Linking chemical reaction intermediates of the click reaction to their molecular diffusivity

Tian Huang,^a Bo Li,^a Huan Wang,^{b*} and Steve Granick^{a,c*}

^a Center for Soft and Living Matter, Institute for Basic Science (IBS), Ulsan 44919, South Korea
^b College of Chemistry and Molecular Engineering, Peking University, Beijing, 100871, P. R. China
^c Departments of Chemistry and Physics, Ulsan National Institute of Science and Technology (UNIST), Ulsan 44919, South Korea

<u>Abstract</u>

Bipolar reactions have been provoked by reports of boosted diffusion during chemical and enzymatic reactions. To some, it is intuitively reasonable that relaxation to truly Brownian motion after passing an activation barrier can be slow, but to others the notion is so intuitively unphysical that they suspect the supporting experiments to be artifact. Here we study a chemical reaction according to whose mechanism some intermediate species should speed up while others slow down in predictable ways, if the boosted diffusion interpretation holds. Experimental artifacts would do not know organic chemistry mechanism, however. Accordingly, we scrutinize the absolute diffusion coefficient (D) during intermediate stages of the CuAAC reaction (coppercatalyzed azide-alkyne cycloaddition click reaction), using proton pulsed field-gradient nuclear magnetic resonance (PFG-NMR) to discriminate between the diffusion of various reaction intermediates. For the azide reactant, its D increases during reaction, peaks at the same time as peak reaction rate, then returns to its initial value. For the alkyne reagent, its D decreases consistent with presence of the intermediate large complexes formed from copper catalyst and its ligand, except for the 2Cu-alk complex whose more rapid D may signify that this species is the real reactive complex. For the product of this reaction, its D increases slowly as it detaches from the triazolide catalyst complex. These examples of enhanced diffusion for some molecular species and depressed diffusion for others causes us to conclude that diffusion coefficients during these elementary reactions are influenced by two components: hydrodynamic radius increase from complex formation, which slows diffusion, and energy release rate during the chemical reaction, which speeds it up. We discuss possible mechanisms and highlight that too little is yet understood about slow solvent reorganization during chemical reactions. A chemical reaction frequently follows a reaction cycle with multiple intermediate steps during which both electronic and physical structure change successively. Such reaction cycles are ubiquitous in both synthetic chemistry and biochemistry. We are interested here in the click reaction¹⁻⁷, a group of versatile reactions that is exceptionally useful and simple to implement with high product yield. Recently we reported changes of molecular diffusivity during several common chemical reactions including the click reaction based on two independent experimental methods, a microfluidics design and pulsed field-gradient NMR⁸⁻⁹, but in that study the intermediate reaction steps⁶⁻⁷ were not considered and our analysis involved normalized data rather than its absolute values. Here, inspecting a wider range of reagent stoichiometry, we focus on absolute values of diffusion coefficient and on how the mobility of intermediates in the catalytic cycle correlates with the extent of reaction. The findings highlight the twin influences of hydrodynamic radius changes, and reaction-induced boosted diffusion.

We vary the reactant stoichiometric ratio as this highlights the respective influence of each reactant on diffusion during intermediate steps of the reaction. The net reaction that describes the CuAAC reaction, copper-catalyzed azide-alkyne cycloaddition (Fig. 1A), has seen much work devoted in recent years to isolate intermediate products¹⁰⁻¹¹. At the start, Cu(II) ion is reduced to Cu(I) by ascorbic acid. Alkyne reagent complexes with [CuL_n], then subsequently with another [CuL_n], where the notation L denotes a variety of possible ligands and the literature considers water, ascorbate, and other alkyne molecules to be candidates. The ligand complex is proposed to rearrange rapidly¹². The terminal alkyne proton is present in the first two of these intermediates but not in the third, which means that NMR can discriminate them. These elementary steps are summarized schematically in Fig. 1B.

Our experimental methods are described in Supporting Information (SI). Proton NMR spectra illustrated in Fig. S1 allow several intermediate steps to be discriminated. Because the intermediate 2Cu-alk (dicopper complex) has methylene groups (2 protons) but no terminal proton (1 proton), the intensity ratio of methylene and terminal proton is not exactly 2 as expected from the pristine alkyne, but changes with time during reaction. Notably, the intensity ratio of methylene and terminal alkyne proton takes a minimum when the reaction rate peaks, as shown in Fig. 1C for a stoichiometry with azide reagent in excess which means that the faster the consumption of 2Cu-alk, the faster the reaction rate. Corresponding to these elementary steps, Fig. 1D plots the time-dependent molar concentrations obtained by integrating the proton peaks. The findings are consistent when alkyne reactant is present in excess (Figs. S2A and S2B).

We employ pulsed field-gradient NMR to measure diffusion of these species and select catalyst and reagent concentrations to give reactions sufficiently slow to satisfy the quasi-steady-state approximation that concentration changes are negligible during the 3-5 min needed to measure each datum⁸⁻⁹. The methods that we use were challenged as artifact by authors who, in a Comment, made specific claims which alternative method is needed to avoid artifact¹³ but during this exchange of opinion we demonstrated the same findings regardless of which method was used¹⁴. Our longer paper explaining this⁹ was followed by a second Comment by the same authors¹⁵ and our reply concluded that there is nothing really to argue about because everyone's online-deposited raw data is consistent¹⁶. In the present new study concerning intermediates, complexation with copper catalyst causes larger molar mass and consequently larger hydrodynamic volume, giving progressively slower diffusion coefficients of alkyne protons 2 and 1 (Fig. 1E). The molar mass of pristine alkyne, Cu-alk, and 2Cu-alk are 56, 120 and 182 g-mol⁻¹, respectively. From this, the effective radius R ≈ 0.28 , 0.36 and 0.42 nm, respectively is

estimated (cube root of the molar volume implied by the molar mass and density 0.972 g-cm⁻³). From the Stokes-Einstein equation, $D = k_B T/6\pi\eta R$, the inverse dependence on R then predicts reduced diffusion coefficients 650 and 560 μ m²-s⁻¹ for the Cu-alk and 2Cu-alk complexes respectively. While these estimates are qualitative as they ignore solvation and approximate complex molecular shapes as a single number, the radius, it is evident that there must be a trend towards slower diffusion owing to larger size. The apparent diffusion coefficient measured during the reaction is considered to be D of each of these species weighted by its molar concentration.

The NMR experiments give the time-dependent concentrations (Fig. 1D). They also give $D_{terminal alkyne proton}$ and $D_{methylene protons}$ (Fig. 1E). This information and assumption of independent variables (reasonable because the overall concentration of all species is low) specifies $D_{2Cu-alk}$. The argument yields $D_{2Cu-alk} \approx 1100 \ \mu m^2 s^{-1}$ regardless of whether azide or alkyne is in excess (Fig. 1F). Scrutinizing this data, one sees that while $D_{2Cu-alk}$ appears to increase monotically when azide is present in excess, there is a maximum when alkyne is in excess. The maximum $D_{2Cu-alk}$ occurs at roughly the time when reaction rate is most rapid. The residual alkyne after reaction completion exists as a form of 2Cu-alk; this complex is believed to experience fast degenerate rearrangement¹². The baseline value of 2Cu-alk varies according to the reactant stoichiometric ratio (Fig. S3A) because the ratio of alkyne and Cu in 2Cu-alk complex can be different¹⁷.

Similar estimates follow consistently from repeated experiments with reaction stoichiometry varied, though there is noise in this estimate because it depends on subtracting two large numbers. In fact, D of pristine alkyne without reaction, D=1060 μ m²s⁻¹, has nearly the

same value. Our analysis of alkyne diffusion supersedes that in earlier papers from this laboratory in which consequences of complexation with the catalyst were not considered⁸⁻⁹, but the qualitative conclusion is unaffected. We conclude that $D_{2Cu-alk}$ is more rapid than anticipated from its geometrical size.

We now consider azide, the second reagent, which according to the accepted reaction scheme⁷ adds to the reactive 2Cu-alk complex. This is followed by several short-lived transition states, then a triazolide complex of product with $[CuL_n]$, and finally the pure reaction product. These elementary steps are summarized schematically in Fig. 2A. We mapped the concentrations of azide and product as a function of time under conditions of azide excess (Fig. 2B). In Fig. 2C, one sees that D of azide increases during reaction and reverts after reaction to its value in the absence of catalyst. Our finding that some species speed up during reaction while others slow down argue against the recent proposal¹⁸ that boosted diffusion is artifact because temperature or viscosity changes could explain the data. If this were so, all molecules would be affected uniformly.

Fig. 2E shows that the peak increase of D_{azide} was the same factor in this experiment as in our earlier experiments for which the reactant concentration was substantially higher (see figure caption). This peak coincides, in both instances, with the time when peak reaction rate was observed.

Boosted azide diffusion is also measured when alkyne is in stoichiometric excess (Fig. S3B). In this case, boosted diffusion persists during the reaction instead of falling back as shown for azide excess. Because the remaining small amounts of azide are all involved in the reaction near the reaction completion stage, it is reasonable that its diffusion remains boosted. Moreover, D_{product} increases with azide in excess (Fig. 2D). This is confirmed with alkyne in stoichiometric

excess (Fig. S4A). Increase of D_{product} is expected from progressive dissociation of the product from the Cu catalyst. It is intriguing to notice that product dissociation occurs so slowly. The D_{product} saturates roughly after passing the maximum reaction rate (Fig.S4B). This may be because protonation of triazole complex becomes more favorable when 2Cu-alk forms by deprotonation.

Taken together, diffusion coefficients during this click chemical reaction appear to be influenced by two contributions: hydrodynamic radius increase from intermediates involving binding to the catalyst, which slows diffusion, and energy release rate during the chemical reaction, which speeds it up. The azide reactant diffuses more rapidly during reaction than in the absence of catalyst, under every reaction stoichiometry that we studied; this appears to be because the intermediate states in which it participates are too short-lived to be detectable by NMR, leaving only the influence of boosted diffusion. In fact, prior attempts by others to measure azide intermediates using mass spectrometry did not succeed even when using a ligand believed to prolong lifetime of those intermediate states¹⁹⁻²⁰, which is consistent with these states having short lifetime. Copper-alkyne intermediates are longer-lived, however. They have been isolated¹⁰⁻¹¹ and characterized using mass spectrometry¹⁹⁻²¹. As estimated above, their radius in solution should increase, leading one to expect D in solution to decrease by this proportion, but quantitative consideration shows that the 2Cu-alk complex diffuses more rapidly than expected from this argument. We interpret the difference to signify that this highly-reactive complex displays reaction-boosted diffusion.

What might be the physical mechanism? Too little is understood about intramolecular energy flow in which a fast, local component of intramolecular relaxation may be augmented by slower, possibly weaker relaxation²²⁻²³. Classical Marcus theory²⁴⁻²⁵ considers one-dimensional

passage between two potential energy wells while all other degrees of freedom are considered, by assumption, to be a random thermal background; it does not deal with the energetics of solvent reorganization, which we consider likely to be the crux of this problem. The available spectroscopy experiments focus on using high-energy femtosecond pulses that probe transition states, while slower, lower-energy processes are not measured by the usual ultrafast techniques. However, restructuring of solvent molecules in response to dynamic changes of electric polarization has been noted repeatedly²⁶⁻²⁹. Progress in this area has been impeded by the paucity of experimental and simulation methods capable of exploring slower reorganization.

Our observation that enhanced diffusion correlates with reaction rate suggests that energy flow goes partly into chemical reaction, partly into translational diffusion, being coupled to the solvent. In fact, a growing body of literature considers solvent restructuring during chemical reactions. Orr-Ewing and coworkers have discussed these issues comprehensively³⁰⁻³³. In this spirit, organic chemists are well aware that reaction rates change according to the solvent³³⁻³⁵. New computational and experimental methods continue to be introduced³⁶. On physical grounds, we consider it likely that chemical reactions produce electrified polarization of solvent molecules that solvate the molecules that react, thus providing a mechanism that funnels the rapid (fs) energy release of electronic rearrangement to slower, persistent reorganization of these larger and more diffuse solvation structures. Such reorganization proceeds in multiple steps, corresponding to multiple steps of electronic rearrangement, providing a possible mechanism by which one might expect the shapes of solvation shells to wiggle, wriggle and writhe. This could generate propulsion by a kind of swimming, a continuous slow action. Elsewhere we discussed that this could extend (for catalytic enzymes) for durations of a few microseconds by piconewton forces, dissipating energy of a few k_BT as work against the viscous drag, times during which the

boosted molecule maintain its general direction of motion until rotational diffusion randomizes its orientation³⁷⁻³⁸. Directed motion of this kind has been stated to be physically unrealistic because the skeptical authors imagined the force must be applied instantaneously¹⁸ but this we consider to be a red herring because in our scenario, force is applied persistently^{8, 37-38}. The same authors sought to argue *reductio ad absurdum* by pointing to the backward-forward chemical reactions that underlie an overall reversible chemical equilibrium¹⁸ but the click reaction is irreversible, so the argument appears to lack relevance. These considerations – local kick steps of boosted motion accompanied by reorientations from rotational Brownian diffusion -- would produce a random walk with effective diffusion coefficient larger than from just Brownian motion. The magnitude of augmentation would depend not only on the force released during each kick step but also on the kick frequency. Unfortunately, not enough is yet understood about how to define turnover time during catalytic cycles³⁹.

In summary, this paper shows, as it considers the elementary steps of the catalytic reaction cycle, that the click chemical reaction is coupled to changes of molecular diffusivity. Some aspects are consistent with increased hydrodynamic radius owing to reaction intermediate states larger than the size of the neat reactants, while others show more rapid diffusivity. These findings point to the failure of the conventional Stoke-Einstein equation to fully explain diffusivity during chemical reaction. The magnitudes of the experimental enhancement we report are in the range also reported for catalytic enzymes^{37-38, 40-43}.

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Supporting Information

Sample preparation. All reagents and solvent were used as received without further purification. Aqueous click reaction solutions containing alkyne (propargyl alcohol, 99%, Sigma-Aldrich), azide (2-azidoacetic acid, Biosynth Carbosynth), (+)-sodium L-ascorbate (\geq 98%, Sigma-Aldrich) and copper (II) sulfate (\geq 99.99%, Sigma-Aldrich) were freshly prepared before use. The desired amount of each reagent was added to D₂O (99.9 atom % D) sequentially. In a typical procedure, the 2.7 µl (75mM) alkyne and 4.26 µl (90mM) azide were mixed at 600 µl D₂O, 5 mg (40 mM) ascorbate was added and dissolved with vortex mixing, then we waited for 10 min to release heat. Then the reaction was initiated by adding 10.3 mM copper (II) sulfate. The reaction solutions were mixed with vortex and filtered by 0.20 µm membrane filter (PTFE, 4 mm Millex syringe filter) to remove the Cu nanoparticles produced by Cu (I) ions disproportionated reaction. Then the reaction solutions were transferred to standard 4.95 mm NMR tubes (Duran).

NMR experiments. ¹H NMR measurements were performed on a 600 MHz FT-NMR spectrometer (Varian, VNMRS 600, Agilent) in the University Central Research Facility at UNIST (Ulsan National University of Science and Technology) at 25°C. Typically, the first diffusion data is recorded at 15 min, as a single measurement takes around 5 min depending on detailed experimental parameters, 5 min is needed to mix, filter, transfer and load the sample, another 5 min is needed prior to the first measurement to enable the standard alignment operations: lock, tune, shim, autogain. The spectra were obtained and processed using VnmrJ and MestReNova software. Having previously checked with care that findings do not depend on

the sequence of applied magnetic field gradient¹⁴ we used the standard method of magnetic field gradients with increasing amplitude.

The double-stimulated echo convection suppression pulse, dstegp3s1d (Varian, 600 MHz), was used for diffusion measurement. The system-specific pulse duration δ was tuned between 500-3000 µs with the diffusion time $\Delta = 50$ ms, the pulse gradient ramped linearly from 5-95% of the maximum gradient (50.35 G-cm⁻¹), typically in 16 steps to produce resulting intensity attenuation of the NMR peak of interest. The acquisition parameters for reactants were as follows, pulse duration $\delta = 2000$ µs, the diffusion time was $\Delta = 50$ ms and the relaxation delay time is 15 s. For product, pulse duration $\delta = 2500$ µs, the diffusion time was $\Delta = 50$ ms and the relaxation delay time is 7 s.

For intensity measurements at 4.1 ppm and 2.9 ppm of alkyne, 80 s relaxation delay time was used. From concern about potential interference, no extra paramagnetic ingredients such as gadolinium were added to facilitate relaxation for faster measurements.

Data analysis. In order to consider peak shifts during reaction and also to reduce potential errors from manual peak integration, the data were exported from MestreNova Software (Bruker) and analyzed by homebuilt Interactive Data Language (IDL) code. Generally, the position of peaks of interest peaks was located within a certain chemical shift range, and then the integration range of 0.01 ppm was used to obtain the peak intensity. These automated methods are considered to be an improvement over the manual integration we used in earlier publications⁸⁻⁹. According to the Stejskal-Tanner equation, plotting the peak intensities against the calibrated gradient values with semi-log scales, the slope specifies diffusion coefficient in the conventional manner.

Figure Captions

Fig. 1. Elementary reactions during the first portion (reaction with alkyne reagent) of the click reaction cycle. (A) Net click reaction. The protons measured in this paper by pulsed field-gradient NMR are indicated by numbers (1), (2), (3) and (5); ascorbate peaks (4) were reported previously⁸. (B) Summary of elementary steps leading to reactive acetylide complex from alkyne and Cu(I) catalyst. (C) Reaction rate (right ordinate) and ratio of peak intensity of protons 2 and 1 (left ordinate) is plotted against time for alkyne:azide = 0.83 (75:90 mM). (D) Time-dependent total alkyne concentration (species i + ii + iii indicated in panel B), concentration of neat alkyne and Cu-alk (i + ii), and concentration of 2Cu-alk (iii), and product concentration, are plotted for the same reaction as panel C. (E) Diffusion coefficients are plotted against time for protons (1) and (2) with alkyne:azide = 0.83 (left panel) and alkyne:azide = 1.67 (right panel; 125: 75 mM, respectively). The horizontal dotted line shows D_{alkyne} without added CuSO4. (F) Diffusion coefficient D _{2Cu-alk} for the situations azide excess (top panel) and alkyne excess (bottom panel). Smaller symbols show 3 independent experiments. Larger circles are their average during the longer indicated reaction times. In the data panels, the time when the reaction completes is shown by a vertical arrow.

<u>Fig. 2</u>. Elementary reactions during which azide reactant adds to copper-alkyne complex, 2Cualk (A) Schematic summary of the elementary steps ending in product. (B) Time-dependent concentrations of azide and product for alkyne:azide = 0.83, for the same reaction condition as in Fig. 1. (C) Time-dependent diffusion coefficient D_{azide} deduced from proton (3). The horizontal dotted line is D_{azide} without added CuSO₄. (D) Time-dependent diffusion coefficient $D_{product}$ deduced from proton (5). (E) Comparing boosted diffusion to reaction rate. Top panel: timedependent ratio, D_{azide} normalized to its value without added CuSO₄, for the same reaction condition as in panel C (filled symbols) and for alkyne:azide = 0.83 (250: 300 mM). Bottom panel: time-dependent reaction rate for the experiments reported in the top panel. Vertical arrows denote time when the reaction completes.

<u>Fig. S1</u>. Representative proton NMR spectra and peak assignments measured for the click reaction under conditions analyzed in Fig. 1C. Time-dependent peak shifts are evident from comparing data at different times (illustrated here at 30 min and 110 min), but peak-shift analysis is beyond the scope of this paper.

<u>Fig. S2</u>. Experiments under the situation of azide excess (Fig. 1C and 1D) are confirmed under the situation of alkyne excess. (A) Reaction rate (right ordinate) and ratio of peak intensity of protons 2 and 1 (left ordinate) are plotted against time for the situation of alkyne:azide= 125:75 mM. (B) Time-dependent total alkyne concentration (species i + ii + iii indicated in panel B),

concentration of neat alkyne and Cu-alk (i + ii), and concentration of 2Cu-alk (iii), and product concentration. In both panels, the time when the reaction completes is shown by a vertical arrow.

<u>Fig.S3</u>. Experiments under the situation of azide excess (Fig. 1) are confirmed under the situation of alkyne excess, alkyne:azide ratios = 82.5:75, 90:75, 97.5:75, and 125:75 mM. (A) Time-dependent alkyne $D_{proton 2}$. The dotted line is D_{alkyne} without added CuSO4. (B) Time-dependent azide proton $D_{proton 3}$ for these situations. The dotted line is D_{azide} without added CuSO4. Near the very end of reaction, the peak intensity of azide is too small to fit accurately, so we cannot measure D_{azide} up to the point of reaction completion. In both panels, the times when reaction completes are indicated by a vertical arrow.

<u>Fig. S4</u>. Experiments showing $D_{product}$ increase (Fig. 2D) are confirmed under the situation of alkyne excess with alkyne:azide ratios specified in the figure. Same situation as for Fig. S3. The times when reaction completes are indicated by a vertical arrow.

Fig. 1





Fig. S1.







Fig. S3.



Fig. S4.



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