissociative mechanism. In the basic region, solvolysis in  $^{18}\text{O}$ -labelled water and  $\text{H}_2\text{O}/\text{MeOH}$  mixtures allowed detection of bimolecular hydrolysis and neighboring group participation, and to a minor degree, nucleophilic aromatic substitution. Under mildly basic conditions, a bimolecular dissociative mechanism is implicated through an inverse solvent isotope effect of  $k(\text{HO}^-)/k(\text{DO}^-) = 0.5$  and a strongly negative entropy of activation ( $\Delta S^\ddagger = -13.6 \text{ cal mol}^{-1} \text{ K}^{-1}$ ). Finally, at high pH, an inverse solvent isotope effect of  $k(\text{HO}^-)/k(\text{DO}^-) = 0.6$  indicates that the formation of 1,2-anhydrosugar is the rate determining step.

## Introduction

Carbohydrates are the most abundant polymer of biological origin on earth. Accordingly, the mechanism of their hydrolysis is of enduring interest. As a result of the powerful charge stabilization effects of the endocyclic oxygen on positive charge development at the anomeric carbon, an oxocarbenium ion intermediate or oxocarbenium-ion-like transition state feature extensively in almost all transformations at the anomeric centre.<sup>1</sup> The lifetime of the glucopyranosyl cation in water is short and is estimated at 1-3 ps.<sup>2, 3</sup> As a consequence, nucleophilic substitution reactions of hydroxylated glycosides tend to straddle the border of S<sub>N</sub>1 and S<sub>N</sub>2 reactions. The hydrolysis (or solvolysis) of glycosides of simple (alkyl) alcohols has been extensively studied,<sup>4</sup> as well as that of other simple leaving groups such as azide (glycosyl azides),<sup>2</sup> fluoride (glycosyl fluorides)<sup>5-7</sup> or neutral amines (glycosyl pyridinium ions).<sup>3, 5, 8</sup>

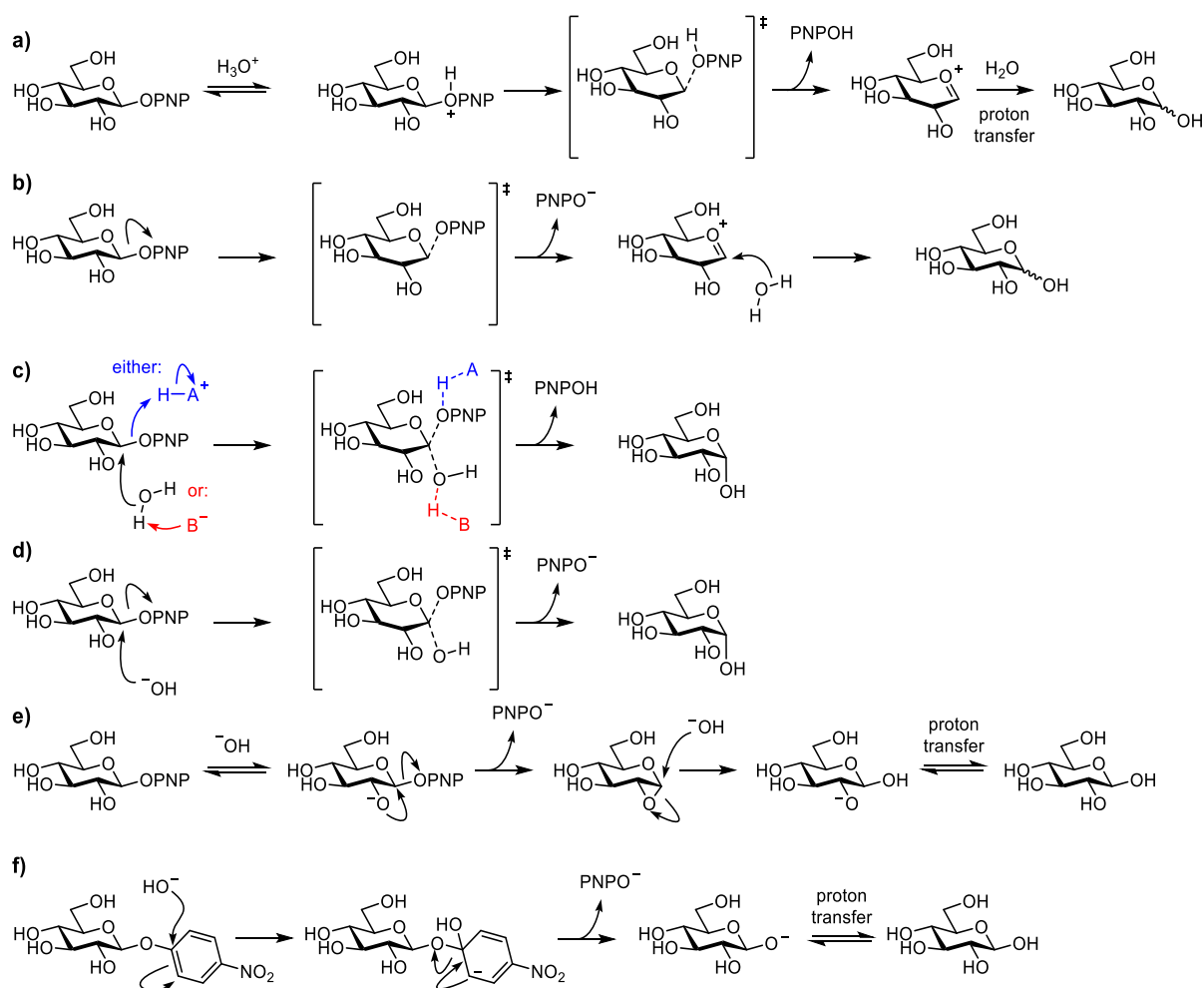
The non-enzymatic hydrolysis reactions of aryl glycosides are less well studied, which is surprising as these compounds are widely used substrates for the study of glycosidases, Nature's catalysts for glycoside hydrolysis. Under acid-catalyzed conditions,<sup>9</sup> hydrolysis involves heterolysis of the glycosidic bond,<sup>10</sup> through a specific acid catalyzed process with oxygen-18 kinetic isotope effect for 4-nitrophenyl  $\beta$ -D-(1-<sup>18</sup>O)-glucopyranoside of  $k_{16}/k_{18} = 1.036 \pm 0.002$ .<sup>11</sup> Aryl glycosides are hydrolysed more rapidly than alkyl glycosides,<sup>10, 12</sup> which is consistent with their better leaving group ability. Under identical acidic conditions, phenyl  $\alpha$ -D-glucoside is hydrolyzed approximately 50-fold faster than methyl  $\alpha$ -D-glucopyranoside; the rate ratio is about 8-fold for the  $\beta$ -series.<sup>10</sup> Hammett reaction constants of  $\rho = -0.006$  and  $-0.66$  have been reported for acidic hydrolysis of aryl  $\alpha$ - and  $\beta$ -D-glucopyranosides, respectively.<sup>13, 14</sup>

Under neutral conditions, methyl  $\beta$ -glucopyranoside hydrolyses with a rate constant of  $4.7 \times 10^{-15} \text{ s}^{-1}$  at 25 °C,<sup>15</sup> while 2,4-dinitrophenyl  $\beta$ -glucoside (DNPGlc) hydrolyses with a rate constant of  $5.58 \times 10^{-6} \text{ s}^{-1}$  at 37 °C,<sup>16</sup> showing that a highly activated 2,4-dinitrophenoxide group confers significantly greater reactivity. The entropy of activation ( $\Delta S^\ddagger$ ) for these two processes are  $-24 \text{ cal mol}^{-1} \text{ K}^{-1}$  (at 25 °C) and  $33.8 \text{ cal mol}^{-1} \text{ K}^{-1}$  (at 37 °C), respectively, suggesting that the uncatalyzed hydrolysis of methyl  $\beta$ -glucopyranoside involves a bimolecular process with significant participation by the nucleophile,<sup>15</sup> while that of DNPGlc involves a more dissociative (ionic) process, possibly involving a short-lived oxocarbenium ion intermediate.<sup>16</sup> Jencks reported a solvent kinetic isotope effect for the hydrolysis of  $\alpha$ -

glucopyranosyl fluoride in the pH independent region of  $k_H/k_D = 0.9$ .<sup>7</sup> As this value is close to unity it shows limited fractionation and, like the hydrolysis of DNPGlc, is consistent with a dissociative mechanism for this substrate bearing a good fluoride leaving group.

Under basic conditions hydrolysis of aryl glycosides also involves cleavage of the glycosidic bond.<sup>17</sup> Interestingly, 4-nitrophenyl  $\beta$ -glucoside (PNPGlc) is hydrolyzed 195-fold faster in 0.1 N NaOH than in 0.1 N HCl (both at 65 °C).<sup>18</sup> The oxygen-18 kinetic isotope effect for hydrolysis of 4-nitrophenyl  $\beta$ -D-(1-<sup>18</sup>O)-galactopyranoside,  $k_{16}/k_{18} = 1.029 \pm 0.002$  indicates a transition state with substantial carbon-oxygen bond cleavage.<sup>11</sup> Alkaline solvolysis reactions exhibit sharp sensitivity to substituent effects with Hammett reaction constants of  $\rho = +2.8$ - $4.0$ <sup>14</sup> for aryl  $\alpha$ -D-glucopyranosides and  $+2.48$ <sup>13</sup> and  $+2.5$ <sup>19</sup> and  $\beta$ -D-glucopyranosides, respectively. These effects mean that while 4-nitrophenyl  $\alpha$ -D-glucopyranoside hydrolyses at approximately the same rate as the unsubstituted phenyl analogue under acidic conditions, under strongly basic conditions (3.9 N NaOH) it hydrolyses approximately 300,000-fold faster than the phenyl analogue.<sup>14</sup> Under basic conditions, phenyl  $\beta$ -D-glucopyranoside hydrolyses several orders of magnitude faster than phenyl  $\alpha$ -D-glucopyranoside,<sup>13, 14</sup> and there is compelling evidence for neighboring group participation in the basic solvolysis of aryl  $\beta$ -D-glucopyranosides involving a C2-oxyanion and proceeding through a 1,2-anhydro sugar.<sup>17, 19</sup> This includes substitution with retention of anomeric configuration when using methoxide,<sup>20</sup> and the rate retardation induced by blocking the C2 oxygen with a methyl group.<sup>21, 22</sup> For the related reaction of 4-nitrophenyl  $\alpha$ -D-mannopyranoside (which shares a 1,2-*trans* relationship),<sup>22</sup> the carbon-13 kinetic isotope effect (KIE) for C1 is  $(1.026 \pm 0.006)$ ,<sup>23</sup> which is in agreement with  $S_N2$  reactions on glycosides,<sup>24</sup> and the ratio of  $k_L/k_H > 1$  indicates a concerted rather than dissociative mechanism. The oxygen-18 KIE for the C2-oxygen is greater than unity  $(1.044 \pm 0.0060)$ , implicating its direct involvement in the reaction.<sup>23</sup>

Here we report the pH-rate constant profile of the hydrolysis of PNPGlc. We distinguish four main mechanistic regimes (**Figure 1**). By exploration of buffer and solvent isotope effects, we show that PNPGlc undergoes specific acid catalyzed hydrolysis at low pH, and uncatalyzed hydrolysis in the pH independent region when buffer concentration was extrapolated to zero. At high pH at two main mechanisms are observed: a bimolecular nucleophilic substitution under mildly basic conditions, and neighbouring group participation by the C2-oxyanion via a 1,2-anhydro sugar intermediate under strongly basic conditions.



**Figure 1.** Mechanisms of hydrolysis of PNPGlc: (a) specific acid catalyzed (unimolecular); (b) uncatalyzed dissociative; (c) general acid/base catalyzed; (d) biomolecular base-promoted; (e) neighboring group participation by C2-oxyanion; (f) nucleophilic aromatic substitution.

## Results

### 1. pH-rate constant profile for formation of 4-nitrophenol/phenolate from PNPGlc

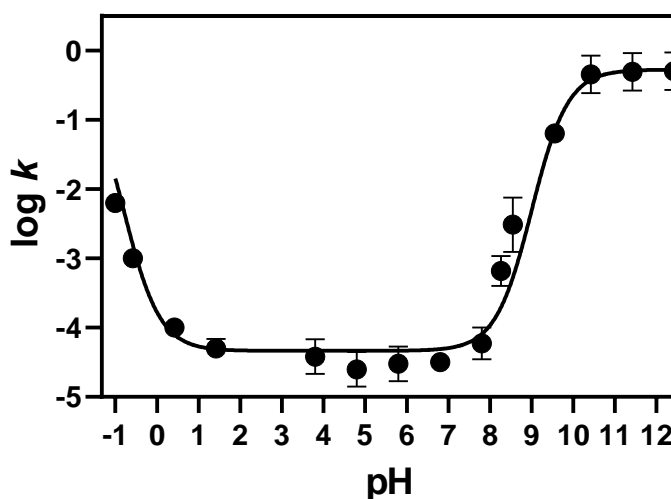
Reactions were conducted at elevated temperature, typically 90 °C, by monitoring formation of the 4-nitrophenol/phenolate anion either in real time in a cuvette using a spectrophotometer or for slower reactions in sealed Wheaton vials after quenching with base. At the extremes of pH reaction rates were too fast at 90 °C to be accurately determined. In these cases, reactions were conducted at lower temperature and Arrhenius plots were used to extrapolate rates to 90 °C. pH values of solutions and buffers at elevated temperature were calculated from pH measured at 25 °C using the temperature sensitivity coefficients of the  $pK_a$  values of H<sub>2</sub>O or of buffers. Reactions were performed in 2 M NaCl solution and corrected for

salt effects by varying salt concentration from 0.25-2 M and extrapolating to zero salt concentration.

The experimentally determined pH-rate constant profile,  $\log k$  versus pH, for hydrolysis of PNPGlc at 90 °C is shown in **Figure 2**, and is corrected for buffer and salt effects, and for the strongly basic region, a minor incursion of nucleophilic aromatic substitution. The plot reveals four distinct regions: the acidic region (pH -1 to 0), a pH-independent region (pH 1 to 7), and two basic regions (pH 8 to 10 and 10 to 12). The overall rate law is given by equation 1:

$$k_{\text{obs}} = k_{\text{H}}[\text{H}^+] + k_{\text{uncat}} + k_{\text{OH}}[\text{HO}^-] + k_{\text{NGP}} \quad \text{eq. 1}$$

where  $k_{\text{H}}$  is the rate constant for the specific acid catalyzed reaction,  $k_{\text{uncat}}$  is the rate constant for the uncatalyzed reaction,  $k_{\text{OH}}$  is the rate constant for the bimolecular reaction involving hydroxide, and  $k_{\text{NGP}}$  is the rate constant for neighboring group participation by C2-oxyanion. In each region the slope of the line of best fit corresponds to the kinetic order in hydronium or hydroxide.<sup>25, 26</sup>



**Figure 2.** pH-rate constant profile for hydrolysis of PNPGlc, corrected for pH at 90 °C.  $k_{\text{H}} = 2.90 \times 10^{-3} \text{ M}^{-1} \text{ min}^{-1}$ ,  $k_{\text{uncat}} = 3.77 \times 10^{-5} \text{ min}^{-1}$ ,  $k_{\text{OH}} = 5.82 \times 10^{-2} \text{ M}^{-1} \text{ min}^{-1}$ ,  $k_{\text{NGP}} = 0.27 \text{ min}^{-1}$ .

## 2. Mechanism of hydrolysis in the acidic region

Overend and co-workers noted that for the hydrolysis of PNPGlc in 2 N hydrochloric

acid at 72 °C, 5-10% of a disaccharide product is formed, and inclusion of D-glucose in the reaction mixtures did not affect measured rates, indicating that disaccharide formation resulted from condensation of glucose released in the reaction.<sup>12</sup> Here, <sup>1</sup>H NMR analysis of the reaction mixture obtained from the reaction of PNPGlc (50 mM) at pH −0.8 (corrected from pH 0.5 measured at room temperature) at 50% completion revealed exclusive formation of only PNPOH and glucose, and thus rates measured from quantifying production of PNPOH/PNPO<sup>−</sup> reflect exclusively hydrolysis.

Arrhenius activation parameters were calculated for the hydrolysis reaction in the acidic region. The rate of the hydrolysis of 1 mM PNPGlc and 150 mM NaCl was measured at four different temperatures in 0.3 M HCl (**Table S1, Figure S2**). Plotting log  $k_{\text{obs}}$  as a function of the inverse of temperature yielded the activation energy,  $E_a$ , and the pre-exponential factor, ln A, and allowed calculation of thermodynamic factors, the entropy of activation,  $\Delta S^\ddagger$ , and the enthalpy of activation,  $\Delta H^\ddagger$  (**Table 1**). Because reactions at low pH involve pre-equilibria, the measured rate constants are composites of the equilibrium constant and the rate of the irreversible chemical step, and give rise to apparent thermodynamic parameters.

**Table 1.** Arrhenius parameters for hydrolysis of PNPGlc.

pH <sup>a</sup>	$E_a$ (kcal mol <sup>−1</sup> )	ln A	$\Delta S^\ddagger$ <sup>a</sup> (cal mol <sup>−1</sup> K <sup>−1</sup> )	$\Delta H^\ddagger$ (kcal mol <sup>−1</sup> )
0.58	25.2±0.1 <sup>b</sup>	29.8±0.1 <sup>b</sup>	0.73±0.07 <sup>b</sup>	24.6±0.1 <sup>b</sup>
6	29.0±0.01	31.0±0.02	3.07±0.02	28.4±0.01
9.5	21.3±0.1	22.6±0.1	−13.6±0.1	20.7±0.1
14	21.4±0.1 <sup>b</sup>	29.1±0.1 <sup>b</sup>	−2.2±0.1 <sup>b</sup>	20.8±0.1 <sup>b</sup>

<sup>a</sup> at 298.1 K, <sup>b</sup> apparent thermodynamic parameters.

To investigate whether there is a salt effect, a series of reactions were conducted at pH 0 and varying the concentration of NaCl from 0.25-2 M (**Table S2**). The plot of the measured rates gave a straight line (**Figure S1**), indicating a primary kinetic salt effect of  $k_{\text{salt}} = 0.403 \text{ min}^{-1} \text{ M}^{-1}$ , and allowing extrapolation to [salt] = 0 where  $k = 0.00015 \text{ min}^{-1}$ .

The solvent isotope effect at pH −0.75 was measured in solutions containing [H<sub>3</sub>O<sup>+</sup>] = 1 M or [D<sub>3</sub>O<sup>+</sup>] = 1 M and [NaCl] = 150 mM, [S] = 1 mM at 75 °C. The measured rates were  $k(\text{D}_3\text{O}^+) = (3.2\pm0.01) \times 10^{-3} \text{ min}^{-1}$  and  $k(\text{H}_3\text{O}^+) = (2.02\pm0.04) \times 10^{-3} \text{ min}^{-1}$ , giving a solvent isotope effect of  $k(\text{H}_3\text{O}^+)/k(\text{D}_3\text{O}^+) = 0.63\pm0.02$  (**Table S3**). Using the method of fractionation factors,<sup>27</sup> the calculated solvent isotope effect for the specific acid catalyzed process,  $\phi^{\text{R}}/\phi^{\text{P}} =$

0.476 (**Table S4**).

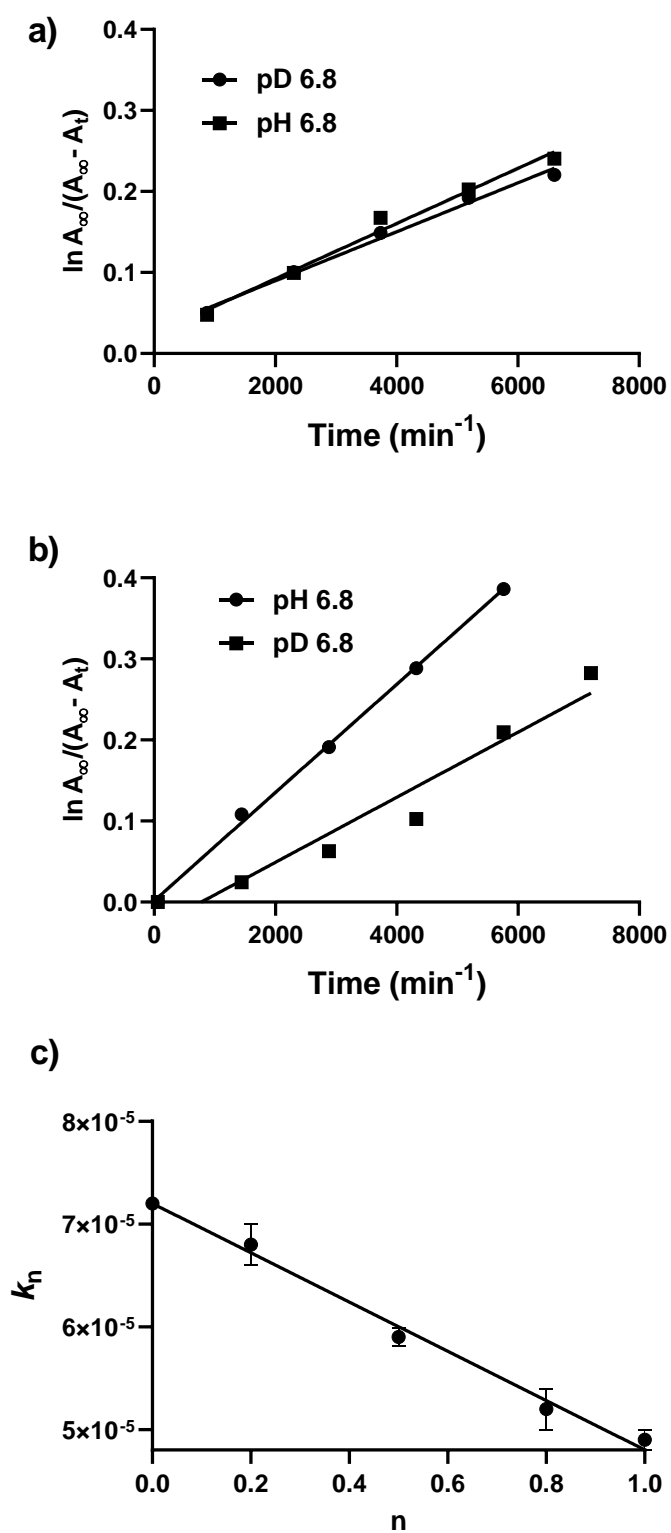
### 3. Mechanism of hydrolysis in the pH-independent region

Rates measured for reactions in the pH independent region (pH 3.8-6.8, using phosphate/citrate or phosphate buffer in 2 M NaCl) of the pH-rate profile give a line of best fit with a slope of  $k_{\text{obs}} = 3.0 \times 10^{-5} \text{ min}^{-1}$  (**Figure 2a**). Reaction rates in this region therefore have close to zero dependency on  $[\text{H}^+]$ , and in this range may occur through uncatalysed hydrolysis ( $k_{\text{uncat}}$ ), or mechanisms involving general acid/base catalysis, nucleophilic participation by buffer ( $k_{\text{buffer}}$ ) or salt effects ( $k_{\text{salt}}$ ). The empirical rate law that fits this analysis is equation 2:

$$k_{\text{obs}} = k_{\text{uncat}} + k_{\text{buffer}}[\text{buffer}] + k_{\text{salt}}[\text{salt}] \quad \text{eq. 2}$$

Initially, we analysed the products of the reaction to ensure that measured rates are for hydrolysis.  $^1\text{H}$  NMR spectroscopy revealed only the formation of glucose and 4-nitrophenol. To assess whether NaCl participates in the reaction, we first examined the effect of changing  $[\text{NaCl}]$ . The plot of  $[\text{NaCl}]$  versus rate was almost flat, with  $k_{\text{salt}} = (5.2 \pm 1.1) \times 10^{-6} \text{ M}^{-1} \text{ min}^{-1}$ , indicating essentially no salt effect (**Figure S3, Table S5**). Next, we independently varied the cation (to KCl) and anion (to  $\text{NaClO}_4$ ) and examined the effects upon reaction rates. At 2 M salt, the rate decreased  $(1.5 \pm 0.2)$ -fold in KCl versus NaCl, and by  $(2.0 \pm 0.2)$ -fold in  $\text{NaClO}_4$ , indicating limited salt participation, even at high concentrations and thus  $k_{\text{salt}} = 0$  (**Figure S3, Table S5**). Variation of  $[\text{buffer}]$  under conditions of pseudo constant ionic strength, in this case with  $[\text{NaCl}] = 2 \text{ M}$  at pH 4, gave a plot with slope  $k_{\text{buffer}} = 5.11 \times 10^{-5} \text{ M}^{-1} \text{ min}^{-1}$  (**Table S6, Figure S4**).

Collectively, the above experiments define  $k_{\text{buffer}}$  and  $k_{\text{salt}}$  and allow calculation of the rate constant for the uncatalyzed hydrolysis reaction ( $k_{\text{uncat}}$ ), the thermodynamic parameters (measured at pH 5.8, **Table S7, Figure S5, Table 1**), and the solvent isotope effect. The solvent isotope effect for the uncatalyzed reaction was measured in  $\text{H}_2\text{O}$  or  $\text{D}_2\text{O}$  solvent, pH = pD 6.8, with  $[\text{NaCl}] = 150 \text{ mM}$  and  $[\text{buffer}] = 0.005 \text{ M}$ . The measured rates were:  $k_{\text{uncat}}(\text{D}_2\text{O}) = (3.02 \pm 0.17) \times 10^{-5} \text{ min}^{-1}$  and  $k_{\text{uncat}}(\text{H}_2\text{O}) = (3.40 \pm 0.25) \times 10^{-5} \text{ min}^{-1}$  (**Figure 3a, Table S3**), for a solvent isotope effect of  $k_{\text{uncat}}(\text{H}_2\text{O})/k_{\text{uncat}}(\text{D}_2\text{O}) = 1.1 \pm 0.1$ .



**Figure 3.** Reactions in the pH independent region (pH = 6.8) at 90 °C. (a) Solvent isotope effect at [buffer] = 0.005 M. (b) Solvent isotope effect for [buffer] = 1 M. (c) Proton inventory at [buffer] = 1 M, p (H,D) 5.8.



To study the nature of the buffer effect, we initially tested for general acid/base catalysis by measuring a solvent isotope effect for [buffer] = 1 M, conducted in H<sub>2</sub>O and D<sub>2</sub>O at pH 3.8. Solvent isotope effects allow detection of general acid/base catalysis by measuring the number of protons in flight in the rate determining step. The solvent isotope effect,  $k_H/k_D = 1.5 \pm 0.1$ , which indicates general acid/base catalysis (**Table S3, Figure 3b**). While this solvent isotope effect gives evidence for general acid/base catalysis, it does not rule out nucleophilic participation by buffer. To establish whether phosphate or citrate in the buffer participates in the reaction, we repeated the pH 3.8 rate measurements in 1 M acetate buffer (with 2 M NaCl) at the same pH and observed no significant difference in rates. However, this data does not allow clear conclusions to be drawn as the  $pK_a$  values for acetic acid ( $pK_a$  4.75) and citric acid ( $pK_{a2}$  4.75) are similar, and they may engage in nucleophilic participation to similar degrees. Attempts to detect the formation of a glycosyl acetate by NMR analysis of the product mixture were unsuccessful, but the failure to observe this species is inconclusive as to whether nucleophilic participation occurs.

We next sought to determine the number of protons in flight in the transition state of the reaction in buffer in which general catalysis is implicated. The proton inventory technique is a solvent isotope-effect experiment that gives information about the number of protons transferred in a chemical reaction.<sup>28</sup> Measurements are made of the solvent isotope effect in varying mixtures of light and heavy solvent. If the proton inventory plot is linear, only one proton is involved in the reaction, and if the proton inventory is curved, at least two protons are involved.

The solvent isotope effect in 1 M phosphate buffer at 90 °C was  $k_H/k_D = 1.4 \pm 0.09$ . The inverse solvent isotope effect indicates that the protonation step is involved in the rate determining step and the reaction at high buffer concentration proceeds with general acid/base catalysis. Next, we measured the rate in a 20, 50 and 80% mixtures of light and heavy water in 1 M buffer and 2 M NaCl at 90 °C (**Table S8**). The measured rate constants for different mixtures of isotopic solvents were plotted against the fraction of deuterium ( $n$ ) and yielded a linear plot (**Figure 3c**). Because plots of low curvature can be difficult to distinguish from linear plots, this data should be examined at the point of greatest curvature, namely  $k_{0.5}$ . The observed rate at  $k_{0.5} = (5.91 \pm 0.09) \times 10^{-5}$  is in good agreement with the mean( $k_0$  and  $k_1$ ) =  $(5.98 \pm 0.08) \times 10^{-5}$ . Thus, these data suggest that the solvent isotope effect arises from a single hydrogenic site in the transition state; that is, only one proton is undergoing transfer in the rate-

determining step. As the 4-nitrophenolate leaving group can stabilize negative charge, we suggest that the proton in flight is involved in base deprotonation of the water nucleophile.

#### 4. Mechanism of hydrolysis in the basic region

##### (i) Mechanism under mildly basic conditions (pH 7.8-8.55)

$^1\text{H}$  NMR analysis of pH 7.8, 8.26 and 8.55 reaction mixtures at 50% completion revealed only substrate, PNPOH/PNPO $^-$ , and glucose. In order to determine whether product formation occurred through nucleophilic substitution at C1 or by nucleophilic aromatic substitution, reactions were conducted in  $\text{H}_2^{18}\text{O}$  and then analysed by mass spectrometry in negative ion mode. This revealed the presence of PNPO $^-$  as  $m/z$  138.02, corresponding to the  $^{16}\text{O}$  isotopomer, with no detectable  $^{18}\text{O}$ -labelled product. Thus, rates measured from quantifying production of PNPO $^-$  reflect only the bimolecular hydrolysis reaction.

To test for buffer participation, we measured rates while varying buffer concentration under conditions of pseudo constant ionic strength, with  $[\text{NaCl}] = 2 \text{ M}$  at  $90^\circ\text{C}$ . This gave plots with slope of essentially zero (pH 7.8,  $(1.0 \pm 0.5) \times 10^{-4} \text{ M}^{-1} \text{ min}^{-1}$ ; pH 8.26,  $(-4.5 \pm 5.0) \times 10^{-4} \text{ M}^{-1} \text{ min}^{-1}$ ; pH 8.55,  $(-3.1 \pm 4.2) \times 10^{-3} \text{ M}^{-1} \text{ min}^{-1}$ ), indicating that the rate of reaction is independent of buffer concentration across this pH range. The salt effect in the weakly basic region was tested by measuring the reaction rates in  $1 \text{ M NaCl}$  ( $k = (0.90 \pm 0.03) \times 10^{-5} \text{ min}^{-1}$ ) and  $0.25 \text{ M NaCl}$  ( $k = (1.0 \pm 0.04) \times 10^{-5} \text{ min}^{-1}$ ) at pH 8.26 at  $90^\circ\text{C}$ , and indicating that in the weakly basic region there is no significant salt effect. The slope of the plot of  $k$  versus pH for pH 8.26-9.55 was  $1.5 \pm 0.2$ , which is consistent with the biomolecular base-promoted mechanism in Figure 1d.

The above analysis allowed calculation of the thermodynamic parameters for the biomolecular base-promoted hydrolysis reaction (**Table S9**, **Figure S6**, **Table 1**), and the solvent isotope effect. The solvent isotope effect for the biomolecular base-promoted hydrolysis reaction was measured at  $\text{pD} = \text{pH} = 9.1$  and  $90^\circ\text{C}$ . The measured rates were:  $k(\text{DO}^-) = (1.77 \pm 0.16) \times 10^{-3} \text{ min}^{-1}$  and  $k(\text{HO}^-) = (9.01 \pm 0.29) \times 10^{-4} \text{ min}^{-1}$ , for a solvent isotope effect of  $k(\text{HO}^-)/k(\text{DO}^-) = 0.5 \pm 0.1$  (**Table S3**). The solvent isotope effect for the biomolecular process calculated using solvent isotope fractionation factors is 0.476 (**Table S4**).

##### (ii) Mechanism under strongly basic conditions

At pH 12.42 and  $90^\circ\text{C}$ ,  $^1\text{H}$  NMR analysis of the product mixture of reactions run to 50% completion revealed PNPO $^-$ , and a complex set of peaks assigned as the base-

decomposition product of glucose and a new product with a characteristic H1 signal at  $\delta$  5.30 ppm in D<sub>2</sub>O that was assigned as 1,6-anhydro- $\beta$ -D-glucopyranose (levoglucosan). The observation of 1,6-anhydroglucose provides evidence for neighboring group participation involving substitution of the anomeric group by the substrate 2-oxyanion, via a 1,2-anhydro sugar (epoxide) intermediate. However, the 1,2-anhydrosugar intermediate can partition in two ways: either by nucleophilic substitution involving intramolecular reaction with the 6-hydroxyl group (leading to the 1,6-anhydro sugar, which is stable to base), or by reaction with hydroxide (leading to glucose, which decomposes under the basic conditions of the reaction).

In order to determine whether product formation occurred through nucleophilic substitution at C1 or by nucleophilic aromatic substitution, reactions were conducted in H<sub>2</sub><sup>18</sup>O and then analysed by mass spectrometry in negative ion mode. This revealed the presence of PNPO<sup>−</sup> as  $m/z$  138.02, corresponding to the <sup>16</sup>O isotopomer, and a second peak at  $m/z$  140.02, corresponding to the <sup>18</sup>O isotopomer, in a ratio of 99.1:0.89. This data demonstrate that nucleophilic aromatic substitution contributes just under 1% of the rate observed by quantifying production of PNPO<sup>−</sup>.

To investigate the partitioning of the 1,2-anhydrosugar intermediate between intramolecular substitution and hydroxide substitution, the reaction was repeated in 1:1 MeOH/H<sub>2</sub>O with 0.5 M NaOH at 55 °C (pH 12.96). <sup>1</sup>H NMR spectroscopy revealed the formation of a mixture of methyl  $\alpha$ -D-glucopyranoside, methyl  $\beta$ -D-glucopyranoside and levoglucosan in a ratio of 10:18:72. As explained earlier, levoglucosan arises through neighboring group participation by a C2-oxyanion, which also produces methyl  $\beta$ -D-glucopyranoside. On the other hand, methyl  $\alpha$ -D-glucopyranoside arises exclusively by bimolecular substitution. The pK<sub>a</sub> value of MeOH is 15.3 at 25 °C. Assuming the temperature dependence of the pK<sub>a</sub> of MeOH is similar to that of water (pK<sub>a</sub> 13.26), for 1:1 MeOH/H<sub>2</sub>O with 0.5 M NaOH the [MeO<sup>−</sup>] = 0.02. This allows correction of the observed rate of PNP formation at pH 12.96.

Reactions in this region are second order, depending on the concentration of hydroxide and substrate.<sup>19, 22</sup> However, under conditions of excess alkali, the reaction can be treated as first order, dependent only upon the concentration of substrate.<sup>19</sup> To investigate for a salt effect, reactions were studied at 0-1.58 M NaCl (**Table S10, Figure S7**). A plot of  $k$  vs NaCl concentration gave a line with slope close to zero showing that there is no significant salt effect in this region and an average rate constant of  $k = (41.7 \pm 3.4) \times 10^{-3} \text{ min}^{-1}$ .

The above data allowed calculation of the thermodynamic parameters for the neighbouring group participation reaction (pH 12.42, **Table S11**, **Figure S8**, **Table 1**), and the solvent isotope effect. Again, as for reactions at low pH, reactions at high pH involve pre-equilibria, and the measured rate constants are composites of the equilibrium constant and the rate of the irreversible chemical step and give rise to apparent thermodynamic parameters. The solvent isotope effect was measured in H<sub>2</sub>O or D<sub>2</sub>O solvent at pH = pD 13.1, with [NaOD] = [NaOH] = 1 M and [NaCl] = 150 mM at 55 °C. The measured rates were:  $k(\text{DO}^-) = (1.0 \pm 0.11) \times 10^{-2} \text{ min}^{-1}$  and  $k(\text{HO}^-) = (6.0 \pm 0.9) \times 10^{-3} \text{ min}^{-1}$ , allowing calculation of a solvent isotope effect of  $k(\text{HO}^-)/k(\text{DO}^-) = 0.6 \pm 0.18$  (**Table S3**). The calculated solvent isotope effect based on solvent isotope fractionation factors for the intermolecular reaction is 0.56 (**Table S4**).

## Discussion

The pH rate profile for PNPGlc hydrolysis (**Figure 2b**) was calculated from the pH rate profile for formation of PNPOH/PNPO<sup>-</sup> (**Figure 2a**) by careful measurement of individual contributing reactions and correction for their contribution to the observed rates (**Table S12**). The profile gives evidence for at least 4 mechanistic regimes: at low pH, in the intermediate pH range, in the mildly basic region, and at high pH.

In the acidic region, the pH-rate constant plot is first order in hydronium concentration (slope =  $-1.21 \pm 0.20$ ,  $k_{\text{H}} = 2.90 \times 10^{-3} \text{ M}^{-1} \text{ min}^{-1}$ ) the apparent enthalpy of activation ( $\Delta H^\ddagger = 24.6 \text{ kcal mol}^{-1}$ ) is in good agreement with the value measured by Snyder and Link<sup>18</sup> ( $\Delta H^\ddagger = 25.5 \text{ kcal mol}^{-1}$ ) under similar first order conditions. The solvent kinetic isotope effect was  $k(\text{H}_3\text{O}^+)/k(\text{D}_3\text{O}^+) = 0.63 \pm 0.02$ . The inverse kinetic isotope effect indicates that there is a greater preference for deuteration (D<sup>+</sup>) than protonation of the substrate and that the specific acid catalysed hydrolysis of PNPGlc is a stepwise mechanism. Interpretation of solvent KIEs is complicated by the contributions from primary effects for protons undergoing transfer, and secondary effects, for example because of deuteration at exchangeable positions. Using the method of fractionation factors, and assuming a specific acid catalyzed mechanism, the calculated isotope fractionation factor  $\phi$  for the hydrolysis of PNPGlc at pD -0.41 at 75 °C was 0.48. Collectively this data is consistent with the reaction mechanism shown in **Figure 1a**, which involves a specific acid catalysed reaction in which the substrate is converted to its conjugate acid, unimolecular fission to form the oxocarbenium ion, followed by a rate-limiting reaction with H<sub>2</sub>O. This data is consistent with observations made for methyl  $\alpha$ - and  $\beta$ -glucopyranosides<sup>29</sup> and -xylopyranosides.<sup>4</sup>

In the pH independent region (slope =  $-0.02 \pm 0.04$ ,  $k_{\text{uncat}} = 3.77 \times 10^{-5} \text{ min}^{-1}$ ) there was small solvent isotope effect  $k(\text{H}_2\text{O})/k(\text{D}_2\text{O}) = 1.1 \pm 0.1$ . This compares favourably with the solvent isotope effect of  $k(\text{H}_2\text{O})/k(\text{D}_2\text{O}) = 0.93$  measured by Banait and Jencks for the hydrolysis of  $\alpha$ -glucopyranosyl fluoride at 30 °C in the pH independent region.<sup>7</sup> A positive entropy of activation of  $\Delta S^\ddagger = 3.07 \text{ cal mol}^{-1} \text{ K}^{-1}$  was measured, similar to that for the acidic region, which is again consistent with a unimolecular transition state. for the hydrolysis of PNPGlc. Together these data indicate that the uncatalysed hydrolysis of PNPGlc proceeds through a dissociative mechanism to give short-lived glucosyl oxocarbenium ion, followed by nucleophilic attack of water molecule. The positive entropy of activation is inconsistent with a bimolecular process, and the observed solvent KIE is close to unity, which is consistent with a calculated isotope fraction factor of 1 for the dissociative mechanism in **Figure 1b**. The entropy of activation ( $\Delta S^\ddagger = 3.07 \text{ cal mol}^{-1} \text{ K}^{-1}$ ) is positive, but smaller than that measured for the hydrolysis of DNPGlc ( $\Delta S^\ddagger = 33.8 \text{ cal mol}^{-1} \text{ K}^{-1}$ ),<sup>16</sup> and distinct from the negative value measured for hydrolysis of methyl  $\beta$ -glucopyranoside ( $\Delta S^\ddagger = -24 \text{ cal mol}^{-1} \text{ K}^{-1}$ ).<sup>15</sup> Extrapolation of the rate for hydrolysis of PNPGlc to ambient temperature,  $k_{25^\circ\text{C}} = 2.86 \times 10^{-10} \text{ s}^{-1}$  reveals it is approximately five orders of magnitude faster than that for hydrolysis of methyl  $\beta$ -glucopyranoside  $k_{25^\circ\text{C}} = 1.9 \times 10^{-15} \text{ s}^{-1}$ .<sup>15</sup>

Under weakly basic conditions the pH-rate constant plot is approximately first order in hydroxide (slope =  $1.5 \pm 0.2$ ,  $k_{\text{OH}} = 5.82 \times 10^{-2} \text{ M}^{-1} \text{ min}^{-1}$ ), and had a solvent isotope effect of  $0.5 \pm 0.1$ , which compares favourably to the solvent isotope effect of 0.66 reported by Banait and Jencks for the hydroxide mediated hydrolysis of  $\alpha$ -glucopyranosyl fluoride at 30 °C.<sup>7</sup> In this region the reaction had a strongly negative entropy of activation ( $\Delta S^\ddagger = -13.6 \text{ cal mol}^{-1} \text{ K}^{-1}$ ) which is consistent with an ordered bimolecular transition state. Jencks demonstrated that the reaction of anionic nucleophiles with  $\alpha$ -glucopyranosyl fluoride has a linear dependence on concentration of the nucleophile and occurs with inversion of configuration, providing evidence for a concerted bimolecular  $\text{S}_{\text{N}}2$  reaction.<sup>7</sup> We interpret our results in a similar manner, and thus that at low concentrations, hydroxide reacts with PNPGlc in a concerted, bimolecular reaction as shown in **Figure 1d**.

Under strongly basic conditions the pH-rate constant plot is independent of hydroxide concentration (slope =  $0.022 \pm 0.008$ ,  $k_{\text{NGP}} = 0.27 \text{ min}^{-1}$ ), and product analysis of the hydrolysis reaction in  $^{18}\text{O}$ -water, and in a mixture of methanol/water, provides evidence for nucleophilic aromatic substitution (**Figure 1f**), bimolecular  $\text{S}_{\text{N}}2$  substitution at the anomeric position

(**Figure 1d**), and a mechanism involving neighboring group participation that leads to both glucose and 1,6-anhydroglucose (**Figure 1e**). The major route at pH 12.42 at 90 °C is neighboring group participation. The reaction displayed an inverse solvent isotope effect of  $k(\text{HO}^-)/k(\text{DO}^-) = 0.6 \pm 0.2$ . These solvent isotope effects are similar to those reported by Gasman and Johnson for hydrolysis of 4-nitrophenyl  $\beta$ -D-galactoside (PNPGal;  $k(\text{HO}^-)/k(\text{DO}^-) = 0.73 \pm 0.02$ ) and PNPMann (  $k(\text{HO}^-)/k(\text{DO}^-) = 0.70 \pm 0.01$ ).<sup>22</sup> This inverse solvent isotope effect indicates that 2-oxyanion attack is the rate limiting step. The apparent enthalpy of activation for PNPGlc ( $\Delta H^\ddagger = 20.8 \text{ kcal mol}^{-1}$ ) is in reasonable agreement with that reported by Snyder and Link ( $\Delta H^\ddagger = 25.8 \text{ kcal mol}^{-1}$ ) measured under similar conditions.<sup>22</sup>

## Conclusion

In this article we report the pH-rate constant profile for the hydrolysis of PNPGlc, which allowed the identification of four major mechanistic regimes, and detailed kinetic studies allowed identification of the mechanism(s) that operate within these ranges. The present work highlights the complexity of hydrolytic reactions of aryl 1,2-*trans* glycosides, which aside from the minor  $\text{S}_{\text{N}}\text{Ar}$  process are united through the stabilization of developing positive charge at the anomeric centre at the transition state by the endocyclic ring oxygen. The present work provides useful reference data to understand the rate enhancements achieved by enzymes. The most significant rate enhancements occur at the pH extremes, through specific acid or specific base catalyzed reactions. By contrast the enzymatic cleavage catalyzed by glycosidases are general acid and/or base catalyzed. Broadly, glycosidases operate at intermediate pH ranges and utilize general catalysis to assist substitution reactions at the anomeric centre by water or an enzymatic nucleophile.<sup>30</sup> However, a mechanism involving neighboring-group participation by the 2-hydroxyl of an  $\alpha$ -mannoside (also likely benefiting from general base catalysis) has been demonstrated for a bacterial endo- $\alpha$ -1,2-mannanase,<sup>31</sup> which shares obvious similarities to that studied here.

## Experimental

### General

4-Nitrophenyl  $\beta$ -D-glucopyranoside (PNPGlc) was synthesized as described<sup>32</sup> and recrystallized to purity, as assessed by  $^1\text{H}$  NMR spectroscopy. NMR spectroscopy was conducted using 400 and 600 MHz instruments.  $^{18}\text{O}$ -water (Marshall Isotopes Ltd, 97%) and

$^2\text{H}$ -water (Sigma Aldrich, 99.9%) were used for mechanism and kinetic studies, respectively. Mass spectrometry was performed using electrospray ionization and a quadrupole time-of-flight instrument. pH values of solutions and buffers at elevated temperature were calculated from pH measured at 25 °C using the temperature sensitivity coefficients of the  $\text{p}K_{\text{a}}$  values of  $\text{H}_2\text{O}$  or of buffers, using by  $\Delta\text{pH} = \Delta\text{p}K_{\text{a}}$ , and  $d(\text{p}K_{\text{a}})/dT$  describes the change of the  $\text{p}K_{\text{a}}$  at an increase of temperature by 1 °C.<sup>33</sup> Water:  $\text{p}K_{\text{w}} = 12.42$ ;<sup>34</sup> phosphate/citric acid, pH range 4-5  $\text{p}K_{\text{a}} = 7.20, 2.79$ , respectively, temperature coefficient =  $-0.0028/0$ ); phosphate, pH range 6-8,  $\text{p}K_2 = 7.20$ , temperature coefficient =  $-0.0028$ , and bicarbonate/carbonate, pH range 9.2-11,  $\text{p}K_{\text{a}1} = 6.35$ , temperature coefficient =  $-0.0055$ ,  $\text{p}K_{\text{a}2} = 10.32$ , temperature coefficient =  $-0.009$ .

**Measurement of reaction rates.** A Cary3500 UV-Vis spectrophotometry was used to monitor rates of cleavage of PNPGlc by monitoring the released 4-nitrophenol/4-nitrophenolate anion. For continuous assays, reactions were monitored at the isosbestic point of 350 nm using an extinction coefficient ( $\epsilon$ ),  $\epsilon_{\text{PNP}} = 6.212 \text{ mM}^{-1} \text{ cm}^{-1}$ . For stopped assays, an aliquot was taken from the reaction mixture and alkalized to pH 10 by quenching with 2 M  $\text{Na}_2\text{CO}_3$ , then 4-nitrophenolate anion was quantified at 400 nm using  $\epsilon_{\text{PNP}} = 16.14 \text{ mM}^{-1} \text{ cm}^{-1}$ . All spectrophotometric measurements were carried out under pseudo-first order conditions with low substrate concentration (1-5 mM) and high concentration of the relevant catalyst ( $\text{H}_3\text{O}^+$ , buffer, or  $\text{HO}^-$ ). Spectroscopic absorbances were measured against a reference cell containing 1 M HCl or NaOH, or 2 M  $\text{Na}_2\text{CO}_3$ .

**Reaction rates at varying pH.** Individual reactions contained 1-5 mM PNPGlc. For reactions at  $\text{pH} < 4$ , solutions were prepared by dilution of aq HCl and contained 2 M NaCl. In the range pH 4-11 phosphate and carbonate buffers were used, typically 1 M buffer and 2 M NaCl. In the range of pH 12-14 standardized NaOH was diluted to the final pH and contained 2 M NaCl. Reactions were heated at 75-90 °C for 2-196 h. Reactions at pH 0 and  $> 11$  were performed in semi-micro quartz cuvettes at  $< 75$  °C, and changes in absorbance monitored directly in a UV-Vis spectrophotometer at 350 nm, with rates calculated using the Beer-Lambert law. Very slow reactions suffered from evaporation of solvent, and in these cases, reactions were performed in tightly-sealed Wheaton vials. At various time points aliquots were sampled and added to 2 M  $\text{Na}_2\text{CO}_3$  and the absorbance of the sample was measured directly at 400 nm. Rates extrapolated to 90 °C using the Arrhenius parameters determined as outlined below. After correcting for salt, buffer and any other effects data was fit to the modified Henderson-Hasselbach equation.

**Salt effects.** Reactions were performed by varying concentration of NaCl from 0.25-2 M. The

data gave a straight line and extrapolation to  $[\text{NaCl}] = 0$  allowed estimation of  $k_0$  and slope of monomolecular rate constant. In the pH-independent region reactions were conducted in water buffered by 0.005 M phosphate buffer, with concentrations of NaCl varied in the range 0.25-2 M. Sub-samples at different time intervals were studied by  $^1\text{H}$  NMR spectroscopy.

**Buffer effects.** Rates were extrapolated to zero buffer by holding the pH constant and varying the buffer concentration. At pH values  $< 1.42$ , a plot of rate versus [buffer] gave a straight line with y-intercept being the rate at zero buffer and the slope,  $k_G$ , the general catalysed rate constant.

**Product analysis.** To assess the identity and relative proportions of products, reactions were run to approximately 50% completion, then were evaporated to dryness. For NMR analysis, samples were dissolved in  $d_6$ -DMSO or  $\text{D}_2\text{O}$ . For mass spectrometric analysis samples were dissolved in MeOH.

**Activation parameters.** The Arrhenius equation was used to calculate the thermodynamic parameters of the hydrolysis reaction. The rate of hydrolysis of 1 mM PNPGlc was measured at 350 or 400 nm in solutions of the appropriate pH (Table 1) at 75–45 °C and 150 mM NaCl at four different temperatures. Plotting the natural logarithm of  $k_{\text{obs}}$  as a function of the inverse of the temperatures gives a straight line with slope of  $\frac{-E_a}{R}$  and a y intercept of  $\ln A$ , and allowed calculation of the activation energy,  $E_a$  and the pre-exponential factor in the Arrhenius relationship,  $\ln A$  (eq. 3):

$$\ln A = \ln k + E_a/RT_1 \quad \text{eq. 3}$$

The activation parameters allowed calculation of the enthalpy and entropy of activation at 298.1 K, according to equations 4 and 5 derived from transition state theory:

$$\Delta H^\ddagger = E_a - RT \quad \text{eq. 4}$$

$$\Delta S^\ddagger = R \left( \ln A - \ln \frac{K_B T}{h} \right) \quad \text{eq. 5}$$

where  $K_B$  is Boltzmann constant,  $h$  is Planck's constant,  $T$  is the temperature, and  $R$  is the ideal gas constant.

**Solvent isotope effects.** The solvent isotope effect in the acidic region was measured in solutions contained  $[\text{H}_3\text{O}^+] = 1 \text{ M}$  or  $[\text{D}_3\text{O}^+] = 1 \text{ M}$  (prepared by 1:10 dilution of 10 M HCl into  $\text{H}_2\text{O}$  or  $\text{D}_2\text{O}$ ) and  $[\text{NaCl}] = 150 \text{ mM}$ , with  $[\text{PNPGlc}] = 0.1 \text{ mM}$  at 75 °C. Initial rates were



measured using a continuous assay at 350 nm in triplicate to either calculate standard deviation (SD) or standard error (SR).

The solvent isotope effect in the pH independent region was measured in H<sub>2</sub>O or D<sub>2</sub>O pH = pD = 6.8 (determined using the correction for a glass electrode of pD = pH + 0.41), with [phosphate] = 0.005 M, [NaCl] = 150 mM, and [PNPGlc] = 1 mM at 90 °C or with [phosphate] = 1 M, [NaCl] = 2 M. Initial rates were measured in triplicate using a stopped assay after quenching with base.

The solvent isotope effect was measured in H<sub>2</sub>O or D<sub>2</sub>O solvent at pH = pD 13.26, with [NaOD] = [NaOH] = 1 M (prepared by 1:10 dilution of 10 M NaOH into H<sub>2</sub>O or D<sub>2</sub>O) and [NaCl] = 150 mM, and [PNPGlc] = 0.1 mM at 75 °C. Initial rates were measured in triplicate using a continuous assay at 400 nm.

The proton inventory experiment was conducted in the pH-independent region using phosphate buffer made from a mixed solution of D<sub>2</sub>O and H<sub>2</sub>O, p(H,D) = 5.8 at 90 °C. According to guidance from Rubinson<sup>35</sup> no corrections are needed in mixed H<sub>2</sub>O-D<sub>2</sub>O buffers for p(H,D) measurements < 8. The preparation of buffer was carried out using stock solutions of the 1 M acidic and basic buffer components in D<sub>2</sub>O, each of which contains 2 M NaCl, and another two stock solutions made in the same manner in H<sub>2</sub>O. Each set of stock solutions were combined to make 1 M buffers of pD 5.8 and pH 5.8 (determined using the correction for a glass electrode of pD = pH + 0.41) and ionic strength 2 M NaCl. Reaction solutions of a total volume of 1 ml were obtained by mixing the appropriate amount of D<sub>2</sub>O and H<sub>2</sub>O buffers to give samples with varying content of D<sub>2</sub>O (0, 20, 50, 80 and 100%) where the 0 and 100% samples were made using the unmixed D<sub>2</sub>O and H<sub>2</sub>O buffers. Rate data was analysed using the linear Gross-Butler equation (eq 6):

$$\frac{k_n}{k_0} = \frac{(1-n + n\varphi_1^{TS})}{(1-n + n\varphi_1^{GS})} \quad \text{eq. 6}$$

where  $k_n$  = the rate constant at atom fractionation deuterium  $n$ ,  $k_0$  = the rate constant in pure water, and  $\varphi_1^{TS}$  and  $\varphi_1^{GS}$  are the fractionation factors of the exchangeable proton in the transition and ground state.

**Calculation of predicted solvent isotope effects.** The solvent isotope effect was calculated using the isotopic fractionation factor  $\varphi$ .<sup>27</sup> The isotopic fractionation factor is the preference of a hydrogen to be at any site in the solute over the solvent. Thus, in the equilibrium reaction where the substrate is converted to its conjugate acid the equilibrium fractionation factors

defined by equation 7 allows the calculation of the preferred hydron site as an equilibrium ratio.

$$\frac{K_H}{K_D} = \frac{[RD]/[RH]}{[ROH]/[ROD]} / \frac{[PD]/[PH]}{[POD]/[POH]} = \frac{\varphi_R}{\varphi_P} \quad \text{eq. 7}$$

## **Associated Content**

### **Supporting information**

The Supporting Information is available free of charge at...

Additional data including kinetic measurements and calculations, Tables S1-13 and Figures S1-7 (PDF).

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## **Notes**

The authors declare no competing financial interest.

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## Graphical abstract

